

Building a Risk-Based Compliance and Ethics Program with Limited Resources

August 26, 2021 • 12:00 PM Central Daylight Time (CDT) • 90 minutes

Vincent Lacovara

- Identify the regulatory and business cases for a compliance and ethics program in order to obtain board and senior leadership commitment and support
- Discuss the corporate internal control structure and where compliance both fits in and can drive that structure
- Developing a roadmap and tools for assessing specific corporate compliance risks and implementing a risk-based program with limited resources



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