

CEI

22ND ANNUAL COMPLIANCE & ETHICS INSTITUTE

Recharge your network, career, and compliance program!

Get ready for SCCE's primary educational and networking event for compliance and ethics professionals! Learn from industry leaders, connect with peers and colleagues, earn live Compliance Certification Board (CCB)[®] continuing education units (CEUs), and come away energized to move your compliance program and your career forward.

October 2–5, 2023 – Chicago, IL

- Access to 91 sessions
- Face-to-face networking
- On-site certification exam option

October 3–5, 2023 – Virtual

- Access to 48 live-streamed sessions
- Virtual networking
- Learn about remote certification exams



A robust selection of
educational sessions



Networking opportunities



Certification exams and
continuing education

Register today
corporatecompliance.org/2023CEI



ABOUT THE COMPLIANCE & ETHICS INSTITUTE

For 22 years, SCCE's Compliance & Ethics Institute (CEI) has been our largest educational and networking event for compliance and ethics professionals around the globe, offering attendees the latest in real-world compliance issues, emerging trends, and practical applications.

With 11 different subject areas to choose from, educational sessions are led by industry leaders, categorized by knowledge level: basic, intermediate, or advanced.

In-person and Virtual Options

(Monday–Thursday format)



IN-PERSON



VIRTUAL

Total sessions

91

48

Monday

Breakout sessions to choose from

16

0

Onsite SpeedNetworking activity

Yes

No

Monday evening welcome reception

Yes

No

Tuesday–Thursday

Access to general sessions Tuesday–Wednesday

Yes

Yes

Breakout sessions to choose from (total for 3 days)

75

48

Exhibit hall

Connect with Solution
Providers face to face

Download Solution
Provider info and
connect via email

Networking face-to-face during scheduled breaks and meals

Yes

No

On-site certification exam option

Yes

No (remote testing
is available)

Session recordings

60-day access following the conference

Yes (full conference)*

Yes
(Tuesday–Thursday only)*



Case Studies

It's one thing to discuss the issues compliance and ethics professionals face. It's another to see what companies have actually done to successfully manage these challenges. The Case Study track will take you inside companies and show you how they've handled specific issues.

Compliance Lawyer

This track is designed to meet the specific needs of the legal community. Learn about hot compliance topics for in-house and outside legal counsel. You'll find insights of value to your practice and clients.

Compliance Risks

Risk and how to effectively manage it is a top priority for global organizations. These engaging and insightful sessions are focused on best practices and the effective management of risk across all major ethics and compliance focus areas facing global organizations today. Led by leading experts and practitioners in the field, participants will gain valuable perspective on program strategies, practical solutions, and cutting-edge methods that can be used to manage and mitigate their organizational risks most effectively.

NEW! Diversity, Equity, and Inclusion (DEI)

An effective compliance professional will champion for dignity and respect in the workplace in order to best achieve diversity, equity, and inclusion for all. A compliance professional will also promote a speak-up culture, be approachable, be able hold difficult conversations on DE&I, and be openminded to all conversations without marginalizing any employees. The DE&I track provides valuable training to assist the compliance professional with incorporating the values needed for a successful DE&I program.

ESG: Environmental, Social, and Governance

The intersection between compliance and ESG is growing stronger every

day and compliance professionals are perfectly situated to play a critical role in the management of environmental, social, and governance risks. Learn the latest developments in this area to help manage ESG risks.

Ethics

There are few things more challenging or rewarding to manage than ethics issues. Ethics track sessions will cover the ethical considerations that compliance and ethics professionals need to understand to manage their programs effectively.

General Compliance/Hot Topics

Topics ranging from the basics of compliance programs to current, trending issues. Learn what you need to know from compliance industry experts.

International/Multi-National

The Multinational/International track dives deeper into the needs of the global programme and the topics that create the biggest challenges for global companies today.

Investigations

No matter what role you play in compliance, odds are you will be involved with internal investigations at some point in your career. Improve your interviewing skills and pack your toolkit with valuable resources for each stage of the process, from initial inquiries to presenting the final report.

Privacy & Data Security

Understand the privacy, breach, and information security compliance issues that continue to emerge. Learn how to integrate ways to mitigate these growing issues into your overall compliance program.

Professional Skills

Just understanding the law isn't enough. Compliance requires you to persuade others, build relationships, negotiate, and be a good listener. The Professional Skills

track focuses on the soft skills that are essential to getting solid results for your compliance program.

Early Bird Sessions

Expand your education and earn even more CEUs by attending an Early Bird session on Wednesday, 7:00–8:00 AM and Thursday, 7:15–8:15 AM. Select Early Bird sessions will also be broadcast live to virtual attendees.

Knowledge Levels

Breakout sessions are categorized by knowledge level to help you select sessions that will be most beneficial for you. These levels are just guidelines, and you are free to attend sessions of any level.

Basic: Program knowledge level best suited for compliance professionals new to a skill or an attribute. These individuals are often at the staff or entry level in organizations, although such programs may also benefit a seasoned professional with limited exposure to the area.

Intermediate: Program knowledge level that builds on a basic program. Most appropriate for compliance professionals with detailed knowledge in an area. Such persons are often at a mid-level within the organization, with operational or supervisory responsibilities, or both.

Advanced: This level focuses on the development of in-depth knowledge, a variety of skills, or a broader range of applications. Advanced level programs are often appropriate for seasoned professionals within organizations and professionals with specialized knowledge in a subject area.

IN-PERSON ACTIVITIES



SpeedNetworking

Monday, October 2 • 11:30 AM–12:30 PM CT

Join us face to face and start networking! Share your interests for a series of fast, fun meetings and leave the session with new compliance contacts. Pre-registration is required; details are available on the website conference page. A boxed lunch is provided for preregistered attendees only.

Welcome Tailgate Reception in Exhibit Hall

Monday, October 2 • 4:00–5:30 PM CT

Make sure to pack your favorite team apparel and join us at our opening tailgate reception! It's a great conversation starter and a fun way to spot your fan friends (and rivals)!

Networking Reception in the Exhibit Hall

Tuesday, October 3 • 5:15–6:15 PM CT

Celebrate another year of compliance connections with good food and the company of your fellow professionals.

Check out our latest books and online resources!

SCCE has print and digital resources for those new to the compliance profession and seasoned professionals alike. Check out our newly updated *The Complete Compliance and Ethics Manual* and *SCCE Compliance 101, Third Edition*, as well as our many other publications. Find out how you can access your purchased content, member-exclusive magazines, CEI handouts and session recordings, and much more on our online content platform, COSMOS®. Visit the SCCE booth to learn more.

Share your conference experience on social media

Join the online conversation. Include **#SCCEcei** with your online posts on Facebook, LinkedIn, Twitter, and Instagram.

Connect with all exhibitors

Your badge barcode holds the demographic information you provided on your registration form (name, organization, address, city, state, zip code, phone number, and email address). Please note that any exchange of your contact information with exhibitors is at your discretion.

VIRTUAL ENGAGEMENT



Create a profile

Use your profile to add your job title, organization name, credentials, contact details, and upload a headshot to put a face to your name.

Engage in chat during sessions

When you're logged in to a session, you'll see the chat window within the virtual player where you can say hello, introduce yourself, and engage with other participants. You can also privately chat with other attendees.

Ask questions and get real-time answers

Use the question window within the virtual player to ask questions directly to speakers. Speakers will be able to answer in real-time.

Interested in becoming certified?



The Certified Compliance & Ethics Professional (CCEP)[®] and the Certified Compliance & Ethics Professional-International (CCEP-I)[®] exams will be offered at the in-person Compliance & Ethics Institute on Thursday October 5, 2023.

Exam check-in: 1:30 PM

Exam Time: CCEP - 1:45–4:45 PM | CCEP-I - 1:45–5:45 PM

Cost: SCCE Members - \$275 | Non Members - \$375
















Individuals must be preapproved to sit for the exams. To qualify, 20 CCB CEUs and the necessary work experience are required. Compliance & Ethics Institute sessions qualify as follows: one clock hour equals 1.2 CCB CEUs. For more information on how to apply for the CCEP or CCEP-I exams, visit the website corporatecompliance.org/certification.

Questions? Email: ccb@compliancecertification.org












Remote proctored exam option now available


Attending the virtual Compliance & Ethics Institute? CCB also offers the flexibility for candidates to take their exam remotely or at a local testing site. Please visit corporatecompliance.org/exam-information for more information.














8:00–9:30 AM CT BREAKOUT SESSIONS	<p> P1 EXTRA! EXTRA! Read All About It! Embark on a Voyage to the New World of Corporate Compliance & Ethics Week Featured at CEI World's Fair Chicago 2023!</p> <p> P2 Asking Better Questions: Insights from Behavioral Scientists on Designing Compliance and Culture Surveys</p> <p> P3 Using Social Media as Evidence: Risks, Scenarios and Rewards</p> <p> P4 How Many Risks Would a Risk-Taker Take If a Risk-Taker Could Take Risks?</p>
9:30–9:45 AM CT	Break
9:45–11:15 AM CT BREAKOUT SESSIONS	<p> P5 Evolving Your Investigation Protocols: How to Be a Superhero Investigator in a Changing and Challenging World</p> <p> P6 Burnout Is an Occupational Hazard, Not a Failure of Character: Strategies for Addressing a Toxic Culture and Rebuilding Resilience</p> <p> P7 Board Oversight of ESG: Essential Components and Trends</p> <p> P8 Project Management in Compliance Programs at Different Stages of Maturity</p>
11:15 AM–12:45 PM CT	Lunch (on your own)
11:30 AM–12:30 PM CT	Speed Networking
12:45–2:15 PM CT BREAKOUT SESSIONS	<p> P9 Think Global, Act Local? Or Not? When to Regionalize Your Program and When Not To!</p> <p> P10 Compliance 101</p> <p> P11 What You Don't Know Will Hurt You!! Effective Monitoring & Auditing Practices</p> <p> P12 Flip the Script: Take Your Program from Predictably Tiresome to Purposefully Awesome</p>
2:15–2:30 PM CT	Break
2:30–4:00 PM CT BREAKOUT SESSIONS	<p> P13 The Champions Network: A Blueprint to Expand Your Compliance Influence and Spread Big Ideas in Any Organization</p> <p> P14 Speak-Up Programs: Rewards of Cultivation and Risks of Neglect</p> <p> P15 ESG and DEI: How to Position for Stakeholder Success</p> <p> P16 Interactive Policies: Using Technology to Enhance Decision-Making</p>
4:00–5:30 PM CT	Welcome Tailgate Reception in Exhibit Hall

SUBJECT AREA KEY

 Case Studies
  Compliance Lawyer
  Compliance Risks
  NEW! Diversity, Equity, and Inclusion (DEI)
  ESG: Environmental, Social, and Governance
  Ethics
  General Compliance / Hot Topics
  International / Multi-National
  Investigations
  Privacy & Data Security
  Professional Skills

7:00–8:00 AM CT	Continental Breakfast in Exhibit Hall
8:00–8:15 AM CT	Welcome & Opening Remarks • LIVE BROADCAST
8:15–9:15 AM CT	GS1 Artificial Intelligence – The Current Landscape for Compliance and Ethics • LIVE BROADCAST
9:15–9:45 AM CT	Networking Break in Exhibit Hall
9:45–10:45 AM CT BREAKOUT SESSIONS	 101 Training and Communications for Humans That Humans Won't Hate • LIVE BROADCAST
	 102 From Hotline Complaint to Final Report: Practical Techniques and Best Practices for Conducting, Coordinating and Managing Internal Investigations • LIVE BROADCAST
	 103 Disasters and You: The Role of the Compliance Team in Disaster Preparedness, Response, and Recovery • LIVE BROADCAST
	 104 Privacy Primer: What Every Compliance Professional Needs to Know about Privacy • LIVE BROADCAST
	 105 Cultivating an Effective Compliance Program in an Economic Downturn
	 106 Phone a Friend: What Our Information Security and Privacy Colleagues Can Teach Us
	 107 What Can DEI Leaders Learn from Compliance Professionals? A Lot, Actually...
10:45–11:15 AM CT	Networking Break in Exhibit Hall
11:15 AM–12:15 PM CT BREAKOUT SESSIONS	 201 Dynamic Compliance: The Role of Compliance and the Board • LIVE BROADCAST
	 202 Where are Your Cameras? Using Fraud Risk Management to Get the Most from Your Compliance Program • LIVE BROADCAST
	 203 Investigating Harassment Complaints • LIVE BROADCAST
	 204 How to Conduct Independent Investigations on Foreign Operations • LIVE BROADCAST
	 205 May I Have Your Attention, Please? Leading Strategically without Positional Authority
	 206 Building and Sustaining a Culture of Integrity in an Ever-Changing Work Environment
	 207 Driving Decisions with Data: Delight or Disaster?
12:15–1:30 PM CT	Lunch (provided)
1:30–2:30 PM CT BREAKOUT SESSIONS	 301 Lessons Learned and Tips on How to Simultaneously Address Remediation While Undergoing a Government Investigation • LIVE BROADCAST
	 302 How Bias Impacts Our Hiring Practices and Employee Engagement • LIVE BROADCAST
	 303 What Boards Need the CECO to Know: The Changing Expectations of Boards and Governance • LIVE BROADCAST
	 304 Do Enforcers Really Care about Compliance Programs? • LIVE BROADCAST
	 305 Decoding the Directive: Staying Compliant with the EU Whistleblower Law
	 306 When Good Intentions Go Awry: Navigating Ethical Dilemmas
	 307 Compliance and Legal Issues related to Financially Distressed Organizations
2:30–3:00 PM CT	Networking Break in Exhibit Hall
3:00–4:00 PM CT BREAKOUT SESSIONS	 401 Love Is Blind but Your Third-Party Relationship Does Not Have to Be! • LIVE BROADCAST
	 402 How to Be Your Board of Directors' Most Valuable Compliance Resource • LIVE BROADCAST
	 403 New Data Security and Compliance Concerns Involving Artificial Intelligence and Quantum Computing • LIVE BROADCAST
	 404 Show Me the Money: Getting Paid What You're Worth • LIVE BROADCAST
	 405 Once upon a Time Workshop: Harnessing the Art and Practice of Storytelling
	 406 Public and Private Sector Partnership to Combat Corruption
	 407 Useful Tools for Building a Culture of Compliance
4:00–4:15 PM CT	Networking Break
4:15–5:15 PM CT BREAKOUT SESSIONS	 501 Is Everyone Really Included? Creating Inclusive Compliance Training • LIVE BROADCAST
	 502 Building Value: Positioning the Ethics and Compliance Role to Become A Strategic Business Partner • LIVE BROADCAST
	 503 Assessing the Privacy Risk Assessments • LIVE BROADCAST
	 504 Data Integrity and Third-Party Due Diligence: Operationalize and Future Proof Your Program • LIVE BROADCAST
	 505 Confessions of a CIA Spy: The Art of Human Hacking
5:15–6:15 PM CT	Networking Reception in Exhibit Hall

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  Professional Skills

LIVE BROADCAST – Virtual attendees will only be able to view sessions with this listed.

corporatecompliance.org/2023CEI **7**

7:00–8:00 AM CT	<div> <div>EB1 Everything I Know about Compliance & Ethics I Learned from Ted Lasso • LIVE BROADCAST</div> <div>EB2 The Compliance and Ethics Career Framework: Deploying a Toolkit for Individual Career Growth, Staff Retention, and Team Development • LIVE BROADCAST</div> </div>
EARLY BIRD SESSIONS	
7:15–8:15 AM CT	Continental Breakfast in Exhibit Hall
8:15–8:30 AM CT	Award Presentation
8:30–9:30 AM CT	GS2 Building the Plane While Flying – How to Transform a Compliance Program Before (or in the Midst of) a Global Investigation • LIVE BROADCAST
9:30–10:00 AM CT	Networking Break in Exhibit Hall
10:00–11:00 AM CT	<div> <div>601 A Brit, a Canuck and a Kiwi Walk into a Bar... How International Developments Are Driving the Ethics and Compliance Agenda • LIVE BROADCAST</div> <div>602 Ripped from the Headlines: When Boards Fail in Their Oversight Role • LIVE BROADCAST</div> <div>603 Data Sharing and International Data Transfers - Past, Present and Future • LIVE BROADCAST</div> <div>604 Cultural Compliance: Build to Last • LIVE BROADCAST</div> <div>605 Heightened Expectations of the Compliance Officer: Negotiating the New Minefield</div> <div>606 Ethical Leaders Have Focused on Moral Stress Now Let's Be Attentive to Moral Satisfaction</div> <div>607 The Best Way Out Is Through: Compliance Challenges and Fidelity to Mission When Responding to Sexual Abuse Crises</div> </div>
11:00 AM–12:15 PM CT	Lunch (provided)
12:15–1:15 PM CT	<div> <div>701 Transitioning from Traditional Regulatory Compliance to Strategically Oriented Ethics & Integrity Program • LIVE BROADCAST</div> <div>702 Trust, but Assess: Conducting Assessment to Test and Enhance Your Program</div> <div>703 Ableism in Workplace Investigations • LIVE BROADCAST</div> <div>704 Establishing an Effective Compliance & Ethics Committee • LIVE BROADCAST</div> <div>705 ESG: It Isn't Sustainability Theater</div> <div>706 Spoiler Alert: There Is No Strategy without Risk Management</div> <div>707 Social Media: Keeping Up with the Changing Times</div> </div>
1:15–1:45 PM CT	Coffee Break
1:45–2:45 PM CT	<div> <div>801 Connecting Data and People for a Bulletproof TPRM Strategy • LIVE BROADCAST</div> <div>802 Learning Differences: Compliance Education and Engagement for the Neurodiverse Worker • LIVE BROADCAST</div> <div>803 Amplification: Success at the Intersection of Ethics Training and Communication • LIVE BROADCAST</div> <div>804 Successfully Managing Your Whistleblowing Helpline during Periods of Industrial Unrest: Lessons Learned from Real Life • LIVE BROADCAST</div> <div>805 People & Planet: Developing a Supply Chain and Human Right Strategy</div> <div>806 Hacked! What to Do?</div> <div>807 How Unaoil Conned the World: Compliance Lessons Learned</div> </div>
2:45–3:15 PM CT	Coffee Break
3:15–3:45 PM CT	GS3A Remarks by Lisa Monaco, Deputy Attorney General • LIVE BROADCAST
3:45–4:15 PM CT	GS3B Looking to the Horizon: How to Prepare for the Next 12 Months • LIVE BROADCAST

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 ■ General Compliance / Hot Topics
 ■ International / Multi-National
 ■ Investigations
 ■ Privacy & Data Security
 ■ Professional Skills

[LIVE BROADCAST](#) – Virtual attendees will only be able to view sessions with this listed.

7:15–8:15 AM CT	<div>EB3 Ten Things I Wish I Knew Before I Became an Investigator • LIVE BROADCAST</div>
EARLY BIRD SESSIONS	<div>EB4 Making Compliance Training Great: From Two Minutes to Two Hours • LIVE BROADCAST</div>
8:30–9:30 AM CT	<div>W2 Risk Awareness and Communication: Don't Go It Alone • LIVE BROADCAST</div>
BREAKOUT SESSIONS	<div>W3 Creating a Compliance App Employees Will Actually Use • LIVE BROADCAST</div>
	<div>W4 The Perfect Trio: Compliance, Legal, and HR Striking the Right Chord on Sensitive Workplace Investigations • LIVE BROADCAST</div>
	<div>W5 Three Decades On: Impact of the US Sentencing Guidelines on Compliance and Ethics</div>
9:30–9:45 AM CT	Coffee Break
9:45–10:45 AM CT	<div>W6 Post-Ethics Investigations: What's Next? Remediation or Recurrence? • LIVE BROADCAST</div>
BREAKOUT SESSIONS	<div>W8 Surviving a Privacy Breach on 3 Continents: How We Did It and Lived to Tell the Tale • LIVE BROADCAST</div>
	<div>W9 Applications of the Latest Research in the Field of Business Ethics • LIVE BROADCAST</div>
	<div>W10 Demystifying AI: Fundamentals and Considerations for Compliance Programs</div>
10:45–11:00 AM CT	Break
11:00 AM–12:00 PM CT	<div>W11 Forget Pitfalls: The Real Investigation Mistakes You Cannot Afford to Make • LIVE BROADCAST</div>
BREAKOUT SESSIONS	<div>W12 Being in Touch: Localizing Your E&C Program • LIVE BROADCAST</div>
	<div>W13 Enhancing Ethical Culture Through the Investigations Process • LIVE BROADCAST</div>
	<div>W14 Compliance by Contract: Drafting and Negotiating Agreements That Support Compliance and Ethics • LIVE BROADCAST</div>
1:30 PM CT	Exam Check-In: Certified Compliance & Ethics Professional (CCEP)[®] and Certified Compliance & Ethics Professional-International (CCEP-I)[®]
1:45–4:45 PM CT	Onsite Certified Compliance & Ethics Professional (CCEP)[®] Exam (optional)
1:45–5:45 PM CT	Onsite Certified Compliance & Ethics Professional-International (CCEP-I)[®] Exam (optional)

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8:00–9:30 AM CT

BREAKOUT SESSIONS

P1 EXTRA! EXTRA! Read All About It! Embark on a Voyage to the New World of Corporate Compliance & Ethics Week Featured at CEI World's Fair Chicago 2023!

Subject Area: General Compliance/ Hot Topics

Level: Basic

KRISTA WOLFF, Global Compliance Education and Engagement Manager, Agilent Technologies, Inc.

MARY SHIRLEY, Head of Compliance, Masimo

KRISTA MUSZAK, Senior Manager, Pfizer

- INNOVATION! Compliance Week World's Fair: Come to Swap Ideas! Discover Tech Magic! Build Culture!
- INTERACTIVE! CW in an hour? YES - visit the Imagination Stations and bring your ideas to share!
- SPOTLIGHT! Crowdsourcing promotion of a culture of compliance, ethics, and accountability!

P2 Asking Better Questions: Insights from Behavioral Scientists on Designing Compliance and Culture Surveys

Subject Area: General Compliance/ Hot Topics

Level: Advanced

MEGAN ZWIEBEL, Director of Operations & Delivery, R&G Insights Lab, Ropes & Gray

- Discern the difference between a well-designed and poorly designed question
- Apply the principles and techniques of effective question and survey design
- Collect rich qualitative and quantitative data, while avoiding survey fatigue

P3 Using Social Media as Evidence: Risks, Scenarios and Rewards

Subject Area: Investigations

Level: Intermediate

JOHN HANSON, Managing Director, Stout

REBECCA WELLUM, Vice President Compliance, Geotab

- When social media is helpful in an investigation
- How to use social media in an investigation legally and ethically
- The risks and benefits of social media evidence in an investigation

P4 How Many Risks Would a Risk-Taker Take If a Risk-Taker Could Take Risks?

Subject Area: Compliance Risks

Level: Intermediate

ANDRÉ H. PARIS, Director of Corporate Governance, Risk & Compliance, Conselho Nacional do Sesi

- Discover ways to efficiently identify your organization's top risks using key stakeholders
- Learn about several ways to ensure these risks resonate throughout the entire organization
- Learn how to develop and track corrective actions in an effective manner

9:30–9:45 AM CT

Break

9:45–11:15 AM CT

BREAKOUT SESSIONS

P5 Evolving Your Investigation Protocols: How to Be a Superhero Investigator in a Changing and Challenging World

Subject Area: Investigations

Level: Intermediate

BETH COLLING, Senior Vice President and Chief Compliance Officer, CDM Smith

LINETTE S. HWU, Global Director, Investigations & Integrity, The Nature Conservancy

REBEKA SPIRES, Global Compliance, Winrock International

- Expand your knowledge regarding best practices in investigations, including interview tips
- Explore options when investigations go off course, including transformative justice approaches
- Learn how to tune in to your Spidey sense during an investigation: Is the next best step to stop?

P6 Burnout Is an Occupational Hazard, Not a Failure of Character: Strategies for Addressing a Toxic Culture and Rebuilding Resilience

Subject Area: Professional Skills

Level: Basic

KELLY L. BURLEY, Resiliti

MAREN GUBE, Resiliti

DEBRA S. HENNELLY, Founder & President, Resiliti

- Explore costs and causes of chronic stress and burnout in a workplace with a focus on E&C professionals
- Understand the psychology and neurophysiology of disengagement and the power of emotional literacy
- Create a strategy for personal resilience and a roadmap to re-engage and uplift your team

P7 Board Oversight of ESG: Essential Components and Trends

Subject Area: ESG

Level: Intermediate

R. BRETT SHORT, Chief Compliance Officer, UK HealthCare / University of Kentucky, Vice President, SCCE & HCCA Board

NAKIS URFI, Former Compliance Officer & ESG Leader, Babylon Health

- Discuss the board's role in ESG oversight and what compliance and ESG professionals can do to help
- Identify key ESG areas of focus for boards
- Review trends for various board oversight structures

P8 Project Management in Compliance Programs at Different Stages of Maturity

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

MARTHA RADO CY, Compliance Program Director, Priceline

GREGORY BATES, Member, Miller & Chevalier

FELIPE M. GARCIA, Director, Compliance Programs, Salesforce

- Practical tips for when, why, and how to revise compliance programs at varying stages of development
- The role of corporate culture & leadership— when to play nice and when to be a bull in a china shop
- Discuss how to evaluate resources and utilize that information to ensure delivery of the program

11:15 AM–12:45 PM CT

Lunch (on your own)

11:30 AM–12:30 PM CT

SpeedNetworking

12:45–2:15 PM CT

BREAKOUT SESSIONS

P9 Think Global, Act Local? Or Not? When to Regionalize Your Program and When Not To!

Subject Area: Multinational/ International

Level: Intermediate

HEMMA R. LOMAX, Senior Corporate Counsel, Snap, Inc.

KRISTY GRANT-HART, Founder & CEO, Spark Compliance

REBECCA KOPS, Associate Vice president ESG & Compliance, Pharmonovia

ALLISON RITER, Vice President and Associate General Counsel, nVent

SPENCER PREIS, VP, Associate General Counsel, Southwire Company, LLC

- Learn to evaluate where to make the program uniform and where to allow changes
- Understand how to effectively deal with “that’s not the way it’s done here” and other objections
- Hear specific examples and lessons learned from leaders who’ve successfully navigated the path

P10 Compliance 101

Subject Area: General Compliance/ Hot Topics

Level: Basic

SHERYL VACCA, SVP/Chief Risk Officer, Providence St. Joseph Health; SCCE & HCCA Board Member

DEBBIE TROKLUS, President, Troklus Compliance Consulting; SCCE & HCCA Board Member

- Define the steps in developing an effective compliance program
- Outline the regulations that are critical for review and application in developing a CP
- Discuss how to implement the CP after the development stage

P11 What You Don’t Know Will Hurt You!! Effective Monitoring & Auditing Practices

Subject Area: Compliance Risks

Level: Basic

CAROLINE MCMICHEN, Principal, McMichen Consulting LLC and Strategic Advisor, SAI360

GWEN HASSAN, Compliance Officer/ Counsel, Unisys

GREG TRIGUBA, Principal, Compliance Integrity Solutions, LLC, SCCE & HCCA Board Member

- Gain a deeper understanding of monitoring and auditing fundamentals necessary for effective practice
- Explore challenges, planning considerations, and strategic solutions for effective monitoring and auditing
- Consider a real-world scenario and related risks and apply monitoring and auditing concepts and strategies

P12 Flip the Script: Take Your Program from Predictably Tiresome to Purposefully Awesome

Subject Area: General Compliance/ Hot Topics

Level: Basic

KRISTA WOLFF, Global Compliance Education and Engagement Manager, Agilent Technologies, Inc.

SHANNON M. JAMISON, Compliance Manager, Agilent Technologies, Inc.

- Empower and lead your team to be unexpected and think differently
- Leverage creativity and diversity of thought to engage employees and change the “boring” narrative
- Surprise the organization and move beyond how it’s always been done and into a brave new world

2:15–2:30 PM CT

Break

2:30–4:00 PM CT

P13 The Champions Network: A Blueprint to Expand Your Compliance Influence and Spread Big Ideas in Any Organization

Subject Area: General Compliance/ Hot Topics

Level: Advanced

MATT SILVERMAN, CEO and Founder, The Blueprint Organization

- Understand the psychology behind champions networks, including “diffusion of innovations” research
- Review the six steps: support; structure; recruitment; training; implementation, and metrics
- Examine several case studies and best practices of well-organized and successful champions networks

P14 Speak-Up Programs: Rewards of Cultivation and Risks of Neglect

Subject Area: Compliance Risks

Level: Intermediate

COURTNEY WALLIZE, Corporate Director, Ethics & Business Conduct, Northrop Grumman Corporate

EUGENE SOLTES, Founder, Integrity Lab

ANDREA FALCIONE, Chief Ethics and Compliance Officer & Head of Advisory Services, Rethink Compliance LLC

- Speak-up culture: who drives it, and what are the risks of not having one?
- Speak-up mechanisms: where do they reside, and when do they need improvement?
- Speak-up data: why to collect it, and how can analytics be used to mitigate risk?

P15 ESG and DEI: How to Position for Stakeholder Success

Subject Area: DEI

Level: Basic

ADRIAN TAYLOR, Director of Diversity, Premier Health

AHMED SALIM, Chief Compliance Officer, iRhythym

NAKIS URFI, Former Compliance Officer & ESG Leader, Babylon Health

- Understand the concepts and alignment of ESG and DEI
- Identify the key benefits of an aligned ESG and DEI strategy
- Examine issues and solutions for ESG reporting of DEI

P16 Interactive Policies: Using Technology to Enhance Decision-Making

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

TRAVIS WAUGH, Director, Training, Policy & Communication, TD SYNEX

JANNICA HOUBEN, Vice President, Global Legal Transformation, TD SYNEX

- Create automated tools that offer custom policy guidance based on specific details of a situation
- Go beyond guidance by building interactive approval forms and triggering automated actions
- Use data to measure the impact of tools and improve the overall quality of your compliance program

4:00–5:30 PM CT

Welcome Tailgate Reception in Exhibit Hall

7:00–8:00 AM CT

**Continental Breakfast
in Exhibit Hall**

8:00–8:15 AM CT

Welcome & Opening Remarks

LIVE BROADCAST

8:15–9:15 AM CT

**GS1 Artificial Intelligence –
The Current Landscape for
Compliance and Ethics**

LIVE BROADCAST

MODERATOR:

JO LING KENT, Senior Business and
Technology Correspondent, CBS News

PANELISTS:

NOSHIN KAHN, Senior Compliance
Counsel, OneTrust

NAVRINA SINGH, Founder and
CEO, Credo AI

REGGIE TOWNSEND, Vice President,
Data Ethics, SAS

HILARY WANDALL, Chief Ethics &
Compliance Officer, Associate General
Counsel, Regulatory, Dun & Bradstreet

One of the top considerations for compliance and ethics professionals in today's environment is artificial intelligence. AI has already helped society in many ways and has so much potential for good, but can also raise compliance and ethical issues. This conversation will explore these topics and provide attendees with ideas for addressing artificial intelligence in a thoughtful manner.

9:15–9:45 AM CT

Networking Break in Exhibit Hall

9:45–10:45 AM CT

BREAKOUT SESSIONS

**101 Training and
Communications for Humans
That Humans Won't Hate**

LIVE BROADCAST

*Subject Area: General Compliance/
Hot Topics*

Level: Intermediate

LISA R. FINE, Global Head, Investigations
& Fraud, Pearson

ADAM D. BALFOUR, Vice President and
General Counsel for Compliance and
Latin America, Bridgestone

- Bring your compliance program to life through a human-centric learning and development strategy
- Provide training that empowers employees to make ethical decisions in their everyday work
- Get practical and tested tips on how to engage people through dynamic learning and engagement

**102 From Hotline Complaint
to Final Report: Practical
Techniques and Best
Practices for Conducting,
Coordinating and Managing
Internal Investigations**

LIVE BROADCAST

Subject Area: Investigations

Level: Intermediate

KENNETH J. LIDDLE, Chief Compliance
Officer and Assistant General Counsel,
Northeastern University

CRYSTAL JEZIERSKI, Senior Managing
Director, Guidepost Solutions

ROBERT F. ROACH, Senior Advisor,
Guidepost Solutions LLC

- Learn Procedural Due Process techniques for neutral fact finding and comprehensive report writing
- Learn to coordinate compliance investigations with GC, IA, HR, outside counsel, and investigators

**103 Disasters and You: The
Role of the Compliance Team
in Disaster Preparedness,
Response, and Recovery**

LIVE BROADCAST

Subject Area: Compliance Risks

Level: Intermediate

LAURA C. FEY, Principal, Fey, LLC

TOM LEATHERBEE, Manager, Recovery
Division, Hagerty Consulting

JILLIAN CUSACK, AVP, Privacy Officer,
American Fidelity

- Ensure that compliance objectives are baked into all phases of disaster planning
- Define regulator expectations and compliance risk in disaster situations
- Anticipate demands by building data collection and reporting capabilities

**104 Privacy Primer: What
Every Compliance Professional
Needs to Know about Privacy**

LIVEBROADCAST

Subject Area: Privacy & Data Security

Level: Basic

DAVID PERKS-SUMNER, Chief Privacy
Officer, CCC Intelligent Solutions, Inc.

DIANA TREVLEY, Chief Compliance
Officer, Ethico

NICK GALLO, Chief Servant,
Co-CEO, Ethico

- Understand how the current data privacy landscape, including new laws and enforcement trends, affects your compliance program
- Discuss the pain points that every organization faces when working to comply with data privacy laws, and how compliance can be a key stakeholder in overcoming those challenges
- Learn the best ways to collaborate and create synergies in your organization when compliance and privacy are separate departments

105 Cultivating an Effective Compliance Program in an Economic Downturn

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

KASSANDRA DENIS, Vice President, Litigation & Investigations, Blackstone

FEIFEI REN, Senior Associate, Miller & Chevalier Chartered

ISHA GHODKE, Director & Managing Legal Counsel – Regulatory & Compliance, Boston Consulting Group

- Managing stakeholders: How to align stakeholders facing commercial pressures
- Triaging limited resourcing: How to make and justify tough decisions, and increase efficiency of external advisors
- Anticipating stabilization: How to prep for a return to normal, with discussion of enforcement trends

106 Phone a Friend: What Our Information Security and Privacy Colleagues Can Teach Us

Subject Area: Privacy & Data Security

Level: Intermediate

DOUGLAS BRUSH, Neutral Expert in Security Privacy and eDiscovery, Accel Consulting

LISA BETH LENTINI WALKER, Assistant General Counsel, Marqeta, CEO and Founder, Lumen Worldwide Endeavors; SCCE & HCCA Board Member

KATIE HANAHAN, Deputy CISO, Ingredion

- Learn how the legitimization and convergence of roles are changing the landscape
- Understand the mindset of each of these guardian professions
- Identify optimal ways to work across functions that you can implement immediately

107 What Can DEI Leaders Learn from Compliance Professionals? A Lot, Actually...

Subject Area: DEI

Level: Intermediate

GARY S. NIMAX, Assistant Vice President for Compliance, University of Virginia

ASHA PALMER, SVP Compliance Solutions, Skillsoft

JACKI CHESLOW, Independent Consultant, OMC Consulting Services LLC

- Build out your organization's DEI strategy using elements of an effective compliance program
- Influence equitable policies and procedures, both individually and as part of an organizational team
- Position the leaders in your organization to make an impact in DEI through training

10:45–11:15 AM CT

Networking Break in Exhibit Hall

11:15 AM–12:15 PM CT

BREAKOUT SESSIONS

201 Dynamic Compliance: The Role of Compliance and the Board

LIVE BROADCAST

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

MONICA REINMILLER, Head of Global Compliance & Ethics, Assistant General Counsel, Panasonic

PATRICIA THOMAS, Vice President, Charles River Associates

RACHEL COPENHAVER, Shareholder Vedder Price

- Gain an understanding of compliance's interactions with the board, including cadence of communication and topics
- Discuss the level of board involvement in specific compliance matters and evolving regulations
- Identify types of metrics and analytics use in reporting and compliance management

202 Where are Your Cameras? Using Fraud Risk Management to Get the Most from Your Compliance Program

LIVE BROADCAST

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

JOHN D. GILL, President, Association of Certified Fraud Examiners (ACFE)

- How to integrate your fraud risk management and compliance initiatives
- The impact of fraud risk management on your staff
- How organizations are using anti-fraud tools and controls to improve their fraud risk management and compliance programs

203 Investigating Harassment Complaints

LIVE BROADCAST

Subject Area: Investigations

Level: Intermediate

ANDREW WOLF, Partner, Hahn Loeser & Parks LLP

- Identify when to conduct an investigation
- Learn how to conduct a thorough investigation
- Implement changes based on investigation results and communicate results

204 How to Conduct Independent Investigations on Foreign Operations

LIVE BROADCAST

Subject Area: Investigations

Level: Intermediate

SHIN J. KIM, Partner, TozziniFreire Advogados, SCCE & HCCA Board Member

RENATA MUZZI, Partner, TozziniFreire Advogados

MERIC BLOCH, Principal, Winter Investigations, SCCE & HCCA Board Member

- Cross-border investigations often add a layer of complexity beyond the issues seen in domestic work
- Do's and Don'ts when conducting a cross-border internal investigation
- How to better handle multijurisdictional internal investigations, especially in times of a growing number of cross-border government investigations

205 May I Have Your Attention, Please? Leading Strategically without Positional Authority

Subject Area: Professional Skills

Level: Basic

CINDY S. MARSH, Senior Manager, Global Trade & Compliance, Victaulic

DEBRA S. HENNELLY, Founder & President, Resiliti

- Explore leadership attributes and skills to make the most effective use of your personal strengths
- Lead change and cross-functional collaboration without direct reporting relationships
- Develop or enhance your influence as an Ethics & Compliance leader to drive a Culture of Integrity

206 Building and Sustaining a Culture of Integrity in an Ever-Changing Work Environment

Subject Area: Ethics

Level: Intermediate

DENISE G. DRENNAN, Ethics Officer, Lockheed Martin

ELLEN DALY, Ethics Analysis Senior Manager, Lockheed Martin

- Utilize creative material, methods, and collaboration tools to effectively engage with employees in all work environments
- Share how leadership can set the tone for ethical behavior and demonstrate commitment to company values and integrity through leader-led messaging and training
- Demonstrate how employee survey data and ethics metrics are used to evaluate and address the ethical climate of the organization

207 Driving Decisions with Data: Delight or Disaster?

Subject Area: Professional Skills

Level: Basic

DR. JOE PEREZ, Senior Systems Analyst, NC Department of Health & Human Services

- Einstein allegedly said, "Not everything that counts can be counted, and not everything that can be counted counts." To that point, should data be driving our decision-making in compliance? How can increasingly massive data repositories be put to use?
- In a Forrester survey of 1000-plus US companies, 91% of them said that data-driven decision-making was important to their business, while only 57% said they actually used data to make decisions in their business. Why the disconnect between KPI and ROI?
- Bridge the gap by seeing the relevance of the 4 Vs of Big Data, discovering 3 types of cognitive biases (& how they're enemies of opportunity), & recognizing how apparent opposites (qualitative/quantitative data) really CAN be friends. What are the 5 Ls?

12:15–1:30 PM CT

Lunch (provided)

1:30–2:30 PM CT

BREAKOUT SESSIONS

301 Lessons Learned and Tips on How to Simultaneously Address Remediation While Undergoing a Government Investigation

LIVE BROADCAST

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

REBECCA ROHR, Head of Corporate and Government Investigations, Ericsson

ALISON ANDERSON, Partner, Boies Schiller Flexner LLP

FERNANDA BERALDI, Vice President, General Counsel, Secretary & Compliance Officer, Aurorium

UMA AMULURU, Chief Compliance Officer and Vice President, Boeing

- Learn how to take a strategic approach to remediation during an investigation to meet and exceed government expectations
- Apply remediation lessons learned from recent government investigations
- Understand recent government enforcement trends to anticipate future expectations

302 How Bias Impacts Our Hiring Practices and Employee Engagement

LIVE BROADCAST

Subject Area: DEI

Level: Intermediate

BRET HOOD, Director, 21st Century Learning & Consulting

- Compare /contrast implicit and explicit bias
- Discuss how implicit biases are formed
- Develop methods to influence internal and external stakeholders as a means to overcome conflicting perceptions caused by implicit biases

303 What Boards Need the CECO to Know: The Changing Expectations of Boards and Governance

LIVE BROADCAST

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

MARCEL BUCSESCU, Senior Director, Credentialing & Strategic Engagement, NACD

SUE BETTMAN, Director, Alliant Credit Union; Former GC of RR Donnelley, and LSC Communications

PAUL WILLIAMS, Director, Public Storage, Air Transport Group, Capital Group; Former CLO Cardinal Health

- Directors share what they want CECOs to understand about evolving board dynamics and expectations
- Shifting expectations of boards and how that is impacting their governance of the business
- Gain an understanding of what they see as emerging key business issues around culture, talent, mission-critical risks and ultimately the purpose and social license of the corporation, and how this impacts that the E&C function

304 Do Enforcers Really Care about Compliance Programs?

LIVE BROADCAST

Subject Area: Compliance Lawyer

Level: Advanced

JOSEPH E. MURPHY, Editor, Compliance and Ethics: Ideas & Answers

AMII L. BARNARD-BAHN, Partner, Kaplan & Walker LLP; Executive Coach, Bestselling Author, Speaker

- Articulate enforcers' position on the value of C&E programs as documented in published guidance
- Identify caselaw and regulatory decisions evidencing C&E program elements that have helped companies
- Evaluate the legal cases at hand, and discern how much compliance programs really matter

305 Decoding the Directive: Staying Compliant with the EU Whistleblower Law

Subject Area: International/ Multi-National

Level: Intermediate

MARK ROBERTSON, Deputy Compliance Officer & Senior Counsel, NAVEX

- Navigate the EU Whistleblower Directive complexities and compliance pitfalls
- Develop a compliance program that seeks consistency and confidentiality
- Align processes between your compliance program and the EU Whistleblower Directive

306 When Good Intentions Go Awry: Navigating Ethical Dilemmas

Subject Area: Ethics

Level: Intermediate

ANITHA VITTAL, Head Risk and Compliance, Providence Global Center

- Understanding the decision-making process
- Case studies on ethical behaviors
- Approaches to establish an ethical culture at workplace

307 Compliance and Legal Issues related to Financially Distressed Organizations

Subject Area: Compliance Lawyer

Level: Intermediate

DANIEL R. HARPER, General Counsel, GEA Farm Technologies

KASEY T. INGRAM, Senior Vice President – Compliance, Corporate Secretary and General Counsel, ISK Americas Incorporated

- Review the compliance and legal issues that arise when your company becomes financially distressed
- Review the compliance and legal issues that arise when dealing with financially distressed entities
- Use case studies to highlight the practical implications and potential resolutions of these issues

2:30–3:00 PM CT

Networking Break in Exhibit Hall

3:00–4:00 PM CT

BREAKOUT SESSIONS

401 Love Is Blind but Your Third-Party Relationship Does Not Have to Be!

LIVE BROADCAST

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

EMANUEL BATISTA, Managing Director, Kroll

JULIANA MOLINA, Senior Legal Compliance Counsel, FedEx

FELIPE MALDONADO, Director, Compliance Programs, Salesforce

PATRICIA MARINHO, Compliance Director, Latin America, Willis Towers Watson

- The date: Learn about ways to effectively assess your third-party risk
- After the honeymoon: Monitor the relationship and check for red flags that may occur
- The prenup: Learn how to mitigate the damages if something goes wrong in this relationship

402 How to Be Your Board of Directors' Most Valuable Compliance Resource

LIVE BROADCAST

Subject Area: General Compliance/ Hot Topics

Level: Advanced

EMILY MINER, Vice President, Advisory Services, LRN

KATHLEEN FRANKLIN, Global Ethics and Compliance Leader, Sony and Board Member, Bank OZK

NICOLE DÍAZ, Global Head of Strategy, Snap

- What are some effective strategies for communicating to the board of directors about E&C?
- What does the board have to know about compliance and what is the board's duty to oversee E&C?
- What E&C data information should we share with the board and what should a report look like?

403 New Data Security and Compliance Concerns Involving Artificial Intelligence and Quantum Computing

LIVE BROADCAST

Subject Area: Data Security & Privacy

Level: Intermediate

GREG WALTERS, Attorney, Cyber Risk and Governance Branch, SEC Office of Information Technology

- Understand current federal guidance on artificial intelligence and quantum computing and how it is relevant to organizations in the private sector
- Identify present and future IT security and risk management issues created by AI and Quantum Computing for all organizations
- Explain and communicate these issues and concerns effectively to your audience including stakeholders and decision-makers within an organization

404 Show Me the Money: Getting Paid What You're Worth

LIVE BROADCAST

Subject Area: Professional Skills

Level: Intermediate

MELANIE A. SPONHOLZ, Chief Compliance Officer, Waud Capital Partners

AMII L. BARNARD-BAHN, Partner, Kaplan & Walker LLP; Executive Coach, Bestselling Author, Speaker

- Identifying and navigating the 5 Key Elements to Getting Promoted
- Negotiating your pay: Tips and strategies for mastering the ask (and what not to do)
- Stop working for free: How to say no to extra work without pay

405 Once upon a Time Workshop: Harnessing the Art and Practice of Storytelling

Subject Area: General Compliance/ Hot Topics

Level: Basic

MICHELLE L. BEISTLE, Chief Ethics and Compliance Officer, The Nature Conservancy

TEAIRA VAN DYKE-WATKINS, The Nature Conservancy

ASHLEY DUBRIWNY, Director of Ethics and Compliance for North America and Micronesia, The Nature Conservancy

- Hear true tales of storytelling and its profound influence on connection and learning
- Discover pathways for storytelling in ethics and compliance
- Wield your pen and mind as we walk you through the experience of story development

406 Public and Private Sector Partnership to Combat Corruption

Subject Area: International/ Multi-National

Level: Intermediate

RICHARD NEPHEW, Coordinator on Global Anti-Corruption, United States Department of State

KATY CHOO, Group VP and Chief Counsel, Global Investigations, GE

TARA GUINTA, Co-Chair of ESG Risk, Strategy and Compliance Group, Member of the Investigations and White Collar Defense practice, Paul Hastings

FRANK BROWN, Director of Anti-Corruption & Governance Center, Center for International Private Enterprise

- Learn how the State Department is partnering with the private sector to fight corruption
- Understand more about Global Initiative to Galvanize the Private Sector as Partners in Combating Corruption (GPS)
- Hear about successful private sector practices and approaches to combating corruption, including the importance of galvanizing small and medium-sized businesses to participate more robustly in anti-corruption efforts, and how big companies can foster such commitment

407 Useful Tools for Building a Culture of Compliance

Subject Area: Ethics

Level: Intermediate

JASMINE HAWKINS, Ethics & Compliance Senior Manager, Americas, dentsu international

CAVENI WONG, Regional Ethics & Compliance Director, Americas, dentsu international

- Learn the approach that worked in our organization to build a culture of compliance
- Analyze the feedback loop between transparent leadership, speak up culture and accountability
- Explore specific tools to bolster each part of the feedback loop

4:00–4:15 PM CT

Networking Break

4:15–5:15 PM CT

BREAKOUT SESSIONS

501 Is Everyone Really Included? Creating Inclusive Compliance Training

LIVE BROADCAST

Subject Area: DEI

Level: Basic

DANA HANSON, Compliance Analyst & Trainer, Hennepin County

- Understand why inclusion and accessibility are critical to successful compliance training
- Learn about the most common accessibility mistakes and how to address them
- Discover how inclusive and accessible compliance training supports DEI efforts

502 Building Value: Positioning the Ethics and Compliance Role to Become a Strategic Business Partner

LIVE BROADCAST

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

CAMILLE HOWARD, Regional Ethics & Compliance Officer, Carrier Corporation

- Learn competencies every strategic ethics and compliance professional should know
- Create an engagement strategy that positions ethics and compliance as a competitive advantage
- Proactively shift the role of ethics and compliance professional to strategic partner

503 Assessing the Privacy Risk Assessments

LIVE BROADCAST

Subject Area: Privacy & Data Security

Level: Intermediate

ROBERT BOND, Senior Counsel, Privacy Partnership Law and Commissioner, UK Data & Marketing Commission, SCCE & HCCA Board Immediate Past President

- Data privacy compliance requires the use of privacy impact assessments and data transfer assessments
- What about the value of other assessments like privacy by design, online safety, AI risk, vendor management and cyber resilience
- Why using risk assessments demonstrate proactive governance and “privacy first”

504 Data Integrity and Third-Party Due Diligence: Operationalize and Future Proof Your Program

LIVE BROADCAST

Subject Area: Compliance Risks

Level: Intermediate

JISHA DYMOND, Chief Ethics & Compliance Officer, OneTrust

DIPIKA DESAI, Compliance Manager, GATX

DEBORAH PENZA, SVP, Chief Compliance Officer, Syneos Health

- Identify and address common challenges organizations face when conducting due diligence
- Break down the importance of increased quality data coverage & the need to eliminate false positives
- How to incorporate process that breaks down organizational silos when evaluating Third Parties

505 Confessions of a CIA Spy: The Art of Human Hacking

Subject Area: Privacy & Data Security

Level: Intermediate

PETER G. WARMKA, Founder,
Counterintelligence Institute, LLC

- Identify external threat actors, their objectives, and how they utilize social media platforms to spot, assess, and approach insider targets
- Understand insider motivations and vulnerabilities leveraged in advanced social engineering attacks including those using deepfakes and other AI technologies
- List three key actionable tips to prevent falling victim to human hacking

507 Compliance Risk Management: Leveraging the Three Lines of Defense with Business Stakeholders and Third Parties

Subject Area: Compliance Risks

Level: Advanced

PEIMAN SAADAT, Vice President,
Regulatory Compliance, AdvantageCare Physicians

DAVID CRAWFORD, Vice President of
Compliance, Ubiquity Global Services

- 3 Lines of Defense (3LOD) in mitigation of compliance risks
- Fundamentals of 3rd party management including risk-based due diligence & ongoing oversight
- Enhancing program governance and outcomes through blended oversight models

5:15–6:15 PM CT

**Networking Reception
in Exhibit Hall**

7:00–8:00 AM CT

EARLY BIRD SESSIONS

EB1 Everything I Know about Compliance & Ethics I Learned from Ted Lasso

LIVE BROADCAST

Subject Area: Ethics

Level: Intermediate

ERIC BROTTEN, Vice President, Compliance & Privacy Officer, Centene (MHS Health Wisconsin)

ADAM D. BALFOUR, Vice President and General Counsel for Corporate Compliance and Vice President for Global Risk Management, Bridgestone

LAURA EMMETT, Strigberger Brown Armstrong

- **Success:** Explore practical ideas to foster a psychologically safe environment in which employees feel comfortable speaking up and leaders have capacity to listen
- **Teamwork:** Become a trusted partner to your business by meeting them where they are and together discover new, authentic ways to promote ethics across your organization
- **Lean into change:** Learn tips on how best to respond when your compliance cycle is upset by external or internal factors, and discuss the value of communication and how to learn from change

EB2 The Compliance and Ethics Career Framework: Deploying a Toolkit for Individual Career Growth, Staff Retention, and Team Development

LIVE BROADCAST

Subject Area: Professional Skills

Level: Intermediate

GATES GARRITY-ROKOUS, Vice President and Chief Compliance Officer, The Ohio State University

- Deliver a career framework to assist career development for everyone in compliance and ethics roles
- Identify practical examples explaining utility of career framework for team development
- Provide useful tips for managers at all levels to use framework to retain key staff

7:15–8:15 AM CT

Continental Breakfast in Exhibit Hall

8:15–8:30 AM CT

Award Presentation

8:30–9:30 AM CT

GS2 Building the Plane While Flying – How to Transform a Compliance Program Before (or in the Midst of) a Global Investigation

LIVE BROADCAST

KARL HENNESSEE, Senior Vice President, Litigation, Investigations & Regulatory Affairs – Airbus SE

JAN KNOP, Head of Ethics & Compliance - Airbus Commercial

NATHANIEL EDMONDS, Partner at Paul Hastings LLP

- Understand the challenges Airbus faced when conducting a global corruption review and the simultaneous demands to enhance its compliance structure
- Gain insights into global enforcement expectations and how to integrate best practices into your program
- Practical tips to focus limited compliance resources for companies at different stages of development

9:30–10:00 AM CT

Networking Break in Exhibit Hall

10:00–11:00 AM CT

BREAKOUT SESSIONS

601 A Brit, a Canuck and a Kiwi Walk into a Bar... How International Developments Are Driving the Ethics and Compliance Agenda

LIVE BROADCAST

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

KRISTINE L. ROBIDOUX, Compliance Specialist, ENMAX Corporation

JONATHAN ARMSTRONG, Partner, Cordery

MARY SHIRLEY, Head of Compliance, Masimo

- Hear analysis of the latest thought providing international ethics, integrity, and compliance cases
- Learn about critical global legal and regulatory compliance developments and data privacy updates
- Discuss implications of ex USA considerations on worldwide ethics and compliance programs

602 Ripped from the Headlines: When Boards Fail in Their Oversight Role

LIVE BROADCAST

Subject Area: Case Studies

Level: Intermediate

MARTIN T. BIEGELMAN, Managing Director & Investigations Practice Leader, SunHawk Consulting, LLC

MATT SILVERMAN, Global Trade Director & Senior Counsel, VIAVI Solutions

- Share insights, lessons, and case studies of the failure of boards to provide effective oversight
- Discuss the role of a board in reducing organizational fraud and misconduct, and in managing risk
- Provide best practices of boards that demonstrate enhanced corporate governance and oversight

603 Data Sharing and International Data Transfers - Past, Present and Future

LIVE BROADCAST

Subject Area: Privacy & Data Security

Level: Intermediate

ROBERT BOND, Senior Counsel, Privacy Partnership Law and Commissioner, UK Data & Marketing Commission, SCCE & HCCA Board Immediate Past President

- From Schrems I and Schrems II to the EU-US Data Privacy Framework; why there are regulatory challenges to international data transfers
- What other jurisdictions (like China, Middle East and Asia) require for sharing personal data
- Future plans and proposals from United Nations, OECD and others on global data transfer conventions

604 Cultural Compliance: Build to Last

LIVE BROADCAST

Subject Area: International/ Multi-National

Level: Advanced

JONATHAN BRICKER, Vice President, Sales, SAI360

AMY NEAL, Director Product Environmental Compliance, Emerson Electric

- Engrain product compliance into Corporate Sustainability Initiatives
- Innovate your compliance lifecycle objectives to incorporate future needs and regulations
- Incorporate Global Environmental Compliance by designing it in from beginning stages

605 Heightened Expectations of the Compliance Officer: Negotiating the New Minefield

Subject Area: Professional Skills

Level: Advanced

MATTHEW D. RIDINGS, Partner, Co-chair, Compliance & Internal Investigations, Vice Chair, Business Litigation, Thompson Hine

JOAN MEYER, Chair - Government Enforcement, Internal Investigations & White-Collar Defense, Thompson Hine

- Learn new expectations for CCOs from recent DOJ guidance and develop enhanced plans for internal investigations
- Consider new legal developments regarding fiduciary duties of C-suite executives
- Participate in a case study applying these new expectations

606 Ethical Leaders Have Focused on Moral Stress Now Let's Be Attentive to Moral Satisfaction

Subject Area: Ethics

Level: Intermediate

BEVERLY KRACHER, Chairholder and Professor, Creighton University

- Understand and measure moral attentiveness
- Understand and recognize moral satisfaction
- Practice effective tools for leaders to evoke attentiveness and satisfaction in their followers

607 The Best Way Out Is Through: Compliance Challenges and Fidelity to Mission When Responding to Sexual Abuse Crises

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

GINA MAISTO SMITH, Chair of the Institutional Response Group, Cozen O' Connor

EMILY STERN, Assistant Attorney General, Chief, Enforcement Section, Charities Bureau, New York State Office of the Attorney General

- Risks and responsibilities in addressing sexual abuse: Challenges and institutional opportunities
- How effective leaders respond: Interacting with victims and demonstrating commitment to mission
- The importance of independent review and restoring trust: Tracking progress and sharing results

11:00 AM–12:15 PM CT

Lunch (provided)

12:15–1:15 PM CT

BREAKOUT SESSIONS

701 Transitioning from Traditional Regulatory Compliance to Strategically Oriented Ethics & Integrity Program

LIVE BROADCAST

Subject Area: International/ Multi-National

Level: Intermediate

SALVADOR DAHAN, International Expert, GRC and ESG

- Beyond fraud and corruption: Transforming your traditional ABC program into a holistic process incorporating emerging Integrity-related risks
- How to connect your program to the organizational culture, strategy, and long-term objectives
- Embedding ethics & compliance into your ESG framework

702 Trust, but Assess: Conducting Assessment to Test and Enhance Your Program

Subject Area: General Compliance/ Hot Topics

Level: Advanced

REBECCA WALKER, Partner, Kaplan & Walker LLP

STEVEN G. GYESZLY, Chief Compliance Counsel, Marathon Oil

- Presenting in-house and outside counsel perspectives on effectively conducting program assessments
- Considering drivers, methods, and practical considerations before, during, and after an assessment
- Reporting the good & bad to the board and executive leadership, as well as implementing recommendations

703 Ableism in Workplace Investigations

LIVE BROADCAST

Subject Area: DEI

Level: Intermediate

LINDSAY GOLD, Employment Investigator, Meta Platforms, Inc.

HANNAH PERKINS, AGC, Compliance Counsel, Meta / Facebook Inc.

- Raise awareness to Ableism as a systemic form of oppression
- Discuss how Ableism shows up in the Workplace and impacts on Workplace Culture
- Recommend changes to the Investigation Process to take into consideration Ableism

704 Establishing an Effective Compliance & Ethics Committee

LIVE BROADCAST

Subject Area: Case Studies

Level: Intermediate

DANIELLE E. HERRICK, Global Head of Compliance & Ethics, Bloom Energy

- Learn why and when you might want to consider establishing a compliance and ethics committee
- Understand how to reinvigorate or set up a compliance and ethics committee for the first time
- Discuss tips and tricks to make your committee effective and visible within your organization

705 ESG: It Isn't Sustainability Theater

Subject Area: ESG

Level: Basic

BEN BETTS, CEO, Learning Pool

HARPER E. WELLS, Chief Compliance Officer, Learning Pool

- Explore why organizations are developing ESG programs...even when they aren't required
- Learn strategies to smart small and grow, no matter your organization or team size
- Understand their journey and learn how to shape yours

706 Spoiler Alert: There Is No Strategy without Risk Management

Subject Area: Compliance Risks

Level: Intermediate

STEPHANIE KANDEL, Risk Management & Compliance Director, AARP

CHARLOTTE D. YOUNG, General Counsel, National Audubon Society

JOSEPH M. PUGH, Senior Director, Enterprise Risk Management & Compliance, AARP

- How to show value for risk management and how it relates to/ strengthens the compliance function
- Learn how to get the board savvy, not just aware, on risk management and strategy
- Hear strategies for engaging the board on risk management/ERM and the benefits to having a board and management risk and strategy partnership

707 Social Media: Keeping Up with the Changing Times

Subject Area: Compliance Risks

Level: Basic

KORTNEY Q. NORDRUM, Regulatory Counsel & Chief Compliance Officer, Deluxe Corporation

- Where are your risks? What should keep you up at night? Let's talk about where the risks are hiding
- Learn best practices and strategies for social media policies and using social in hiring and firing
- Planning for and living through a social media crisis - tips and tricks to survive and thrive

1:15-1:45 PM CT

Coffee Break

1:45-2:45 PM CT

BREAKOUT SESSIONS

801 Connecting Data and People for a Bulletproof TPRM Strategy

LIVE BROADCAST

Subject Area: Compliance Risks

Level: Basic

JOSHUA REYNOLDS, Head of Solutions Consulting, GAN Integrity

- Third party risk information is more often than not stored in different platforms and owned by different risk owners with little or poor infrastructure connecting stakeholders and data, in turn, leading to a flawed business risk management strategy
- In this session we explore how weaving risk information across categories, processes and platforms together will build a complete view of third party risk, pre and post onboarding
- Our speakers will look at the prerequisites of a resilient third party risk management plan and how compliance teams can navigate a fluid risk environment with the help of scalable technology

802 Learning Differences: Compliance Education and Engagement for the Neurodiverse Worker

LIVE BROADCAST

Subject Area: DEI

Level: Intermediate

JASON B. MEYER, President, LeadGood Education

- Understand how neurodiversity among your workers impacts your DEI and compliance training efforts
- Apply universal design and ADA standards to C&E for workers with ADD, ASD, and other differences
- Develop ethics messaging better tailored for people who process and problem-solve differently

803 Amplification: Success at the Intersection of Ethics Training and Communication

LIVE BROADCAST

Subject Area: Ethics

Level: Advanced

DANIEL BRADY, Global Ethics Program, US Bank Corp

- Understand how communication platforms can build on a training experience
- Consider how training can be creative in its content if not the delivery system
- Learn why keeping a conversation going works better than a simple knowledge check

804 Successfully Managing Your Whistleblowing Helpline during Periods of Industrial Unrest: Lessons Learned from Real Life

LIVE BROADCAST

Subject Area: Case Studies

Level: Intermediate

KAREN NIGHTINGALE, Group Ethics & Compliance Director, Entain PLC

- Ensure your helpline is in good shape and well known before things get heated to create trust
- Avoid aggravating the situation through poorly worded, poorly timed, or poorly targeted communication
- Follow standard processes to the letter to avoid accusations of bias or organizational injustice

805 People & Planet: Developing a Supply Chain and Human Right Strategy

Subject Area: ESG

Level: Advanced

TARA GIUNTA, Co-Chair of ESG Risk, Strategy and Compliance Group, Member of the Investigations and White Collar Defense practice, Paul Hastings

KAREN M. MOORE, Former Chief Compliance & Privacy Officer, Unisys

ELLEN HUNT, Principal Consultant & Advisor, Spark Compliance Consulting

- A human rights policy is not enough: An overview of the UN Guiding Principles on Human Rights
- Human rights impact assessments: When & how to conduct them
- Beyond the contract: What to do with the results of the assessment

806 Hacked! What to Do?

Subject Area: Privacy & Data Security

Level: Intermediate

ANDRÉ H. PARIS, Director of Corporate Governance, Risk & Compliance, Conselho Nacional do Sesi

- Learn the key steps to take after a data breach
- Minimize the losses after getting hacked
- Identify actions that can prevent future breaches

807 How Unaoil Conned the World: Compliance Lessons Learned

Subject Area: Case Studies

Level: Intermediate

ALEXANDRA WRAGE, President, TRACE International, Inc.

WILLIAM B. JACOBSON, Partner, Jacobson Lopez PLLC

- Discuss the damage and fallout from the Unaoil case, arguably the world's biggest bribery scheme
- Outline what went wrong from a compliance perspective and key lessons learned
- Review practical strategies and tactics for avoiding compliance failures in the future

2:45–3:15 PM CT

Coffee Break

3:15–3:45 PM CT

GS3A Remarks by Lisa Monaco, Deputy Attorney General

LIVE BROADCAST

LISA MONACO, Deputy Attorney General

- Latest trends in corporate criminal enforcement and what that means for your compliance programs
- What are DOJ's expectations for compliance programs in the context of 21st century challenges?
- Deputy Attorney General answers questions from the audience

3:45–4:15 PM CT

GS3B Looking to the Horizon: How to Prepare for the Next 12 Months

LIVE BROADCAST

MODERATOR:

DAN KAHN, Partner, Davis Polk

PANELISTS:

JANE NORBERG, Partner - Securities Enforcement and Litigation, Arnold & Porter

KATY CHOO, Group VP and Chief Counsel, Global Investigations, GE

- What do guidance documents and enforcement trends reveal?
- What new issues are emerging both in the US and abroad?
- How should compliance teams prepare themselves to meet new needs?

7:15–8:15 AM CT

EARLY BIRD SESSIONS

EB3 Ten Things I Wish I Knew Before I Became an Investigator

LIVE BROADCAST

Subject Area: Investigations

Level: Basic

LAURA C. CORDERO, Ethics & Compliance Manager, WSP

- You're not alone: how to rely on your internal stakeholders to fill in the gaps
- Standardizing is key: how to develop standard templates and processes to drive consistency and alignment
- Prepare for the unexpected: how to handle curveballs and a lack of information during the investigation process

EB4 Making Compliance Training Great: From Two Minutes to Two Hours

LIVE BROADCAST

Subject Area: Case Studies

Level: Intermediate

PATRICIA FAULKNER, Ethics Program Manager, HP

TERRY H. STRINGER, Head of Ethics, Integrity Policy and Operations, HP

KIRSTEN E. LISTON, Founder & Principal, Rethink Compliance LLC

- Learn content marketing strategies to help you grab attention – fast
- See four techniques based on learning effectiveness research that you can put to work today
- Get strategies to measure how well your training worked

8:30–9:30 AM CT

BREAKOUT SESSIONS

W2 Risk Awareness and Communication: Don't Go It Alone

LIVE BROADCAST

Subject Area: Compliance Risks

Level: Intermediate

THEODORE L. BANKS, Partner, Scharf Banks Marmor LLC

DERRICKA HOLLOWAY, Director, Morae

EMILY MALLORY, Managing Director, Morae

DAVE JONES, Chief Compliance Officer, Mars, Incorporated

ASH MISHRA, VP, Legal, Global Head of Ethics & Compliance, Polaris

- This isn't just the job of Legal and Compliance
- Create awareness with employees about risk assessment, risk tolerance, and risk threshold
- This session will focus on topics related to training and even gamification

W3 Creating a Compliance App Employees Will Actually Use

LIVE BROADCAST

Subject Area: General Compliance/ Hot Topics

Level: Basic

FREDA LUU, Director of Compliance, Kite Pharma

- Learn how a great compliance app can help achieve compliance goals
- Establish a future-proof application system that can easily integrate and adapt to rapid change
- Optimize the user experience by drawing people in and keep them coming back

W4 The Perfect Trio: Compliance, Legal, and HR Striking the Right Chord on Sensitive Workplace Investigations

LIVE BROADCAST

Subject Area: General Compliance/ Hot Topics

Level: Intermediate

MARA DAVIS, Associate General Counsel, Compliance & Ethics, Zoom

ANN SULTAN, Member, Miller and Chevalier

- Develop a framework to guide cross-functional investigations involving HR, Compliance, and Legal
- Learn to identify when alleged workplace misconduct is a broader compliance concern
- Discover the shifting landscape of workplace misconduct from litigation risk to compliance risk

W5 Three Decades On: Impact of the US Sentencing Guidelines on Compliance and Ethics

Subject Area: Compliance Lawyer

Level: Intermediate

BRENDAN P. GAFFNEY, Partner,
Locke Lord LLP

ERIC O. MOREHEAD, Director, Advisory
Services, LRN Corporation

- Understand the evolution of the role of the U.S. Sentencing Guidelines in corporate compliance and enforcement
- Identify the key findings from the U.S. Sentencing Commission's 30-Year Report
- Examine trends in organizational sentencing to bolster your corporate compliance program and prepare for the future

9:30–9:45 AM CT

Coffee Break

9:45–10:45 AM CT

BREAKOUT SESSIONS

W6 Post-Ethics Investigations: What's Next? Remediation or Recurrence?

LIVE BROADCAST

Subject Area: Investigations

Level: Basic

ALAN DILLANE, Global Remediation
Program Manager, Dell Technologies

- Learn what remediation is and how it can drive a downward trend in similar cases
- Develop a remediation program with a step-by-step approach that becomes embedded in your company DNA
- Perform case handover to root cause analysis to partnering with the business to drive change

W8 Surviving a Privacy Breach on 3 Continents: How We Did It and Lived to Tell the Tale

LIVE BROADCAST

Subject Area: Case Studies

Level: Intermediate

JONATHAN ARMSTRONG,
Partner, Cordery

KORTNEY Q. NORDRUM, Regulatory
Counsel & Chief Compliance Officer,
Deluxe Corporation

- We lived it, so you don't have to: Learn the first steps to take when discovering a breach
- Partnering to coordinate efforts across 70+ jurisdictions, hitting deadlines & managing risk
- The days and weeks after the breach: Dealing with regulators, customers, and the board

W9 Applications of the Latest Research in the Field of Business Ethics

LIVE BROADCAST

Subject Area: Ethics

Level: Basic

MICHAEL R. FRICKE, Sr. Lecturer,
Management & Organizations, University
of Arizona Eller College of Management

- Understand factors at home and work that promulgate unethical and counterproductive behaviors
- Identify the effects of unethical behavior on the perpetrator, coworkers, and other stakeholders
- Determine the causal factors for when and why employees do the right thing, such as whistleblowing

W10 Demystifying AI: Fundamentals and Considerations for Compliance Programs

*Subject Area: General Compliance/
Hot Topics*

Level: Intermediate

STEVEN G. GYESZLY, Chief Compliance
Counsel, Marathon Oil

ANNA R. GRESSEL, Counsel, Paul, Weiss,
Rifkind, Wharton & Garrison LLP

- Practical AI applications within a Compliance program
- Important considerations and risks in the use of AI
- Additional resources and references for further education

10:45–11:00 AM CT

Break

11:00 AM–12:00 PM CT

BREAKOUT SESSIONS

W11 Forget Pitfalls: The Real Investigation Mistakes You Cannot Afford to Make

LIVE BROADCAST

Subject Area: Investigations

Level: Basic

MERIC BLOCH, Principal, Winter
Investigations; SCCE & HCCA
Board Member

- Identify the subtle strategic and tactical errors that undermine your investigations
- Learn how to think about these less-obvious topics so you incorporate them into your case
- Move away from checklists and obvious pointers to think instead like an investigator

W12 Being in Touch: Localizing Your E&C Program

LIVE BROADCAST

*Subject Area: International/
Multi-National*

Level: Intermediate

PAIGE SHANNON, General Counsel
and Compliance and Ethics Officer,
Blumont Inc.

ADELLE ELIA, SVP, Deputy Chief Ethics &
Compliance Officer, WSP USA

- Identifying when local acceptance of fraud and corruption is inconsistent with corporate values
- Building an understanding of cultural norms
- Localizing program activities and expectations to an operational context

W13 Enhancing Ethical Culture Through the Investigations Process

LIVE BROADCAST

Subject Area: Investigations

Level: Intermediate

JESSICA F. NWOKOCHA, Sr Counsel/
Director, Global Compliance, McDonald's
Corporation

LYDIA BUESCHEL, Shareholder,
Valentine Austriaco & Bueschel, P.C.

- Gain insight on ways to promote an environment for effective and ethical employee reporting
- Identify areas for fostering ethical culture during internal investigations
- Discuss how investigators can use empathy to understand and assess cultural root causes

W14 Compliance by Contract: Drafting and Negotiating Agreements That Support Compliance and Ethics

LIVE BROADCAST

Subject Area: Compliance Lawyer

Level: Intermediate

AMY E. MCDOUGAL, President,
CLEAResources, LLC

JASON B. MEYER, President,
LeadGood Education

- Understand how routine commercial contracts can boost or undermine a C&E program
- Explore troublesome clauses and trends for compliance in contracts, licenses, and deal documents
- Get better at closing good deals that support great compliance programs

1:30 PM CT

Exam Check-In: Certified Compliance & Ethics Professional (CCEP)[®] and Certified Compliance & Ethics Professional- International (CCEP-I)[®]

1:45–4:45 PM CT

Onsite Certified Compliance & Ethics Professional (CCEP)[®] Exam (optional)

1:45–5:45 PM CT

Onsite Certified Compliance & Ethics Professional-International (CCEP-I)[®] Exam (optional)

22nd Annual Compliance & Ethics Institute

October 2–5, 2023 • Chicago, IL | October 3–5, 2023 • Virtual



SECTION 1 Attendee Information

☐ Mr ☐ Mrs ☐ Ms ☐ Dr ☐ Other _____

First Name _____ MI _____ Last Name _____

Credentials (CHC, CCEP, etc.) _____ Job Title _____

Organization (name of employer) _____

Street Address _____ City/Town _____

State/Province _____ Zip/Postal Code _____ Country _____

Work Phone _____ Email (required) _____

SECTION 2 Registration

In-Person Options

<input type="checkbox"/> Member Full Conference (Monday - Thursday)	\$1,649
<input type="checkbox"/> Non-Member Full Conference (Monday - Thursday)	\$1,949
<input type="checkbox"/> Full Conference Registration + First Time Membership*	\$1,874
<input type="checkbox"/> Member Main Conference (Tuesday – Thursday)	\$1,349
<input type="checkbox"/> Non-Member Main Conference (Tuesday – Thursday)	\$1,649
<input type="checkbox"/> Main Conference Registration + First Time Membership*	\$1,574

*Save by joining today (first-time members only). Dues renew at \$325.

Group Discount

<input type="checkbox"/> Group Discount for 3 or More**	(\$100)
<input type="checkbox"/> Group Discount for 10 or More**	(\$150)

**See "Group Discount Policy" in Acknowledgements below.

TOTAL (TAX MAY APPLY) \$ _____

Virtual Options

<input type="checkbox"/> Member Main Conference (Tuesday – Thursday)	\$1,049
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Group Discount

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<input type="checkbox"/> Group Discount for 10 or More**	(\$150)

**See "Group Discount Policy" in Acknowledgements below.

TOTAL (TAX MAY APPLY) \$ _____

Group Discount: Registration for group discounts should be submitted online in one transaction. If your registrations include a Registration + First-Time Membership, please contact Member Services for assistance. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount. If submitting via email or mail, registration forms (one for each participant) must be sent together to ensure the discount is applied.

Dietary Needs Request (for in-person attendees only)

☐ Gluten Free ☐ Kosher Certified ☐ Lactose Intolerant ☐ No Red Meat/Pork ☐ Nut Allergy
☐ Shellfish/Seafood Allergy ☐ Vegan ☐ Vegetarian ☐ Other _____

On-site Attendee Mobile Phone (for emergency on-site use only) _____

Emergency Contact (name & phone) _____

SCCE Membership: By selecting Registration + First-Time Membership, you agree to the full membership terms and conditions, including the use of your information, viewable at corporatecompliance.org/membership-terms-and-conditions. Visit corporatecompliance.org/privacy to see the full use of your information or to opt out.

Opt Out: Select if you would like to opt out of the following:

☐ Online Member Directory: SCCE's member directory lists first and last name, organization, title, address, and phone number.

Registration FAQs: Visit corporatecompliance.org/faqs-national-person for answers to frequently asked questions (FAQs) about your registration.

SECTION 3 Payment

Register online with credit card payment at corporatecompliance.org/2023CEI

Mail a check to SCCE, 6462 City West Parkway, Eden Prairie, MN 55344 USA (contact SCCE for applicable tax and total)

☐ Invoice me Purchase Order Number (attach PO) _____

☐ Wire transfer requested

To register with a check, wire transfer, or purchase order, or to pay with a credit card over the phone, please contact SCCE for an invoice with applicable taxes. Due to PCI compliance, do not provide credit card information via email.

Registration is not complete until full payment is received. Tax may apply. Access to the event will not be allowed until all fees have been paid. SCCE reserves the right to cancel your registration if we do not receive payment by the start date of the event. Payments received with incorrect amounts will be returned.

Email helpteam@corporatecompliance.org or call SCCE at +1 952.933.4977 or 888.277.4977.

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Conference Location

Hyatt Regency Chicago
151 East Wacker Drive, Chicago, IL 60601

[Make hotel reservations online](#) or call 312.565.1234 and reference 2023 SCCE Compliance & Ethics Institute (CEI). The group rate is \$269.00 per night for a standard room; rates quoted are for single/double occupancy plus tax. The cutoff date to receive the group rates is September 8, 2023 or once the group block is full, whichever comes first. Reservations must be cancelled by 48 hours prior to date of arrival to avoid being charged one night's room & tax.

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