2020 COMPLIANCE & ETHICS Resource Guide

corporatecompliance.org
Your Trusted Compliance & Ethics Resource

This 2020 Resource Guide is a comprehensive listing of educational opportunities, products, and other solutions provided by the Society of Corporate Compliance and Ethics (SCCE). Use it to explore ways to improve compliance and ethics at your organization and to cultivate your compliance career.

Educational Opportunities
We offer 45+ educational conferences a year, including weekly webinars, one-day regionals, global conferences, and classroom-style academies. These opportunities provide a forum for networking, career growth, and compliance program development.

Continuing Education
Continue to develop your career by earning continuing education units (CEUs) at our educational events. CEUs are also available through quizzes in our publications, by presenting a session or web conference, and through becoming a guest writer or blogger.

Publications and Online Resources
Stay up-to-date on the latest compliance practices and news. Improve your compliance and ethics program with our collection of training materials. Our publications and resources are available in print and a growing offering online.

About SCCE
SCCE is a non-profit, member-based association for compliance and ethics professionals. Since 2004, we have been championing ethical practices and compliance standards to promote lasting success and integrity of organizations worldwide and across all industries. Headquartered in Minneapolis, MN, SCCE serves 7,600+ members in 100+ countries around the globe.
2020 SCCE Events

Higher Education Compliance Conference
June 1–3  VIRTUAL

Essentials of Compliance Investigations
June 9  VIRTUAL
Central Daylight Time (CDT)
July 30–31  VIRTUAL
Indian Standard Time (IST)
September 3–4  VIRTUAL
Central European Summer Time (CEST)

Compliance & Ethics Institute
September 13–16 • Grapevine, TX

Internal Investigations Workshops
January 22–24 • San Francisco, CA
December 7–8 • Singapore

Basic Compliance & Ethics Academies
January 13–16 • Lake Buena Vista, FL
February 3–6 • Phoenix, AZ
February 9–12 • Dubai, UAE
March 2–5 • San Diego, CA
October 19–22 • Washington, DC
November 16–19 • Fort Lauderdale, FL
December 7–10 • Las Vegas, NV
November 30–December 3 • Singapore

Regional Compliance & Ethics Conferences
January 24 • Southern CA
February 13 • Dubai, UAE
February 27–28 • Anchorage, AK
March 6 • Minneapolis, MN
March 13 • New York, NY  VIRTUAL
April 3 • Boston, MA  VIRTUAL
April 10 • Scottsdale, AZ  VIRTUAL
April 24 • Tampa, FL  VIRTUAL
May 1 • Chicago, IL  VIRTUAL
May 8 • Richmond, VA  VIRTUAL
May 15 • San Francisco, CA  VIRTUAL
June 19 • Nashville, TN  VIRTUAL
August 14 • Asia  VIRTUAL
August 28 • South America  VIRTUAL
October 2 • Europe  VIRTUAL
October 9 • Washington, DC
October 23 • Dallas, TX
October 26 • Sarajevo, Bosnia
October 28 • Munich, Germany
October 30 • Warsaw, Poland
November 6 • Columbus, OH
November 13 • Seattle, WA
December 4 • Philadelphia, PA
December 4 • Singapore

Web Conferences
We make it easy for you to stay up-to-date on important topics. Get the full experience from our compliance experts wherever your day takes you and no matter what device you are on. Visit corporatecompliance.org/webconferences to see our latest offerings.

Plan your year
corporatecompliance.org/events
Join a community of 7,600+ compliance and ethics professionals. Gain access to a wealth of knowledge, resources, and industry connections. Become a member of the Society of Corporate Compliance and Ethics (SCCE).

Find out what **SCCE** can do for you

**Educational Conferences**
Enjoy registration discounts for a robust calendar of events, ranging from weekly web conferences, one-day regional conferences, to three-and-a-half day national conferences, and classroom-style academies covering the basics of compliance and ethics.

**Publications and Resources**
Solve your compliance problems with our valuable publications at a discounted rate. In addition you will receive our monthly members-only publication, *CEP Magazine*. Stay up-to-date on the latest compliance practices and news.

**CEUs & Certification**
Receive discounts on Compliance Certification Board (CCB)® certification exams. Flex your knowledge of compliance and be a resource in your field.

**Networking Opportunities**
Don’t feel stranded on a compliance island. Meet and connect at SCCE events and share ideas on SCCEnet, our thriving online healthcare compliance community.

Join today
[corporatecompliance.org/membership](http://corporatecompliance.org/membership)
SCCE Membership Application

Membership Options

○ Individual Membership .......................... $325
  $325 per individual annually.

○ Group Employee Membership .................. $275
  $275 per employee annually. Must have four or more applicants
  from the same organization to qualify. Please fill out one form
  for each applicant.

○ Student Membership ........................... $150
  $150 per student annually. To qualify you must be a full-time
  or part-time student and unemployed.

TOTAL $ ____________________________

Terms and Conditions

By submitting this membership application, you agree to the
full Terms and Conditions, including the use of your information,
viewable at corporatecompliance.org/membership/tandc.

Your information (postal address) may be shared with
conference exhibitors, attendees, speakers, affiliates, and
partners for marketing and/or networking purposes. To see
the full use of your information or if you wish to opt-out, visit
corporatecompliance.org/privacy.

Opt-Out: Select if you would like to opt-out of the following:

☐ Member Magazine Listings: SCCE lists all new members
  (first and last name, organization, and state or country) in our
  monthly magazine, CEP Magazine.

☐ Online Member Directory: SCCE’s member directory lists first
  and last name, organization, title, address, and phone number.

Payment

Online application at corporatecompliance.org/membership

Mail this form to SCCE, 6500 Barrie Road, Suite 250,
Minneapolis, MN  55435-2358, USA

Fax this form to +1 952.988.0146

Email this form to helpteam@corporatecompliance.org — Due to PCI
compliance, do not provide credit card information via email. You may email
this form (without credit card information), then call SCCE at +1 952.933.4977
or 888.277.4977 with payment information.

☐ Invoice me

☐ Check enclosed (payable to SCCE)

☐ Wire transfer requested

☐ Credit card: I authorize SCCE to charge my:
  ○ Visa  ○ MasterCard  ○ Discover  ○ American Express

Credit Card Account Number

Credit Card Expiration Date

Cardholder Name

Cardholder Signature

Questions? Call +1 952.933.4977 or 888.277.4977
or email helpteam@corporatecompliance.org

corporatecompliance.org/membership
Regional Compliance & Ethics Conferences

Whatever country, province, or state you call home, keep your compliance and ethics education local and affordable. Receive updates on the latest regulatory requirements and discover strategies for more effective compliance programs. Network with your peers and earn continuing education units (CEUs).

Find a location near you

January 24 • Southern CA
February 13 • Dubai, UAE
February 27–28 • Anchorage, AK
March 6 • Minneapolis, MN
March 13 • New York, NY
April 3 • Boston, MA
April 10 • Scottsdale, AZ
April 24 • Tampa, FL
May 1 • Chicago, IL
May 8 • Richmond, VA
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June 19 • Nashville, TN
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October 26 • Sarajevo, Bosnia
October 28 • Munich, Germany
October 30 • Warsaw, Poland
November 6 • Columbus, OH
November 13 • Seattle, WA
December 4 • Philadelphia, PA
December 4 • Singapore

corporatecompliance.org/regionals
LEARN THE ESSENTIALS OF EFFECTIVE COMPLIANCE & ETHICS MANAGEMENT

BASIC COMPLIANCE & ETHICS ACADEMIES

Why Attend?

Join the 7,600+ professionals who have turned to SCCE’s global Academies for in-depth compliance and ethics education. Academies limited to 75 participants—reserve your spot today.

Global Academies in 2020

January 13–16 • Lake Buena Vista, FL
February 3–6 • Phoenix, AZ
February 9–12 • Dubai, UAE
March 2–5 • San Diego, CA
April 20–23 • Bangalore, India
April 27–30 • Chicago, IL
May 11–14 • Boston, MA
June 22–25 • Amsterdam, Netherlands
July 27–30 • Seattle, WA
August 3–6 • New Orleans, LA
August 24–27 • São Paulo, Brazil
September 28—October 1 • Madrid, Spain
October 12–15 • Bangalore, India
October 19–22 • Washington, DC
November 16–19 • Fort Lauderdale, FL
November 30—December 3 • Mexico City, Mexico
November 30—December 3 • Singapore
December 7–10 • Las Vegas, NV

Register today
corporatecompliance.org/academies
We are excited to bring higher education compliance & ethics sessions and updates to you as an interactive, online experience. Watch, listen, and ask questions. Earn live Compliance Certification Board (CCB)® continuing education units (CEUs).

Higher education institutions provide a host of unique challenges for compliance professionals. Find out how to meet them at this annual conference. Attend a variety of sessions organized by knowledge level: Basic, Intermediate, and Advanced.

Get complimentary access to HCCA’s Research Compliance Conference.

corporatecompliance.org/2020highered
Gain the tools you need to become a better investigator. Receive comprehensive instruction, from initial allegation to the final report, from experienced presenters. Participate in interactive sessions to help you solidify your investigation skills.

corporatecompliance.org/2020investigations
Learn the core principles of conducting effective compliance investigations so you are properly prepared when called upon.

Registration includes a 12 month digital subscription to the book: The First Information Is Almost Always Wrong

Valued at $110.00

Register online
corporatecompliance.org/2020investigations
Think Big in 2020

Attend our largest annual conference for compliance and ethics professionals, worldwide and across all industries.

New in 2020:

• **Utilities & Energy learning track** – Deep dive into topics specific to the highly-regulated utilities and energy industries.

• **Investigations learning track** – Improve your interviewing skills and get valuable tools for each stage, from initial inquiries to presenting the final report.

• **Session levels** – Choose from basic, intermediate or advanced for the best educational fit for your needs.

Start planning your conference experience
corporatecompliance.org/2020CEI
Web conferences bring the experts to you

Receive ongoing compliance and ethics education through our weekly web conferences.

“Presenter did an excellent job of presenting and maintaining the interest of the viewers. I would definitely attend another webinar.”

“Best webinar on this subject I have ever attended! Thank you!”

Register and save with subscriptions corporatecompliance.org/webconferences

Benefits

- Convenience
- CEUs
- Relevant topics
- Knowledgeable experts
- Affordability
Explore featured titles on the following pages.

SCCE publications bring you unique insights, critical legal and regulatory updates, and practical guidance to help compliance professionals like you succeed.

Find a growing collection of content in print and online on a full range of compliance topics, including:

- Policies and procedures
- Education and training
- Risk assessments
- Auditing and monitoring
- Internal investigations
- Enforcement actions

For the most up-to-date list of print and online materials, visit our online store at corporatecompliance.org/products.

For our most up-to-date online offerings, visit COSMOS, our content platform at compliancecosmos.org.
The Complete Compliance and Ethics Manual

Your go-to compliance and ethics resource is now available in both print and digital formats. Whether you are in the office or on-the-go, there are no limits to when and where you can access compliance and ethics information with our new online COSMOS content platform. We’ve updated and enhanced the 2019 manual in 29 key areas, including:

- Workplace harassment
- Anti-corruption laws in Latin America
- DOJ guidance for program evaluation
- US government contracting
- Human trafficking

The Accidental Compliance Professional

*By Roy J. Snell*

What does 23 years in the compliance and ethics industry teach you? In *The Accidental Compliance Professional: Lessons Learned from 23 Years in the Industry*, author Roy J. Snell tells his personal and compliance war stories, and how they can help any compliance professional succeed. You’ll learn about:

- How the Health Care Compliance Association and the Society of Corporate Compliance and Ethics formed
- The emotional intelligence and interpersonal skills compliance professionals need
- Tools to fully attack and fix a compliance problem
- Why being delightfully honest and independent are critical to compliance
Become a better investigator

Internal investigations can make even the most experienced compliance professionals a little nervous.

Get the expert help you need from SCCE’s Investigations book series by Meric Bloch.

**Workplace Investigations**
Strategies to help you handle the internal investigations process

**Investigative Interviewing**
A Q&A guide to help improve your interviewing skills

**The First Information Is Almost Always Wrong**
Tactics to help you master the art and science of workplace investigations

corporatecompliance.org/products
Compliance 101, Second Edition

By Debbie Troklus and Sheryl Vacca

Compliance 101 offers guidance and tips on how to build an effective program that meets federal standards. Its coverage includes:

- The importance of compliance and ethics
- The seven essential elements of a compliance program
- Organizational steps for an effective program
- Tips for tailoring your compliance plan
- Sample compliance materials

This book is ideal for compliance professionals new to the field, compliance committee members, board members, and others who need a foundation in compliance principles.

International Compliance 101, Second Edition

By Debbie Troklus, Sheryl Vacca, and Louis Perold

International Compliance 101 is an essential guide for organizations that conduct business globally. In the second edition, readers will find more coverage highlighting the range of governing bodies and risk areas that have impact in different regions of the world. This book is intended for those new to the field as well as those newly tasked with international compliance and ethics duties.
Though organizations may not want to admit it, every supply chain is susceptible to risk. Mitigate threats to your organization by staying informed.

Earn 1.0 non-live CCB continuing education unit (CEU) for reading each issue.

Need supply chain compliance updates?
Let SCCE do the work for you

Preview unique content before you subscribe
corporatecompliance.org/rscc
Creating Great Compliance Training in a Digital World

By Kirsten Liston

While it may sound harsh, nobody cares about compliance training. As a compliance professional, it’s your job to make employees care by creating compelling content. This book can help you to “sell compliance” to your workforce through proven strategies, tactics, and the five key principles behind great compliance training.

Ethics and Compliance on the Job

This anthology of articles from ethikos magazine brings together highly relevant and practical ideas, insights, and advice for today’s practitioners. Articles for this collection were selected to cover five key subject areas:

- Establishing/promoting an ethical & compliant culture
- Program implementation details
- Communicating ethics & compliance
- Ethics & compliance education & training
- Assessing & measuring program effectiveness
Issues of *ethikos* include in-depth articles, case studies, and interviews with recognized ethics and compliance professionals which examine ethical issues facing the business world.

Subscribe to this monthly newsletter for insight and guidance into real-life situations you may encounter every day, such as:

- Conflicts of interest with personal relationships
- Bribery risks around the world
- Understanding what is ethically right vs. legally right
- A functioning ‘speak up’ culture
Simplify Your Research

Get comprehensive compliance and ethics information from one reliable online resource. Continually-updated information is available 24/7 on COSMOS, our content platform with powerful search capabilities.

Find your answers
compliancecosmos.org
Join the conversation

Connect with us and your compliance colleagues on your favorite social media platforms.

corporatecompliance.org/sccenet  facebook.com/SCCE  twitter.com/SCCE  bit.ly/LinkedInSCCE  complianceandethics.org  complianceandethics.org/category/podcasts
Grow your compliance team
Post open positions on our job board

SCCE Job Board

Reach candidates dedicated to compliance & ethics

Post on a job board with 20,000 page visits per month

Include your job in our bi-weekly emails to 30,000 professionals

Get started
corporatecompliance.org/jobs
Begin planning now! Consider how you will celebrate this week. Hold your annual education, unveil a new training program, or plan a series of compliance workshops to deliver your organization’s compliance message to all employees.
Become Certified

Gain credibility, show dedication to excellence, and become a compliance resource for your company.

Follow these 5 steps to certification:

1. Gain Work Experience
2. Earn & Submit CEUs
3. Apply to Take Exam
4. Schedule Your Exam
5. Take Exam

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