

23RD ANNUAL COMPLIANCE & ETHICS INSTITUTE

SEPTEMBER 22-25,
2024



GRAPEVINE, TX
OR VIRTUAL



**REGISTER BY AUGUST 6
AND SAVE ON REGISTRATION!**

Get ready for game-changing compliance education and connections

Get ready for SCCE's primary educational and networking event for compliance and ethics professionals! Learn from industry leaders, connect with peers and colleagues, earn live continuing education units (CEUs), and come away energized to move your compliance program and your career forward.

2024 Session Tracks:

- Diversity, Equity, and Inclusion (DEI)
- ESG: Environmental, Social, and Governance
- Ethics
- Case Studies
- Compliance Risks
- Compliance Lawyer
- General Compliance/Hot Topics
- International/Multinational
- Investigations
- Data Privacy & Security
- Professional Skills

Learn more
corporatecompliance.org/2024CEI



ABOUT THE COMPLIANCE & ETHICS INSTITUTE

For 23 years, SCCE's Compliance & Ethics Institute (CEI) has been our largest educational and networking event for compliance and ethics professionals around the globe, offering attendees the latest in real-world compliance issues, emerging trends, and practical applications.

Led by experienced industry leaders, the CEI's educational sessions cover a wide range of topics across 11 different subject areas and are categorized by knowledge level: basic, intermediate, and advanced.

In-person and Virtual Options

Sunday (pre-conference)



IN-PERSON



VIRTUAL

Total sessions

102

48

Sunday

Breakout sessions to choose from

20

0

On-site SpeedNetworking activity

Yes

No

Sunday evening tailgate reception

Yes

No

Monday–Wednesday

General sessions Monday–Tuesday

3

3

Breakout sessions to choose from (total for 3 days)

78

44

Exhibit hall

Connect with Solution
Providers face to face

Download Solution
Provider info and
connect via email

Networking face-to-face during scheduled breaks and meals

Yes

No

On-site certification exam option

Yes

No (remote testing
is available)

Session recordings

60-day access following the conference

Yes*

Yes*

SUBJECT AREAS



Case Studies

It's one thing to discuss the issues compliance and ethics professionals face. It's another to see what companies have actually done to successfully manage these challenges. The Case Study track will take you inside companies and show you how they've handled specific issues.

Compliance Lawyer

This track is designed to meet the specific needs of the legal community. Learn about hot compliance topics for in-house and outside legal counsel. You'll find insights of value to your practice, organization, and clients.

Compliance Risks

Risk and how to effectively manage it is a top priority for global organizations. These engaging and insightful sessions are focused on best practices and the effective management of risk across all major ethics and compliance focus areas facing global organizations today. Led by leading experts and practitioners in the field, participants will gain valuable perspective on program strategies, practical solutions, and cutting-edge methods that can be used to manage and mitigate their organizational risks most effectively.

Diversity, Equity, and Inclusion (DEI)

An effective compliance professional will champion for dignity and respect in the workplace in order to best achieve diversity, equity, and inclusion for all. A compliance professional will also promote a speak-up culture, be approachable, be able hold difficult conversations on DEI, and be openminded to all conversations without marginalizing any employees. The DEI track provides valuable training to assist the compliance professional with incorporating the values needed for a successful DEI program.

ESG: Environmental, Social, and Governance

The intersection between compliance and ESG is growing stronger every

day and compliance professionals are perfectly situated to play a critical role in the management of environmental, social, and governance risks. Learn the latest developments in this area to help manage ESG risks.

Ethics

There are few things more challenging or rewarding to manage than ethics issues. Ethics track sessions will cover the ethical considerations that compliance and ethics professionals need to understand to manage their programs effectively.

General Compliance/Hot Topics

Topics ranging from the basics of compliance programs to current, trending issues. Learn what you need to know from compliance industry experts.

International/Multinational

The Multinational/International track dives deeper into the needs of the global programme and the topics that create the biggest challenges for global companies today.

Investigations

No matter what role you play in compliance, odds are you will be involved with internal investigations at some point in your career. Improve your interviewing skills and pack your toolkit with valuable resources for each stage of the process, from initial inquiries to presenting the final report.

Privacy & Data Security

Understand the privacy, breach, and information security compliance issues that continue to emerge. Learn how to integrate ways to mitigate these growing issues into your overall compliance program.

Professional Skills

Just understanding the law isn't enough. Compliance requires you to persuade others, build relationships, negotiate, and be a good listener. The Professional Skills track focuses on the soft skills that are

essential to getting solid results for your compliance program.

Early Bird Sessions

Expand your education and earn even more CEUs by attending an Early Bird session on Tuesday, 7:00–8:00 AM and Wednesday, 7:15–8:15 AM. Select Early Bird sessions will also be broadcast live to virtual attendees.

Knowledge Levels

Breakout sessions are categorized by knowledge level to help you select sessions that will be most beneficial for you. These levels are just guidelines, and you are free to attend sessions of any level.

Basic: Program knowledge level best suited for compliance professionals new to a skill or an attribute. These individuals are often at the staff or entry level in organizations, although such programs may also benefit a seasoned professional with limited exposure to the area.

Intermediate: Program knowledge level that builds on basic understanding. Most appropriate for compliance professionals with detailed knowledge in an area. Such persons are often at a mid-level within the organization, with operational or supervisory responsibilities, or both.

Advanced: This level focuses on the development of in-depth knowledge, a variety of skills, or a broader range of applications. Advanced level programs are often appropriate for seasoned professionals within organizations and professionals with specialized knowledge in a subject area.

IN-PERSON ACTIVITIES



Texas Themed Networking Reception

Monday, September 23 • 4:30–6:00 PM

The CEI has been bringing together compliance and ethics professionals from across the globe for many years. Join us as we celebrate these relationships, for an evening of good food, good company, and an added Texan flare!

Volunteer Projects

There are two ways to give back to the local Grapevine area community and help make a difference at this year's conference - GRACE Grapevine Donation Station and a book drive.

GRACE Grapevine Donation Station

Saturday, September 21 • 1:00-4:00 PM

Kick off your conference experience by connecting with fellow attendees at this year's offsite volunteer project on Saturday. Pre-registered volunteers will meet at the Gaylord Texan, transportation will be provided to the donation center and back. Volunteers will help unpack, organize and sort donations coming in. Based in Grapevine, TX, GRACE is a nonprofit relief agency that provides food, clothing, financial assistance, and

other vital necessities to people who are struggling with a limited income or recent emergency.

Pre-registration is required but complimentary. To register:

Log in to your SCCE account. Go to the CEI event page and click on the blue button that says "Manage Registration" in the top right corner. Click on the 3 dots and select "Purchase New Sessions" Find the Volunteer Project and click "Add" Click "Continue" and make sure to select "Checkout" (or your registration will not be found!)

Book Drive

PediPlace is a non-profit pediatric clinic that provides a medical home to uninsured and underinsured families from diapers through graduation.

Donate a new book for any age, birth through age 18, or focus on ages 6 months to age 6 for the Reach out and Read program. Designed to prepare children for school, Reach Out and Read builds upon the unique partnership between parent and medical provider. Starting at age six months, each patient receives an age-appropriate book at the beginning of every well visit. By the time the child is six years old, they have a full library of 18 books designed to expand

and enhance their vocabulary and their cognitive skills.

Books will be collected in the SCCE registration area through Tuesday at 10:00am.

SpeedNetworking

Sunday, September 22 • 11:30 AM–12:30 PM

Join us face to face and start networking again! Share your interests for a series of fast, fun meetings. You'll leave the session with new compliance contacts as we enter the new work world. Pre-registration is required. A boxed lunch is provided for pre-registered attendees only. To Register, visit app.speednetworking.com. Click on "Sign Up" at the bottom. When prompted, enter our event key: CEI2024. Once completed all necessary information, click "Register for Event" at the bottom. You will receive a confirmation email immediately.

Professional Headshots – Sponsored by SAI360

Update your look with a headshot photo, compliments of SAI360! Visit the activities area of the exhibit hall to find the headshots booth, open Monday and Tuesday during exhibit hall hours. Take your complimentary headshots photo and it will be emailed directly to you.

VIRTUAL ENGAGEMENT



Create a profile

Use your profile to add your job title, organization name, credentials, contact details, and upload a headshot to put a face to your name.

Engage in chat during sessions

When you're logged in to a session, you'll see the chat window within the virtual player where you can say hello, introduce yourself, and engage with other participants. You can also privately chat with other attendees.

Ask questions and get real-time answers

Use the question window within the virtual player to ask questions directly to speakers. Speakers will be able to answer in real-time.

Stand out from the crowd.



Gain career credibility, get certified

The Certified Compliance & Ethics Professional (CCEP)[®] and the Certified Compliance & Ethics Professional-International (CCEP-I)[®] exams will be offered at the in-person Compliance & Ethics Institute on Wednesday September 25, 2024.

Exam check-in: 1:30 PM

Exam Time: CCEP - 1:45–4:45 PM | CCEP-I - 1:45–5:45 PM

Cost: SCCE Members - \$275 | Non Members - \$375

Individuals must be preapproved to sit for the exams. To qualify, 20 CCB CEUs and the necessary work experience are required. Compliance & Ethics Institute sessions qualify as follows: one clock hour equals 1.2 CCB CEUs. For more information on how to apply for the CCEP or CCEP-I exams, visit the website corporatecompliance.org/certification.

Questions? Email: ccb@compliancecertification.org

Remote proctored exam option now available

Attending the virtual Compliance & Ethics Institute? CCB also offers the flexibility for candidates to take their exam remotely or at a local testing site. Please visit corporatecompliance.org/exam-information for more information.



In-person only

8:00–9:30 AM CT BREAKOUT SESSIONS	<div>P1 Hard Hats & Headsets: Engaging Frontline Workers in Your Ethics & Compliance Program</div>
	<div>P2 The Risky Business with Artificial Intelligence</div>
	<div>P3 Neurodiversity: Understanding the World of the Human Octopus</div>
	<div>P4 Wearing the Right Hat: The Critical Partnership between Ethics & Compliance and Legal</div>
	<div>P5 Top 10 Takeaways to Keep Suspension and Debarment from Knocking on Your Door!</div>
9:30–9:45 AM CT	Break
9:45–11:15 AM CT BREAKOUT SESSIONS	<div>P6 Key Training Strategies to Attract Employees and Effortlessly Drive 100% On-Time Completion!</div>
	<div>P7 ESG, Responsibility or Sustainability: Are We Speaking the Same Language in the US and the EU?</div>
	<div>P8 Microaggressions: An Essential Ingredient to a Toxic Culture</div>
	<div>P9 Transforming Compliance Culture: Key Strategies for Cultivating Integrity in the Digital Age</div>
	<div>P10 Understanding Global Data Privacy Laws</div>
11:15 AM–12:45 PM CT	Lunch (on your own)
11:30 AM–12:30 PM CT	SpeedNetworking
12:45–2:15 PM CT BREAKOUT SESSIONS	<div>P11 Ideation Station: Join Us as We Share Our Best Ideas of the Year and Gain Practical Tips to Invigorate Your Compliance Program for the Global Audience</div>
	<div>P12 Grant Fraud: \$1.2 Trillion Dollars of Risk</div>
	<div>P13 Some Perspectives on the EU Artificial Intelligence Act’s Cybersecurity Mandates</div>
	<div>P14 Could Your Ethics Program Go Viral?</div>
	<div>P15 Congratulations, You’ve Been Promoted! What Got You Here May Not Keep You Here: The Transformation from Valued Expert to Effective Leader</div>
2:15–2:30 PM CT	Break
2:30–4:00 PM CT BREAKOUT SESSIONS	<div>P16 Compliance Session TBA</div>
	<div>P17 Navigating Reputational and Compliance Minefield in Overseas Operations</div>
	<div>P18 Exploring the Evolving Role and Challenges of the Chief Compliance Officer Through Real World Scenarios</div>
	<div>P19 Evolve or Die: Understanding Compliance Triggers for Technology Solutions Harnessing AI, SaaS, IoT Devices, Sensors, and Mobile Apps</div>
	<div>P20 Data Privacy Session TBA</div>
4:00–5:30 PM CT	Welcome Tailgate Reception

SUBJECT AREA KEY

Case Studies Compliance Lawyer Compliance Risks Diversity, Equity, and Inclusion (DEI) ESG: Environmental, Social, and Governance Ethics General Compliance / Hot Topics International / Multi-National Investigations Privacy & Data Security Professional Skills

7:00–7:55 AM CT	Continental Breakfast
7:55–8:00 AM CT	Welcome & Opening Remarks • LIVE BROADCAST
8:00–8:30 AM CT	General Session 1A: Remarks by Principal Deputy Assistant Attorney General • LIVE BROADCAST
8:30–9:00 AM CT	General Session 1B: TBA • LIVE BROADCAST
9:00–9:45 AM CT	Break
9:45–10:45 AM CT BREAKOUT SESSIONS	101 The Art of Merging Compliance and Culture: A Road Map to Post-Merger Integration • LIVE BROADCAST
	102 Compliance Session TBA • LIVE BROADCAST
	103 A Sea of COIs: Navigating Conflicts Management from Disclosure to Mitigation to Monitoring with Data Analytics • LIVE BROADCAST
	104 Demonstrating the Effectiveness of Your Ethics & Compliance Program, and How Data Analytics Can Help • LIVE BROADCAST
	105 Social Media: Old News and New Risks
	106 How Dating in My 50s Made Me a Better Compliance Officer
	107 Loose Lips Sink Ships: Confidentiality in Investigations and Compliance at Scale
	108 DEI = Employee Engagement, Fun & Success
10:45–11:15 AM CT	Break
11:15 AM–12:15 PM CT BREAKOUT SESSIONS	201 Harnessing the Power of Data: Unleashing Compliance Excellence • LIVE BROADCAST
	202 Compliance Session TBA • LIVE BROADCAST
	203 From Buzzwords to Action: Making the SCCE DEI Framework Real • LIVE BROADCAST
	204 Rolling with the Punches: Compliance Strategies for Changing Board Attitudes • LIVE BROADCAST
	205 The Future of Compliance
	206 What Else Should the Board Be Asking?
	207 M&A: Care and Diligence Bring Luck
	208 Fortifying Your Risk Defense: The Power of ESG Materiality Assessments
12:15–1:45 PM CT	Lunch (provided)
1:45–2:45 PM CT BREAKOUT SESSIONS	301 How to Land a More Innovative Ethics & Compliance Program from an In-House Perspective • LIVE BROADCAST
	302 Compliance Session TBA • LIVE BROADCAST
	303 The Convergence of Technology, Risk & Compliance and Ethics Programs • LIVE BROADCAST
	304 Making Your Learning Binge-Worthy: Reimagining Mandatory Compliance and Ethics Learning through TV Series-Style Storytelling • LIVE BROADCAST
	305 Leading with Impact: Leverage Your Strengths to Create the Compliance Career You Love
	306 Galvanizing the Private Sector through the Foreign Extortion Prevention Act: Practical Implications for Businesses Operating in High-Risk Environments
	307 Building Bridges: Creating and Implementing Effective Policy Development Policies
	308 How to Cooperate, Remediate, and Stay in Business During a Government Investigation
2:45–3:30 PM CT	Break
3:30–4:30 PM CT BREAKOUT SESSIONS	401 Enter at Your Own Risk: Optimizing Your Enterprise Risk Assessment • LIVE BROADCAST
	402 Investigative Interviewing: What Researchers Have Found Works • LIVE BROADCAST
	403 From a Hotline to World-Class Whistleblowing in Eight Unconventional Steps: Tools, Techniques, Tactics, Testing - and Traps • LIVE BROADCAST
	404 An Action Framework to Support Compliance Allies • LIVE BROADCAST
	405 From Policy to Reality: Operationalizing Your Ethics & Compliance Program
	406 The Feedback Loop: Maximizing the Impact of Investigations Findings
	407 Compliance Session TBA
	408 Compliance Session TBA
4:30–6:00 PM CT	Networking Reception

SUBJECT AREA KEY

■ Case Studies
 ■ Compliance Lawyer
 ■ Compliance Risks
 ■ Diversity, Equity, and Inclusion (DEI)
 ■ ESG: Environmental, Social, and Governance
 ■ Ethics
 ■ General Compliance / Hot Topics
 ■ International / Multi-National
 ■ Investigations
 ■ Privacy & Data Security
 ■ Professional Skills

LIVE BROADCAST – Virtual attendees will only be able to view sessions with this listed.

7:00–8:00 AM CT EARLY BIRD SESSIONS	EB1 Dinosaur or Unicorn: Is Your Education Program in Danger of Extinction or Truly Magical? • LIVE BROADCAST
	EB2 The Ethics of Remote Work: Compliance and Accountability in a Virtual World • LIVE BROADCAST
	EB3 Future-Forward Compliance: Case Studies in Automation, Analytics & AI • LIVE BROADCAST
	EB4 The Magic of 4Es in Creating a Culture of Compliance • LIVE BROADCAST
7:15–8:20 AM CT	Continental Breakfast
8:20–8:30 AM CT	Award Presentation
8:30–9:30 AM CT	General Session 2: Modern Slavery and Supply Chains • LIVE BROADCAST
9:30–10:15 AM CT	Break
10:15–11:15 AM CT BREAKOUT SESSIONS	501 How to Uncover the Truth • LIVE BROADCAST
	502 Great Expectations? Mitigating Antitrust Risk and Tailoring Antitrust Compliance Efforts in an Active and Evolving Enforcement Landscape • LIVE BROADCAST
	503 Compliance Session TBA • LIVE BROADCAST
	504 Converging Pathways: ESG, Ethics, and Compliance • LIVE BROADCAST
	505 Compliance Risk Assessment on a Shoestring: How to Design and Implement an Effective Risk Assessment When You Have Limited Resources
	506 Cultivating Diversity: HR's Blueprint for Inclusive Talent Management
	507 Compliance as a Business Accelerator
	508 Do What I Do and Not What I Say: Level Up Your Compliance Training with Experiential Education
11:15–11:45 AM CT	Break
11:45 AM–12:45 PM CT BREAKOUT SESSIONS	601 Don't Widen Home Plate: How to Make a Business Case for Establishing Compliance and Ethics in Your Organization • LIVE BROADCAST
	602 Managing an Effective Global E&C Organization • LIVE BROADCAST
	603 The Rapidly Evolving Role of Artificial Intelligence in Managing Third-Party Risk • LIVE BROADCAST
	604 Board-Certified Compliance: Strategies for CCO-Board Synchronicity • LIVE BROADCAST
	605 Disabilities Compliance and Neurodiversity at Work
	606 Ethics in Action: A Fun Guide to Tackling Personal Conflicts of Interest
	607 Hitting, and Then Overcoming, the Limits of Internal Control
	608 AI for Case Management Data Analysis: Using Artificial Intelligence Tools to Analyze Your Results
12:45–2:00 PM CT	Lunch (provided)
2:00–3:00 PM CT BREAKOUT SESSIONS	701 The Regulators: US Sentencing Guidelines, USDOJ Guidance, and Implementing Compliance Guidance • LIVE BROADCAST
	702 Third-Party Risk Management: From Bite Size to Right Size • LIVE BROADCAST
	703 Make Your Code of Conduct Training Great • LIVE BROADCAST
	704 Closing Out Investigations: New Requirements and Risks • LIVE BROADCAST
	705 Building an Effective Trade Compliance Program in a Changing Regulatory Landscape
	706 Minds, Maps and Mandates: The GCC (Global Capability Center) Compliance Odyssey
	707 Measures of Success: How Does NSA Measure Compliance Program Effectiveness?
	708 Blockchain Unchained: Global Trends, Risks, and Strategies in Compliance
3:00–3:15 PM CT	Break
3:15–4:15 PM CT	General Session 3: Trust in Action • LIVE BROADCAST
4:15–4:20 PM CT	Closing Remarks

SUBJECT AREA KEY

■ Case Studies ■ Compliance Lawyer ■ Compliance Risks ■ Diversity, Equity, and Inclusion (DEI) ■ ESG: Environmental, Social, and Governance ■ Ethics ■ General Compliance / Hot Topics ■ International / Multi-National ■ Investigations ■ Privacy & Data Security ■ Professional Skills

7:15–8:15 AM CT EARLY BIRD SESSIONS	EB5 Leveraging Metrics: From Dashboards to Advanced Compliance Analytics • LIVE BROADCAST
	EB6 Better Safe than Sorry, Eh? Recent Developments in Canadian Antitrust Law and Compliance Guidance • LIVE BROADCAST
	EB7 Connecting the Parallels in Corporate Compliance and ESG to Avoid Perils • LIVE BROADCAST
8:30–9:30 AM CT BREAKOUT SESSIONS	W1 Don't Forget Your Phone and Money: Hot Topics in Complex Investigations • LIVE BROADCAST
	W2 Take It from Us, Ambassadors Networks Are Worth It! What Our Experience Has Showed Us to Ensure Success • LIVE BROADCAST
	W3 The Compass and the Kaleidoscope: Embracing Diversity, Equity and Inclusion through Ethics • LIVE BROADCAST
	W4 The CECO's Gambit: How to be a Grandmaster of the Governance Game
	W5 Transforming Compliance: From Barrier to Bridge
9:30–9:45 AM CT	Break
9:45–10:45 AM CT BREAKOUT SESSIONS	W6 Audit vs. Compliance: A Tale of Two Brothers in Corporate Governance • LIVE BROADCAST
	W7 Unleashing Compliance Excellence through Building and Strengthening Your Compliance Program: Strategies for Success and Continuous Improvement • LIVE BROADCAST
	W8 General Counsel-Chief Compliance Officer Dialogue: Important Themes, Pitfalls, and Solutions • LIVE BROADCAST
	W9 Cross Border Investigations and Crisis Management
	W10 Beyond Training 101: Use Tomorrow's Technology Today to Win Over Your Audience and Future Proof Your Training Career
10:45–11:00 AM CT	Break
11:00 AM–12:00 PM CT BREAKOUT SESSIONS	W11 How Building an Ethics Network Can Supercharge Your Compliance Culture • LIVE BROADCAST
	W12 Effective Auditing of Compliance • LIVE BROADCAST
	W13 A Culture of Compliance: Robust Multinational Compliance Plans and Investigations • LIVE BROADCAST
	W14 Investigations Evolution: How DEI Best Practices Improved Our Investigation and Reporting Process
	W15 Sun Tzu's Compliance Odyssey: Crafting Due Diligence as Your Strategic Arsenal
1:30 PM CT	Exam Check-In: Certified Compliance & Ethics Professional (CCEP) [®] and Certified Compliance & Ethics Professional–International (CCEP-I) [®]
1:45–4:45 PM CT	Onsite Certified Compliance & Ethics Professional (CCEP) [®] Exam (optional)
1:45–5:45 PM CT	Onsite Certified Compliance & Ethics Professional - International (CCEP-I) [®] Exam (optional)

SUBJECT AREA KEY

Case Studies Compliance Lawyer Compliance Risks Diversity, Equity, and Inclusion (DEI) ESG: Environmental, Social, and Governance
Ethics General Compliance / Hot Topics International / Multi-National Investigations Privacy & Data Security Professional Skills

8:00–9:30 AM

BREAKOUT SESSIONS

P1 Hard Hats & Headsets: Engaging Frontline Workers in Your Ethics & Compliance Program

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

ADAM BALFOUR, VP & GC for Corporate Compliance, Bridgestone; SCCE & HCCA Board Member

CARSTEN TAMS, Senior Consultant, Emagence LLC

KAREN MOORE, Former Chief Compliance & Privacy Officer, Unisys Corporation

- Distinguish how compliance challenges and risks differ for frontline and other roles that are different from white collar roles
- Identify key challenges and opportunities to effectively engage frontline employees
- Design a program using proven methods for understanding frontline workers' unique risks/needs, and designing codes, training and helplines that engage

P2 The Risky Business with Artificial Intelligence

Subject Area: Compliance Risk Areas

Level: Intermediate

KELLY WILLENBERG, CEO, Kelly Willenberg and Associates; SCCE & HCCA Board Treasurer

BETSY WADE, Chief Compliance & Ethics Officer, Signature HealthCARE; SCCE & HCCA Board Member

- Analyze the impact of AI on corporate compliance today and in the future
- Define the difference between "artificial" vs "human" intelligence by doing pilot projects and risk assessments
- Compare engagement vs distraction with templates and framework to ensure regulatory compliance

P3 Neurodiversity: Understanding the World of the Human Octopus

Subject Area: DEI

Level: Basic

KATHERINE MCCORD, Chief People and Operations Officer, Previewed

- What is neurodiversity? What does the term mean? What is included in neurodiversity? What is the science behind it?
- How does neurodiversity play into creating policies, procedures, and inclusion?
- How do we best cultivate neurodiverse talent and support individuals? Let's make accommodations into standard options!

P4 Wearing the Right Hat: The Critical Partnership between Ethics & Compliance and Legal

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

TANJA GROMADZKI, Senior Director, Ethics & Compliance – Americas, TD SYNEX

ANA-PAOLA CAPALDO AOUN, Assistant General Counsel, TD SYNEX

- Understand the importance of a solid partnership between Legal and Compliance: Considerations when Legal and Compliance are in the same function
- Unique methods of engaging your legal and compliance teams (such as training and other initiatives)
- Practical initiatives for you to enhance your partnership and collaboration in 2024

P5 Top 10 Takeaways to Keep Suspension and Debarment from Knocking on Your Door!

Subject Area: Compliance Risks

Level: Intermediate

LORRAINE LUCIANO, Ethics Counselor (Attorney), Department of the Interior - OIG

TRINA HATCHETT-BROWN, Senior Associate Counsel, Department of Energy, Office of Inspector General (DOE OIG)

JENNIFER L. WARD, Suspension and Debarment Official, Department of Homeland Security

- Explore a hypothetical case from the distinct perspectives of three Federal agencies and strategies to address compliance and ethics risks
- Identify and debunk common misconceptions relating to suspensions and debarments
- Take away top ten tips on how to address or avoid potential Federal Government concerns regarding your organization's compliance and ethics program

9:30–9:45 AM

Break

9:45–11:15 AM

BREAKOUT SESSIONS

P6 Key Training Strategies to Attract Employees and Effortlessly Drive 100% On-Time Completion!

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

KELLY SARGEANT, Global Head of Compliance Training & Comms, Ericsson

VIDYA KRISHNAN, Chief Learning Officer, Ericsson

- Overcome obstacles of monitoring & tracking instructor-led trainings in hybrid working environments
- Design out-of-the-box training assets that disrupt obligatory and check-the-box mindsets
- Transform perceptions of mandatory compliance trainings from dreadful to delightful

P7 ESG, Responsibility or Sustainability: Are We Speaking the Same Language in the US and the EU?

Subject Area: ESG

Level: Intermediate

MARIA LANCRI, Attorney, Partner, Squair

LISA BETH LENTINI WALKER, CEO & Founder, Lumen

- Discover the common elements of what organizations agree to beyond law in one jurisdiction
- Find ways to navigate differing requirements through programming
- Build reporting and accountability structures aligned to your compliance program

P8 Microaggressions: An Essential Ingredient to a Toxic Culture

Subject Area: DEI

Level: Intermediate

ADRIAN TAYLOR, Director of Diversity, Premier Health

- What microaggressions are and how they impact outcomes in organizations
- How to self-identify if you create microaggressions and tools that can be used to help improve in this space
- Provide team members who have been offended with tools on how to address, manage, and overcome microaggressions

P9 Transforming Compliance Culture: Key Strategies for Cultivating Integrity in the Digital Age

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

HARPER WELLS, Chief Compliance Officer, Learning Pool

KRISTEN WATTS, Senior Director, Learning & Development, Koch Capabilities, LLC

- Learn practical approaches to leverage tech and AI for year-round E&C guidance
- Empower your mood in the middle to drive meaningful two-way dialogue and a create a speak-up culture
- Understand risks and considerations of incorporating tech and AI into your compliance program

P10 Understanding Global Data Privacy Laws

Subject Area: Data Privacy/Security

Level: Intermediate

ROBERT BOND, Senior Counsel, Privacy Partnership Law; Past President, SCCE & HCCA Board

BRAD HAMMER, Partner, Husch Blackwell

- Comparison of laws in US, Europe, Middle East, Far East and Latin America
- Managing cross border data transfers
- How to develop and maintain privacy compliance

11:15 AM–12:45 PM

Lunch (on own)

11:30 AM–12:30 PM

SpeedNetworking

12:45–2:15 PM

BREAKOUT SESSIONS

P11 Ideation Station: Join Us as We Share Our Best Ideas of the Year and Gain Practical Tips to Invigorate Your Compliance Program for the Global Audience

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

VERA PIRES, Ethics & Compliance Director, The Nature Conservancy

HEMMA RAMRATTAN LOMAX, VP, Associate General Counsel, Compliance, Zendesk

ASHLEY DUBRIWNY, Ethics & Compliance Director, The Nature Conservancy

- Fun ideas that generate buzz (our favorite tools and tactics included)
- Inclusive ideas to engage your global audience
- Inspired network ideas (introvert-friendly activity for attendee connection and sharing)

P12 Grant Fraud: \$1.2 Trillion Dollars of Risk

Subject Area: Compliance Risks

Level: Basic

KENNETH DIEFFENBACH, Deputy Assistant Inspector General, U.S. Department of Energy

- Understand the U.S. government grant landscape, including the fact that the process is largely an integrity-based system
- Be prepared to address the most common fraud and other compliance risks often seen in programs funded by federal grants
- Identify the best ways to help prevent, identify, and mitigate risk in grant funded programs

P13 Some Perspectives on the EU Artificial Intelligence Act's Cybersecurity Mandates

Subject Area: Multi-National/ International

Level: Intermediate

JOHN BARKER, VP, Strategic Planning & Leadership, The Bonar Institute

SCOTT GIORDANO, Former General Counsel, Spirion LLC

DAVID PATARIU, Privacy Attorney, Veneable LLP

- The EU AI Act's cybersecurity and risk management mandates
- The existing and proposed ISO and NIST cybersecurity standards for AI
- Practical perspectives on avoiding fines based on reviews of the EU GDPR

P14 Could Your Ethics Program Go Viral?

Subject Area: Ethics

Level: Intermediate

WENDY EVANS, Senior Manager Ethics Core Programs, Lockheed Martin

ERIN LEDERMAN, Ethics Analysis Manager, Lockheed Martin

- Ideas for engaging and connecting with employees and leaders in a way that makes your program go "viral"
- Examples and ideas for big or small employee engagement activities
- Table-top breakouts will allow participants to brainstorm and present other engagement ideas to the group

P15 Congratulations, You've Been Promoted! What Got You Here May Not Keep You Here: The Transformation from Valued Expert to Effective Leader

Subject Area: Professional Skills

Level: Basic

DEBBIE HENNELLY, Founder & President, Resiliti

ANGEE KERNS, Director of Ethics Programs & Information Governance, Booz Allen Hamilton

- Assessing your strengths and building new skills to lead an effective, innovative ethics & compliance team and program
- Understanding how to work across silos and lead cross-functional teams and initiatives: Engaging without alienating anyone
- Two steps forward, one step back is still progress: Sharing anecdotes of success stories and not-so-successful attempts in E&C leadership

2:15–2:30 PM

Break

2:30–4:00 PM

BREAKOUT SESSIONS

P16 Retaliation - the Reality: Insights, Strategies & Analytics to Get from Passive Policy to a Determined, Data-Driven, Active Anti-retaliation Programme

Subject Area: Compliance Risks

Level: Intermediate

KEITH READ, Former CECO, British Telecom (BT) & Author of 'The Unconventional Compliance Officer'

SARAH COLE, Associate Managing Director & Risk Advisor, Kroll

- Learn about the realities of retaliation including crucial cases, multiple types of retaliation, the 'Gender Punishment Gap', and diversity & inclusion
- Explore how to derive unconventional and unseen data insights and indicators vital to your anti-retaliation programme using disparate data sources
- Learn about retaliation risk assessment, the six elements of a world-class anti-retaliation programme and how to create one in your organisation

P17 Navigating Reputational and Compliance Minefield in Overseas Operations

Subject Area: Compliance Risk Areas

Level: Intermediate

SHIN JAE KIM, Partner, Partner, TozziniFreire Advogados; SCCE & HCCA Board Member

- Balancing between robust compliance measures and cost-effectiveness is a constant struggle especially if CCO are tasked to safeguard operation abroad
- A daunting landscape teeming with diverse and evolving risks across various jurisdictions, each with its own unique nuances
- This panel will discuss creative solutions and strategic resource allocation to achieve optimal compliance considering budgetary constraints

P18 Exploring the Evolving Role and Challenges of the Chief Compliance Officer Through Real World Scenarios

Subject Area: Case Studies

Level: Advanced

REBECCA WALKER, Partner, Kaplan & Walker LLP

MONICA REINMILLER, Chief Compliance Officer, Panasonic

GINA SAVIOLA, Vice President, Ethics & Compliance, Jacobs Solutions

- Common challenges and obstacles that compliance officers face and how to address them: Case studies
- How to strengthen your relationship with the board and senior leaders to increase the independence and authority of the program
- Contending with lack of support, allegations against senior leaders, monitoring risk areas beyond program scope, and other tricky situations

P19 Evolve or Die: Understanding Compliance Triggers for Technology Solutions Harnessing AI, SaaS, IoT Devices, Sensors, and Mobile Apps

Subject Area: General Compliance/ Hot Topics

Level: Advanced

AMANDA MERCED, Partner, Ward & Berry, PLLC

GRIFFIN WEAVER, Managing Legal Director, Dell Technologies

LUIS GARCIA, General Counsel, San Antonio River Authority

- Understand the "Big Picture" of modern technology solutions through an interactive visual showing technology types, data flow, and users
- Understand the most common / critical compliance triggers overlaid on the interactive visual
- Learn how to ask the right questions of your internal teams to get the information necessary for a full picture and associated compliance triggers

P20 Cyber Extortion Economy

Subject Area: Privacy & Data Security

Level: Intermediate

RAY UMERLEY, Field CISO, Coveware

- Understand how the cyber economy has changed over the last year, and its expanding black-market economy
- Educate your team with Coveware's suggestions on setting your company up for the best defense against being attacked
- An in-depth review on the decision-making process for ransomware payments and key questions to ask when trying to make a cyber extortion pay or not decision under pressure

4:00–5:30 PM

Welcome Tailgate Reception

7:00–7:55 AM

Continental Breakfast

7:55–8:00 AM

Welcome & Opening Remarks

LIVE BROADCAST

8:00–8:30 AM

General Session 1A: Remarks by Principal Deputy Assistant Attorney General Nicole M. Argentieri

LIVE BROADCAST

8:30–9:00 AM

General Session 1B: TBA

LIVE BROADCAST

9:00–9:45 AM

Break

9:45–10:45 AM

BREAKOUT SESSIONS

101 The Art of Merging Compliance and Culture: A Road Map to Post-Merger Integration

LIVE BROADCAST

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

JANNICA HOUBEN, Vice President, Global Legal Transformation, TD SYNnex
KATARZYNA GOLONKA, Vice President, Global Compliance, TD SYNnex

- Cultural Alignment Strategies: Explore effective methods and strategies for aligning organizational cultures during post-merger integration
- Compliance Integration Best Practices: Discuss a roadmap to successfully integrate compliance frameworks
- Leadership's Role in Integration: Learn the practical approaches to empower leadership to play a pivotal role in championing a culture of compliance

102 Improving Participation in E&C Programs by Demonstrating Their Value

LIVE BROADCAST

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

CATHERINE BRUNO, Assistant Director, FBI

- Internal risk identification is key to the success of a proactive compliance program
- To achieve highly productive risk identification, you need voluntary cooperation from an informed workforce
- Demonstrating value can be accomplished very effectively through storytelling

103 A Sea of COIs: Navigating Conflicts Management from Disclosure to Mitigation to Monitoring with Data Analytics

LIVE BROADCAST

Subject Area: Case Studies

Level: Intermediate

PARTH CHANDA, CEO, Lextegrity

FELIPE GARCIA, Director, Compliance Programs, Salesforce

JESSICA BUDOFF MCCAFFREY, SVP, Global Deputy Chief Compliance Officer, NBCUniversal

- How to set up your COI disclosure process to raise awareness and incentivize disclosure
- How to manage COI disposition and mitigation to ensure that risks are appropriately assessed
- The next level: Using data analytics to look for hidden conflicts and monitor conflicted parties

104 Demonstrating the Effectiveness of Your Ethics & Compliance Program, and How Data Analytics Can Help

LIVE BROADCAST

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

ANDREW MCBRIDE, Chief Compliance Officer, Albemarle Corporation

- Provide delegates with insight into the expectations of regulators such as the DOJ on how the effectiveness of a compliance program should be tested
- Highlight other drivers for effectiveness testing (sustainability audit standards, ISO standards, etc.)
- Demonstrate how data, data analytics and metrics are crucial to demonstrating the effectiveness of ethics and compliance programs

105 Social Media: Old News and New Risks

LIVE BROADCAST

Subject Area: Compliance Risks

Level: Intermediate

KORTNEY NORDRUM, VP, Regulatory Counsel & CCO, Deluxe

- Learn where your social media risk is hiding (hint: it isn't where you think it is)
- Tips, tricks, and examples to help you build a defensible social media policy for your organization
- Surviving a social media crisis is possible: Learn best practices when sharing in the digital age

106 How Dating in My 50s Made Me a Better Compliance Officer

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

MICHELLE NICHOLS, Compliance Officer, Farmer Mac

- Corporate culture can be heavily influenced by the experience we bring to the organization, regardless of the "tone at the top" we try to convey
- Addressing experiences through a new hire's onboarding to an existing team member's current experience is paramount to an organization's success
- Is your corporate culture more impacted by employees' previous experiences rather than the culture you are trying to create?

107 Loose Lips Sink Ships: Confidentiality in Investigations and Compliance at Scale

Subject Area: Investigations

Level: Intermediate

IAN MOOLMAN, Ethics & Business Integrity Manager, Emirates Global Aluminium (EGA)

ANN SULTAN, Member and Vice Chair, International Department, Miller & Chevalier

- Tightrope Tips: Hard-learned lessons for securing data amidst chaos and without losing trust
- Considerations in how sharing too little (or too much) can sink compliance during crises
- Balancing protecting investigation integrity and participant rights despite expansive interviews

108 DEI = Employee Engagement, Fun & Success

Subject Area: DEI

Level: Basic

J. VERONICA XU, Chief Compliance Officer, Saber Healthcare; SCCE & HCCA Board Non-Officer of the Executive Committee

- Identify obstacles and deepen the understanding of the DEI mission and its practical values to organizations
- Learn helpful tips and best practices to strategize your DEI efforts and approach
- Share ideas and activities to engage employees and foster a diverse and strong ethical culture in your organization

10:45–11:15 AM

Break

11:15 AM–12:15 PM

BREAKOUT SESSIONS

201 Harnessing the Power of Data: Unleashing Compliance Excellence

LIVE BROADCAST

Subject Area: General Compliance/ Hot Topics

Level: Basic

WALTER APPLEBY, VP, Compliance & Ethics, Georgic-Pacific, LLC

ROSIE WILLIAMS, Director, Compliance & Ethics

- Unlocking insights from data analytics: Learn how to leverage data-driven insights to identify key themes & trends to make more informed decisions
- Fostering ownership & accountability for compliance risk across businesses & facilities that enable proactive risk mitigation
- Maximizing time & prioritizing compliance efforts through harnessing the power of data to identify areas that require attention

202 Compliance Session TBA

LIVE BROADCAST

Subject Area:

Level:

JOHN GILL, ACFE

203 From Buzzwords to Action: Making the SCCE DEI Framework Real

LIVE BROADCAST

Subject Area: DEI

Level: Basic

JACKI CHESLOW, Former Compliance Leader, OMC Consulting Services LLC

ASHA PALMER, SVP, Compliance Solutions, Skillsoft

- An overview of the call to actions found in the 2023 SCCE DEI Framework;
- An open conversation about challenges we each have faced in implementing the aims of the Framework; and
- Real time, actionable insights into how to move the DEI needle within your organization and the compliance profession

204 Rolling with the Punches: Compliance Strategies for Changing Board Attitudes

LIVE BROADCAST

Subject Area: Case Studies

Level: Advanced

PAUL ZIETSMAN, Regional Compliance Manager, SAP

LOUIS PEROLD, Principal, Citadel Compliance; SCCE & HCCA Board Vice President

GUIDO FEBUS, Compliance & Ethics Officer, Avila Business Ethics

- Early Body Blows: Spotting subtle signs of board indifference
- Standing 8-count: Employing patience paired with persistence
- Still Standing: Instilling lasting cultural change amidst instability

205 The Future of Compliance

Subject Area: General Compliance/ Hot Topics

Level: Basic

DEBBIE TROKLUS, President, Troklus Compliance Consulting; SCCE & HCCA Board Member

SHERYL VACCA, Former Chief Risk Officer; SCCE & HCCA Board Member

- Discuss roles and their impact on compliance structure
- Discuss the evolution of business risks and how does/will impact compliance
- Identify preparation steps for the future and the compliance professional

206 What Else Should the Board Be Asking?

Subject Area: Ethics

Level: Advanced

DAVID GEBLER, Principal, Leading with Ethics LLC

DEBORAH SPANIC, Chief Ethics & Compliance Office, Clarios

- Provide tools for the board of directors to meet heightened DOJ expectations for role modeling behavior and for maintaining an effective E&C program
- Introduce a new approach to evaluating a culture of compliance that incorporates the organization's business strategy
- See culture in the context of drivers of behavior to establish clear approaches for leaders to role model ethical behavior and set clear standards

207 M&A: Care and Diligence Bring Luck

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

AVNI PARIKH, VP of US Ethics & Compliance, WSP

- Provide attendees the key points of the Safe Harbor Policy for voluntary self-disclosures in connection with mergers and acquisitions
- Discuss examples of how a different due diligence disclosure approach might be taken, comparing pre and post Safe Harbor practices
- Outline limitations and potential trends for M&A safe harbors

208 Fortifying Your Risk Defense: The Power of ESG Materiality Assessments

Subject Area: ESG

Level: Intermediate

XIMENA RESTREPO, Compliance and Privacy Partner, Logan Health

NAKIS URFI, Senior Manager, Provider Relations & Regulatory Compliance, Abbott

- Provide an overview of ESG materiality assessments
- Learn how to develop an ESG strategy from the ESG materiality assessment results
- Hear examples of materiality survey questions and how to integrate them with your compliance risk assessment

12:15–1:45 PM

Lunch (provided)

1:45–2:45 PM

BREAKOUT SESSIONS

301 How to Land a More Innovative Ethics & Compliance Program from an In-House Perspective

LIVE BROADCAST

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

LUCIANE MALLMANN, Experienced Head of Ethics & Compliance and MLRO

GABOR SULYOK, Head of Ethics Risk Compliance, Sandoz UK

- Culture, people, and integrity: The need for more people-centered ethics and compliance programs
- Anti-bribery and corruption (AB&C): Developing a more innovative AB&C program and managing challenges from an in-house perspective
- E&C program post internal audits or external investigations, practical advice on how to keep E&C programs evolving

302 Compliance Session TBA

LIVE BROADCAST

Subject Area:

Level:

303 The Convergence of Technology, Risk & Compliance and Ethics Programs

LIVE BROADCAST

Subject Area: Compliance Risks

Level: Advanced

LISA KUCA, SVP, Technology Control, Wells Fargo

BRIAN GLICK, Founder and CEO, Chain.io

MATT MURPHY, Partner, Venable LLP

PATRICK DOHERTY, AUSA, U.S. Attorney's Office for the District of Connecticut

- Emerging risks associated with privacy, AI and biometrics.
- Leveraging technology to manage forced labor, supply chain and environmental compliance
- The role of data in C&E Program

304 Making Your Learning Binge-Worthy: Reimagining Mandatory Compliance and Ethics Learning through TV Series-Style Storytelling

LIVE BROADCAST

Subject Area: Ethics

Level: Intermediate

DEBBIE RHEDER, Global Chief Ethics Officer, Deloitte

RASHELLE TANNER, Director, Culture Programs, Legal, Compliance & Ethics, Meta

- Define the goal in reimagining learning and outline how to engage stakeholders
- Describe how to create this style of learning: From high quality content to relevant and engaging storytelling to realistic and relatable characters
- Identify ways to roll out and engage your people in this style of learning by making it "buzz-worthy" and creating culture change

305 Leading with Impact: Leverage Your Strengths to Create the Compliance Career You Love

Subject Area: Professional Skills

Level: Basic

MELANIE SPONHOLZ, Chief Compliance Officer, Waud Capital Partners, Healthcare

AMII BARNARD-BAHN, Partner, C-Suite Coach, Kaplan & Walker LLP

- Identify your strengths and talents through a guided exercise to lay the foundation for creating a career that is both fulfilling and impactful
- Align your career goals with your values and purpose by looking at the bigger picture of what you want to achieve in your work and personal life
- Create a personal mission statement, and discuss how to leverage this as a yardstick for making career decisions

306 Galvanizing the Private Sector through the Foreign Extortion Prevention Act: Practical Implications for Businesses Operating in High-Risk Environments

Subject Area: International/ Multi-National

Level: Intermediate

FRANK BROWN, Director, Anti-Corruption & Governance Center, CIPE

TOM FIRESTONE, Partner, Government Investigations & White Collar Practice Group, Squire, Patton, Boggs

- Learn from one of the main authors of the Foreign Extortion Prevention Act (FEPA) what the new law is intended to accomplish
- Learn how compliance practitioners can use FEPA to protect clients from bribe-seeking officials overseas
- Learn how a new U.S. State Department program, Galvanizing the Private Sector, will leverage FEPA to drive anti-corruption campaigns in emerging markets companies

307 Building Bridges: Creating and Implementing Effective Policy Development Policies

Subject Area: General Compliance/ Hot Topics

Level: Basic

RENEE WARDLAW, Vice President, Corporate Compliance & Administration, Bristol Bay Native Corporation

- Understand the essential components of a policy development policy, including clear objectives, stakeholder engagement & a systematic approach
- Learn best practices for effective policy implementation, including strategies for communication, training, & monitoring for adoption and compliance
- Gain practical insights into overcoming challenges, such as navigating legal/regulatory requirements, managing change, & fostering buy-in and support

308 How to Cooperate, Remediate, and Stay in Business During a Government Investigation

Subject Area: Compliance Lawyer

Level: Intermediate

JENNIFER HERRMANN, Deputy General Counsel, Office of the Inspector General, USAID

RACHEL B. COCHRAN, Senior Counsel Litigation and Enforcement, USAID

- Understand the various players and equities during a government investigation into fraud or other wrongdoing
- Learn how to remediate the harm and avoid suspension or debarment while under investigation
- Identify high-risk areas and practices in federal contracts and grants to focus your prevention efforts

2:45-3:30 PM

Break

3:30–4:30 PM

BREAKOUT SESSIONS

401 Enter at Your Own Risk: Optimizing Your Enterprise Risk Assessment

LIVE BROADCAST

Subject Area: Compliance Risk Areas

Level: Intermediate

ELIZABETH SIMON, VP, Compliance, Progress Residential

- Understanding your company's risk universe
- Incorporating compliance into your company's ERM
- Effectively reporting your results to the board

402 Investigative Interviewing: What Researchers Have Found Works

LIVE BROADCAST

Subject Area: Investigations

Level: Intermediate

MICHAEL JOHNSON, Chief Strategy Officer, Triliant

- Learn how to avoid common interviewing techniques whose validity has been questioned by researchers and some courts
- Learn how to use scientifically validated interviewing techniques to obtain more information from witnesses
- Learn how to apply the "Cognitive Interview," which is the mostly widely researched and scientifically validated interviewing technique in the world

403 From a Hotline to World-Class Whistleblowing in Eight Unconventional Steps: Tools, Techniques, Tactics, Testing - and Traps

LIVE BROADCAST

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

KEITH READ, Former CECO, British Telecom (BT) & Author of 'The Unconventional Compliance Officer'

SARAH COLE, Associate Managing Director & Risk Advisor, Kroll

- How to get people to speak up, including smart ways to raise awareness & address fear of the unknown
- Understanding retaliation & other crucial reasons why people don't speak up and how to mitigate them
- Learn how testing, simple analytics & intelligence-based follow-up can radically improve performance

404 An Action Framework to Support Compliance Allies

LIVE BROADCAST

Subject Area: Professional Skills

Level: Basic

MICKEY STEVENSON, Assistant Vice President for Research Integrity, Rice University

DARREN HILL, Director, Ethics & Business Conduct, Lockheed Martin

- Attendees will understand key factors for identifying informal partners who can expand their reach without additional resources
- Attendees will discover techniques to train their organization's employees and affiliates without expanding their formal training program
- Attendees will gain practical tools to coach their allies on how to share their ethical principles with colleagues in their daily work

405 From Policy to Reality: Operationalizing Your Ethics & Compliance Program

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

TRENT SANDIFUR, Partner, Taft Stettinius & Hollister LLP

TOM MATTEI, EVP, Chief Legal Officer, Corporate Secretary, and Chief Compliance Officer, Neiman Marcus Group

- Craft policies and procedures that work in practice and are easily integrated into existing business processes
- Ensure employee understanding of policies and procedures through creative communications and training
- Utilize KPIs and metrics to continuously assess program operational performance and drive operational improvement

406 The Feedback Loop: Maximizing the Impact of Investigations Findings

Subject Area: Investigations

Level: Advanced

LILA ACHARYA, Partner, Crawford & Acharya PLLC

KATHRYN HARRIS, Senior Director, Compliance Business Partner, Emergent BioSolutions

DAVID PEET, Director, Global Compliance Investigations Counsel, Dentsply Sirona

- How to use investigation findings to enhance your compliance program and strengthen relationships between compliance and the business
- Whether and when to leverage findings from sensitive and/or privileged investigations in training and educating internal & external business partners
- Using investigation findings to raise the profile of the compliance team within your organization

407 Compliance Session TBA

Subject Area:
Level:

408 Compliance Session TBA

Subject Area:
Level:

4:30–6:00 PM

Reception

7:00–8:00 AM

EARLY BIRD SESSIONS

EB1 Dinosaur or Unicorn: Is Your Education Program in Danger of Extinction or Truly Magical?

LIVE BROADCAST

Subject Area: General Compliance/ Hot Topics

Level: Basic

KRISTA MUSZAK, Senior Manager, M&A and Process Optimization, Pfizer, Inc.

KRISTA WOLFF, Global Compliance Education and Engagement Manager, Agilent Technologies

SHANNON JAMISON, Associate VP, Compliance, Agilent Technologies, Inc.

- Can a Terror of Tyrannosaurs and a Blessing of Unicorns coexist? Explore innovative ways to balance risk management with creative solutions
- Discover the secrets of creating a magical plan to engage your learners while meeting your compliance goals
- Learn how to transform your education program from a fossilized relic to a sparkling wonder with practical tips and best practices

EB2 The Ethics of Remote Work: Compliance and Accountability in a Virtual World

LIVE BROADCAST

Subject Area: Compliance Risk Areas

Level: Intermediate

JISHA DYMOND, Chief Ethics & Compliance Officer, OneTrust

REBECCA WELLUM, Chief Compliance Officer, Geotab

KYMBERLY REEVES, VP, Ethics, Integrity and Privacy, Harman International

HEMMA RAMRATTAN LOMAX, VP, Global Head of Integrity, Governance, Risk & Compliance, Zendesk

- Understand the unique ethical challenges presented by hybrid work environments, including communication, data security, and inclusivity
- Explore strategies for maintaining ethical cultures in hybrid work settings, with a focus on transparency, accountability, and employee engagement
- Identify best practices to implement effective programs that address specific compliance risks, such as data security and remote monitoring policies

EB3 Future-Forward Compliance: Case Studies in Automation, Analytics & AI

LIVE BROADCAST

Subject Area: Case Studies

Level: Intermediate

PARTH CHANDA, CEO, Lextegrity

MAURICIO ALMAR, Senior Counsel, Chief of Regional Compliance, Halliburton

VICTORIA LANE, Head of Ethics and Compliance, Pilgrim's Pride Corporation

- Learn how automation technology can satisfy your business while better managing your risks
- Learn how data analytics and AI can provide otherwise inaccessible compliance risk insights
- Learn how to build your technology roadmap to benefit multiple stakeholders across the company

EB4 The Magic of 4Es in Creating a Culture of Compliance

LIVE BROADCAST

Subject Area: Ethics

Level: Intermediate

PEIMAN SAADAT, Corporate Compliance Officer, AdvantageCare Physicians, PC

- Applying 4 Es: Educate, Empower, Enforce and Examine in day-to-day work of compliance
- Create and promote the culture of compliance amongst employees and vendors
- How promoting the culture of compliance can support compliance risk assessment and mitigations

7:15–8:20 AM

Continental Breakfast

8:20–8:30 AM

Award Presentation

8:30–9:30 AM

General Session 2: Modern Slavery and Supply Chains

LIVE BROADCAST

MATT FRIEDMAN, CEO & Founder, The Mekong Club

From an expert who has spent 30 years combatting modern slavery, hear how it operates and its red flags, which supply chains are most vulnerable, and the role compliance and ethics professionals can play to meet and go beyond what the due diligence laws require to help put an end to modern slavery

9:30–10:15 AM

Break

10:15–11:15 AM

BREAKOUT SESSIONS

501 How to Uncover the Truth

LIVE BROADCAST

Subject Area: Investigations

Level: Basic

MIKKI MILLER, VP Ethics & Compliance, Reyes Holdings LLC

- Learn how to strategically formulate an investigation plan that will lead to the best outcome
- Learn how to ask the right questions and become an effective interviewer
- Learn how to write a succinct and informative investigation report

502 Great Expectations? Mitigating Antitrust Risk and Tailoring Antitrust Compliance Efforts in an Active and Evolving Enforcement Landscape

LIVE BROADCAST

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

JAMES ATTRIDGE, Partner, Axinn, Veltrop & Harkrider LLP

EMMA M. BURNHAM, Director of Criminal Enforcement, U.S. Department of Justice, Antitrust Division

M. BRINKLEY TAPPAN, Vice President and Associate General Counsel, AT&T

- Understand evolving antitrust enforcement efforts and compliance risks from current and former enforcers
- Hear directly from an enforcer on the U.S. Department of Justice, Antitrust Division's compliance expectations
- Learn best practices and practical tips for meeting those expectations, and addressing antitrust risk

503 Compliance Session TBA

LIVE BROADCAST

Subject Area:

Level:

504 Converging Pathways: ESG, Ethics, and Compliance

LIVE BROADCAST

Subject Area: ESG

Level: Basic

KERRI PIERSEY, Senior Legal Analyst – Corporate, Mohawk Industries, Inc.

- Understand the interconnectedness of ESG principles with ethical standards and compliance frameworks to enhance organizational sustainability
- Spot key intersections of ESG, ethics, and compliance for strategic business decisions
- Use combined ESG, ethics, and compliance methods for lasting value and ethical, regulatory adherence

505 Compliance Risk Assessment on a Shoestring: How to Design and Implement an Effective Risk Assessment When You Have Limited Resources

Subject Area: Compliance Risks

Level: Intermediate

FELIPE GARCIA, Director, Compliance Programs, Salesforce

LUCIANO PAVARINI DE MELO, Ethics & Integrity Senior Manager, Salesforce

- Identifying the most critical compliance areas and indicators to build an effective risk assessment, relevant to your industry and individual business
- Leveraging affordable and easy to use tools to collect data and structure your risk assessment in a meaningful, accurate and practical way
- The importance of engaging cross-functional teams to drive accountability on implementing an effective risk assessment program that really works

506 Cultivating Diversity: HR's Blueprint for Inclusive Talent Management

Subject Area: DEI

Level: Intermediate

DANIELLE GILBERT, Chief HR Executive, Manifest In You Consulting, LLC

- Explore the compelling reasons why diversity and inclusion are crucial for organizational success
- Understand how to minimize bias in hiring decisions and create inclusive job descriptions and candidate experiences
- Explore methods for identifying and nurturing diverse talent, ensuring equitable access to growth opportunities

507 Compliance as a Business Accelerator

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

VIRNA DI PALMA, Consultant, Ethixbase360

ANNE HARDCASTLE, Global Head of Regional Compliance, Global Trade/ ABC Center of Excellence Lead, IDEXX Laboratories

STEVEN FRANK, Director of Trust and Safety, SiriusXM Pandora

- Approaching compliance as a business enabler and profit center for the organization
- Establishing vendor onboarding and renewal cycle times with sales and leveraging data sharing for decision making
- How to address red flags and respond to the business when third parties are involved in high value deals

508 Do What I Do and Not What I Say: Level Up Your Compliance Training with Experiential Education

Subject Area: Professional Skills

Level: Intermediate

BARBARA BOEHLER, Senior Director, Compliance Programs, Fordham Law School

MARY SHIRLEY, Head of Compliance, Masimo

MICHAEL THOMANN, Ethics Senior Manager, Sikorsky Aircraft, Lockheed Martin

- Elevate compliance training by examining how adults learn and provide guidance on how to design training that will resonate with the audience
- Examine strategies for ensuring that you are meeting your regulatory goals as well as designing training that is relatable to the audience
- Explore new ways of thinking about training by incorporating experiential learning activities into your program

11:15–11:45 AM

Break

11:45 AM–12:45 PM

BREAKOUT SESSIONS

601 Don't Widen Home Plate: How to Make a Business Case for Establishing Compliance and Ethics in Your Organization

LIVE BROADCAST

Subject Area: General Compliance/ Hot Topics

Level: Basic

BETH COLLING, SVP, Chief Compliance Officer, CDM Smith

- Learn to convince members of your organization from the top down, bottom up, and everywhere in between, that a compliance and ethics program is key
- Discover how to take everyday examples ranging from Starbucks coffee and Cheez Whiz to baseball pitchers to relate compliance to your stakeholders
- Understand when to pivot when obstacles pop up that make stakeholder understanding nearly impossible

602 Managing an Effective Global E&C Organization

LIVE BROADCAST

Subject Area: International/ Multinational

Level: Advanced

SALVADOR DAHAN, Chief Risk Officer, UN WFP

- Designing the scope and mandate of a global organization, including size, geography, reporting line, governance, and implementation process
- Overcoming challenges, securing independence and autonomy, negotiating with business leaders, and promoting the long-term strategy: Case studies
- Building a high performance, multi-skilled and diverse team

603 The Rapidly Evolving Role of Artificial Intelligence in Managing Third-Party Risk

LIVE BROADCAST

Subject Area: Compliance Risks

Level: Intermediate

BILL HAUSERMAN, Head of Financial Crime Due Diligence Practice, Moody's Analytics

MAURICE L. CRESCENZI, Jr., Industry Practice Leader, Moody's Analytics

- Illustrate how an effectively designed third-party risk management, including AI, is considered one element of a broader risk framework
- Provide insights into approaches and challenges associated with managing third party risk, and explore several key third-party risks
- Define "artificial intelligence" and explain how AI solutions are leveraged in specific areas of third-party risk management

604 Board-Certified Compliance: Strategies for CCO-Board Synchronicity

LIVE BROADCAST

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

CARRIE PENMAN, Chief Risk and Compliance Officer, Navex Global

JUSTIN ROSS, Vice-President, Chief Compliance Officer, FedEx

PILAR CABALLERO, Vice President, Chief Compliance Officer & Chief Privacy Officer, Deputy General Counsel, Ryder

- Insights into the pivotal role of the CCO in governance and risk management and obstacles to building strong relationships with the board
- Practical strategies for fostering a productive relationship between the CCO, other key functional leaders, and the board
- Techniques for aligning compliance efforts with broader organizational risk management

605 Disabilities Compliance and Neurodiversity at Work

Subject Area: Compliance Lawyer

Level: Intermediate

JASON MEYER, President,
LeadGood Education

- When is neurodivergence a disability (and when does it not matter)?
- What are reasonable accommodations for neurodivergent workers?
- The “constructive dialogue.” What managers and HR need to do to mitigate risks and lead with values

606 Ethics in Action: A Fun Guide to Tackling Personal Conflicts of Interest

Subject Area: Ethics

Level: Basic

MARIE-PIER CHARTRAND-FISET,
Ethics and Compliance Manager, WSP
Canada Inc.

KASTURI VENKATESH,
Senior Consultant, Ethics and
Compliance, WSP USA

- Navigating global workplace culture regarding conflicts of interest
- Tools to evaluate and mitigate conflicts of interest
- The art of having difficult conversations in conflict-of-interest situations

607 Hitting, and Then Overcoming, the Limits of Internal Control

*Subject Area: General Compliance/
Hot Topics*

Level: Basic

MATT KELLY, Editor and Publisher,
Radical Compliance

LISA FINE, Sr. Director, Global Ethics and
Compliance, Pearson

- Learn the history of internal control, and why it hasn't always been a perfect fit for compliance needs
- Understand the differences between technical controls, review controls, and other controls, and how they support each other for greater effectiveness
- Explore how to work with internal audit teams for control design and test to assure that your internal controls stay effective

608 AI for Case Management Data Analysis: Using Artificial Intelligence Tools to Analyze Your Results

Subject Area: Investigations

Level: Basic

NICK GALLO, Chief Servant,
Co-CEO, Ethico

GIOVANNI GALLO, Co-CEO and
CTO, Ethico

- Learn the essential principles of data analysis and how they can be applied using AI-driven methods such as with ChatGPT's Code Interpreter
- Understand the functionalities and applications of Code Interpreter for analyzing CSV files from a compliance case management system
- Participate in a live workshop where the presenter will demonstrate the analysis process, providing an opportunity to apply the learned principles

12:45–2:00 PM

Lunch (provided)

2:00–3:00 PM

BREAKOUT SESSIONS

701 The Regulators: US Sentencing Guidelines, USDOJ Guidance, and Implementing Compliance Guidance

LIVE BROADCAST

Subject Area: Compliance Lawyer

Level: Intermediate

ERIC MOREHEAD, Director, Advisory
Services, LRN Corporation

JAMES STRAWLEY, Deputy Staff
Director, United States Sentencing
Commission

- What are the key regulatory expectations for compliance programs and where did they come from? What is the history of the US Sentencing Commission?
- How can different organizations properly adapt the guidance provided by regulators and what are reasonable expectations for organizations?
- What are some possible future developments for compliance guidance from regulators? What does sentencing data tell us about compliance programs?

702 Third-Party Risk Management: From Bite Size to Right Size

LIVE BROADCAST

Subject Area: Compliance Risks

Level: Basic

CYNTHIA MORRISON, Director, Global
Ethics & Compliance, Post Holdings, Inc.

VINI PARMAR, Compliance Risk
Manager, Kohler Co.

- Bite Size: Going from 0% – 100% and how that impacts your program
- Right Size: Developing a risk-based approach to focus on your true risks
- One Size Doesn't Fit All: Monitoring and balancing your program to meet a changing risk landscape

703 Make Your Code of Conduct Training Great

LIVE BROADCAST

Subject Area: General Compliance/ Hot Topics

Level: Basic

ELIZABETH REISINGER, Senior Content Manager, Rethink Compliance LLC

MEGAN DANIELS, Senior Director, Advisory Services, Rethink Compliance LLC

JARED KEPHART, Counsel, US Steel

- Answer important, practical questions, like: "How long? How often? Which topics?"
- Learn, step-by-step, how to reinforce training with a communications campaign to increase retention and impact
- Discover new approaches to code training that go beyond risk modules

704 Closing Out Investigations: New Requirements and Risks

LIVE BROADCAST

Subject Area: Investigations

Level: Intermediate

JORDAN SEGALL, Legal Counsel, Ethics & Compliance, Xylem

SCOTT KAHN, Counsel, Investigations & Compliance, Comcast Cable

ROBERT ROACH, Senior Advisor, Guidepost Solutions

- Learn the best practices for report writing and closing your investigation such that it can withstand external scrutiny
- Cover the new legal and regulatory updates involving whistleblower protections and how best to monitor and remediate retaliation
- Evaluate the new and evolving self-disclosure requirements to regulators following investigations

705 Building an Effective Trade Compliance Program in a Changing Regulatory Landscape

Subject Area: International/ Multi-National

Level: Intermediate

MATTHEW SILVERMAN, Assistant General Counsel, Caterpillar, Inc.

ELLEN SMITH, President, Amalie Trade Compliance Consulting LLC

DALE BLANKENSHIP, Director and Senior Counsel – Global Trade Compliance, Flowserve Corporation

- Taking a risk-based approach to trade compliance, instead of trying to "boil the ocean"
- Effective ways to operationalize your trade compliance program with function specific requirements
- Implementing communication strategies across your organization to spread and support trade compliance

706 Minds, Maps and Mandates: The GCC (Global Capability Center) Compliance Odyssey

Subject Area: Case Studies

Level: Intermediate

ANITHA VITTAL, Head of Risk and Compliance, Providence India

- Understanding the strategic significance of GCCs to compliance excellence
- Case studies on crafting the GCC compliance journey at global corporations
- Harnessing GCC talent to drive a paradigm shift in compliance operations

707 Measures of Success: How Does NSA Measure Compliance Program Effectiveness?

Subject Area: General Compliance/ Hot Topics

Level: Basic

NATALIE KNOWLES, Director of Compliance, NSA

ZACK CONYNE, Manager, Department of Defense

- Learn more about NSA's compliance program measures of success and methodologies
- Deep dive into qualitative and quantitative metrics
- Lessons learned on return of investment for a compliance program

708 Blockchain Unchained: Global Trends, Risks, and Strategies in Compliance

Subject Area: Compliance Risk Areas

Level: Intermediate

FEIFEI REN, Counsel, Miller & Chevalier Chartered

FRANCO JOFRÉ, Senior Advisor, Miller & Chevalier Chartered

- Overview of the basics and importance of blockchain technology and key developments in regulating blockchain across US, Latin America, and Asia
- Identify compliance risks and challenges in the areas of anti-corruption, anti-money laundering, and sanctions, as related to blockchain technology
- Practical guidance on risk assessment, compliance policy development, and leveraging blockchain and other technologies to enhance compliance

3:00–3:15 PM

Break

3:15–4:15 PM

General Session 3: Trust in Action

LIVE BROADCAST

JIM MASSEY, Global Corporate
Executive, Board Member, Advisor,
Speaker, and Author

Today, trust in systems such as governments, media, religion, education, business, and even among individuals is steadily declining. The term “trust” is frequently used, yet its meaning often varies. While many people—nine out of ten—define trust as simply keeping promises, it encompasses two additional crucial elements. As Compliance and Ethics professionals, our role involves fostering trust as we help business leaders meet the expectations of those who depend on us to act with integrity.

In his “Trust in Action” keynote, Jim delves into recognizing when one of the key elements of trust is absent. He empowers leaders and employees with strategies to address these gaps and emphasizes a key reminder: the systems in which we are losing trust were created by us. We have the power to change them, especially when we share a vision of trust and remember that at the core of every human interaction and decision is trust.

4:15–4:20 PM

Closing Remarks

7:15–8:15 AM

EARLY BIRD SESSIONS

EB5 Leveraging Metrics: From Dashboards to Advanced Compliance Analytics

LIVE BROADCAST

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

GUILLEM CASOLIVA-CABANA, Compliance Manager, Training & Education, Booking.com

NITISH UPADHYAYA, Director - Behavioral Insights, ROPES & GRAY LLP

- Understanding the main advantages and limitations of traditional descriptive compliance analytics: Dashboards
- Using data to identify the hidden patterns in behavior and measure the performance of compliance programs
- Case studies to show how to measure effectiveness of training, communications, and ethics ambassadors/champions

EB6 Better Safe than Sorry, Eh? Recent Developments in Canadian Antitrust Law and Compliance Guidance

LIVE BROADCAST

Subject Area: International/ Multi-National

Level: Intermediate

MAJID CHARANIA, Director of Compliance, Competition Bureau Canada

CHLOE DUSSARRAT, Competition Law Officer, Competition Bureau Canada

- Learn about the latest changes to the law: Recent Competition Act amendments can impact compliance risk for cross-border sales & operations
- Understand the enforcer: Learn about the Competition Bureau's enforcement role & mandate & its advocacy work to promote pro-competitive regulations
- Explore the Bureau's compliance guidance: Learn about the enforcer's compliance guidance materials and tools for businesses in a live demonstration

EB7 Connecting the Parallels in Corporate Compliance and ESG to Avoid Perils

LIVE BROADCAST

Subject Area: ESG

Level: Advanced

CYNTHIA MORRISON, Director, Global Ethics & Compliance, Post Holdings, Inc.

NICK MARTIN, Vice President, Corporate Social Sustainability, Post Holdings, Inc.

- Transitioning from siloed management to consistent collaboration and communication
- Managing a dynamic and increasingly complex sourcing and risk landscape
- Routinely evaluating our approach using both internal and external perspectives

8:30–9:30 AM

BREAKOUT SESSIONS

W1 Don't Forget Your Phone and Money: Hot Topics in Complex Investigations

LIVE BROADCAST

Subject Area: Investigations

Level: Intermediate

REBECCA ROHR, Head of Corporate & Government Investigations, Ericsson

- Address the new realities in complex, cross-border investigations, particularly involving corruption and financial fraud
- Untangle the most recent challenges in investigations and discuss best practices for meeting DOJ/SEC expectations for cooperation
- Cover practical tips for in-house lawyers and compliance professionals to address these matters in their companies

W2 Take It from Us, Ambassadors Networks Are Worth It! What Our Experience Has Showed Us to Ensure Success

LIVE BROADCAST

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

MARIE-PIER CHARTRAND-FISET, Ethics and Compliance Manager, WSP Canada Inc.

MARYSE TREMBLAY, Vice-President, Ethics and Compliance, WSP Canada Inc.

- Experience speaks: What worked and what didn't? Learn about the main implementation challenges and how to overcome them
- How to select your ideal Ambassador? What is an ideal profile and how do you get your employees to wear an additional hat?
- You need buy-in! How to convince the business the initiative is essential and that they should be paying for it

W3 The Compass and the Kaleidoscope: Embracing Diversity, Equity and Inclusion through Ethics

LIVE BROADCAST

Subject Area: DEI

Level: Intermediate

ANITHA VITTAL, Head Risk and Compliance, Providence India

APARNA PATHAK, Global DEI Leader, Genpact

- Delve into the conundrum of using the code of ethics to steer the Diversity, Equity, and Inclusion (DEI) agenda
- Examine the ethical implications of bias, discrimination, and exclusion in today's DEI challenges
- From words to action: How a code of ethics goes beyond mere guidelines to creating a truly inclusive and trusting work environment – A CASE STUDY

W4 The CECO's Gambit: How to be a Grandmaster of the Governance Game

Subject Area: Professional Skills

Level: Advanced

ELLEN HUNT, Principal Consultant & Advisor, Spark Compliance Consulting

CINDY MOEHRING, Founder & Executive Chair, University of Arkansas Business Integrity Leadership Initiative; Independent Board Member, Pyxus International and U.S. Olympic and Paralympic Committee

BETS LILLO, Independent Director, River Logic, Inc.

- Know the Aim of the Game: Learn how to create and communicate a vision that aligns with business priorities and doesn't end up in checkmate
- Strategizing the Board: A CECO's success is about managing the corporate landscape and leveraging strategic relationships with the board and C-suite
- Playing the Long Game: Understand the importance of planning before making your moves and using the skills and abilities of all of the "players"

W5 Transforming Compliance: From Barrier to Bridge

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

KERRI SALATA, CEO & Founder, Comply With Me

LORETTA CARBONELLI, Executive & Chief Compliance Officer, Optimize Wealth Management

- Reframing the Delivery of Compliance: Going beyond compliance-required activities to relationship-building with the business to position compliance as a partner
- Visual Design in Compliance: Enhancing policies and educational training with practical examples
- Unlocking Benefits: The impact of user-friendly compliance on engagement

9:30-9:45 AM

Break

9:45-10:45 AM

BREAKOUT SESSIONS

W6 Audit vs. Compliance: A Tale of Two Brothers in Corporate Governance

LIVE BROADCAST

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

JULIANA JACCOUD MOLINA, Director of Corporate Compliance, FedEx Corporation

ANTONIO FERNANDEZ, Regional Ethics and Compliance Senior Manager - LATAM, Herbalife

- Understand the importance of collaboration between audit and compliance, and learn strategies to enhance corporate governance
- Enhance corporate governance by leveraging the synergy between audit and compliance functions through effective collaboration
- Discover how effective compliance program audits can prevent or identify risk exposure, enhancing corporate risk management

W7 Unleashing Compliance Excellence through Building and Strengthening Your Compliance Program: Strategies for Success and Continuous Improvement

LIVE BROADCAST

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

CRICKET SNYDER, Chief Compliance Officer, Jefferson County Commission

- Be open-minded to a transformative journey to craft a next-generation compliance ecosystem
- Explore methods for evaluating your compliance program with analytics, training algorithms, and behavior insights
- Form strategic alliances with external partners and embrace employee-sourced compliance intelligence

W8 General Counsel-Chief Compliance Officer Dialogue: Important Themes, Pitfalls, and Solutions

LIVE BROADCAST

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

DANIEL WENDT, Member, Miller & Chevalier

MARIANNE IBRAHIM, Chief Compliance Officer, ChampionX

DAVID RASSIN, Vice President, General Counsel, Secretary and Chief Compliance Officer, SAEExploration

- A review of common issues that may cause turbulence in the GC-CCO dynamic
- Discussing common pitfalls to be avoided from each perspective
- Offer suggestions on how a GC and CCO can each communicate more effectively

W9 Cross Border Investigations and Crisis Management

Subject Area: Investigations

Level: Intermediate

SULAKSH SHAH, Partner, PwC

ADAM STUDNER, Of Counsel, Covington

WINSTON M. PAES, Partner, Debevoise & Plimpton

- Learn how a regulatory investigation unfolds, from initial allegation/concern to the eventual resolution
- Learn about dealing with US/ international enforcement agencies, including investigative/ compliance expectations & examples of what not to do/ assume
- Learn about the challenges with data being captured in one jurisdiction and accessed across borders, and potential ways to mitigate that risk

W10 Beyond Training 101: Use Tomorrow's Technology Today to Win Over Your Audience and Future Proof Your Training Career

Subject Area: Professional Skills

Level: Intermediate

GREGORY WALTERS, Attorney, US Securities and Exchange Commission

- Review and refresh your understanding of basic presentation skills for successfully creating high impact training for both virtual and life audiences
- Demonstrate and learn to integrate advanced training tools and methods such as gamification and nontraditional uses of PowerPoint and social media
- Explain and show how artificial intelligence, chatbots and future technology will integrate and enhance the current state of the art in training

10:45–11:00 AM

Break

11:00 AM–12:00 PM

BREAKOUT SESSIONS

W11 How Building an Ethics Network Can Supercharge Your Compliance Culture

LIVE BROADCAST

Subject Area: Ethics

Level: Intermediate

RUSSELL BARR, Head of Group Compliance and Ethics, RS Group plc

- How to build a network of ethics champions and grow them into effective compliance representatives
- How to make engaging and virtually free content in local language using ethics champions and your phone
- How to leverage your ethics network to make ethics a normal topic of conversation in your company, and create a healthy ethical culture

W12 Effective Auditing of Compliance

LIVE BROADCAST

Subject Area: Compliance Risks

Level: Intermediate

CHARLENE WRIGHT, Managing Member, Wright & Associates PLLC

REX WILSON, Director of Compliance, ONE Gas, Inc.

- How to effectively design protocols to audit compliance
- How to manage audit scoping for effectiveness
- How to develop standards and metrics to facilitate monitoring and assurance of compliance

W13 A Culture of Compliance: Robust Multinational Compliance Plans and Investigations

LIVE BROADCAST

Subject Area: International/ Multinational

Level: Intermediate

JONATHAN PORTER, Partner, Husch Blackwell

ADAM BRIGGS, Associate General Counsel, United Parcel Service (UPS)

- Learn how organizational culture and flexible processes work together to uphold integrity and maintain robust compliance in the face of challenges
- Understand how differing legal systems and cultural norms can complicate the process of gathering facts and completing interviews
- Define goals, parameters, and sourcing of an investigation and the ever-important step of concluding an investigation

W14 Investigations Evolution: How DEI Best Practices Improved Our Investigation and Reporting Process

Subject Area: Investigations

Level: Intermediate

MIA WATSON-GOOD, Chief Ethics & Compliance Officer, County of San Diego

CYNTHIA CENTENO, Group Program Manager – Investigator, County of San Diego, Office of Ethics, Compliance and Labor Standards

- Take DOJ guidance for an effective compliance program to the next level with “DEI by Design” communications, policies, and procedures
- Establish rapport and build credibility throughout the investigation process
- Enhance “company” culture and sense of organizational justice

W15 Sun Tzu's Compliance Odyssey: Crafting Due Diligence as Your Strategic Arsenal

Subject Area: General Compliance/ Hot Topics

Level: Basic

PATRICIA COLOMBO, Chief Compliance Officer, Fujifilm

EMANUEL BATISTA, Managing Director, Kroll Associates, Inc.

PATRICIA MARINHO-DELZOPPO, Chief Compliance Officer, Aspire Digital Fund

- Grasp the compliance officers' role as risk analysts: Modify plans based on favorable circumstances, understanding risks to evaluate alliances
- Embrace “The Art of War” wisdom: Prevent, detect, and mitigate corruption risks in business relationships through due diligence
- Implement Sun Tzu-inspired strategies for an impenetrable compliance defense against corruption in partnership assessments

1:30 PM

Exam Check-In: Certified Compliance & Ethics Professional (CCEP)[®] and Certified Compliance & Ethics Professional–International (CCEP-I)[®]

1:45–4:45 PM

Onsite Certified Compliance & Ethics Professional (CCEP)[®] Exam (optional)

1:45–5:45 PM

Onsite Certified Compliance & Ethics Professional - International (CCEP-I)[®] Exam (optional)

23rd Annual Compliance & Ethics Institute



September 22–25, 2024 • Grapevine, TX | September 23–25, 2024 • Virtual

SECTION 1 Attendee Information • or click here to register and pay online ►

☐ Mr ☐ Mrs ☐ Ms ☐ Dr ☐ Other _____

First Name _____ MI _____ Last Name _____

Credentials (CHC, CCEP, etc.) _____ Job Title _____

Organization (name of employer) _____

Street Address _____ City/Town _____

State/Province _____ Zip/Postal Code _____ Country _____

Work Phone _____ Email (required) _____

SECTION 2 Registration

In-Person Options

	On/Before 8/6/24	After 8/6/24
<input type="checkbox"/> Member Full Conference (Sunday - Wednesday)	\$1,299	\$1,699
<input type="checkbox"/> Non-Member Full Conference (Sunday - Wednesday)	\$1,599	\$1,999
<input type="checkbox"/> Full Conference Registration + First Time Membership*	\$1,524	\$1,924
<input type="checkbox"/> Member Main Conference (Monday - Wednesday)	\$1,299	\$1,399
<input type="checkbox"/> Non-Member Main Conference (Monday - Wednesday)	\$1,599	\$1,699
<input type="checkbox"/> Main Conference Registration + First Time Membership*	\$1,524	\$1,624

*Save by joining today (first-time members only). Dues renew at \$325.

Group Discount

<input type="checkbox"/> Group Discount for 3 or More**	(\$50)	(\$50)
<input type="checkbox"/> Group Discount for 10 or More**	(\$100)	(\$100)

**See "Group Discount Policy" in Acknowledgements below.

TOTAL (TAX MAY APPLY) \$ _____

Virtual Options

	On/Before 8/6/24	After 8/6/24
<input type="checkbox"/> Member Main Conference (Monday - Wednesday)	\$949	\$1,049
<input type="checkbox"/> Non-Member Main Conference (Monday - Wednesday)	\$1,199	\$1,299
<input type="checkbox"/> Registration + First Time Membership*	\$1,174	\$1,274

*Save by joining today (first-time members only). Dues renew at \$325.

Group Discount

<input type="checkbox"/> Group Discount for 3 or More**	(\$50)	(\$50)
<input type="checkbox"/> Group Discount for 10 or More**	(\$100)	(\$100)

**See "Group Discount Policy" in Acknowledgements below.

TOTAL (TAX MAY APPLY) \$ _____

Group Discount: Registration for group discounts should be submitted online in one transaction. If your registrations include a Registration + First-Time Membership, please contact Member Services for assistance. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount. If submitting via email or mail, registration forms (one for each participant) must be sent together to ensure the discount is applied.

Dietary Needs Request (for in-person attendees only)

☐ Gluten Free ☐ Kosher Certified ☐ Lactose Intolerant ☐ No Red Meat/Pork ☐ Nut Allergy
☐ Shellfish/Seafood Allergy ☐ Vegan ☐ Vegetarian ☐ Other _____

On-site Attendee Mobile Phone (for emergency on-site use only) _____

Emergency Contact (name & phone) _____

SCCE Membership: By selecting Registration + First-Time Membership, you agree to the full membership terms and conditions, including the use of your information, viewable at corporatecompliance.org/membership-terms-and-conditions. Visit corporatecompliance.org/privacy to see the full use of your information or to opt out.

Opt Out: Select if you would like to opt out of the following:

☐ Online Member Directory: SCCE's member directory lists first and last name, organization, title, address, and phone number.

Registration FAQs: Visit corporatecompliance.org/faqs-national-person for answers to frequently asked questions (FAQs) about your registration.

SECTION 3 Payment

Register online with credit card payment at corporatecompliance.org/2024CEI

Mail a check to SCCE, 6462 City West Parkway, Eden Prairie, MN 55344 USA (contact SCCE for applicable tax and total)

☐ Invoice me Purchase Order Number (attach PO) _____

☐ Wire transfer requested

To register with a check, wire transfer, or purchase order, or to pay with a credit card over the phone, please contact SCCE for an invoice with applicable taxes. Due to PCI compliance, do not provide credit card information via email.

Registration is not complete until full payment is received. Tax may apply. Access to the event will not be allowed until all fees have been paid. SCCE reserves the right to cancel your registration if we do not receive payment by the start date of the event. Payments received with incorrect amounts will be returned.

Email helpteam@corporatecompliance.org or call SCCE at +1 952.933.4977 or 888.277.4977.

SECTION 4 Acknowledgements

By submitting this registration, you agree to the full event Terms and Conditions, viewable at corporatecompliance.org/event-terms-and-conditions, including the use of your information that may be shared with conference exhibitors, attendees, speakers, affiliates, and partners for promotional and/or networking purposes. Visit corporatecompliance.org/privacy to see the full use of your information or to opt out.

By registering for this event, you grant SCCE, or anyone authorized by SCCE, the right to use or publish, in print or electronic format, any photographs or video containing your image or likeness for educational, news, or promotional purposes, without compensation.

Questions? Call +1 952.933.4977 or 888.277.4977 or email helpteam@corporatecompliance.org

23rd Annual Compliance & Ethics Institute



September 22–25, 2024 • Grapevine, TX | September 23–25, 2024 • Virtual

Conference Location

Gaylord Texan Resort & Convention Center
1501 Gaylord Trail
Grapevine, TX 76051

[Click here to make hotel reservations online.](#) The group rate is \$269 per night plus tax. The cutoff date to receive the group rates is Thursday, August 29, 2024, or once the group block is full, which may be prior to this date. To make reservations over the phone, contact 877-491-5138 and tell them you are booking in the **“Society of Corporate Compliance & Ethics”** room block. Please cancel your reservation 72 hours prior to arrival to avoid penalty.

PLEASE NOTE: Neither SCCE nor any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of SCCE or the event, it may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link in this brochure. If you have concerns or questions, please contact SCCE at +1 952.933.4977 or 888.277.4977.