

AGENDA AND LEARNING OBJECTIVES / ALL TIMES LISTED ARE IN CENTRAL DAYLIGHT TIME (CDT)

8:25 – 8:30 AM CDT

## Opening Remarks

8:30 – 9:30 AM CDT

## What Does Being an “Ethical Company” Mean Today?

Ted Banks, Partner, Scharf Banks Marmor LLC

- What are some of the indicia of a toxic corporate culture?
- What is the role of education in “fixing” a corporate culture problem?
- What can a compliance officer do to remediate corporate culture problems?

9:30 – 9:45 AM CDT

## Break

9:45 – 10:45 AM CDT

## What to Know Before You Crypto: Unique Compliance Risks Posed by Crypto Products and Services

Justin Steffen, Partner, Barack Ferrazzano Kirschbaum & Nagelberg LLP

- Crypto presents a number of unique issues, including issues pertaining to insurance, governance, tax liability, and regulatory risk
- Different regulatory regimes (OCC, FDIC, SEC, CFTC, FinCEN, etc.) apply to crypto products, making compliance a minefield
- Harnessing your crypto knowledge and utilizing traditional risk mitigation (e.g., insurance, indemnities, R/W) and non-traditional risk mitigation techniques (such as custody and storage solutions), can help compliance officers “crypto with caution”

10:45 – 11:00 AM CDT

## Break

11:00 AM – 12:00 PM CDT

## Environmental, Social, and Governance

Maryann Waryjas, Strategic Advisory Board, Morrow Sodali

Ellen M. Hunt, VP - Compliance Program Operations, Chief Privacy Officer, LifePoint Health

Join us for a conversation on the Environmental, Social, and Governance (ESG) movement, the role for Ethics and Compliance and how the Ethics and Compliance Officer fits into the puzzle. We will talk about:

- From the board, to rating agencies, to your customers, who are the stakeholders and how to manage their different expectations;
- Getting a seat at the ESG table for Ethics and Compliance; and
- How to leverage ESG to improve your Ethics and Compliance Program.

12:00 – 1:00 PM CDT

## Mid-Conference Break

12:15 – 12:45 PM CDT

## Networking and Discussion (Optional)

Connect with your fellow attendees and enjoy a free-flowing conversation about what stood out during the sessions or a compliance challenge you want feedback on. The objective here is to enable conference participants to network with and learn from each other and help overcome the isolation of the past months. This optional networking event will be held on Zoom and participants may choose to be on camera.

1:00 – 2:00 PM CDT

## COVID-19 and Compliance

Elizabeth S. Brown, Acting General Counsel, CSC Generation Holdings, Inc.

Tracy O’Flaherty, Vice President, Deputy General Counsel, Groupon, Inc.

- How does working from home impact your compliance program?
- What are the compliance considerations surrounding requirements for COVID-19 testing or vaccinations?
- What new areas should be added to your compliance program as a result of the pandemic?

2:00 – 2:15 PM CDT

## Break

2:15 – 3:15 PM CDT

## Artificial Intelligence and Robotics: Ethical, Legal, and Social Implications

Ava Thomas Wright, Assistant Professor, Department of Philosophy, California Polytechnic State University;

Stephen Wu, Shareholder, Silicon Valley Law Group

- Why artificial intelligence and robotics will change the world more than any technologies in the history of humankind
- New and old laws that impose compliance obligations on AI and robotics vendors and purchasers
- The crossover between law and ethics regarding AI and robotics

3:15 – 3:30 PM CDT

## Break

3:30 – 4:30 PM CDT

## How E&C Professionals Can Shape a Culture of Honesty

Ron Carucci, Managing Partner, Navalent, Author of *To Be Honest: Lead with the Power of Truth, Justice and Purpose*

- The four factors that determine if people will tell the truth, behave fairly, and serve a greater good
- Based on a 15-year longitudinal study of 3,200 leaders, explore key findings around how honesty (defined as truth, justice, and purpose; and saying the right thing, doing the right thing, as well as saying and doing the right thing for the right reason) impacts employee experience and company performance
- Recommended actions for those in E&C roles for influencing their organization’s honesty behavior

## Registration Fee

|                          |  |       |
|--------------------------|--|-------|
| <input type="checkbox"/> | SCCE Member                                      | \$155 |
| <input type="checkbox"/> | Non-Member                                       | \$195 |
| <input type="checkbox"/> | Registration + First-Time SCCE Membership Offer* | \$375 |

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## Group Discounts

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|--------------------------|--------------------------------|--------|
| <input type="checkbox"/> | Group Discount for 3 or More** | (\$25) |
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\*\*See "Group Discount Policy" under "Acknowledgements" for details.

TOTAL \$ \_\_\_\_\_

## Contact Information

Mr  Mrs  Ms  Dr

Member/Account ID (if known)

First Name \_\_\_\_\_ MI \_\_\_\_\_ Last Name \_\_\_\_\_

Credentials (CHC, CCEP, etc.)

Job Title

Organization (Name of Employer)

Street Address

City/Town \_\_\_\_\_ State/Province \_\_\_\_\_

Zip/Postal Code \_\_\_\_\_ Country \_\_\_\_\_

Work Phone

Email (required)

## Payment

Online registration at [corporatecompliance.org/regionals](http://corporatecompliance.org/regionals)

Mail to SCCE, 6462 City West Parkway, Eden Prairie, MN 55344 USA

Fax to +1 952.988.0146

Email to [helpteam@corporatecompliance.org](mailto:helpteam@corporatecompliance.org) — Due to PCI compliance, do not provide credit card information via email. You may email this form (without credit card information), then call SCCE at +1 952.933.4977 or 888.277.4977 with payment information.

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## Virtual Conference

**How does a virtual event work?** On the day of the conference, log in during the scheduled session times using the link and access provided to watch and listen to the speakers and ask questions live.

## Continuing Education

Credits are assessed based on actual attendance and credit type requested. Should the overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Only registered attendees are eligible to request CEUs for participation. Attendees must participate in the virtual conference using the online virtual conference format (not just using the dial in) for attendance monitoring purposes.

**Compliance Certification Board (CCB)**®: CCB has approved a maximum of 7.2 CEUs for these certifications: Certified in Healthcare Compliance (CHC)®, Certified in Healthcare Compliance–Fellow (CHC-F)®, Certified in Healthcare Privacy Compliance (CHPC)®, Certified in Healthcare Research Compliance (CHRC)®, Certified Compliance & Ethics Professional (CCEP)®, Certified Compliance & Ethics Professional–Fellow (CCEP-F)®, Certified Compliance & Ethics Professional–International (CCEP-I)®.

**NASBA/CPE**: The Society of Corporate Compliance and Ethics is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: [www.nasbaregistry.org](http://www.nasbaregistry.org). Sponsor Identification No: 143451. The education level for this activity is considered basic. No prerequisites are required for this education. Delivery Method: Group Internet Based. Advanced Preparation: None. A recommended maximum of 7.2 credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a Group Internet Based activity in the recommended field of study of Specialized Knowledge. For more information regarding administrative policies such as complaints or refunds, call 888.277.4977 or +1 952.933.4977.

SCCE is in the process of applying for additional external continuing education units (CEUs). Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. **CEU totals are subject to change.**

Upon request, if there is sufficient time and we are able to meet their requirements, SCCE may submit this course to additional states or entities for consideration. **Only requests from registered attendees will be considered.** If you would like to make a request, please contact us at +1 952.933.4977 or 888.277.4977 or email [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org). To see the most up-to-date CEU information go to SCCE's website, [corporatecompliance.org/all-conferences](http://corporatecompliance.org/all-conferences). Select your conference, and then select the "Continuing Education" option on the left hand menu.

## Acknowledgements

By submitting this registration, you agree to the full Terms and Conditions, including the use of your information, viewable at [corporatecompliance.org/regionals](http://corporatecompliance.org/regionals).

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This virtual conference registration form allows only the registered attendee to stream, participate, and earn CEUs for the conference. If a second person would like to join, they must register themselves and have their own unique login to participate.

**Photo/Video Release**: By registering for this event, I grant SCCE, or anyone authorized by SCCE, the right to use or publish in print or electronic format, any photographs or video containing my image or likeness for educational, news, or promotional purposes, without compensation.

**Group Discount Policy**: Registration forms must be sent together to ensure that the discount is applied. The group discount is not available through online registration. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.