

10th Annual SCCE

ECEI

European Compliance
& Ethics Institute

22–23 March 2022 • Virtual

CELEBRATE
A DECADE OF
ECEI

Get guidance and insight from today's industry leaders and learn practical solutions you can use to mitigate risk in your organization and strengthen a global compliance and ethics program.

- Anti-corruption
- Pandemic learnings
- Crisis management
- Data privacy and data protection
- Implementing global trade compliance
- Investigations
- Risk management
- Conflict of interest
- Whistleblowing
- ESG
- Healthcare compliance

Register online
corporatecompliance.org/2022ECEI



It's time to celebrate a decade of the European Compliance & Ethics Institute (ECEI)!

Join us virtually to learn more about the challenges facing the European and global compliance and ethics community. Get insights and guidance on emerging issues, hear strategies for mitigating risk, and learn how to better strengthen your organization's compliance and ethics program.

The ECEI's educational sessions are organized by knowledge level (basic, intermediate, and advanced) and will provide you with the opportunity to earn live Compliance Certification Board (CCB)[®] continuing education units (CEUs).

Who should attend?

- Compliance and ethics professionals
- In-house and outside counsel
- Audit managers/officers
- Information and privacy officers
- Regulators and other government personnel
- Risk managers
- Corporate executives and leaders
- Researchers and policy makers
- Human resource managers

SCCE's mission

Society of Corporate Compliance and Ethics[®] (SCCE) exists to champion ethical practices and compliance standards and to provide the necessary resources for ethics and compliance professionals and others who share these principles.

Tuesday, March 22

9:45–10:45 CET	GS1 Emerging Issues in European Compliance
10:45–11:00 CET	Break
11:00–12:00 CET Breakout Sessions	T1A Challenges and solutions in managing sanctions compliance in the complexities of the current world
	T1B Post-Ethics Investigations: What's Next? Remediation or Recurrence? – Basic
	T1C If Orange is the New Black, is ESG the New CSR? Was CSR All Greenwash? – Advanced
12:00–12:15 CET	Break
12:15–13:15 CET Breakout Sessions	T2A Petrobras: An Excelling Ethical Journey – Intermediate
	T2B Stepping Up to the Plate: What Leaders Want from Their Compliance Teams in a Crisis – Intermediate
	T2C ESG: Procurement, Compliance & 3rd Parties – Intermediate
13:15–13:30 CET	Break
13:30–14:30 CET Breakout Sessions	T3A Session TBA
	T3B The Digital Transformation: Bringing Compliance to Life – Advanced
	T3C Global Accessibility Trends: Best Practices to Reach Equitable Access Compliance across a Broad Portfolio of Websites, Mobile Apps, or IoT Products – Intermediate
14:30–15:15 CET	Mid Conference Break
14:30–15:00 CET	SpeedNetworking (optional)
15:15–16:15 CET Breakout Sessions	T4A Conducting Interviews in a Post-COVID European Investigation – Intermediate
	T4B Trust Me I'm a.... Compliance Officer! How to Build Trust and Evidence Value in the Compliance Function across Your Organization – Intermediate
	T4C Make me Care: How ESG Flips the Script on Compliance Training – Intermediate
16:15–16:30 CET	Break
16:30–17:30 CET Breakout Sessions	T5A Compliance in Mergers and Acquisitions: Onboard the Board – Intermediate
	T5B Beyond Compliance: A Novartis Perspective – Intermediate
	T5C Measure Twice, Cut Once: Compliance Due Diligence in Multi-National Transactions – Intermediate

Wednesday, March 23

9:45–10:45 CET	GS2 Iceland's Secret - Lessons from the World's Biggest Con
10:45–11:00 CET	Break
11:00–12:00 CET Breakout Sessions	W1A Effective Third-Party Due Diligence in LATAM – Intermediate
	W1B Cultural Divide and Compliance – Intermediate
	W1C Compliance & Ethics Challenges in the Wake of the War in Ukraine
	W1D Ethics at Work in Unprecedented Times - the good, the bad and the ugly – Intermediate
12:00–12:15 CET	Break
12:15–13:15 CET Breakout Sessions	W2A Post-COVID: How to be a Truly Effective Compliance Officer – Intermediate
	W2B Anti-Bribery Compliance During and After COVID: How Training, Communications, Due Diligence, and Investigations Have Changed – Intermediate
	W2C Investigating Compliance Cases Internally: The Beast and the Beauty – Intermediate
	W2D Successfully Detecting Compliance and Business Ethics Risks and Dealing with Taboos and Blind Spots within an Organization – Advanced
13:15–13:30 CET	Break
13:30–14:30 CET Breakout Sessions	W3A The Role of Risk Assessment in Compliance and Ethics Programs: Proportionality, Detection, and Beyond – Intermediate
	W3B Advanced Investigations in Multi-National Companies – Advanced
	W3C Compliance Micro-Learning: A Large-Scale, Evaluated Pilot at the UK Retailer Tesco – Advanced
	W3D Typical Pitfalls in the Daily Life of Salesmen: From Little Goodies for the Customers to Resale Price Maintenance, Hub and Spoke Cartels, and the Boundaries of Consortia and Subcontracts: Dos and Don'ts of How to Stay Safe in Europe – Basic
14:30–15:15 CET	Mid Conference Break
14:30–14:50 CET	Trivia (optional)
15:15–16:15 CET Breakout Sessions	W4A A European Breakthrough: An Analysis of the Implications of the New AML Authority and AML Regulation for Businesses in Europe – Intermediate
	W4B Formula 1: What Driving Too Fast Can Teach Us about Compliance and Ethics – Intermediate
	W4C Beyond GDPR: Examining New Privacy Laws in the US and Around the World – Intermediate
	W4D The Present and Future of Data Protection and Privacy – Intermediate
16:15–16:30 CET	Break
16:30–17:30 CET Breakout Sessions	W5A Innovate Your Corporate Culture: How to Create Lasting Transformation – Advanced
	W5B The New European (and Global) Anti-Corruption Standard: Increased Expectations for Third-Party Management – Intermediate
	W5C Recognising the differences in cultural, language and business norms when managing global relationships – Intermediate
	W5D ESG Education for Leadership and Colleagues

9:45–10:45 CET

GS1 Emerging Issues in European Compliance

PATRICK WELLENS, Board Member, Ethics and Compliance Switzerland

LUCREZIA BUSA, Policy Officer, European Commission

NADEGE ROCHEL, Healthcare Compliance Officer Italia, The Janssen Pharmaceutical Companies of Johnson & Johnson

TONNIS POPPEMA, Global Head of Compliance, Nyxstar

- Recent developments affecting compliance programs in Europe
- Legal and regulatory developments
- The importance of innovation in compliance programs

10:45–11:00 CET

Break

11:00–12:00 CET

CONCURRENT SESSIONS

T1A Challenges and solutions in managing sanctions compliance in the complexities of the current world

ROBERT GOULD, Global Head of Ethics & Compliance, SITA

BEN SMITH, Partner, Baker McKenzie

- Monitoring and reacting to sanctions developments in the current, changing world
- Managing sanctions risk on a global basis
- The interplay between sanctions and other compliance risk areas

T1B Post-Ethics Investigations: What's Next? Remediation or Recurrence?

Level: Basic

ALAN DILLANE, Global Remediation Program Manager, Dell

- Learn what remediation is and how it can drive a downward trend in similar cases
- Develop a remediation program with a step-by-step approach that becomes embedded in your company DNA
- Perform case handover to root cause analysis to partnering with the business to drive change

T1C If Orange Is the New Black, Is ESG the New CSR? Was CSR All Greenwash?

Level: Advanced

MARIA LANCRI, Attorney, Partner, Squair

PAULA DAVIS, Director, Waypoint GRC

SUSAN DU BECKER, Director, Risk & Resiliency, Microsoft Systems

- Explore the substantive differences and possible synergies between CSR and ESG
- Understand the impact of new ESG-related legislation and regulation on businesses globally
- Build a strategy for your international compliance programme to drive value from ESG initiatives

12:00–12:15 CET

Break

12:15–13:15 CET

CONCURRENT SESSIONS

T2A Petrobras: An Excelling Ethical Journey

Level: Intermediate

SALVADOR DAHAN, Executive Director, Chief Governance & Compliance, Petrobras

- Learn how Brazil's largest company turned around one of the most notorious corruption scandals
- Share strategies to foster the compliance program and promote a solid culture of ethics and integrity
- Explore mechanisms to ensure that a state-owned company maintains its independence and governance

T2B Stepping Up to the Plate: What Leaders Want from Their Compliance Teams in a Crisis

Level: Intermediate

JONATHAN ARMSTRONG, Partner, Cordery

MARY SHIRLEY, Head of Culture of Integrity and Compliance Education, Fresenius Medical Care North America

KEVIN PATERSON, Assistant General Counsel and DPO, Insight Direct (UK) Ltd

- Companies face more crises than ever before in part due to increased public appetite for bad news and more scrutiny from the press, regulators, and stakeholders. Compliance professionals should be a key part of the response team
- Three leading experts with experience of handling worldwide crises discuss the compliance officer's role when things go wrong
- The panel will focus on practical tips and tools to help you when a crisis hits your organisation

T2C ESG: Procurement, Compliance & 3rd Parties

Level: Intermediate

CECILIA FELLOUSE-GUENKEL, General Manager, COMPLIANCE FOR GOOD

SUSAN DU BECKER, Director, Risk & Resiliency, Microsoft Systems

- How do you address the changing landscape of 3rd party management
- Traceability, KPIs and proving the deliverables. Answering social justice.
- Leveraging your compliance power house to the benefits of your market strategy

13:15–13:30 CET

Break

13:30–14:30 CET

CONCURRENT SESSIONS

T3A Session TBA

T3B The Digital Transformation: Bringing Compliance to Life

Level: Advanced

MARTIN LONSTRUP, Vice President and Head of Group Compliance, Sandvik

KRISTY GRANT-HART, Founder & CEO, Spark Compliance

- Learn about Sandvik’s exciting transformation to bring compliance to a complex organisation
- Hear best practices for creating a digital agenda and utilizing technology more effectively
- Understand how Sandvik brought its training program to the next level, and how you can too

T3C Global Accessibility Trends: Best Practices to Reach Equitable Access Compliance across a Broad Portfolio of Websites, Mobile Apps, or IoT Products

Level: Intermediate

MICHELE LANDIS, Co-Founder, Accessible360, LLC

- Understand specific laws, requirements, and standards in the countries you operate in so that you can advise and take action across business units to build a sustainable approach to inclusive design and development
- Structure a corporate policy of truly inclusive digital practices for external users of products, in addition to within the organization, to ensure inclusive job postings, on-boarding employees, and all corporate communications offer equitable access
- Cut through the noise on this niche compliance topic. There is a lot of bad information out there and no quick fixes for this. Explore stories “from the front lines” for those struggling to ignite urgency within their organizations on this human civil rights issue

14:30–15:15 CET

Mid Conference Break

14:30–15:00 CET

Speed Networking (Optional)

Connect one-on-one with your ECEI peers in this virtual Speed Networking event. It is a great way to build your network efficiently with meetings specifically matched to you! Pre-registration is required and open to conference attendees only. Sign up before Tuesday, 22 March to secure your spot.

15:15–16:15 CET

CONCURRENT SESSIONS

T4A Conducting Interviews in a Post-COVID European Investigation

Level: Intermediate

MERIC BLOCH, Global Head of Investigations, Booking Holding, Inc.

- Learn and apply Council of Europe standards for conducting interviews of suspected employees
- How to prepare for the remote interview, especially the technological challenges presented
- Techniques for conducting the interview, including new considerations and how to update your style

SCCE members save on registration!

Become a member to save and receive access to exclusive compliance resources.

Benefits include:

- Member-exclusive resources: 4 webinars | *Compliance & Ethics Professional*® (CEP) Magazine | *Ethikos*® quarterly newsletter
- Discounts on: 45+ conferences, publications, and Compliance Certification Board (CCB)® certifications



[Learn more](#)

T4B Trust Me I'm a... Compliance Officer! How to Build Trust and Evidence Value in the Compliance Function across Your Organization

Level: Intermediate

ROBERT GOULD, Global Head of Ethics & Compliance, SITA

PAULA DAVIS, Director, Waypoint GRC

- Build your unique compliance value proposition, and position compliance as a business enabler
- Identify tools and tactics to create trust and win confidence from the C-suite to the shop floor
- Understand the behaviours, competencies, and skills exhibited by successful compliance leads

T4C Make me Care: How ESG Flips the Script on Compliance Training

Level: Intermediate

TRICIA CORNELL, Head of Creative Services, Rethink Compliance

KIRSTEN LISTON, Principal, Rethink Compliance

- Increasingly, the public sees corporations as social actors and employees do too
- Learn how your compliance training can speak to not just the law but the world we want to live in
- See examples of compliance training that positions your company as one employees, investors, and the public want to be involved with

16:15–16:30 CET

Break

16:30– 17:30 CET

CONCURRENT SESSIONS

T5A Compliance in Mergers and Acquisitions: Onboard the Board

Level: Intermediate

ERIC SEASSAUD, General Counsel, VINCI Construction Grands Projects

LISA BETH LENTINI WALKER, CEO and Founder, Lumen Worldwide Endeavors, SCCE & HCCA Board Member

MARIA LANCRI, Attorney, Partner, Squair

- The necessity for due diligence: How to convince the board
- Tips to convince management
- Hear triumphs and trials with integrated due diligence

T5B Beyond Compliance: A Novartis Perspective

Level: Intermediate

TRUDY TAN, Global Head Ethics, Risk & Compliance, Novartis Pharma

- Why organizational culture is so important
- How to integrate risk management into your program and ways of working
- How to support associates to do what is right (embedding ethical decision-making)

T5C Measure Twice, Cut Once: Compliance Due Diligence in Multi-National Transactions

Level: Intermediate

LOUIS PEROLD, Principal, Citadel Compliance, SCCE & HCCA Board member

KRISTA MUSZAK, GS SOX Controls and Compliance, Supervisor, Johnson & Johnson

- Catalog tools to identify and mitigate merger and acquisition, third-party, and emerging market risks in international business endeavors
- Understand recent anti-bribery/anti-corruption enforcement activities to benchmark your internal methods
- Apply best practices relating to risks with emerging markets, focusing on legislation to consider, enforcement, local culture, and due diligence.



9:45–10:45 CET

GS2 Iceland's Secret – Lessons from the World's Biggest Con

JARED BIBLER, Author, Iceland's Secret: The Untold Story of the World's Biggest Con

MODERATOR:

GERRY ZACK, CEO, SCCE & HCCA

There is much more than most people realize to the story of the collapse of Iceland's three banks in 2008. The lessons for compliance and ethics professional are numerous. Hear directly from one of the lead investigators, Jared Bibler, whose recent book "Iceland's Secret: The Untold Story of the World's Biggest Con" was described in The Financial Times in January 2022 as "a fantastic read and "an eye-opener." Jared's book finally tells the full story of corruption, painting a remarkable picture while also serving as a cautionary tale – and maybe even a roadmap – for our future

10:45–11:00 CET

Break

11:00–12:00 CET

CONCURRENT SESSIONS

W1A Effective Third-Party Due Diligence in LATAM

Level: Intermediate

MARIANA IDROGO, Founder and SMD, G5 Integritas

MELINA LLODRA, Partner, Llodra Attorneys at Law

- What is an effective due diligence? Defining criteria to run DD. What aspects should we focus on? Defining relevant third parties. The regional factor: The challenge of finding useful information. The impact left by COVID-19
- Due diligence approved: What is next? Contractual ABC mechanisms, periodic due diligence of long-term contracts, education, and training
- How to conduct effective monitoring. Periodicity. Key issues. What to do when the results are not what we are looking for.

W1B Cultural Divide and Compliance

Level: Intermediate

JAN SPRAFKE, Head of Compliance Europe & Latin America, Ericsson

JAD MHANNA, Regional Compliance Officer, Ericsson

- Why is implementing compliance in non-Western countries hard and prone to failure?
- Are the current ABC laws adapted to non-Western cultures?
- How to reconcile Western-born compliance with local people and cultures

W1C Compliance & Ethics Challenges in the Wake of the War in Ukraine

GEERT VERMEULEN, CEO, ECMC

CHARLES VERNON, Managing Partner, Vernon David & Associates

- Understanding sanctions and other prohibitions
- Reassessing your risk profile
- Understanding the ethical issues

W1D Ethics at Work in Unprecedented Times - the good, the bad and the ugly

Level: Intermediate

DR. IAN PETERS, Director, Institute of Business Ethics

- The good news; many organisations have responded well to COVID
- The bad news: unethical behaviour still persists
- The ugly: many of those who speak up suffer retaliation

12:00–12:15 CET

Break

12:15–13:15 CET

CONCURRENT SESSIONS

W2A Post-COVID: How to be a Truly Effective Compliance Officer

Level: Intermediate

JANE L. MITCHELL, Director, JL&M Ltd.

- An interactive session exploring the impact of changing work practices on your compliance program
- Share practical lessons emerging from today's evolving workplace and shifting employee expectations
- Learn how to make the most of the opportunity of change to optimise your program's effectiveness

W2B Anti-Bribery Compliance During and After COVID: How Training, Communications, Due Diligence, and Investigations Have Changed

Level: Intermediate

CAROLYN LINDSEY, Global Head of Compliance, The Boston Consulting Group

JOSH BIRENBAUM, Research & Policy Analyst, TRACE International

KATE GARFINKEL, Senior Vice President, Chief Ethics, Compliance and Privacy Officer, Alcoa

- The compliance risks of hybrid work: conducting a risk assessment adapting your compliance program
- Conducting and managing anti-bribery investigations: New considerations and approaches
- Managing the components of your compliance program from automation to communication

W2C Investigating Compliance Cases Internally: The Beast and the Beauty

Level: Intermediate

URSULA SCHMIDT, Managing Director, Schmidt Advisory Sarl

- Distinguish between a case and a rumor through a clear protocol
- Define the role of compliance and other stakeholders in investigating compliance incidents
- Learn about risks and benefits of internal compliance investigations

W2D Successfully Detecting Compliance and Business Ethics Risks and Dealing with Taboos and Blind Spots within an Organization

Level: Advanced

MARKUS JUETTNER, Vice President & Global Head of Compliance, E.ON SE

- Understanding the culture and informal rules of an organization
- Learning about personal and organisational blind spots and biases
- Successful detection of hidden conduct risks

13:15–13:30 CET

Break

13:30–14:30 CET

CONCURRENT SESSIONS

W3A The Role of Risk Assessment in Compliance and Ethics Programs: Proportionality, Detection, and Beyond

Level: Intermediate

ZOE TAYLOR-JONES, Group Head of Ethics and Compliance, Serco

- Look at examples of how to develop a robust risk-based approach and when it is not necessary
- Use a framework to detect and assess risks and issues and learn about pitfalls and easy wins
- You have assessed your risks... what's next? How best to approach mitigation and monitoring

W3B Advanced Investigations in Multi-National Companies

Level: Advanced

JANNICA HOUBEN, VP, Global Legal Transformation, Tech Data Corporation

KATARZYNA GOLONKA, Vice President Global Compliance, Tech Data Corporation

- Key success factors: Assembling the team, data capture, crisis communication, and reporting
- Comprehensive analysis of the investigation process: Counsel, compliance officer, and forensic insights
- Practical examples and mini case studies: Using external vs. internal team.

W3C Compliance Micro-Learning: A Large-Scale, Evaluated Pilot at the UK Retailer Tesco

Level: Advanced

DAVID WARD, Legal Director, Tesco

SARAH TWAITES, Business Integrity Manager, Tesco

- Learn about the micro-learning pilot that Tesco ran for more than 2,000 colleagues
- Understand how the pilot was evaluated and how it was successful in meeting its learning objectives
- Consider where next for micro-learning versus traditional computer-based compliance training

W3D Typical Pitfalls in the Daily Life of Salesmen: From Little Goodies for the Customers to Resale Price Maintenance, Hub and Spoke Cartels, and the Boundaries of Consortia and Subcontracts: Dos and Don'ts of How to Stay Safe in Europe

Level: Basic

HEIKO HELLWEGE, Attorney, PFK WMS

CHRISTINA HUMMER, Partner, SCWP Schindhelm

- Kickbacks, tickets for a football game, a treat in a Michelin restaurant: Is everything forbidden and all the fun abolished? A practical guide presenting the options left for salespeople to persuade and reward their customers
- Providing guidance for setting resale prices: What and where is this still allowed? How to react to complaints from customers about resale prices of their (online) competitors
- What tools may the purchasing department use for its own price negotiations? Is showing the offer of a competitor already an illegal hub and spoke cartel? What are the boundaries for subcontracts?

14:30–15:15 CET

Mid Conference Break

14:30–14:50 CET

Trivia (optional)

Join us during the mid-conference break, for a fun game of Points Timer Trivia via CrowdPurr! Test your knowledge in this exciting challenge and have fun while on break. Prizes given to the top winners!

15:15–16:15 CET

CONCURRENT SESSIONS

W4A A European Breakthrough: An Analysis of the Implications of the New AML Authority and AML Regulation for Businesses in Europe

Level: Intermediate

PIERRE SIMON, Managing Director, Principal Consultant, Simon Consulting

DARIA PATRASCOIU, Compliance Analyst, Simon Consulting

- Learn about the deficiencies of the European Union's current AML/CFT institutional framework
- Understand the powers of the new to-be-established AML Authority and how it changes the game
- Learn about the changes of the new AML Regulation and the implications on your compliance programme

W4B Formula 1: What Driving Too Fast Can Teach Us about Compliance and Ethics

Level: Intermediate

KORTNEY NORDRUM, Regulatory Counsel & Chief Compliance Officer, Deluxe Corporation

DR. RICHARD LEWIS, Chief Constable, Dyfed Powys Police and National Police Chiefs' Council lead for Ethics

TY FRANCIS, MBE, Chief Advisory Officer, LRN

- The importance of building a strategy to guide your team
- Making the right choice when there is no margin for error
- Turning in your competition: Whistleblowing or whining?

W4C Beyond GDPR: Examining New Privacy Laws in the US and Around the World

Level: Intermediate

ROBERT BOND, Legal & Regulatory Services, Bond & Bond LTD, SCCE & HCCA Board President

BRADLEY HAMMER, Attorney, Founder, Vakaris

- New privacy laws in Brazil, South Africa, and the US are complicating the global privacy landscape
- While the laws have many similarities, differences abound that will complicate a privacy compliance
- This session will examine, compare, and contrast the news laws with one another and in light of GDPR

W4D The Present and Future of Data Protection and Privacy

Level: Intermediate

MODERATOR:
YVONNE HILST, Ethics & Compliance Officer, VEON

MATHIAS CELLARIUS, Group Data Protection Officer, Head of Data Protection & Export Control, SAP

CORNELIA KUTTERER, Senior Director, Responsible Tech & Competition, European Government Affairs, Microsoft

LEONARDO CERVERA-NAVAS, Director, European Data Protection Supervisor

- Practical solutions for current challenges
- Implications of current and pending regulatory changes
- Managing the ever-changing privacy risk landscape

16:15–16:30 CET

Break

16:30–17:30 CET

CONCURRENT SESSIONS

W5A Innovate Your Corporate Culture: How to Create Lasting Transformation

Level: Advanced

ALISIA GRENVILLE, Head of Group Compliance, Oerlikon

- Understand the impact of culture on performance so as to create high performing teams
- Engage differently (constructive, future- and solution-oriented) with self, team, and tasks
- Lead the architecture and implementation of a cultural transformation

W5B The New European (and Global) Anti-Corruption Standard: Increased Expectations for Third-Party Management

Level: Intermediate

FREDERIC TARDIEU, Senior Legal Counsel, Airbus Helicopters Legal & Compliance

NATHANIEL EDMONDS, Partner, Paul Hastings LLP

- Learn how Airbus transformed its third-party system in the midst of global corruption investigations
- Understand the challenges of managing third parties and the role of data for an effective program
- Gain key insights into global enforcement expectations and how to integrate those into your program

W5C Recognising the differences in cultural, language and business norms when managing global relationships

Level: Intermediate

ROBERT BOND, Legal & Regulatory Services, Bond & Bond LTD, SCCE & HCCA Board President

J. VERONICA XU, Chief Compliance Officer, Saber Healthcare Group

GERRY ZACK, CEO, SCCE & HCCA

- Understand the risks facing organizations that conduct business in regional and global markets.
- Identify challenges and barriers in the process of implementation.
- Provide practical tips, ideas and solutions to support compliance efforts in a diverse environment.

W5D ESG Education for Leadership and Colleagues

ROY SNELL, Strategic Advisor, Osprey ESG Software

SARAH TISCHER, Head of Group Compliance & Responsible Business Program Manager, Neumann Kaffee Gruppe

- Benefits of an ESG program
- Communicating and Marketing ESG
- Terminology, myths and facts; Elements of an effective ESG program

10th Annual European Compliance & Ethics Institute

22–23 March 2022 • Virtual • Central European Time (CET)



SECTION 1 Attendee Information

Mr Mrs Ms Dr Other _____ Member/Account ID (if known/applicable) _____

First Name _____ MI _____ Last Name _____

Credentials (CHC, CCEP, etc.) _____ Job Title _____

Organization (name of employer) _____

Street Address _____ City/Town _____

State/Province _____ Zip/Postal Code _____ Country _____

Work Phone _____ Email (required) _____

SECTION 2 Registration

Options

<input type="checkbox"/> Member	\$525.00
<input type="checkbox"/> Non-Member	\$675.00
<input type="checkbox"/> Registration + First-Time Membership Offer*	\$745.00

*Save by joining today (first-time members only). Dues renew at \$325. See "Acknowledgements" below for details.

Group Discount

<input type="checkbox"/> Group Discount for 3 or More**	(\$50.00)
<input type="checkbox"/> Group Discount for 10 or More**	(\$100.00)

**See "Group Discount Policy" under "Acknowledgments" below for details.

TOTAL \$ _____ USD

SCCE Membership: By selecting the Registration + First-Time Membership Offer, you agree to the full membership Terms and Conditions, including the use of your information, viewable at corporatecompliance.org/membership/tandc. To see the full use of your information or if you wish to opt-out, visit corporatecompliance.org/privacy.

Opt-Out: Select if you would like to opt-out of the following:

Online Member Directory: SCCE's member directory lists first and last name, organization, title, address, and phone number.

SECTION 3 Payment

Online registration at corporatecompliance.org/2022ECEI

Mail to SCCE, 6462 City West Parkway, Eden Prairie, MN 55344 USA Fax to +1 952.988.0146

Email to helpteam@corporatecompliance.org — Due to PCI compliance, do not provide credit card information via email. Email this form without credit card information, then call SCCE at +1 952.933.4977 or 888.277.4977 with your payment.

- Invoice me
 Check enclosed (payable to SCCE)
 Wire transfer requested
 I authorize SCCE to charge my credit card: Visa MasterCard Discover American Express

Credit Card Account Number _____ Expiration Date _____

Cardholder Name _____ Cardholder Signature _____

Billing Address _____ Billing Zip/Postal Code _____

SECTION 4 Acknowledgements

By registering for this event, you agree to the full event Terms and Conditions, viewable at corporatecompliance.org/conference/tandc, including the use of your information that may be shared with conference exhibitors, attendees, speakers, affiliates, and partners for promotional and/or networking purposes. To see the full use of your information or if you wish to opt-out, visit corporatecompliance.org/privacy.

This virtual event registration form allows only the registered attendee to stream, participate, and earn CEUs for the event. If a second person would like to join, they must register themselves and have their own unique login to participate. No audio or video recording by attendees is allowed.

Group Discount Policy: Registration forms must be sent together to ensure that the discount is applied. The group discount is not available through online registration. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

New Members: By selecting the Registration + First-Time Membership Offer, you agree to the full membership Terms and Conditions, including the use of your information, viewable at corporatecompliance.org/membership/tandc.

Photo/Video Release: By registering for this event, you grant SCCE, or anyone authorized by SCCE, the right to use or publish in print or electronic format, any photographs or video containing your image or likeness for educational, news, or promotional purposes, without compensation.

Frequently Asked Questions

How will I access this event the day the workshop begins?

Before the event starts, you will receive an access email with links to the virtual platform and additional conference information such as the presentations. The email goes out 1–3 days prior to the event start date and is sent to the primary email address on your account. Please be sure to check your spam folder.

What is included in the cost of my attendance?

Virtual access to the sessions, access to any supplemental conference materials, and networking opportunities.

What software is required to attend this event?

We use a variety of platforms based on the needs of each conference, but they are all web-based platforms and require a strong and stable internet connection. We highly recommend testing the platform once the access email is received to confirm you can access the platform, view the video player, and utilize the chat feature. Occasionally, those who access these digital platforms over a VPN can experience technical difficulties. Zoom will be utilized for small group discussions at applicable events, but downloading Zoom is not necessary, as they have a web-based version as well.

Can my co-workers listen in with me using screensharing or sitting together in the same room?

No, each individual who would like to participate in the conference needs to complete and submit an individual registration form. We do offer group discounts; please see our group discount options below.

Will I receive a recording of this conference?

No. Registered attendees must participate in this event in real time as recordings are not available for any missed sessions. No audio or video recording by attendees is allowed.

Recordings of this event will be available for purchase. Registered attendees will have the option to purchase the recordings at a discounted rate. Please visit the event web page to access the recording order form. No audio or video recording by attendees is allowed.

Is there a group discount, and if so, what is it?

Yes, we offer discounts for groups of three or more from the same organization for all our live in-person and virtual events (excluding webinars). Please send all group registration forms together to helpteam@corporatecompliance.org for processing. A separate registration form is required for each registrant. The group discount is NOT available through online registration. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount. For groups of 10 or more, please call +1 952.933.4977 or 888.277.4977 or email helpteam@corporatecompliance.org.

What do I get with “Registration + First-Time Membership?”

If you've never been an SCCE member, you can register as a First-Time Member. This gives you SCCE membership at a discounted rate for your first year. You also receive the member rate for the conference. As a member you receive all SCCE member benefits (discounts, *Compliance & Ethics Professional*® (CEP) monthly magazine, *Ethikos*® digital quarterly newsletter, member-exclusive webinars, and more). A full list of benefits can be viewed at corporatecompliance.org/membership. Your membership will begin once payment is received.

How do I use the credit on my account for this event?

You can complete the registration online and select the “Invoice Me” payment option at checkout. Once you receive your confirmation, email helpteam@corporatecompliance.org or call +1 952.933.4977 or 888.277.4977 to request your credit be applied toward the registration fee.

Can I get the member rate if I am an HCCA member instead of SCCE or vice versa?

Yes. As a member of SCCE or HCCA, you can receive the membership discount for both organizations' conferences; however, this cannot be done online. Please send your registration form via email to helpteam@corporatecompliance.org to complete your registration.

How can I cancel my registration?

If you need to cancel your participation (or send a substitute), your request must be submitted by email to helpteam@corporatecompliance.org. A conference credit will be issued for all registration fees paid (minus any cancellation fee) and will expire 12 months from the date of the original canceled event. Conference credits will not be issued if you do not attend the event and have not requested cancellation prior to the event start date. If sending a substitute, an additional fee may apply depending upon the membership status of the substitute.

Who can I notify of special needs or concerns prior to the event?

Please call SCCE at +1 952.933.4977 or 888.277.4977 or email helpteam@corporatecompliance.org if you have a special need and/or require an accommodation to participate.

Continuing Education

Can I earn continuing education units (CEUs) for attending this conference?

Yes. This conference offers live Compliance Certification Board (CCB)[®] continuing education units (CEUs) for participation as well as other external credit types. For those attendees seeking external credit types, you must participate in the conference using the online virtual conference format (not just using the dial in) for attendance monitoring purposes.

To see the most up-to-date CEU approval information, go to SCCE's website, corporatecompliance.org/all-conferences, choose this conference, and then select the Continuing Education option on the left-hand menu.

How many CEUs will I earn from attending?

CEUs are assessed based on actual attendance and credit type requested. Should the overall number of education hours you attend or that the conference offers decrease or increase, the maximum number of CEUs available will be changed accordingly.

How do I request CEUs following this conference?

Following this conference, you will be provided the Application for Continuing Education Units (CEUs). To receive CEUs, you must submit this completed application following the conference to ccb@compliancecertification.org. Only registered attendees are eligible to request CEUs for participation.

When will I receive my CEU certificate for participation?

Once your completed Application for Continuing Education Units (CEUs) has been received by our staff, your CEU account will be updated within 2–4 weeks. To view your CCB CEUs and access your certificate, you can log in to your online to your corporatecompliance.org account, go to your Account Dashboard, and scroll down to View My CEUs.

I would like to sit for one of the Compliance Certification Board (CCB)[®] exams following this conference; will I qualify?

In order to qualify for a CCB certification exam, you must review the applicable Candidate Handbook found at corporatecompliance.org/candidate-handbooks to ensure you meet the CEU requirement as well as the work experience requirement.

I have reviewed the Candidate Handbook and want to apply for the exam as soon as the conference concludes; what's next?

Immediately following the conclusion of the conference, if you have reviewed your Candidate Handbook, submitted your Application for Continuing Education Units (CEUs), and confirmed you will meet the CEU requirements, you can go ahead and apply online for your exam at corporatecompliance.org/apply-exam.

Can I take my exam remotely?

Yes, CCB offers the flexibility for candidates to take their exam remotely, at a local testing site, or following certain SCCE conferences. To learn more about our various testing options, visit SCCE's website, corporatecompliance.org/exam-information.

I have more questions about exams and seeking certification; who can help me?

For more questions about CCB certifications, call to speak to a Certification Specialist at +1 952.933.4977 or 888.277.4977 or email ccb@compliancecertification.org.