#### AGENDA AND LEARNING OBJECTIVES / ALL TIMES LISTED ARE IN EASTERN DAYLIGHT TIME (EDT)

8:25 AM EDT

# **Opening Remarks**

8:30-9:30 AM EDT

# Best Practices and Engagement with Employees During the Pandemic

Courtney Wallize, Corporate Director, Ethics and NGCare, Northrop Grumman Corporation

Dominic Hall, Head of Ethical Business Conduct, BAE Systems

- Strategies and ideas on how ethics organizations can adjust their programs to address new realities and risks during the Pandemic
- Helping employees and leaders deal with change and be resilient in times of crisis
- Moving from surviving to thriving taking the opportunity to influence change within the organization

9:30 - 9:45 AM EDT

# **Break**

9:45-10:45 AM EDT

# Global Cybersecurity & Privacy 2021

Roy H. Wyman, Attorney, Nelson Mullins Riley & Scarborough LLP

Robert Bond, Senior Counsel & Notary Public, Bristows LLP; SCCE & HCCA Vice President

- The impact of global data privacy laws on compliance
- International data transfers post Schrems II
- Cyber Security and Data Protection in a world of Regulatory Fines and Class Actions

10:45-11:00 AM EDT

## **Break**

11:00 AM - 12:00 PM EDT

# A Conversation with Government Enforcement

Gabe Imperato, CHC, Partner, Nelson Mullins Riley & Scarborough; SCCE & HCCA Board Member

Randy Harwell, Chief, Civil Division, Office of The United States Attorney, Middle District of Florida

Roger Handberg, Chief, Orlando Division, Office of The United States Attorney, Middle District of Florida

- Criminal, civil, and administrative enforcement during 2021
- Department of Justice priorities for criminal and civil actions
- Fraud and the CARES Act, SBA funds and other pandemic stimulus payments

12:00-1:00 PM EDT

### Mid-Conference Break

12:15 - 12:45 PM EDT

# Networking & Discussion (optional)

Connect with your fellow attendees and enjoy a free-flowing conversation about what stood out during the sessions or a compliance challenge you want feedback on. The objective here is to enable conference participants to network with and learn from each other and help overcome the isolation of the past months. This optional networking event will be held on Zoom, and participants may choose to be on camera.

1:00-2:00 PM EDT

# #Out-of-Office: #SocialMediaLifeAtWork

Dawn Siler Nixon, Diversity and Inclusion Partner, FordHarrison LLP

Ginnette Ciesla, Senior Manager, Ethics Analysis, Lockheed Martin

Ana-Paola "AP" Capaldo Aoun, Director, Ethics & Compliance Officer, Tech Data

- Themes and hot topics related to social media in the era of COVID
- Incorporating Collaboration tools in the workplace and establishing Governance Model for social media platforms
- Legal implications of social media and best practices to manage social media issues in a new landscape

2:00 - 2:15 PM EDT

#### **Break**

2:15-3:15 PM EDT

# Impacts of the Pandemic: A Panel Discussion

MODERATOR: Roxane MacGillivray, Ethics Director, Lockheed Martin

Dawn Kimmich, Director Ethics and Compliance, Delta Air Lines

Nancy Hayt, VP, Corporate Responsibility, AdventHealth

- The impact of the pandemic on corporate ethics & compliance programs.
- How was corporate culture impacted and how will it change in the future?
- What have we learned and how can that positively impact how we do business?

3:15-3:30 PM EDT

#### Break

3:30-4:30 PM EDT

# Internal Investigations

Wendy Evans, Senior Manager, Ethics Core Programs, Lockheed Martin

- Tips for conducting effective workplace investigations during a pandemic—intake to final report
- Legal and practical considerations for the investigator
- Domestic vs. international considerations

Registration Fee		
SCCE Member		\$155
Non-Member		\$195
Registration + First-Time SCCE Membership Offer		\$375
*Save by joining SCCE today (firs	t-time members only) Dues regularly \$325	
<b>Group Discounts</b>	, , , , , , , , , , , , , , , , , , ,	
Group Discount for 3 o	r Moro"	(\$20)
		(\$20)
**See "Group Discount Policy" ur	nder "Acknowledgements" for details.	
	TOTAL \$	
Courte et Informe et	·	
Contact Informat		
OMr OMrs OMs O	Dr	
Member/Account ID (if known)		
First Name	MI Last Name	
Credentials (CHC, CCEP, etc.)		
Job Title		
Organization (Name of Employe	er)	
Street Address		
City/Town	Stat	e/Province
Sity, Town	Giai	0,1.10100
Zip/Postal Code	Country	
Zip/i ostal code	Country	
Work Phone		
Work Frione		
Email (required)		
Linaii (required)		
Payment		
Online registration at cor	poratecompliance.org/regionals	
	Vest Parkway, Eden Prairie, MN 5534	14 USA
Fax to +1 952.988.0146		
	pratocomplianco org. Duo to BCI co	mplianco do
	oratecompliance.org — Due to PCI co mation via email. You may email this form	
card information), then call S	CCE at +1 952.933.4977 or 888.277.497	
information.		
O Invoice me		
O Check enclosed (paya	ble to SCCE)	
Wire transfer requested	ed	
O Credit card: I authorize	e SCCE to charge my:	
○ Visa ○ MasterCard	O Discover O American Express	
Credit Card Account Number		
Credit Card Expiration Date		

Cardholder Signature

## **Virtual Conference**

How does a virtual event work? On the day of the conference, log in during the scheduled session times using the link and access provided to watch and listen to the speakers and ask questions live.

### **Continuing Education**

Credits are assessed based on actual attendance and credit type requested. Should the overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Only registered attendees are eligible to request CEUs for participation. Attendees must participate in the virtual conference using the online virtual conference format (not just using the dial in) for attendance monitoring purposes.

Compliance Certification Board (CCB)®: CCB has approved a maximum of 7.2 CEUs for these certifications: Certified in Healthcare Compliance (CHC)®, Certified in Healthcare Compliance—Fellow (CHC-F)®, Certified in Healthcare Privacy Compliance (CHPC®), Certified in Healthcare Research Compliance (CHRC)®, Certified Compliance & Ethics Professional (CCEP)®, Certified Compliance & Ethics Professional—Fellow (CCEP-F)®, Certified Compliance & Ethics Professional—International (CCEP-I)®.

NASBA/CPE: The Society of Corporate Compliance and Ethics is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www. nasbaregistry.org. Sponsor Identification No: 143451. The education level for this activity is considered basic. No prerequisites are required for this education. Delivery Method: Group Live. Advanced Preparation: None. A recommended maximum of 7.2 credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge. For more information regarding administrative policies such as complaints or refunds, call 888.277.4977 or +1952.933.4977.

SCCE is in the process of applying for additional external continuing education units (CEUs). Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. **CEU totals** are subject to change.

Upon request, if there is sufficient time and we are able to meet their requirements, SCCE may submit this course to additional states or entities for consideration. Only requests from registered attendees will be considered. If you would like to make a request, please contact us at +1 952.933.4977 or 888.277.4977 or email ccb@compliancecertification.org. To see the most up-to-date CEU information go to SCCE's website, corporatecompliance.org/all-conferences. Select your conference, and then select the "Continuing Education" option on the left hand menu.

### Acknowledgements

By submitting this registration, you agree to the full Terms and Conditions, including the use of your information, viewable at corporatecompliance.org/regionals.

Your information (postal address) may be shared with conference exhibitors, attendees, speakers, vendors, affiliates, and partners for promotional and/or networking purposes. To see the full use of your information or if you wish to opt-out, visit corporatecompliance.org/privacy.

This virtual conference registration form allows only the registered attendee to stream, participate, and earn CEUs for the conference. If a second person would like to join, they must register themselves and have their own unique login to participate.

Photo/Video Release: By registering for this event, I grant SCCE, or anyone authorized by SCCE, the right to use or publish in print or electronic format, any photographs or video containing my image or likeness for educational, news, or promotional purposes, without compensation.

Group Discount Policy: Registration forms must be sent together to ensure that the discount is applied. The group discount is not available through online registration. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.