

22nd Annual Compliance & Ethics Institute

October 2–5, 2023 • Chicago, IL

SECTION 1 Attendee Information

Mr Mrs Ms Dr Other _____

First Name _____ MI _____ Last Name _____

Credentials (CHC, CCEP, etc.) _____ Job Title _____

Organization (name of employer) _____

Street Address _____ City/Town _____

State/Province _____ Zip/Postal Code _____ Country _____

Work Phone _____ Email (required) _____

SECTION 2 Fees

Conference Attendees

<input type="checkbox"/> Members	\$219
<input type="checkbox"/> Non-members	\$269

See the following page for a list of recorded sessions.

Non-Conference Participants

<input type="checkbox"/> Members	\$1,249
<input type="checkbox"/> Non-members	\$1,549

TOTAL (BEFORE ANY APPLICABLE TAXES) \$ _____

SECTION 3 Payment

Pay online with credit card at corporatecompliance.org/2023CEI

Mail a check to SCCE, 6462 City West Parkway, Eden Prairie, MN 55344 USA (contact SCCE for applicable tax and total)

Invoice me Purchase Order Number (attach PO) _____

Wire transfer requested

To pay with a check, wire transfer, purchase order, or to pay with a credit card over the phone, please contact SCCE for an invoice with applicable taxes. Due to PCI compliance, do not provide credit card information via email.

Your purchase is not complete until full payment is received. Tax may apply. Payments received with incorrect amounts will be returned. Access to the recordings will not be allowed until all fees have been paid. There are no refunds for session recordings once they have been delivered.

Email helpteam@corporatecompliance.org or call SCCE at +1 952.933.4977 or 888.277.4977.

SECTION 4 Acknowledgements

By submitting this payment, you agree to the full event Terms and Conditions, viewable at corporatecompliance.org/event-terms-and-conditions, including the use of your information that may be shared with conference exhibitors, attendees, speakers, affiliates, and partners for promotional and/or networking purposes. Visit corporatecompliance.org/privacy to see the full use of your information or to opt out.

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Session availability is subject to change. Not all sessions may be recorded. If a session does not appear on the list, a recording of it may not be available. Please call +1 952.933.4977 or 888.277.4977 or email helpteam@corporatecompliance.org with any questions.

All registered attendees will have access to the platform for 60 days after the conference so they can watch session recordings on demand and earn additional non-live CCB CEUs. Attendees may also purchase session recordings following this initial 60-day period.

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Sessions Recorded

- GS1** Artificial Intelligence – The Current Landscape for Compliance and Ethics
- GS2** Building the Plane While Flying – How to Transform a Compliance Program Before (or in the Midst of) a Global Investigation
- GS3A** Remarks by Lisa Monaco, Deputy Attorney General
- GS3B** Looking to the Horizon: How to Prepare for the Next 12 Months
- P1** EXTRA! EXTRA! Read All About It! Embark on a Voyage to the New World of Corporate Compliance & Ethics Week Featured at CEI World's Fair Chicago 2023!
- P2** Asking Better Questions: Insights from Behavioral Scientists on Designing Compliance and Culture Surveys
- P3** Using Social Media as Evidence: Risks, Scenarios and Rewards
- P4** How Many Risks Would a Risk-Taker Take If a Risk-Taker Could Take Risks?
- P5** Evolving Your Investigation Protocols: How to Be a Superhero Investigator in a Changing and Challenging World
- P6** Burnout Is an Occupational Hazard, Not a Failure of Character: Strategies for Addressing a Toxic Culture and Rebuilding Resilience
- P7** Board Oversight of ESG: Essential Components and Trends
- P8** Project Management in Compliance Programs at Different Stages of Maturity
- P9** Think Global, Act Local? Or Not? When to Regionalize Your Program and When Not To!
- P10** Compliance 101
- P11** What You Don't Know Will Hurt You!! Effective Monitoring & Auditing Practices
- P12** Flip the Script: Take Your Program from Predictably Tiresome to Purposefully Awesome
- P13** The Champions Network: A Blueprint to Expand Your Compliance Influence and Spread Big Ideas in Any Organization
- P14** Speak-Up Programs: Rewards of Cultivation and Risks of Neglect
- P15** ESG and DEI: How to Position for Stakeholder Success
- P16** Interactive Policies: Using Technology to Enhance Decision-Making
- 101** Training and Communications for Humans That Humans Won't Hate
- 102** From Hotline Complaint to Final Report: Practical Techniques and Best Practices for Conducting, Coordinating and Managing Internal Investigations
- 103** Disasters and You: The Role of the Compliance Team in Disaster Preparedness, Response, and Recovery
- 104** Privacy Primer: What Every Compliance Professional Needs to Know about Privacy
- 105** Cultivating an Effective Compliance Program in an Economic Downturn
- 106** Phone a Friend: What Our Information Security and Privacy Colleagues Can Teach Us
- 107** What Can DEI Leaders Learn from Compliance Professionals? A Lot, Actually...
- 201** Dynamic Compliance: The Role of Compliance and the Board
- 202** Where are Your Cameras? Using Fraud Risk Management to Get the Most from Your Compliance Program
- 203** Investigating Harassment Complaints
- 204** How to Conduct Independent Investigations on Foreign Operations
- 205** May I Have Your Attention, Please? Leading Strategically without Positional Authority
- 206** Building and Sustaining a Culture of Integrity in an Ever-Changing Work Environment
- 207** Driving Decisions with Data: Delight or Disaster?
- 301** Lessons Learned and Tips on How to Simultaneously Address Remediation While Undergoing a Government Investigation
- 302** How Bias Impacts Our Hiring Practices and Employee Engagement
- 303** What Boards Need the CECO to Know: The Changing Expectations of Boards and Governance
- 304** Do Enforcers Really Care about Compliance Programs?
- 305** Decoding the Directive: Staying Compliant with the EU Whistleblower Law
- 306** When Good Intentions Go Awry: Navigating Ethical Dilemmas
- 307** Compliance and Legal Issues related to Financially Distressed Organizations

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401 Love Is Blind but Your Third-Party Relationship Does Not Have to Be!

402 How to Be Your Board of Directors' Most Valuable Compliance Resource

403 New Data Security and Compliance Concerns Involving Artificial Intelligence and Quantum Computing

404 Show Me the Money: Getting Paid What You're Worth

405 Once upon a Time Workshop: Harnessing the Art and Practice of Storytelling

406 Public and Private Sector Partnership to Combat Corruption

407 Useful Tools for Building a Culture of Compliance

501 Is Everyone Really Included? Creating Inclusive Compliance Training

502 Building Value: Positioning the Ethics and Compliance Role to Become A Strategic Business Partner

503 Assessing the Privacy Risk Assessments

504 Data Integrity and Third-Party Due Diligence: Operationalize and Future Proof Your Program

505 Confessions of a CIA Spy: The Art of Human Hacking

507 Compliance Risk Management: Leveraging the Three Lines of Defense with Business Stakeholders and Third Parties

601 A Brit, a Canuck and a Kiwi Walk into a Bar... How International Developments Are Driving the Ethics and Compliance Agenda

602 Ripped from the Headlines: When Boards Fail in Their Oversight Role

603 Data Sharing and International Data Transfers - Past, Present and Future

604 Cultural Compliance: Build to Last

605 Heightened Expectations of the Compliance Officer: Negotiating the New Minefield

606 Ethical Leaders Have Focused on Moral Stress Now Let's Be Attentive to Moral Satisfaction

607 The Best Way Out Is Through: Compliance Challenges and Fidelity to Mission When Responding to Sexual Abuse Crises

701 Transitioning from Traditional Regulatory Compliance to Strategically Oriented Ethics & Integrity Program

702 Trust, but Assess: Conducting Assessment to Test and Enhance Your Program

703 Ableism in Workplace Investigations

704 Establishing an Effective Compliance & Ethics Committee

705 ESG: It Isn't Sustainability Theater

706 Spoiler Alert: There Is No Strategy without Risk Management

707 Social Media: Keeping Up with the Changing Times

801 Connecting Data and People for a Bulletproof TPRM Strategy

802 Learning Differences: Compliance Education and Engagement for the Neurodiverse Worker

803 Amplification: Success at the Intersection of Ethics Training and Communication

804 Successfully Managing Your Whistleblowing Helpline during Periods of Industrial Unrest: Lessons Learned from Real Life

805 People & Planet: Developing a Supply Chain and Human Right Strategy

806 Hacked! What to Do?

EB1 Everything I Know about Compliance & Ethics I Learned from Ted Lasso

EB2 The Compliance and Ethics Career Framework: Deploying a Toolkit for Individual Career Growth, Staff Retention, and Team Development

EB3 Ten Things I Wish I Knew Before I Became an Investigator

EB4 Making Compliance Training Great: From Two Minutes to Two Hours

W2 Risk Awareness and Communication: Don't Go It Alone

W3 Creating a Compliance App Employees Will Actually Use

W4 The Perfect Trio: Compliance, Legal, and HR Striking the Right Chord on Sensitive Workplace Investigations

W5 Three Decades On: Impact of the US Sentencing Guidelines on Compliance and Ethics

W6 Post-Ethics Investigations: What's Next? Remediation or Recurrence?

W8 Surviving a Privacy Breach on 3 Continents: How We Did It and Lived to Tell the Tale

W9 Applications of the Latest Research in the Field of Business Ethics

W10 Demystifying AI: Fundamentals and Considerations for Compliance Programs

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W11 Forget Pitfalls: The Real Investigation Mistakes You Cannot Afford to Make

W12 Being in Touch: Localizing Your E&C Program

W13 Enhancing Ethical Culture Through the Investigations Process

W14 Compliance by Contract: Drafting and Negotiating Agreements That Support Compliance and Ethics