

AGENDA AND LEARNING OBJECTIVES / ALL TIMES LISTED ARE IN PACIFIC DAYLIGHT TIME (PDT)

8:25 AM PDT

Opening Remarks

8:30 – 9:30 AM PDT

Supply Chain Due Diligence, Bribery & Investigations

Candice Tal, CEO & Founder, Infortal Worldwide

David Saltzman, Partner, Squire Patton Boggs

- Bribery and corruption: Which way are things going and what can you do to reduce risk?
- Modern slavery: How to manage supply chain risk
- Developing an effective workplan for conducting risk assessments, mapping risks, and updating procedures to detect new vulnerabilities

9:30 – 9:45 AM PDT

Break

9:45 – 10:45 AM PDT

Diversity, Equity, Inclusion (DEI)

Junna Ro, General Counsel, Metromile

Molly Ford, VP Global Equity Programs, Salesforce

Ling-Ling Nie, General Counsel, Georgia Tech

- Introduction to diversity, equity, and inclusion (DEI) basics for the compliance professional
- Strategies for collaboration between compliance and DEI
- Why infusing DEI is a business imperative

10:45 – 11:00 AM PDT

Break

11:00 AM – 12:00 PM PDT

The New Age of Data Privacy

Lisa K. Borgeson, Senior Director, Legal, Worldwide Compliance, Employment and Data Privacy, NetApp Inc.

Matti Neustadt Storie, Director, Privacy & Data Security, NetApp Inc.

- Overview of updates to global privacy laws
- Understanding the impact of privacy laws on digital transformation
- Building an engagement model based on first principles

12:00 – 1:00 PM PDT

Mid-Conference Break

12:15 – 12:45 PM PDT

Networking & Discussion (optional)

Connect with your fellow attendees and enjoy a free-flowing conversation about what stood out during the sessions or a compliance challenge you want feedback on. The objective here is to enable conference participants to network with and learn from each other and help overcome the isolation of the past months. This optional networking event will be held on Zoom, and participants may choose to be on camera.

1:00 – 2:00 PM PDT

International Business and Trade - Recent Developments

Laura Tomarchio, Director, Trade Compliance, ARM

Vince Jesaitis, Director, Government Affairs, ARM

Ed Bond, Director, Export Regulation Office, IBM

- International Trade Developments in the US, EU, Asia and Key Emerging Issues for Compliance Programs
- Overview of International Trade Policy Themes for the Biden Administration
- Human Rights Regulatory Developments and Best Practices in Managing Compliance Programs

2:00 – 2:15 PM PDT

Break

2:15 – 3:15 PM PDT

How to Seize the Opportunities of ESG (Environmental, Social, and, Governance)

Karen Alonardo, VP ESG Solutions, NAVEX Global

Kim Vu, Senior Manager, ESG, Applied Materials

Misty Robinson, Director, Ethics & Compliance, Applied Materials

Chris Librie, Director, ESG, Applied Materials, Inc.

- Learn key elements driving popularity of ESG factors and the risks of not embracing them
- Understand the most important ESG ratings and criteria
- Gather advice on vital ESG best practices and processes

3:15 – 3:30 PM PDT

Break

3:30 – 4:30 PM PDT

Careers in Compliance

Daniel Clough, Head of Legal & Public Policy Recruitment, JCW Group

Mark Gursky, VP & Chief Compliance Officer, KLA

Fabiana Lacerca-Allen, SVP Compliance, Aimmune Therapeutics

- Characteristics of a strong corporate compliance & ethics leader
- Key corporate areas of focus for compliance & ethics practitioners (addresses both bread-and-butter functions and programs vs emerging areas of importance)
- New responsibilities & emerging operating dynamics that practitioners need to account for (can address both pandemic-related and unrelated trends that are changing the way compliance & ethics teams function)

Registration Fee

<input type="checkbox"/>	SCCE Member	\$155
<input type="checkbox"/>	Non-Member	\$195
<input type="checkbox"/>	Registration + First-Time SCCE Membership Offer*	\$375

*Save by joining SCCE today (first-time members only) Dues regularly \$325

Group Discounts

<input type="checkbox"/>	Group Discount for 3 or More**	(\$20)
--------------------------	--------------------------------	--------

**See "Group Discount Policy" under "Acknowledgements" for details.

TOTAL \$ _____

Contact Information

Mr Mrs Ms Dr

Member/Account ID (if known)

First Name _____ MI _____ Last Name _____

Credentials (CHC, CCEP, etc.)

Job Title

Organization (Name of Employer)

Street Address

City/Town _____ State/Province _____

Zip/Postal Code _____ Country _____

Work Phone

Email (required)

Payment

Online registration at [corporatecompliance.org/regionals](https://www.corporatecompliance.org/regionals)

Mail to SCCE, 6462 City West Parkway, Eden Prairie, MN 55344 USA

Fax to +1 952.988.0146

Email to helpteam@corporatecompliance.org — Due to PCI compliance, do not provide credit card information via email. You may email this form (without credit card information), then call SCCE at +1 952.933.4977 or 888.277.4977 with payment information.

- Invoice me
- Check enclosed (payable to SCCE)
- Wire transfer requested
- Credit card: I authorize SCCE to charge my:
- Visa MasterCard Discover American Express

Credit Card Account Number

Credit Card Expiration Date

Cardholder Name

Cardholder Signature

Virtual Conference

How does a virtual event work? On the day of the conference, log in during the scheduled session times using the link and access provided to watch and listen to the speakers and ask questions live.

Continuing Education

Credits are assessed based on actual attendance and credit type requested. Should the overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Only registered attendees are eligible to request CEUs for participation. Attendees must participate in the virtual conference using the online virtual conference format (not just using the dial in) for attendance monitoring purposes.

Compliance Certification Board (CCB)®: CCB has approved a maximum of 7.2 CEUs for these certifications: Certified in Healthcare Compliance (CHC)®, Certified in Healthcare Compliance–Fellow (CHC-F)®, Certified in Healthcare Privacy Compliance (CHPC)®, Certified in Healthcare Research Compliance (CHRC)®, Certified Compliance & Ethics Professional (CCEP)®, Certified Compliance & Ethics Professional–Fellow (CCEP-F)®, Certified Compliance & Ethics Professional–International (CCEP-I)®.

NASBA/CPE: The Society of Corporate Compliance and Ethics is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.nasbaregistry.org. Sponsor Identification No: 143451. The education level for this activity is considered basic. No prerequisites are required for this education. Delivery Method: Group Live. Advanced Preparation: None. A recommended maximum of 7.2 credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge. For more information regarding administrative policies such as complaints or refunds, call 888.277.4977 or +1 952.933.4977.

SCCE is in the process of applying for additional external continuing education units (CEUs). Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. **CEU totals are subject to change.**

Upon request, if there is sufficient time and we are able to meet their requirements, SCCE may submit this course to additional states or entities for consideration. **Only requests from registered attendees will be considered.** If you would like to make a request, please contact us at +1 952.933.4977 or 888.277.4977 or email ccb@compliancecertification.org. To see the most up-to-date CEU information go to SCCE's website, [corporatecompliance.org/all-conferences](https://www.corporatecompliance.org/all-conferences). Select your conference, and then select the "Continuing Education" option on the left hand menu.

Acknowledgements

By submitting this registration, you agree to the full Terms and Conditions, including the use of your information, viewable at [corporatecompliance.org/regionals](https://www.corporatecompliance.org/regionals).

Your information (postal address) may be shared with conference exhibitors, attendees, speakers, vendors, affiliates, and partners for promotional and/or networking purposes. To see the full use of your information or if you wish to opt-out, visit [corporatecompliance.org/privacy](https://www.corporatecompliance.org/privacy).

This virtual conference registration form allows only the registered attendee to stream, participate, and earn CEUs for the conference. If a second person would like to join, they must register themselves and have their own unique login to participate.

Photo/Video Release: By registering for this event, I grant SCCE, or anyone authorized by SCCE, the right to use or publish in print or electronic format, any photographs or video containing my image or likeness for educational, news, or promotional purposes, without compensation.

Group Discount Policy: Registration forms must be sent together to ensure that the discount is applied. The group discount is not available through online registration. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.