

AGENDA AND LEARNING OBJECTIVES / ALL TIMES LISTED ARE IN CENTRAL DAYLIGHT TIME (CDT)

8:25 AM CDT

Opening Remarks

8:30 – 9:30 AM CDT

What Can Movies And TV Shows Teach Us About Ethics And Compliance?

Adam Balfour, Vice President and General Counsel for Corporate Compliance and Latin America, Bridgestone Americas

Glenn McLea, Director of Enterprise Security Operations, Bridgestone Americas

- Adults (andragogy) learn in different ways from children (pedagogy) and adults can learn by leveraging life experiences and using stories.
- Many TV shows and movies have relevant ethics and compliance related lessons that can help employees learn about important topics in a more engaging and memorable way.
- Hear about some examples of different movies and TV shows that will help you connect with employees and help them learn and understand about important aspects of an effective ethics and compliance program.

9:30 – 9:45 AM CDT

Break

9:45 – 10:45 AM CDT

Leveraging Data

Beth Davis, Assistant General Counsel, Asurion

Michelle Balaklaw, Staff Counsel, Asurion

- Data can be utilized by legal teams to identify educational opportunities, hone in on hotline reporting trends by department and region, and demonstrate program success when presenting to leadership
- Leveraging analytics also allows for the automation of work processes enabling you to work smarter, not harder
- Embracing data allows legal teams to better communicate with other business functions, thus enabling you to be a better business partner and better advocate for your compliance program

10:45 – 11:00 AM CDT

Break

11:00 AM – 12:00 PM CDT

When and How to Refresh your Code of Conduct in a Way That Actually Makes Sense

Ricardo Pellafone, Founder, Broadcat

- Overhauling a Code of Conduct is a massive project—and often it's unnecessary and counterproductive
- How to think about your Code in a way that will establish it as a true foundation and free you from an endless cycle of exhausting updates
- How to redo your Code—if you truly need to—make it last by future-proofing it as much as possible

12:00 – 1:00 PM CDT

Mid-Conference Break

12:15 – 12:45 PM CDT

Networking & Discussion (optional)

Connect with your fellow attendees and enjoy a free-flowing conversation about what stood out during the sessions or a compliance challenge you want feedback on. The objective here is to enable conference participants to network with and learn from each other and help overcome the isolation of the past months. This optional networking event will be held on Zoom, and participants may choose to be on camera.

1:00 – 2:00 PM CDT

Whose Line Is it Anyway: Legal and Compliance Roles, Responsibilities and Collaboration

Lisa Estrada, Senior Vice President and Chief Compliance Officer, LifePoint Health (moderator)

Karen Louis, Associate General Counsel, LendingPoint LLC

Matt Kelly, Editor and Chief Executive Officer, Radical Compliance

Tizgel High, Deputy Chief Compliance Officer, LifePoint Health

- What are the keys to a successful legal-compliance collaborations?
- Where do legal and compliance roles and responsibilities within a company typically overlap, run on separate tracks or even conflict?
- What are some pros and cons of having lawyers functioning in compliance roles?

2:00 – 2:15 PM CDT

Break

2:15 – 3:15 PM CDT

Influencing Leaders to Create a Speaking-Up Culture

Debbie Sabatini Hennelly, Founder & President, Resiliti

- Influencing leaders to take accountability for encouraging the free flow of information—even when the facts are hard to hear—is essential to creating a speaking up culture.
- Psychological safety has multiple benefits, in addition to mitigating risk, which can be useful in gaining buy-in from leaders; it also enhances competitiveness through fostering innovation and a culture of inclusion.
- The Compliance & Ethics function can be a strategic partner in the organization by helping to remove barriers to psychological safety, promoting courage and clarity, and helping drive cultural change.

3:15 – 3:30 PM CDT

Break

3:30 – 4:30 PM CDT

How Will the New Administration Change the Role of Compliance?

Charles Webb, Senior Antitrust Counsel, FedEx Corporation; Adjunct Professor of Antitrust Law, University of Memphis Cecil C. Humphreys School of Law

- What are some of the key compliance topics and challenges we expect the Biden Administration to prioritize and address in the coming months?
- Who is the Biden Administration considering for key enforcement and regulatory positions, and what do those appointments tell us about the Administration's legislative, enforcement and regulatory agendas?
- How should companies react to the Biden Administration's emerging policy priorities and what can they be doing now to prepare and further mature their compliance programs?

Registration Fee

<input type="checkbox"/>	SCCE Member	\$155
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Group Discounts

<input type="checkbox"/>	Group Discount for 3 or More**	(\$20)
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TOTAL \$ _____

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Mr Mrs Ms Dr

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Credentials (CHC, CCEP, etc.)

Job Title

Organization (Name of Employer)

Street Address

City/Town State/Province

Zip/Postal Code Country

Work Phone

Email (required)

Payment

Online registration at [corporatecompliance.org/regionals](https://www.corporatecompliance.org/regionals)

Mail to SCCE, 6462 City West Parkway, Eden Prairie, MN 55344 USA

Fax to +1 952.988.0146

Email to helpteam@corporatecompliance.org — Due to PCI compliance, do not provide credit card information via email. You may email this form (without credit card information), then call SCCE at +1 952.933.4977 or 888.277.4977 with payment information.

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Virtual Conference

How does a virtual event work? On the day of the conference, log in during the scheduled session times using the link and access provided to watch and listen to the speakers and ask questions live.

Continuing Education

Credits are assessed based on actual attendance and credit type requested. Should the overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Only registered attendees are eligible to request CEUs for participation. Attendees must participate in the virtual conference using the online virtual conference format (not just using the dial in) for attendance monitoring purposes.

Compliance Certification Board (CCB)®: CCB has approved a maximum of 7.2 CEUs for these certifications: Certified in Healthcare Compliance (CHC)®, Certified in Healthcare Compliance–Fellow (CHC-F)®, Certified in Healthcare Privacy Compliance (CHPC)®, Certified in Healthcare Research Compliance (CHRC)®, Certified Compliance & Ethics Professional (CCEP)®, Certified Compliance & Ethics Professional–Fellow (CCEP-F)®, Certified Compliance & Ethics Professional–International (CCEP-I)®.

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SCCE is in the process of applying for additional external continuing education units (CEUs). Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. **CEU totals are subject to change.**

Upon request, if there is sufficient time and we are able to meet their requirements, SCCE may submit this course to additional states or entities for consideration. **Only requests from registered attendees will be considered.** If you would like to make a request, please contact us at +1 952.933.4977 or 888.277.4977 or email ccb@compliancecertification.org. To see the most up-to-date CEU information go to SCCE's website, [corporatecompliance.org/all-conferences](https://www.corporatecompliance.org/all-conferences). Select your conference, and then select the "Continuing Education" option on the left hand menu.

Acknowledgements

By submitting this registration, you agree to the full Terms and Conditions, including the use of your information, viewable at [corporatecompliance.org/regionals](https://www.corporatecompliance.org/regionals).

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This virtual conference registration form allows only the registered attendee to stream, participate, and earn CEUs for the conference. If a second person would like to join, they must register themselves and have their own unique login to participate.

Photo/Video Release: By registering for this event, I grant SCCE, or anyone authorized by SCCE, the right to use or publish in print or electronic format, any photographs or video containing my image or likeness for educational, news, or promotional purposes, without compensation.

Group Discount Policy: Registration forms must be sent together to ensure that the discount is applied. The group discount is not available through online registration. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.