VIRTUAL EVENT

ESG and Compliance Conference

June 17, 2021



Environmental, Social, and Governance (ESG) risks cannot be effectively managed as an isolated function within a company, nor are they issues only for publicly traded companies. ESG is front and center for organizations of all types and sizes, and it requires the involvement of the compliance team in order to be properly addressed. Much like regulatory risks, managing ESG goals and metrics requires a collaborative effort across multiple units within an organization.





Agenda times are listed in Central Daylight Time (CDT)

8:25 – 8:30 am	Welcome and Announcements
8:30 – 9:45 AM	General Session 1: The Role of Compliance in ESG: Panel Discussion
	Moderator:
	Gerry Zack, Chief Executive Officer, SCCE & HCCA
	Panelists:
	Michele Abraham, Director – Ethics & Compliance, Associate General Counsel, Cooper Standard
	Alison Taylor, Executive Director, Ethical Systems
	Roy Snell, ESG Officer, Osprey ESG Software, Author of <i>IntegrityWorks</i> , Co-founder of SCCE/HCCA
	Kellie Johnston, Vice President Sustainability and General Counsel, Certarus Ltd.
	• The current environment for compliance's involvement in ESG
	Clarifying the role of compliance in ESG
	Keys to collaboration across business units
9:45 – 10:00 am	Break
10:00 – 11:00 am	Session 1A: ESG in Third Party and Pre-Transactional Diligence
	Sofia Marsaglia, Senior Associate, Wallbrook
	Matt Kelly, Editor and CEO, Radical Compliance
	 Divergence and convergence of compliance/regulatory and ESG diligence
	ESG as an opportunity and a requirement
	Case studies in third party relationships and pre-transactional diligence
	Session 1B: Emerging Issues in Environmental Risks: Panel Discussion
	Moderator:
	Moderator: Ana Luci Grizzi, ESG and Environmental Partner, Veirano Advogados
	Ana Luci Grizzi, ESG and Environmental Partner, Veirano Advogados
	Ana Luci Grizzi, ESG and Environmental Partner, Veirano Advogados Panelists: Rick Comrie, Senior Manager, Global Sustainability & Chemical Compliance,

- Current state of environmental risk management
- Emerging practices for managing environmental risks
- Trends and expectations for the future

Agenda times are listed in Central Daylight Time (CDT)

11:00 – 11:15 AM	Break
11:15 AM - 12:15 PM	Session 2A: Prioritizing ESG Issues: Panel Discussion
	Moderator:
	Kim Yapchai, Chief Counsel – Senior Vice President, Chief ESG Officer, Tenneco, Inc. Panelists:
	Kate Rebernak, CEO and Founder, Framework ESG
	Elizabeth Class, Senior Manager, Compliance, Roper Technologies
	Adrian Khouri, Director, Corporate ESG Program and PT Quality, Tenneco, Inc.
	The relationship between ESG and business risks
	Identifying and assessing ESG issues
	Determining priorities and related metrics
	Session 2B: Emerging Issues in Social Risks: Panel Discussion
	Moderator:
	Rebecca Rehm, Senior Manager, Compliance Business Partner, Olympus Corporation of the Americas
	Panelists:
	Mariana Abreu, Director, Head of ESG & Human Rights, Wallbrook
	Eugenia Blackmon, Executive Director, Social Media & Digital Health, AbbVie
	Ricardo Lopez, Attorney, Parker, Hudson, Rainer & Dobbs
	Current state of social risk management
	 Emerging practices for managing social risks, with a particular focus on diversity and inclusion
	Trends and expectations for the future
12:15 – 1:30 PM	Mid-Conference Break
1:30 – 2:00 PM	General Session 2: The Current State of Affairs in ESG
	Nell Minow, Vice Chair, ValueEdge Advisors, LLC
	Adam Turteltaub, Chief Engagement & Strategy Officer, SCCE & HCCA
	What are investors looking for from ESG?
	How can we make the best use of ESG data?

• What will the Biden administration do to require better ESG disclosure?



ESG and Compliance Conference • June 17, 2021

Agenda times are listed in Central Daylight Time (CDT)

2:00 - 2:30 PM

General Session 3: Update on SASB and the ESG Reporting Landscape

Neil Stewart, Director of Corporate Outreach, Sustainability Accounting Standards Board

- Overview of the Sustainability Accounting Standards Board
- Progress towards a global system of comprehensive corporate reporting
- · Governance and internal controls for ESG reporting
- · How to get involved in SASB standard-setting

2:30 - 2:45 PM

Break

2:45 - 3:45 PM

Session 3A: One Company's ESG Journey

Michele Abraham, Director - Ethics & Compliance, Associate General Counsel, Cooper Standard

Rick Comrie, Senior Manager, Global Sustainability & Chemical Compliance, Cooper Standard Automotive

- Factors contributing to embarking on ESG
- The process of developing an ESG program
- · Collaboration for success with ESG

Session 3B: Emerging Issues in Governance Risks: Panel Discussion

Moderator:

Lisa Beth Lentini Walker, CEO & Founder, Lumen Worldwide Endeavors and Member of the SCCE & HCCA Board of Directors

Panelists:

Anne Chapman, Managing Director, Joele Frank Rhonda Brauer, President, RLB Governance, LLC Doug Chia, President, Soundboard Governance, LLC

- Current state of governance risk management
- Emerging practices for managing governance risks
- Trends and expectations for the future

3:45 PM

Conference Adjourns

ESG and Compliance Conference

Photo/Video Release: By registering for this event, I grant SCCE, or anyone authorized by SCCE, the right to use or publish in print or electronic format, any photographs or video containing my image or likeness for educational, news, or promotional purposes, without

compensation.

June 17, 2021 • VIRTUAL EVENT

Contact Information Registration Fees ○Mr ○Mrs ○Ms ○Dr Member \$185 Non-Member \$235 Registration + First-Time Membership Offer* \$405 Member/Account ID (if known) *Save by joining today (first-time members only). Dues renew at \$325. First Name Last Name **Group Discounts** Group Discount for 3 or More** (\$20)Credentials (CHC, CCEP, etc.) "See "Group Discount Policy" under "Acknowledgments" for details. TOTAL \$ Job Title **Payment** Online registration at corporate compliance.org/2021esg Organization (Name of Employer) Mail this form to SCCE, 6462 City West Parkway, Eden Prairie, MN 55344 USA Street Address Fax this form to +1 952.988.0146 Email this form to helpteam@corporatecompliance.org — Due to PCI compliance, do not provide credit card information via email. You may email State/Province City/Town this form (without credit card information), then call SCCE at 888.580.8373 with payment information. Zip/Postal Code Country O Invoice me O Check enclosed (payable to SCCE) Work Phone Wire transfer requested Credit card: I authorize SCCE to charge my: ○ Visa ○ MasterCard ○ Discover ○ American Express Email (required) **Acknowledgements** Credit Card Account Number By submitting this registration, you agree to the full Terms and Conditions, including the use of your information, viewable at Credit Card Expiration Date corporate compliance.org/2021esg. Your information (postal address) may be shared with conference exhibitors, attendees, speakers, affiliates, and partners for promotional Cardholder Name and/or networking purposes. To see the full use of your information or if you wish to opt-out, visit corporatecompliance.org/privacy. This virtual conference registration form allows only the registered Cardholder Signature attendee to stream, participate, and earn CEUs for the conference. If a second person would like to join, they must register themselves and have their own unique login to participate.

Continuing Education Units

ESG and Compliance Conference • June 17, 2021 • VIRTUAL EVENT

Credits are assessed based on actual attendance and credit type requested. Should the overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Only registered attendees are eligible to request CEUs for participation. Attendees must participate in the virtual conference using the online virtual conference format (not just using the dial in) for attendance monitoring purposes.

Compliance Certification Board (CCB)®: CCB has approved a maximum of 6.3 CEUs for these certifications: Certified in Healthcare Compliance (CHC)®. Certified in Healthcare Compliance – Fellow (CHC-F)®, Certified in Healthcare Privacy Compliance (CHPC®), Certified in Healthcare Research Compliance (CHRC)®, Certified Compliance & Ethics Professional (CCEP)®, Certified Compliance & Ethics Professional-Fellow (CCEP-F)®, Certified Compliance & Ethics Professional-International (CCEP-I)®.

NASBA/CPE: The Society of Corporate Compliance and Ethics is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.nasbaregistry. org. Sponsor Identification No: 143451. The education level for

this activity is considered basic. No prerequisites are required for this education. Delivery Method: Group Live. Advanced Preparation: None. A recommended maximum of **6.2** credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge. For more information regarding administrative policies such as complaints or refunds, call 888.277.4977 or +1 952.933.4977

SCCE is in the process of applying for additional external continuing education units (CEUs). Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. CEU totals are subject to

Upon request, if there is sufficient time and we are able to meet their requirements, SCCE may submit this course to additional states or entities for consideration. Only requests from registered attendees will be considered. If you would like to make a request, please contact us at +1 952.933.4977 or 888.277.4977 or email ccb@compliancecertification.org. To see the most up-to-date CEU information go to SCCE's website, corporatecompliance.org/all-conferences. Select your conference, and then select the "Continuing Education" option on the left hand menu.