

AGENDA AND LEARNING OBJECTIVES / ALL TIMES LISTED ARE IN EASTERN DAYLIGHT TIME (EDT)

8:25–8:30 AM EDT

Opening Remarks

8:30–9:30 AM EDT

Building and Sustaining Your Organization's Culture by Developing an Ethical Mindset

Adam Balfour, Vice President and General Counsel for Corporate Compliance and Latin America, Bridgestone Americas, Inc.

Kevin Withane, International/Group Ethics and Compliance Director, TI Fluid Systems

- Many organizations' ethics and compliance programs focus too much on the compliance aspects and fail to adequately address ethics
- A culture of ethics and compliance needs to include an ethical mindset that will ensure policies and procedures are fully followed and employees do the right thing
- Learn how the ethics and compliance function can help to develop an ethical mindset in your organization

9:30–9:45 AM EDT

Break

9:45–10:45 AM EDT

Cannabis and Your Compliance Program: What Every Compliance Professional Must Know

Amy McDougal, President, CLEAResources, LLC

David Beatty, Director, MC Dean

- Understand how domestic and international cannabis legalization impacts your compliance program
- Discover the many facets of risk arising from legalization
- Learn the top 5 steps all compliance professionals need to take now to mitigate this emerging risk

10:45–11:00 AM EDT

Break

11:00 AM–12:00 PM EDT

Third Party Due Diligence: How to Design an Effective Due Diligence Program and How to Carry It Out in Practice

Ann Sultan, Member, Miller & Chevalier Chartered

FeiFei (Andrea) Ren, Senior Associate, Miller & Chevalier Chartered

- Discuss the key aspects of a due diligence program
- Go through a case study on due diligence
- Discuss how to measure and calibrate risk in third-party relationships

12:00–1:00 PM EDT

Mid-Conference Break

12:15–12:45 PM EDT

Networking and Discussion (optional)

Connect with your fellow attendees and enjoy a free-flowing conversation about what stood out during the sessions or a compliance challenge you want feedback on. The objective here is to enable conference participants to network with and learn from each other and help overcome the isolation of the past months. This optional networking event will be held on Zoom and participants may choose to be on camera.

1:00–2:00 PM EDT

How to Seize the Opportunities of ESG (Environmental, Social, and Governance)

Colin Etnire, Head of ESG, BC Partners

Karen Alonardo, Vice President of ESG Solutions, NAVEX Global

- Today, forward-thinking organizations need to track and reporting on ESG factors.
- Virtually all key constituents – from employees to customers to investors – expect a focus on ESG.
- In this session, you will learn how to identify the scope of ESG as it relates to your E&C program

2:00–2:15 PM EDT

Break

2:15–3:15 PM EDT

Proactive Compliance: Monitoring, Testing, and Auditing Practices

Michael Volkov, CEO, Volkov Law Group LLC

- Justice Department guidance on compliance has underscored importance of proactive monitoring and testing as means to continuously update and improve a compliance program
- Proactive compliance strategies need to be developed to focus on these important functions to ensure updated risk assessments and effective investigation protocols
- A risk-based balance among onboarding, monitoring, renewal, testing and audit functions has to be implemented

3:15–3:30 PM EDT

Break

3:30–4:30 PM EDT

Reevaluating and Resourcing Your Compliance Program Post-COVID: What's Considered Adequate and How to Make Improvements Without Increasing Spend

Alexandra Wrage, President & Founder, TRACE

- Applying risk-based strategies to allocate budget, manage third-party risk, and prepare for increased enforcement
- Identifying and addressing gaps, building on compliance wins and changes, and strengthening procedures
- Analyzing supply chain risks and leveraging internal resources to address compliance concerns beyond anti-bribery

Registration Fee

<input type="checkbox"/> SCCE Member	\$155
<input type="checkbox"/> Non-Member	\$195
<input type="checkbox"/> Registration + First-Time SCCE Membership Offer*	\$375

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Group Discounts

<input type="checkbox"/> Group Discount for 3 or More"	(\$20)
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**See "Group Discount Policy" under "Acknowledgements" for details.

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Online registration at corporatecompliance.org/regionals

Mail to SCCE, 6462 City West Parkway, Eden Prairie, MN 55344 USA

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Email to helpteam@corporatecompliance.org — Due to PCI compliance, do not provide credit card information via email. You may email this form (without credit card information), then call SCCE at +1 952.933.4977 or 888.277.4977 with payment information.

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Virtual Conference

How does a virtual event work? On the day of the conference, log in during the scheduled session times using the link and access provided to watch and listen to the speakers and ask questions live.

Continuing Education

Credits are assessed based on actual attendance and credit type requested. Should the overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Only registered attendees are eligible to request CEUs for participation. Attendees must participate in the virtual conference using the online virtual conference format (not just using the dial in) for attendance monitoring purposes.

Compliance Certification Board (CCB): CCB has approved a maximum of **7.2** CEUs for these certifications: Certified in Healthcare Compliance (CHC)[®], Certified in Healthcare Compliance-Fellow (CHC-F)[®], Certified in Healthcare Privacy Compliance (CHPC[®]), Certified in Healthcare Research Compliance (CHRC)[®], Certified Compliance & Ethics Professional (CCEP)[®], Certified Compliance & Ethics Professional—Fellow (CCEP-F)[®], Certified Compliance & Ethics Professional—International (CCEP-I)[®].

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SCCE is in the process of applying for additional external continuing education units (CEUs). Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. **CEU totals are subject to change.**

Upon request, if there is sufficient time and we are able to meet their requirements, SCCE may submit this course to additional states or entities for consideration. **Only requests from registered attendees will be considered.** If you would like to make a request, please contact us at +1 952.933.4977 or 888.277.4977 or email ccb@compliancecertification.org. To see the most up-to-date CEU information go to SCCE's website, corporatecompliance.org/all-conferences. Select your conference, and then select the "Continuing Education" option on the left hand menu.

Acknowledgements

By submitting this registration, you agree to the full Terms and Conditions, including the use of your information, viewable at corporatecompliance.org/regionals.

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This virtual conference registration form allows only the registered attendee to stream, participate, and earn CEUs for the conference. If a second person would like to join, they must register themselves and have their own unique login to participate.

Photo/Video Release: By registering for this event, I grant SCCE, or anyone authorized by SCCE, the right to use or publish in print or electronic format, any photographs or video containing my image or likeness for educational, news, or promotional purposes, without compensation.

Group Discount Policy: Registration forms must be sent together to ensure that the discount is applied. The group discount is not available through online registration. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.