

*Still Time to Register!*

# Higher Education

## Compliance Conference

JUNE 8–10 | ANAHEIM, CA



Federal funding



Conflicts of interest



Foreign gifts and contracts



Fraud

Learn how to address emerging risks, share best practices for dealing with unique compliance challenges, and make valuable industry connections.

Receive complimentary access to HCCA's Research Compliance Conference

# 2022

### Topics include:

- Title IX
- Clery Act
- Privacy and security
- Data breach
- Compliance training
- Risk assessments
- Conflicts of interest
- Investigations

Learn more and register  
[corporatecompliance.org/2022highered](https://corporatecompliance.org/2022highered)



Higher education has a unique set of compliance challenges—find out how to meet them at SCCE's Higher Education Compliance Conference, as you learn about emerging risks and solutions in higher learning institutions, share best practices, and network with peers and colleagues.

Higher Education Compliance Conference educational sessions will provide you with the opportunity to earn live Compliance Certification Board (CCB)<sup>®</sup> continuing education units (CEUs). Sessions are led by industry professionals and are organized by knowledge level: basic, intermediate, and advanced.

With registration, attendees also gain admittance to HCCA's Research Compliance Conference held at the same time.

## Session topics

- Title IX
- Clery Act
- Privacy and security
- Data breach
- Compliance training
- Risk assessments
- Conflicts of interest
- Investigations

## Session levels

**Basic:** Program knowledge level most beneficial to Compliance Professionals new to a skill or an attribute. These individuals are often at the staff or entry level in organizations, although such programs may also benefit a seasoned professional with limited exposure to the area.

**Intermediate:** Program knowledge level that builds on a basic program, most appropriate for Compliance Professionals with detailed knowledge in an area. Such persons are often at a mid-level within the organization, with operational or supervisory responsibilities, or both.

**Advanced:** This level focuses on the development of in-depth knowledge, a variety of skills, or a broader range of applications. Advanced level programs are often appropriate for seasoned professionals within organizations, and professionals with specialized knowledge in a subject area.

## Who should attend?

- Compliance and ethics officers
- Academic compliance managers
- Title IX compliance officers
- It compliance directors and managers
- CFOs, accountants, and others in finance
- Internal auditors
- University privacy officers
- General counsel

## SCCE's mission

Society of Corporate Compliance and Ethics<sup>®</sup> (SCCE) exists to champion ethical practices and compliance standards and to provide the necessary resources for ethics and compliance professionals and others who share these principles.

# SCHEDULE AT A GLANCE

ALL TIMES LISTED ARE IN PACIFIC DAYLIGHT TIME (PDT)

## WEDNESDAY, JUNE 8 (Pre-Conference)

7:00 AM–5:30 PM	Registration Open	
8:00–9:30 AM	P1 Workshop for New Higher Education Compliance Programs and Officers	P2 Foreign Gifts and Contract Reporting: Section 117 and Beyond
9:30–9:45 AM	Networking Break	
9:45–11:15 AM	P3 Recent Disability Compliance Issues: The ADA, FHA, COVID, and More	P4 Raise Your Game, Not Your Voice: How Listening, Communicating, and Storytelling Shape Compliance Influence
11:15 AM–12:45 PM	Lunch (On Own)	
12:45–2:15 PM	P5 Clery Act Compliance: A Collaborative Approach	P6 How to Eat an Elephant: Big Compliance, Small Program
2:15–2:30 PM	Networking Break	
2:30–4:00 PM	P7 CMMC Should Scare You: Latest Developments and How to Prepare	P8 Compliance Officers Roundtable
4:00–5:30 PM	Welcome Reception	

## THURSDAY, JUNE 9

7:00 AM–6:00 PM	Registration Open	
7:00–8:00 AM	Continental Breakfast (Provided)	
8:00–8:15 AM	Welcome and Opening Remarks	
8:15–9:15 AM	GENERAL SESSION: Higher Education Compliance: 2021–2022 Year in Review	
9:15–9:45 AM	Networking Break (Exhibit Hall)	
9:45–10:45 AM	101 Ethics Training They'll Volunteer to Take	102 Compliance: Whose Job Is It?
10:45–11:00 AM	Networking Break	
11:00 AM–12:00 PM	103 How to Operationalize Enterprise Risk Management in an Increasingly Risky World: Practical Steps for Your College or University	104 How to Evolve Your Compliance Program with an Update to Your Framework and Code
12:00–1:00 PM	Lunch (Provided)	
1:00–2:00 PM	105 Adding Privacy Questions to Your Vendor Security Assessment Process and Why It Is Important	106 Beyond the Background Check
2:00–2:15 PM	Networking Break	
2:15–3:15 PM	107 Privacy Law Update	108 Investigation and Compliance Missteps
3:15–3:30 PM	Networking Break	
3:30–4:30 PM	109 Implementing an Effective Compliance Assessment Framework	110 Policy Transformation at UNTHSC
4:30–6:00 PM	Networking Reception	

## FRIDAY, JUNE 10

7:00 AM–4:30 PM	Registration Open	
7:00–8:00 AM	Continental Breakfast (Provided)	
8:00–9:00 AM	GENERAL SESSION: Compliance in the Era of Distributed Workforces	
9:00–9:30 AM	Networking Break (Exhibit Hall)	
9:30–10:30 AM	111 When Privacy Needs Transparency: Responding to Data Threats	112 Title IX: The Past, Present, and Future of Campus Investigations, Adjudications, and Litigation
10:30–10:45 AM	Networking Break	
10:45–11:45 AM	113 Foreign Influence: Practical Approaches Toward Converting Issue Response to Proactive Culture	114 The Really Ugly Duckling and Other Stories to Ensure Your Training Doesn't Stink
11:45 AM–12:45 PM	Lunch (Provided)	
12:45–1:45 PM	115 Conducting Effective Organizational Title IX Compliance Assessments: Tips and Tools	116 Flip! The Winchester House and Improving Our Compliance Programs
1:45–2:00 PM	Networking Break	
2:00–3:00 PM	117 Compliance Risk Assessments: It Doesn't Have to Be Risky Business	118 Houston, We Have a Conflict. Now, How Do We Manage It?
3:00–3:15 PM	Networking Break	
3:15–4:15 PM	GENERAL SESSION: DE&I, Compliance, and Higher Education	

## Wednesday, June 8 Pre-Conference

7:00 AM–5:30 PM

Registration Open

8:00–9:30 AM

### P1 Workshop for New Higher Education Compliance Programs and Officers

Level: Basic

TINA HUA, Chief Ethics and Compliance Officer, Stanford University

NEDRA D. ABBRUZZESE-WERLING, Associate Vice President for Compliance Services, Boston University

KENNETH J. LIDDLE, Chief Compliance Officer and Assistant General Counsel, Northeastern University

- Understand culture, codes, and program structure in higher education
- Perform an initial risk assessment, and learn about effective investigations and hotlines
- Participate in small group breakout sessions with the panelists to answer questions

### P2 Foreign Gifts and Contract Reporting: Section 117 and Beyond

Level: Intermediate

MARCI COPELAND, Associate Director Export Control, University of California

ROBERT F. ROACH, Senior Advisor, Guidepost Solutions LLC, Guidepost Solutions LLC

SHANDA HUNT, Systemwide Associate Director of Compliance, University of California– Office of the President

- Understand evolving federal DOE, DOD, and DOC reporting requirements regarding foreign gift contracts
- Learn about new reporting and review processes under the Endless Frontiers Act and federal CFIUS regulations
- Obtain practical tools and tips to collect and report all foreign funds and activities accurately

9:30–9:45 AM

Networking Break

9:45–11:15 AM

### P3 Recent Disability Compliance Issues: The ADA, FHA, COVID, and More

Level: Basic

CORY S. WINTER, Partner, Saul Ewing Arnstein & Lehr

- Identify key trends in ADA issues, especially as they relate to COVID-19 and changes in technology
- Contextualize determinations of individuals with disabilities, and the provision of reasonable accommodations, particularly as it relates to students with mental illnesses and those requesting or requiring the presence of assistance or service animals
- Apply effective strategies in implementing compliant policies, including resolving conflicts

### P4 Raise Your Game, Not Your Voice: How Listening, Communicating, and Storytelling Shape Compliance Influence

Level: Basic

LISA BETH B. LENTINI WALKER, CEO and Founder, Lumen Worldwide Endeavors; SCCE & HCCA Board Member

STEFANIE TSCHIDA, Owner, Tschida Communications

- Learn how to manage relationships to get better engagement from every compliance stakeholder
- Discover the power of stories and how to incorporate them into your message for increased impact
- Say it again: The power of strategic repetition

11:15 AM–12:45 PM

Lunch (On Own)

12:45–2:15 PM

### P5 Clery Act Compliance: A Collaborative Approach

Level: Intermediate

CHARLOTTE L. WADE, Director, Compliance Oversight, University of North Georgia

- Gain an understanding of Clery Act compliance as a university-wide responsibility
- Hear real-life lessons learned and examples of collaborative solutions to mitigate compliance risks
- Learn strategies for achieving buy-in and support across all levels of an institution

### P6 How to Eat an Elephant: Big Compliance, Small Program

SUSAN FRECCIA, Director of Compliance, Oregon State University

- Explore various approaches to building and sustaining a strong program with a big reach
- Understand how all the work gets done, by whom, and how we can be sure
- Learn strategies for coordinated compliance that benefit even large centralized programs

2:15–2:30 PM

Networking Break

2:30–4:00 PM

## P7 CMMC Should Scare You: Latest Developments and How to Prepare

Level: Intermediate

MIKE CULLEN, Director, Baker Tilly

- Understand the latest CMMC developments and how it impacts higher education
- Gain insight into your specific questions on CMMC and how it impacts your institution
- Take away a list of challenging CMMC requirements and potential solutions

## P8 Compliance Officers Roundtable

Level: Intermediate

NEDRA D. ABBRUZZESE-WERLING, Associate Vice President for Compliance Services, Boston University

- The opportunity to understand the issues and challenges that face other compliance officers
- Strategies for strengthening compliance programs and providing adequate responses to emerging issues
- Adapting your program during unprecedented regulatory, political, and media scrutiny

4:00–5:30 PM

## Welcome Reception

# Thursday, June 9

7:00 AM–6:00 PM

## Registration Open

7:00–8:00 AM

## Continental Breakfast (Provided)

8:00–8:15 AM

## Welcome and Opening Remarks

8:15–9:15 AM

## General Session: Higher Education Compliance: 2021–2022 Year in Review

MARISA ZUSKAR, Senior Director, Huron Consulting Group

- Provide a year-in-review perspective of major events and the impacts on higher education compliance
- Summarize significant changes in regulations with a focus on the institutional compliance response
- Cover a broad spectrum of higher education compliance areas such as DACA, foreign engagement, etc.

9:15–9:45 AM

## Networking Break (Exhibit Hall)

9:45–10:45 AM

## 101 Ethics Training They'll Volunteer to Take

Level: Basic

MARCY R. HUEY, Executive Director of Institutional Compliance, The University of Alabama

JENNIFER CLARK, Institutional Compliance Coordinator, The University of Alabama

- Bite size is the right size: How to break the content up into palatable servings
- Encouraging engagement: Ways to invite additional exploration outside of training
- Recognizing achievement without breaking the bank: Ideas for rewarding participation

## 102 Compliance: Whose Job Is It?

Level: Intermediate

JOSHUA B. TOAS, Chief Compliance Officer, The Research Foundation for SUNY

- Discuss the core responsibilities of the compliance officer
- Discuss the CCO's role implementing the compliance program vs. supporting compliance activities
- How to lead a strategic program leveraging other campus compliance professionals

10:45–11:00 AM

## Networking Break

11:00 AM–12:00 PM

## 103 How to Operationalize Enterprise Risk Management in an Increasingly Risky World: Practical Steps for Your College or University

Level: Intermediate

CHRISTINE H. PACKARD, Director, Enterprise Risk Management, University of Massachusetts

JOEL J. TOBIN, Director, Enterprise Risk Management & Coordinated Compliance, University of Washington

- Create an ERM program that speaks to your organization's mission and culture and engages subject matter experts as well as executive and governing board leaders
- Evolve an ERM program's risk assessment process to create an essential operational tool that supports effective risk mitigation and builds program maturity
- Learn how to leverage your ERM program as an effective communications instrument—one that speaks to multiple dimensions of institutional risk across programs, services, and activities—for a diverse group of campus stakeholders

## 104 How to Evolve Your Compliance Program with an Update to Your Framework and Code

Level: Intermediate

STACY GIWA, Vice President Ethics and Compliance, University of Southern California

MARISA HARDY, Assistant Dir Compliance, University of Southern California

- Increasing focus on how the program is implemented in schools, departments, and units; clarifying roles
- Creating a more robust basis for evaluating and designing university compliance programs
- Bringing your code to the next level

12:00–1:00 PM

Lunch (Provided)

1:00–2:00 PM

## 105 Adding Privacy Questions to Your Vendor Security Assessment Process and Why It Is Important

Level: Basic

MICKI R. JERNIGAN, Enterprise Privacy Officer, Augusta University and Augusta University Health

DOUG WELCH, Associate General Counsel & Chief Privacy Officer, Baylor University

- Understand why privacy questions are an important part of vendor reviews
- Learn example privacy questions to ask
- Strategies on how to add privacy questions to your vendor assessment process

## 106 Beyond the Background Check

Level: Intermediate

ALYSA C. ROLLOCK, VP for Ethics and Compliance, Purdue University

- Examine standard and enhanced components of faculty and staff background checks
- Identify methods to ensure that a prospective hire is not another institution's "problem employee"
- Identify issues associated with enhanced screening methods designed to uncover prior misconduct

2:00–2:15 PM

Networking Break

2:15–3:15 PM

## 107 Privacy Law Update

Level: Intermediate

ALEXANDER R. BILUS, Partner, Saul Ewing Arnstein & Lehr LLP

KENNETH J. LIDDLE, Chief Compliance Officer and Assistant General Counsel, Northeastern University

- Understand China's new Personal Information Protection Law (PIPL) and recent changes to GDPR
- Survey recent state law related to privacy and breach notification
- Take away practical strategies to respond to these laws and improve your overall privacy program

## 108 Investigation and Compliance Missteps

Level: Intermediate

ANDREW WISE, Member, Miller & Chevalier

- Learn best practices for conducting investigations
- Be able to identify key mistakes in investigations
- Integrate your investigations procedure into your compliance framework

3:15–3:30 PM

Networking Break

3:30–4:30 PM

## 109 Implementing an Effective Compliance Assessment Framework

Level: Intermediate

VIN A. LACOVARA, AVP Institutional Compliance & Ethics, George Mason University

COREY M. PARKER, Director, Baker Tilly

- Determine how to evaluate the effectiveness of existing compliance and ethics activities
- Establish an effective assessment process and develop a roadmap to prioritize future assessments
- Discuss how reporting on the outcomes of a compliance assessment can drive continuous improvement

## 110 Policy Transformation at UNTHSC

Level: Intermediate

DESIREE K. RAMIREZ, Senior Vice President and Chief Integrity Officer, UNT Health Science Center

ANDREA FALCIONE, Principal & Head of Advisory Services, Rethink Compliance LLC

- Building on momentum from its digital Code of Culture initiative, UNTHSC embarked upon a policy transformation journey to fundamentally change its approach to policy management
- Learn about UNTHSC's mandate to decrease the number of its policies from 425 to a more manageable 90 (or so!)
- Hear how UNTHSC evaluated its existing policy inventory and policy management process and then crafted and executed a massive policy transformation plan

4:30–6:00 PM

## Networking Reception

## Friday, June 10

7:00 AM–4:30 PM

## Registration Open

7:00–8:00 AM

## Continental Breakfast (Provided)

8:00–9:00 AM

## General Session: Compliance in the Era of Distributed Workforces

MODERATOR: ADAM TURTELTAUB, Chief Engagement & Strategy Officer, SCCE & HCCA

TESSA LUCEY, Director of Compliance Services/Chief Privacy Officer, University of Vermont

ERUM RAZA, Acting Chief Global Compliance Officer, New York University

SHARON KUREK, Executive Director of Audit, Risk, and Compliance, Virginia Tech

- Understand how to better respond to the communication challenges balancing both workers in the office and at home
- Learn how to better build connections with other departments without physical proximity
- Meet the challenge of creating a culture of compliance

9:00–9:30 AM

## Networking Break (Exhibit Hall)

9:30–10:30 AM

## 111 When Privacy Needs Transparency: Responding to Data Threats

Level: Intermediate

JULIA DOHERTY, Health Programs Director, University of Maryland Baltimore

SUSAN C. BUSKIRK, Assoc VP Deputy Accountability Ofcr, Univ of MD Baltimore

STEPHANIE N. SUERTH, Education & Outreach Program Director, University of Maryland, Baltimore

- Review the varied ways data is at risk for breach
- Communicate with leadership how potential data breaches affect operations
- Respond collaboratively and collegially when compliance is part of the response

## 112 Title IX: The Past, Present, and Future of Campus Investigations, Adjudications, and Litigation

Level: Intermediate

ASHLEY LYNAM, Attorney, Montgomery McCracken Walker & Rhoads

KACIE KERGINES, Attorney, Montgomery McCracken Walker & Rhoads

- Understand the current status of the Title IX regulations and what changes we expect to see soon
- Learn how to identify and properly handle Title IX complaints, focusing on trauma-informed techniques
- Learn best practices for conducting investigations under the new 2020 regulation guidelines

10:30–10:45 AM

## Networking Break

10:45–11:45 AM

## 113 Foreign Influence: Practical Approaches Toward Converting Issue Response to Proactive Culture

Level: Advanced

WENDI DELMENDO, Chief Compliance Officer, University of California, Davis

DENISE EHLEN, Associate Vice Chancellor for Research, University of California, Davis

- Identify best practices for responding to foreign influence allegations/issues
- Enable appropriate regulatory and law enforcement engagement
- Prioritize key process and values steps to proactively/appropriately mitigate foreign influence risks

## 114 The Really Ugly Duckling and Other Stories to Ensure Your Training Doesn't Stink

Level: Intermediate

MILLY TYE, Associate Director of Research Integrity & Compliance, Auburn University

KRISTIN ROBERTS, Assistant Director, Institutional Compliance & Privacy, Auburn University

- Learn how to use stories to make your training and education sessions engaging and memorable
- Utilize scenario-based training to help faculty and staff understand what you want them to do
- Leave your audience with a "moral of the story" for key takeaways to remember and change behavior

11:45 AM–12:45 PM

Lunch (Provided)

12:45–1:45 PM

## 115 Conducting Effective Organizational Title IX Compliance Assessments: Tips and Tools

Level: Intermediate

SARAH E. HAREBO, Dir of Title IX and Clery Comp & Investigations, University of Wisconsin System

- Understand the benefit of conducting campus Title IX assessments to support organizational compliance. For systems of higher education, knowledge of member institution's level of compliance is crucial to providing allocating resources and managing risk
- Learn how to develop and complete a Title IX assessment plan examining overall organizational structure and process, including conducting interviews and review of related records and materials
- Examine ways to structure and write a Title IX compliance assessment report. Attendees will also explore ways to effectively utilize this assessment report to create organizational change and improve response mechanisms and overall structure

## 116 Flip! The Winchester House and Improving Our Compliance Programs

Level: Intermediate

DEENA KING, Chief Compliance Officer, The University of Texas at Tyler

- Everyone who is a veteran in compliance knows about the "seven elements." But are we using them the right way?
- What would happen if we flipped our current strategy? Has a more efficient strategy been right in front of us all along?
- Are our programs like Winchester House? Can we strategically reengineer our compliance programs to make them better?

1:45–2:00 PM

Networking Break

2:00–3:00 PM

## 117 Compliance Risk Assessments: It Doesn't Have to Be Risky Business

Level: Intermediate

MARCY R. HUEY, Executive Director of Institutional Compliance, The University of Alabama

KATY GALLOWAY, Compliance Coordinator, The University of Alabama

- Using the HECA Compliance Matrix to define your institutional compliance universe
- Conducting a compliance risk assessment using the HECA Matrix
- Using the seven elements to mitigate identified risks

## 118 Houston, We Have a Conflict. Now, How Do We Manage It?

Level: Intermediate

MILLY TYE, Associate Director of Research Integrity & Compliance, Auburn University

KRISTIN ROBERTS, Assistant Director, Institutional Compliance & Privacy, Auburn University

- Learn how to launch effective COI management plans with faculty, staff, and administrators on board
- See stellar safeguards and oversight provisions to include in your template management plans
- Understand how a universal COI management plan program can help comply with legal requirements

3:00–3:15 PM

Networking Break

3:15–4:15 PM

## General Session: DE&I, Compliance and Higher Education

KRISTEN MEEKS, University Compliance, Ethics, & ERM Officer, North Carolina State University

GARY NIMAX, Assistant Vice President for Compliance, University of Virginia

KENYA FAULKNER, Chief Compliance Officer, Emory University

- Learn how to make compliance an effective part of your institution's DE&I initiative
- Understand the pitfalls that can derail a DE&I effort
- Successfully navigate the complexities of DE&I in a higher education setting

# Higher Education Compliance Conference

June 8–10 • Anaheim, CA



## SECTION 1 Attendee Information

Mr  Mrs  Ms  Dr  Other \_\_\_\_\_ Member/Account ID (if known/applicable) \_\_\_\_\_

First Name \_\_\_\_\_ MI \_\_\_\_\_ Last Name \_\_\_\_\_

Credentials (CHC, CCEP, etc.) \_\_\_\_\_ Job Title \_\_\_\_\_

Organization (name of employer) \_\_\_\_\_

Street Address \_\_\_\_\_ City/Town \_\_\_\_\_

State/Province \_\_\_\_\_ Zip/Postal Code \_\_\_\_\_ Country \_\_\_\_\_

Work Phone \_\_\_\_\_ Email (required) \_\_\_\_\_

## SECTION 2 Registration

### Options

<input type="checkbox"/> Member (Thursday and Friday)	\$899
<input type="checkbox"/> Non-Member (Thursday and Friday)	\$1,099
<input type="checkbox"/> Registration + First-Time Membership Offer*	\$1,119
<input type="checkbox"/> Member Pre-Conference (Wednesday)	\$249
<input type="checkbox"/> Non-Member Pre-Conference (Wednesday)	\$279

\*Save by joining today (first-time members only). Dues renew at \$325. See "Acknowledgements" below for details.

### Group Discount

<input type="checkbox"/> Group Discount for 3 or More**	(\$50)
<input type="checkbox"/> Group Discount for 10 or More**	(\$100)

\*\*See "Group Discount Policy" under "Acknowledgments" below for details.

TOTAL \$ \_\_\_\_\_

### Dietary Needs Request

Dairy Free  Gluten Free  Kosher  Vegetarian  Vegan  Other \_\_\_\_\_

On-Site Cell Phone (for emergency on-site use only) \_\_\_\_\_

**SCCE Membership:** By selecting the Registration + First-Time Membership Offer, you agree to the full membership Terms and Conditions, including the use of your information, viewable at [corporatecompliance.org/membership/tandc](http://corporatecompliance.org/membership/tandc). To see the full use of your information or if you wish to opt-out, visit [corporatecompliance.org/privacy](http://corporatecompliance.org/privacy).

**Opt-Out:** Select if you would like to opt-out of the following:

Online Member Directory: SCCE's member directory lists first and last name, organization, title, address, and phone number.

## SECTION 3 Payment

Online registration at [corporatecompliance.org/2022highered](http://corporatecompliance.org/2022highered)

Mail to SCCE, 6462 City West Parkway, Eden Prairie, MN 55344 USA Fax to +1 952.988.0146

Email to [helpteam@corporatecompliance.org](mailto:helpteam@corporatecompliance.org) — Due to PCI compliance, do not provide credit card information via email.

Email this form without credit card information, then call SCCE at +1 952.933.4977 or 888.277.4977 with your payment.

- Invoice me  
 Check enclosed (payable to SCCE)  
 Wire transfer requested  
 I authorize SCCE to charge my credit card:  Visa  MasterCard  Discover  American Express

Credit Card Account Number \_\_\_\_\_ Expiration Date \_\_\_\_\_

Cardholder Name \_\_\_\_\_ Cardholder Signature \_\_\_\_\_

Billing Address \_\_\_\_\_ Billing Zip/Postal Code \_\_\_\_\_

## SECTION 4 Acknowledgements

By submitting this registration, you agree to the full event Terms and Conditions, viewable at [corporatecompliance.org/conference/tandc](http://corporatecompliance.org/conference/tandc), including the use of your information that may be shared with conference exhibitors, attendees, speakers, affiliates, and partners for promotional and/or networking purposes. To see the full use of your information or if you wish to opt-out, visit [corporatecompliance.org/privacy](http://corporatecompliance.org/privacy).

By registering for this event, you also agree that you have read and agree to the Personal Accountability Commitment, the Assumption of Risk, and the Liability Waiver and Release viewable at [corporatecompliance.org/conference/tandc](http://corporatecompliance.org/conference/tandc).

**Group Discount Policy:** Registration forms must be sent together to ensure that the discount is applied. The group discount is not available through online registration. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

**New Members:** By selecting the Registration + First-Time Membership Offer, you agree to the full membership Terms and Conditions, including the use of your information, viewable at [corporatecompliance.org/membership/tandc](http://corporatecompliance.org/membership/tandc).

**Photo/Video Release:** By registering for this event, you grant SCCE, or anyone authorized by SCCE, the right to use or publish in print or electronic format, any photographs or video containing your image or likeness for educational, news, or promotional purposes, without compensation.

Questions? Call +1 952.933.4977 or 888.277.4977 or email [helpteam@corporatecompliance.org](mailto:helpteam@corporatecompliance.org)

## Frequently Asked Questions

### Where will the conference take place?

Anaheim Marriott, 700 West Convention Way, Anaheim, CA 92802

A reduced rate of \$239 per night plus applicable taxes (currently 17% and \$.94 state tourism fee; subject to change) for single/double occupancy has been arranged. To secure a reservation, visit [bit.ly/2022rehe](http://bit.ly/2022rehe) or call 714.750.8000 and reference SCCE/HCCA. A deposit equal to the first night's room rate plus tax will be made at the time of reservation as a guarantee. The cutoff date to receive this discounted rate is May 17 or when the group block is full, whichever comes first. Confirmation of rooms after the cut-off date will only be accepted based on availability and at the hotel's prevailing rates. Discounted group rate may be applicable three (3) days pre/post arrival and departure dates, subject to availability.

PLEASE NOTE: Neither SCCE nor any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of SCCE or the event, it may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link in this brochure. If you have concerns or questions, please contact SCCE at +1 952.933.4977 or 888.277.4977.

### What is included in the cost of my attendance?

You will receive access to the sessions, supplemental conference materials, networking opportunities, exhibitor booths, and a complimentary lunch.

### What COVID-19 safety precautions will be implemented?

SCCE considers the health and safety of all those at in-person programs a top priority. Although participants should recognize that there is risk involved in attending, SCCE will follow the safety recommendations/guidelines provided by the CDC and other state and local government agencies in place at the time of the event. Additionally, SCCE will follow the venue requirements and work with the venue to provide a safe and enjoyable environment for all participants.

### Can I see what sessions will be presented before I arrive on-site?

Yes. The program for the conference is posted online as soon as it is finalized.

### Is there a group discount, and if so, what is it?

Yes, we offer group discounts for groups of three or more from the same organization for all our live in-person and virtual events (excluding webinars). Please send all group registration forms together to [helpteam@corporatecompliance.org](mailto:helpteam@corporatecompliance.org) for processing. A separate registration form is required for each registrant. The group discount is NOT available through online registration. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount. For groups of 10 or more, please call +1 952.933.4977 or 888.277.4977 or email [helpteam@corporatecompliance.org](mailto:helpteam@corporatecompliance.org).

### What do I get with "Registration + First Time Membership?"

If you've never been an SCCE member, you can register as a First-Time Member. This gives you SCCE membership at a discounted rate for your first year. You also receive the member rate for the conference. As a member you receive all SCCE member benefits (discounts, *Compliance & Ethics Professional*® (CEP) monthly magazine, *Ethikos*® digital quarterly newsletter, member-exclusive webinars, and more). A full list of benefits can be viewed at [corporatecompliance.org/membership](http://corporatecompliance.org/membership). Your membership will begin once payment is received.

### How do I use the credit on my account for this event?

You can complete the registration online and select the "Invoice Me" payment option at checkout. Once you receive your confirmation, email [helpteam@corporatecompliance.org](mailto:helpteam@corporatecompliance.org) or call +1 952.933.4977 or 888.277.4977 to request your credit be applied toward the registration fee.

### Can I get the member rate if I am an HCCA member instead of SCCE or vice versa?

Yes. As a member of SCCE or HCCA, you can receive the membership discount for both organizations' events, but this cannot be done online. Please send your registration form via email to [helpteam@corporatecompliance.org](mailto:helpteam@corporatecompliance.org) to complete your registration.

### How can I cancel my registration?

If you need to cancel your participation (or send a substitute), your request must be submitted by email to [helpteam@corporatecompliance.org](mailto:helpteam@corporatecompliance.org). A conference credit will be issued for all registration fees paid and will expire 12 months from the date of the original canceled event. Conference credits will not be issued if you do not attend the event and have not requested cancellation prior to the event start date. An additional fee may apply depending upon the membership status of the substitute.

### Who can I notify of special needs or accommodations prior to the event?

Please call SCCE at +1 952.933.4977 or 888.277.4977 or email [helpteam@corporatecompliance.org](mailto:helpteam@corporatecompliance.org) if you have a special need and/or require accommodation to participate.

## Continuing Education

### Can I earn continuing education units (CEUs) for attending this conference?

Yes. This conference offers live Compliance Certification Board (CCB)<sup>®</sup> continuing education units (CEUs) for participation as well as other external credit types.

To see the most up-to-date CEU approval information, go to [corporatecompliance.org/2022higher](http://corporatecompliance.org/2022higher) and choose the Continuing Education option on the left-hand menu.

### How many CEUs will I earn from attending?

CEUs are assessed based on actual attendance and credit type requested. Should the overall number of education hours you attend or that this conference offers decrease or increase, the maximum number of CEUs available will be changed accordingly.

### How do I request CEUs following this conference?

Following this conference, you will be provided the Application for Continuing Education Units (CEUs). To receive CEUs, you must submit this completed application following the conference to [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org). Only registered attendees are eligible to request CEUs for participation.

### When will I receive my CEU certificate for participation?

Once your completed Application for Continuing Education Units (CEUs) has been received by our staff, your CEU account will be updated within 2–4 weeks. To view your CCB CEUs and access your certificate, you can log in to your online [corporatecompliance.org](http://corporatecompliance.org) account, go to your Account Dashboard, and scroll down to View My CEUs.

### I would like to sit for one of the Compliance Certification Board (CCB)<sup>®</sup> exams following this conference; will I qualify?

While this conference, if attended in full, can provide you with all the CEUs needed to meet the continuing education requirement, you will also need to review the applicable candidate handbook found at [corporatecompliance.org/candidate-handbooks](http://corporatecompliance.org/candidate-handbooks) to ensure you meet the work experience requirement.

### I have reviewed the Candidate Handbook and want to apply for the exam as soon as the conference concludes; what's next?

Immediately following the conclusion of the conference, if you have reviewed your Candidate Handbook and submitted your Application for Continuing Education Units (CEUs), you can go ahead and apply online for your exam at [corporatecompliance.org/apply-exam](http://corporatecompliance.org/apply-exam).

### Can I take my exam remotely?

Yes, CCB offers the flexibility for candidates to take their exam remotely, at a local testing site, or following certain SCCE conferences. To learn more about our various testing options, visit SCCE's website, [corporatecompliance.org/exam-information](http://corporatecompliance.org/exam-information).

### I have more questions about exams and seeking certification; who can help me?

For more questions about CCB certifications, call to speak to a Certification Specialist at +1 952.933.4977 or 888.277.4977 or email [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org).