



2024

CORPORATE COMPLIANCE & ETHICS WEEK

November 3–9

Awareness
Recognition
Reinforcement



SCALENDAR DATES

JANUARY

- [1/31/19](#) – Airbus agrees to \$3.9 billion corruption settlement with US, France and UK
- [1/7/21](#) – Boeing agrees to \$2.5B+ 737 Max settlement with DOJ
- [1/8/21](#) – Deutsche Bank agrees to \$122.9M FCPA settlement
- [1/14/21](#) – Toyota Reaches \$180M Clear Air Act reporting settlement
- [1/15/21](#) – CapitalOne reaches \$390M AML settlement
- [1/20/21](#) – Tyson settles price fixing case for \$221.5M
- [1/4/23](#) – Coinbase reaches \$100 M settlement, including \$50M to be invested in compliance
- [1/4/23](#) – Meta fined 390M Euros by Ireland’s Data Protection Commission
- [1/30/23](#) – Unaoil exec sentenced to year and a day in jail in FCPA case
- [1/10/24](#) – SAP agrees to \$220M FCPA resolution with DOJ and SEC
- [1/12/24](#) – Morgan Stanley pays \$249M to settle fraud charges in block trading scandal

FEBRUARY

- [2/21/20](#) – Wells Fargo reaches \$3B settlement in fake accounts scandal
- [2/4/21](#) – McKinsey & Company reaches \$573M opioid settlement

MARCH

- [3/15/20](#) – France fines Apple \$1.23B for anti-competitive behavior

APRIL

- [4/30/20](#) – Israeli Bank reaches \$874M tax-evasion settlement
- [4/6/21](#) – DOJ announces HealthNet Federal Services pays \$97M for overstated VA Billings
- [4/14/21](#) – Bernie Madoff dies in prison
- [4/20/22](#) – Stericycle agrees to \$84M FCPA settlement with US, Brazil

MAY

- [5/24/22](#) – Glencore agrees to \$1.1B FCPA and commodities pricing resolution
- [5/26/22](#) – Twitter fined \$150M overuse of personal data

JUNE

- [6/16/20](#) – Former Bumble Bee CEO sentenced to 3+ years prison term for price-fixing
- [6/9/21](#) – VW announces former execs will pay them \$351M settlement in Dieseltgate scandal
- [6/28/22](#) – E&Y Fined record \$100M for knowing some auditors were cheating on the ethics portion of the CPA exam

JULY

- [7/1/20](#) – Novartis reaches \$642M settlement over improper payments to physicians and patients
- [7/23/20](#) – \$109M payout awarded to Novartis whistleblower
- [7/23/20](#) – Indivior agrees to \$600M opioid settlement and guilty plea of subsidiary
- [7/8/21](#) – European Commission fines BMW, Volkswagen, Porsche and Audi \$1B for collusion to impede diesel emission systems competition
- [7/21/21](#) – States unveil \$26B opioid settlement
- [7/22/21](#) – First Energy agrees to \$230M fine in connection with Ohio bribery scheme
- [7/26/21](#) – Doctor sentenced to six years in prison for fraud scheme
- [7/30/21](#) – Amazon reveals 746M Euro fine by Luxembourg’s privacy regulator, CNPD
- [7/11/23](#) – Bank of America to pay \$250M over junk fees, fake accounts and more



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CALENDAR DATES

AUGUST

- [8/1/20](#) – Guilty plea leads to nearly \$300M in fines and forfeiture for US business of Fiat Chrysler
- [8/13/20](#) – Daimler reaches \$3B civil settlement in Dieselgate scandal
- [8/28/20](#) – Herbalife reaches \$123M FCPA settlement
- [8/2/21](#) – Abbot Labs agrees to \$160M resolution of False Claims case
- [8/14/23](#) – UBS agrees to \$1.4B settlement over mortgage-backed securities

SEPTEMBER

- [9/29/20](#) – JPMorgan Chase agrees to \$920M settlement for spoofing
- [9/2/21](#) – WhatsApp receives \$267M privacy fine by Ireland's Data Protection Commission
- [9/6/22](#) – Juul agrees to \$438.5M multistate settlement over marketing practices
- [9/26/22](#) – Biogen agrees to \$900M settlement over allegation of kickbacks to physicians
- [9/18/23](#) – Tik Tok fined 345M Euros by Irish Data Commission
- [9/29/23](#) – Albemarle reaches \$218M FCPA settlement

OCTOBER

- [10/13/20](#) – Pilgrim's Pride announces \$110M price fixing settlement
- [10/20/20](#) – Goldman Sachs agrees to \$2.9B 1MDB Settlement
- [10/22/20](#) – SEC announces \$114M whistleblower payout
- [10/1/21](#) – Three generic pharma manufacturers agree to \$447.2M settlement over price fixing allegations
- [10/19/21](#) – Credit Suisse in \$475M settlement with US and UK over corruption and misleading investors
- [10/18/22](#) – Lafarge pleads guilty, gets \$777M fine for paying ISIS to keep cement plant open

NOVEMBER

- [11/12/20](#) – Indivior Solutions sentenced to pay \$289M for opioid addiction treatment marketing
- [11/24/20](#) – Purdue Pharma pleads guilty to OxyContin-related charges
- [11/2/22](#) – CVS and Walgreens announced \$10B opioid settlement
- [11/14/22](#) – Google reaches \$392M multistate settlement over tracking data
- [11/24/23](#) – Entain agrees to \$729M UK settlement over Turkey bribes

DECEMBER

- [12/6/19](#) – Ericsson reaches \$1+billion FCPA settlement
- [12/18/19](#) – SNC-Lavalin division pleads guilty to fraud. Pays \$213M fine
- [12/3/20](#) – Vitol reaches \$135M+ FCPA settlement
- [12/2/22](#) – ABB reaches \$315M coordinated bribery settlement with US, South Africa and Switzerland
- [12/7/22](#) – Former Theranos COO sentenced to nearly 13 years in jail
- [12/13/22](#) – Danske Bank pleads guilty and forfeits \$2B in money laundering case
- [12/19/22](#) – Honeywell reaches \$160M bribery resolution with US and Brazil
- [12/19/22](#) – FTC reaches \$520M settlement with Epic Games over privacy and other Fortnite Issues
- [12/22/22](#) – Meta agrees to pay \$725M in Cambridge Analytica case