

# Southern California

## Regional Compliance & Ethics Conference

January 25, 2019



### **Learn, Network & Earn CEUs in Your Neighborhood**

The Regional Compliance & Ethics Conference offers convenient opportunities for compliance and ethics training, updates on the latest news in regulatory requirements and enforcement, and strategies to develop effective compliance programs. Additionally, attendees will have ample opportunity to network with industry peers. Breakfast and lunch are also included in the conference price.

[corporatecompliance.org/regionals](http://corporatecompliance.org/regionals)

Questions? [katie.burk@corporatecompliance.org](mailto:katie.burk@corporatecompliance.org)



7:30 – 8:25 AM

## Registration and Continental Breakfast

8:25 AM

## Opening Remarks

8:30 – 9:30 AM

## GDPR and CCPA – Key challenges for getting compliant and staying compliant



**Kim Richardson**

Assistant General Counsel, Privacy, Advertising & Marketing, Mattel, Inc.



**R. Olivia Samad**

Privacy Counsel  
Southern California Edison

- GDPR key requirements and compliance challenges
- CCPA key requirements and opportunities to leverage GDPR
- Unique challenges posed by CCPA

9:30 – 9:45 AM

## Networking Break

9:45 – 10:45 AM

## Sexual Harassment: HR and Compliance Interaction



**Cheryl A. Sabnis**

Partner  
King & Spalding LLP

- Discuss what companies should be thinking about in the wake of the #metoo movement
- Identify vulnerabilities that breed #metoo moments and/or undermine prevention and compliance efforts
- Outline key components of a #metoo prevention and response program

10:45 – 11:00 AM

## Networking Break

11:00 – 12:00 PM

## Cryptocurrencies and the Blockchain



**Brian R. Michael**

Partner  
King & Spalding LLP



**Gary Fan**

Blockchain Compliance Expert

- Compliance challenges and benefits arising from the emerging digital economy
- The evolving regulatory and enforcement landscape in the US
- Consideration and Implementation of AML, KYC, and other compliance controls

12:00 – 1:00 PM

## Lunch (provided)

1:00 – 2:00 PM

## Metrics that Matter: Gathering the Right Information to Improve Your Compliance Program



**Diana Trevley, CCEP-I**

West Coast Director  
Spark Compliance Consulting

- Review the methodologies and resources available for monitoring and benchmarking your compliance program
- Discuss the challenges of obtaining data, including a limited budget, limited resources, and a lack of buy-in, and strategies to overcome them
- Learn how to leverage your metrics over time to demonstrate how you and your compliance program are adding value to the business

2:00 – 2:15 PM

## Networking Break

2:15 – 3:15

## Communication in Leadership: Lessons Learned Uncovering Perverse Incentives



**Eric Feldman, CFE, CCEP-I**

Sr. Vice President  
Affiliated Monitors

- Corporate ethical failures have shocked companies and their shareholders over the last few years
- Wells Fargo, VW and United, among others illustrate the importance of a strong corporate culture, and the enormous impact that properly aligned incentives can have on companies
- In this session, we unravel how perverse incentives come to be, what to watch for, and how to proactively educate your C-Suite.

3:15 – 3:30 PM

## Networking Break

3:30 – 4:30 PM

## Investigations



**Bryan Justice**

Senior Director Compliance  
Office of Compliance & Ethics  
Panasonic Avionics Corporation



**Asha Muldro**

Deputy General Counsel  
Senior Managing Director  
Guidepost Solutions

- What is the best way to structure an effective investigations program?
- What are the DOJ's key considerations when evaluating a compliance program's investigations department?
- What are the most critical factors in conducting an effective internal investigation?

## REGISTRATION FEE

*Registration fees are as listed and considered net of any local withholding taxes applicable in your country of residence.*

- SCCE Member** ..... \$220
- Non-Member** ..... \$270
- Registration plus SCCE membership** ..... \$420  
*Save by joining SCCE today (first-time members only) Dues regularly \$325*

**TOTAL \$** \_\_\_\_\_

## ATTENDEE INFORMATION (please type or print)

Mr.  Mrs.  Ms.  Dr.

Member/Account Number (if applicable/known) \_\_\_\_\_

First Name \_\_\_\_\_ M.I. \_\_\_\_\_ Last Name \_\_\_\_\_

Credentials (CCEP, CPA, CFE, etc.) \_\_\_\_\_

Job Title \_\_\_\_\_

Organization (Name of Employer) \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ Province/State \_\_\_\_\_ Postal Code/Zip \_\_\_\_\_

Country \_\_\_\_\_

Phone \_\_\_\_\_

Fax \_\_\_\_\_

E-mail (required for confirmation and conference information) \_\_\_\_\_

## SPECIAL REQUEST DIETARY ACCOMODATION

- Gluten free  Vegetarian  Vegan  Other \_\_\_\_\_
- Kosher (no shellfish, pork or meat/dairy mixed)  Kosher (Hechsher certified)

## PAYMENT METHOD

Mail check (including registration form) to:  
 SCCE, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435  
 Fax to: +1 952.988.0146

Check enclosed (payable to SCCE)  Invoice me

I authorize SCCE to charge my credit card (choose below)  
 American Express  MasterCard  Visa  Discover

Credit Card Account Number \_\_\_\_\_

Credit Card Expiration Date \_\_\_\_\_

Cardholder's Name \_\_\_\_\_

Cardholder's Signature \_\_\_\_\_

*If your total is miscalculated, SCCE will charge your card the correct amount. Hotel accommodations are not included in the registration fee, but continental breakfast and lunch are provided. (LCCA192)*

*Due to PCI compliance, please do not provide any credit card information via email. You may email this form (without credit card information) and call SCCE at +1 952.933.4977 or 888.277.4977 with your credit card information, fax your registration to 952.988.0146, or visit corporatecompliance.org/regionals and register online*

## HOTEL/CONFERENCE LOCATION:

### Hilton Orange County/Costa Mesa

3050 Bristol Street, Costa Mesa, CA 92626  
 714-540-7000 | <http://group.hilton.com/scce>

**NOTICE:** Neither SCCE nor any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of SCCE or the event, it is likely from a room poacher and may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link in this brochure. If you have concerns or questions, please contact 888.580.8373.

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**CANCELLATIONS/SUBSTITUTIONS:** Refunds will not be issued. You may send a substitute in your place or request a conference credit. Conference credits are issued in the full amount of the registration fees paid, and will expire 12 months from the date of the original, cancelled event. Conference credits may be used toward any SCCE service or product. If a credit is applied toward an event, the event must take place prior to the credit's expiration date. If you need to cancel your participation, notification is required by email, sent to [helpteam@corporatecompliance.org](mailto:helpteam@corporatecompliance.org), prior to the start date of the event. Please note that if you are sending a substitute, an additional fee may apply.

**GROUP DISCOUNT:** 5 or more: \$25 discount for each registrant. 10 or more: \$40 discount for each registrant. Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

**TAX DEDUCTIBILITY:** Expenses of training (including tuition, travel, lodging and meals) incurred to maintain or improve skills in your profession may be tax deductible. Consult your tax advisor.

**USE OF INFORMATION:** By submitting this registration form, you agree to the use of your information and the terms and conditions stated on this form. To view our Privacy Statement visit [corporatecompliance.org/privacy.aspx](http://corporatecompliance.org/privacy.aspx).

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**CONTINUING EDUCATION:** SCCE is in the process of applying for additional external continuing education units (CEUs). Should overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Credits are assessed based on actual attendance and credit type requested.

Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. CEU totals are subject to change.

Upon request, if there is sufficient time and we are able to meet their requirements, SCCE may submit this course to additional states or entities for consideration. If you would like to make a request, please contact us at +1 952.933.4977 or 888.277.4977 or email [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org). Visit SCCE's website, [corporatecompliance.org](http://corporatecompliance.org), for up-to-date information.

**COMPLIANCE CERTIFICATION BOARD (CCB)®:** CCB has awarded a maximum of 7.2 CEUs for these certifications: Certified in Healthcare Compliance (CHC)®, Certified in Healthcare Compliance—Fellow (CHC-F)®, Certified in Healthcare Privacy Compliance (CHPC)®, Certified in Healthcare Research Compliance (CHRC)®, Certified Compliance & Ethics Professional (CCEP)®, Certified Compliance & Ethics Professional—Fellow (CCEP-F)®, Certified Compliance & Ethics Professional—International (CCEP-I)®.

**CONTINUING LEGAL EDUCATION (CLE):** The Society of Corporate Compliance and Ethics is a provider/sponsor, approved/accredited by the State Bar of California, the Pennsylvania Bar Association, and the State Bar of Texas. An approximate maximum of 6.0 clock hours of CLE credit will be available to attendees of this conference licensed in these states, along with Rhode Island. SCCE's practice is to apply for CLE credits to the state in which the event is being held, if that state has a CLE approval process for sponsors. Upon request, if there is sufficient time and if we are able to meet their CLE requirements, SCCE may submit this course to additional states for consideration. Only requests from registered attendees will be considered. All CLE credits will be assessed based on actual attendance and in accordance with each state's requirements.

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