

AGENDA AND LEARNING OBJECTIVES / ALL TIMES LISTED ARE IN EASTERN DAYLIGHT TIME (EDT)

8:25 – 8:30 AM EDT

## Opening Remarks

8:30 – 9:30 AM EDT

## Developing Laws and Regulations on Data Processing, Data Sharing and International Data Transfers

Robert Bond, Senior Counsel & Notary Public, Bristows LLP; SCCE & HCCA Vice President

- Transparency and accountability for processing personal information
- Privacy impact assessments and data sharing contracts
- International data transfer rules in not only the European Union but other jurisdictions

9:30 – 9:45 AM EDT

## Break

9:45 – 10:45 AM EDT

## SolarWinds Attack, Cybersecurity, and Compliance

Matt Kelly, Editor & CEO, Radical Compliance

Daniel Ayala, Managing Partner & Founder, Secratic

Desarie Green, Senior Manager, Risk, Compliance & Privacy, Hologic, Inc.

- A review of what happened in the SolarWinds attack and how
- The cybersecurity imperatives that will arise as a consequence of the attack, and specifically, the heightened attention around the “software supply chain”
- What compliance officers should anticipate for third-party risk management, breach disclosure, and internal controls to avoid a repeat of such disasters

10:45 – 11:00 AM EDT

## Break

11:00 AM – 12:00 PM EDT

## The Role of Compliance in Environmental, Social, and Governance (ESG)

Alison Taylor, Executive Director, Ethical Systems

Rebecca Rehm, Senior Manager, Compliance Business Partner, Olympus Corporation of the Americas

- Level setting: What is ESG, and how does it relate to sustainability?
- The convergence of compliance and ESG and the emerging role of compliance
- Disclosure requirements and frameworks for key ESG topics, including Diversity, Equity, and Inclusion

12:00 – 1:00 PM EDT

## Mid-Conference Break

12:15 – 12:45 PM EDT

## Networking and Discussion (optional)

Connect with your fellow attendees and enjoy a free-flowing conversation about what stood out during the sessions or a compliance challenge you want feedback on. The objective here is to enable conference participants to network with and learn from each other and help overcome the isolation of the past months. This optional networking event will be held on Zoom, and participants may choose to be on camera.

1:00 – 2:00 PM EDT

## Whistleblower Legislation: What’s New and What That Means for Organizations

Samantha Feinstein, Staff Attorney and Deputy Director, International Program, Government Accountability Project

Vigjilence Abazi, Assistant Professor of EU Law, Maastricht University

- What is new and upcoming whistleblower legislation?
- What do organizations need to do in reaction to this legislation?
- How do organizations create a culture that prevents retaliation?

2:00 – 2:15 PM EDT

## Break

2:15 – 3:15 PM EDT

## Risk Assessment and Management: Strategic Driver of an Effective Ethics & Compliance Program

Debra Sabatini Hennelly, Founder & President, Resiliti

- Why (and how) risk assessment/management is a key tool for engaging colleagues across the organization
- Getting started (hint: you can’t “boil the ocean”...)
- The leadership and culture aspects of risk assessment

3:15 – 3:30 PM EDT

## Break

3:30 – 4:30 PM EDT

## Presence: In Your Job, in Yourself and in Your Compliance Career

Mary Shirley, Head of Culture of Integrity and Compliance Education, Fresenius Medical Care North America

Barbara Boehler, Director, Programming, Compliance Week

- Self-Branding and self-promotion: Most of us find the idea of self-promotion to be awkward and a turn off. We will cover why it’s important despite that and some tips on how to easily work on your professional image and gaining exposure
- Presentation skills as a compliance officer: Tips for presenting with confidence in compliance training and to senior management with an angle on maximizing impact in a virtual world
- Presenting yourself as a stand out compliance candidate: We go over the common pitfalls in compliance LinkedIn profiles and resumes to help you put your best foot forward in a competitive environment

## Registration Fee

<input type="checkbox"/>	SCCE Member	\$155
<input type="checkbox"/>	Non-Member	\$195
<input type="checkbox"/>	Registration + First-Time SCCE Membership Offer*	\$375

\*Save by joining SCCE today (first-time members only) Dues regularly \$325

## Group Discounts

<input type="checkbox"/>	Group Discount for 3 or More**	(\$20)
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\*\*See "Group Discount Policy" under "Acknowledgements" for details.

TOTAL \$ \_\_\_\_\_

## Contact Information

Mr  Mrs  Ms  Dr

Member/Account ID (if known)

First Name \_\_\_\_\_ MI \_\_\_\_\_ Last Name \_\_\_\_\_

Credentials (CHC, CCEP, etc.)

Job Title

Organization (Name of Employer)

Street Address

City/Town \_\_\_\_\_ State/Province \_\_\_\_\_

Zip/Postal Code \_\_\_\_\_ Country \_\_\_\_\_

Work Phone

Email (required)

## Payment

Online registration at [corporatecompliance.org/regionals](http://corporatecompliance.org/regionals)

Mail to SCCE, 6462 City West Parkway, Eden Prairie, MN 55344 USA

Fax to +1 952.988.0146

Email to [helpteam@corporatecompliance.org](mailto:helpteam@corporatecompliance.org) — Due to PCI compliance, do not provide credit card information via email. You may email this form (without credit card information), then call SCCE at +1 952.933.4977 or 888.277.4977 with payment information.

- Invoice me
- Check enclosed (payable to SCCE)
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Credit Card Account Number

Credit Card Expiration Date

Cardholder Name

Cardholder Signature

## Virtual Conference

**How does a virtual event work?** On the day of the conference, log in during the scheduled session times using the link and access provided to watch and listen to the speakers and ask questions live.

## Continuing Education

Credits are assessed based on actual attendance and credit type requested. Should the overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Only registered attendees are eligible to request CEUs for participation. Attendees must participate in the virtual conference using the online virtual conference format (not just using the dial in) for attendance monitoring purposes.

**Compliance Certification Board (CCB)**: CCB has approved a maximum of 7.2 CEUs for these certifications: Certified in Healthcare Compliance (CHC)\*, Certified in Healthcare Compliance Fellow (CHC-F)\*, Certified in Healthcare Privacy Compliance (CHPC\*), Certified in Healthcare Research Compliance (CHRC)\*, Certified Compliance & Ethics Professional (CCEP)\*, Certified Compliance & Ethics Professional Fellow (CCEP-F)\*, Certified Compliance & Ethics Professional-International (CCEP-I)\*.

**NASBA/CPE**: The Society of Corporate Compliance and Ethics is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: [www.nasbaregistry.org](http://www.nasbaregistry.org). Sponsor Identification No: 143451. The education level for this activity is considered basic. No prerequisites are required for this education. Delivery Method: Group Live. Advanced Preparation: None. A recommended maximum of 7.2 credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge. For more information regarding administrative policies such as complaints or refunds, call 888.277.4977 or +1 952.933.4977.

SCCE is in the process of applying for additional external continuing education units (CEUs). Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. **CEU totals are subject to change.**

Upon request, if there is sufficient time and we are able to meet their requirements, SCCE may submit this course to additional states or entities for consideration. **Only requests from registered attendees will be considered.** If you would like to make a request, please contact us at +1 952.933.4977 or 888.277.4977 or email [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org). To see the most up-to-date CEU information go to SCCE's website, [corporatecompliance.org/all-conferences](http://corporatecompliance.org/all-conferences). Select your conference, and then select the "Continuing Education" option on the left-hand menu.

## Acknowledgements

By submitting this registration, you agree to the full Terms and Conditions, including the use of your information, viewable at [corporatecompliance.org/regionals](http://corporatecompliance.org/regionals).

Your information (postal address) may be shared with conference exhibitors, attendees, speakers, vendors, affiliates, and partners for promotional and/or networking purposes. To see the full use of your information or if you wish to opt-out, visit [corporatecompliance.org/privacy](http://corporatecompliance.org/privacy).

This virtual conference registration form allows only the registered attendee to stream, participate, and earn CEUs for the conference. If a second person would like to join, they must register themselves and have their own unique login to participate.

**Photo/Video Release**: By registering for this event, I grant SCCE, or anyone authorized by SCCE, the right to use or publish in print or electronic format, any photographs or video containing my image or likeness for educational, news, or promotional purposes, without compensation.

**Group Discount Policy**: Registration forms must be sent together to ensure that the discount is applied. The group discount is not available through online registration. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.