

— 2020 —  
**PRODUCT  
CATALOG**



As the compliance and risk landscape changes, compliance professionals are tasked with keeping up with shifting laws, regulations, and public expectations. The Society of Corporate Compliance and Ethics & Health Care Compliance Association (SCCE & HCCA) provide the resources necessary for compliance professionals to become educated, get involved, and stay informed. SCCE & HCCA publications provide critical analysis, industry-leading advice, and insights from trusted compliance experts, helping you stay up to date with the evolving compliance landscape.

- In this catalog, you'll find offerings for:
- Corporate compliance resources and publications offered by SCCE (p. 3 – 12)
- Healthcare compliance resources and publications offered by HCCA (p. 14 – 19)

# COSMOS

## Navigate the Compliance Universe

You'll also find more information about COSMOS, our online publishing platform that delivers digital access to all our publications—anytime, anywhere.



Support SCCE & HCCA and stay at the forefront of the ever-changing compliance industry by becoming a member. Membership includes a subscription to CEP Magazine or Compliance Today, which cover the latest news, developments, and practical tips, as well as discounts on registration to all conferences and academies hosted by SCCE & HCCA.



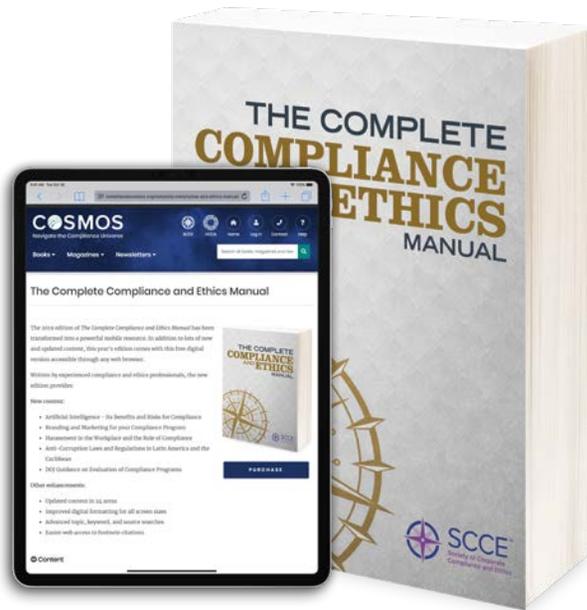
**Become a member today!**

[corporatecompliance.org](http://corporatecompliance.org)



[hcca-info.org](http://hcca-info.org)





## The Complete Compliance and Ethics Manual

Are compliance and ethics issues keeping you up at night? Make sure you get the most up-to-date information—from a trusted source—*The Complete Compliance and Ethics Manual*.

This manual is authored, updated, and revised by a diverse group of experienced compliance professionals and provides detailed analysis, practical tools, checklists, policies, and procedures to get you started on your compliance and ethics program.

New content includes:

- Compliance training for targeted audiences
- Cybersecurity and cyber-risk mitigation
- And more

In addition, we've upgraded content in 23 areas, including:

- Governing directives and standards around the world
- Environmental law and compliance
- And more

### AVAILABLE FORMATS:

**Print manual:** Members: \$429 / Non-members: \$519

**COSMOS access:** Members: \$399 / Non-members: \$479

**Print manual + COSMOS access:** Members: \$629 / Non-members: \$759

[compliancecosmos.org/ccem](http://compliancecosmos.org/ccem)

## DEVELOPING AND IMPLEMENTING COMPLIANCE PROGRAMS



### International Compliance 101, Second Edition

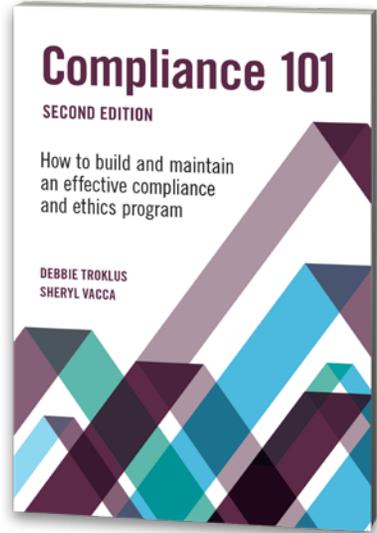
by Debbie Troklus, Sheryl Vacca, and Louis Perold

This book provides the basic information you need to establish a global compliance and ethics program and keep it active and growing. Written by compliance and ethics experts in the field, this book is an ideal resource for a range of professionals, from those who are new to the field to senior leadership.

This edition covers the seven essential elements of a compliance program, the steps needed to implement an effective one, and more.

**AVAILABLE FORMATS:**

- Softcover book:** Members \$55 / Non-members \$70
- COSMOS access:** Members \$55 / Non-members \$70
- Softcover book + COSMOS access:** Members \$85 / Non-members \$105



### Compliance 101, Second Edition

by Debbie Troklus and Sheryl Vacca

The compliance and risk landscape continues to evolve, so authors Debbie Troklus and Sheryl Vacca have updated this classic text with new insights and more tips on how to build an effective program that meets federal standards. Its coverage includes:

- The importance of compliance and ethics
- The seven essential elements of a compliance program
- Organizational steps for an effective program
- Tips for tailoring your compliance plan
- Sample compliance materials

**AVAILABLE FORMATS:**

- Softcover book:** Members \$55 / Non-members \$70
- COSMOS access:** Members \$55 / Non-members \$70
- Softcover book + COSMOS access:** Members \$85 / Non-members \$105

**NEW!**

## Compliance Risk Assessments: An Introduction

by Judith W. Spain

This book includes a sample compliance risk assessment survey and will walk you through the compliance risk assessment process step by step. Learn how to build a robust process, avoid common pitfalls, and work toward continuous improvement.

Topics include:

- Understanding the nature of risk and importance of risk management
- Defining the compliance risk universe
- Determining the likelihood and impact of a risk's occurrence
- Creating and conducting the risk assessment survey
- Compiling and evaluating results
- And more

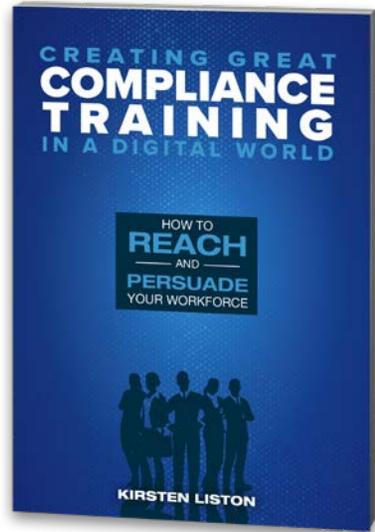
### AVAILABLE FORMATS:

**Softcover book:** Members: \$55 / Non-members: \$70

**COSMOS access:** Members: \$55 / Non-members: \$70

**Softcover book + COSMOS access:** Members: \$85 / Non-members: \$105

[compliancecosmos.org/riskintro](http://compliancecosmos.org/riskintro)

**NEW!**

## Creating Great Compliance Training in a Digital World

by Kirsten Liston

This book can help you to “sell compliance” to your workforce through proven strategies and tactics. In these pages, compliance meets content marketing, journalism, and advertising.

Learn the five key principles behind great compliance training:

- Focus on what the law means, not what the law says
- Think like a lawyer, talk like a human
- Aim for persuasion, not just information
- Master the nuts and bolts
- Measure and manage your impact

### AVAILABLE FORMATS:

**Softcover book:** Members \$55 / Non-members \$70

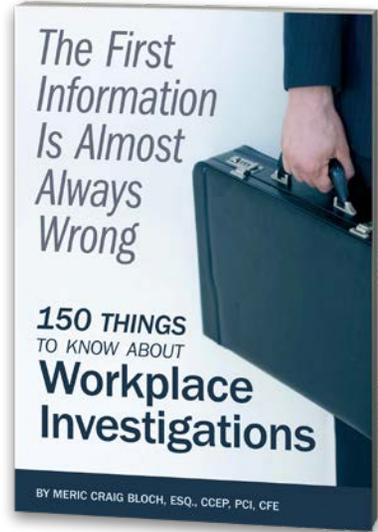
**COSMOS access:** Members \$55 / Non-members \$70

**Softcover book + COSMOS access:** Members \$85 / \$105

[compliancecosmos.org/cgct](http://compliancecosmos.org/cgct)

## INVESTIGATIONS AND AUDITS

“**#63:  
WHAT DIDN'T  
HAPPEN IS AS  
IMPORTANT  
AS WHAT  
DID...YOUR  
INVESTIGATION  
FINDINGS MUST  
TELL A STORY.**”



### The First Information Is Almost Always Wrong: 150 Things to Know About Workplace Investigations

by Meric Craig Bloch, Esq., CCEP, PCI, CFE

In this book, Meric Bloch details the strategies and tactics he knows work best. His practical guidance will help readers learn to plan and conduct thorough investigations and turn the results into valuable knowledge for their organizations. His insightful approach is mapped out in three sections:

- Protect your career — How to think like a workplace investigator
- Protect your company — How to integrate your investigations into your company's operations
- Protect your case — How to conduct an effective workplace investigation

#### AVAILABLE FORMATS:

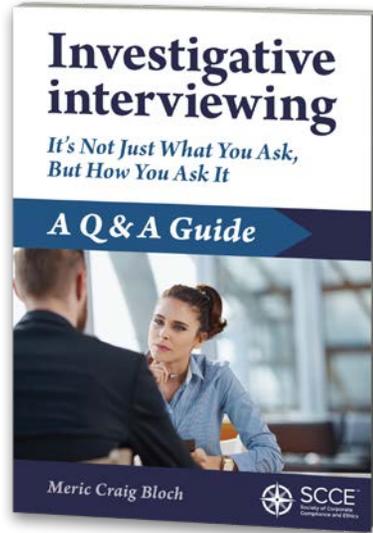
**Softcover book:** Members: \$90 / Non-members: \$110

**COSMOS access:** Members: \$90 / Non-members: \$110

**Softcover book + COSMOS access:** Members: \$135 / Non-members: \$165

**Three-book set:** Members: \$220 / Non-members: \$270

[compliancecosmos.org/fjiaaw](http://compliancecosmos.org/fjiaaw)



## Workplace Investigations: Techniques and Strategies for Investigators and Compliance Officers

by Meric Craig Bloch, Esq., CCEP, PCI, CFE

Let this book be your guide, and you will learn how to effectively handle the entire investigations process.

This book walks you through every step of an internal investigation and covers how to:

- Structure the process
- Select an investigations team
- Plan the investigation
- Interview reporters and witnesses
- Interview implicated employees
- Secure relevant documents
- Evaluate evidence
- Reach conclusions
- Write a final report and move forward

### AVAILABLE FORMATS:

**Softcover book:** Members: \$100 / Non-members: \$120

**COSMOS access:** Members: \$100 / Non-members: \$120

**Softcover book + COSMOS access:** Members: \$150 / Non-members: \$180

**Three-book set:** Members: \$220 / Non-members: \$270

[compliancecosmos.org/wi](http://compliancecosmos.org/wi)

## Investigative Interviewing: It's Not Just What You Ask, But How You Ask It—A Q&A Guide

by Meric Craig Bloch, Esq., CCEP, PCI, CFE

In this book, Meric Bloch has gathered the most useful questions posed to him and provided his expert answers.

He covers seven key areas of investigative interviewing:

- Preliminary considerations
- Fine-tuning your approach
- The interview process
- Interviewing the reporter
- Interviewing the subject
- Assessing credibility and detecting deception
- Writing the interview memo

This book offers effective strategies and tactics that can help you become a better workplace investigator.

### AVAILABLE FORMATS:

**Softcover book:** Members: \$55 / Non-members: \$70

**COSMOS access:** Members: \$55 / Non-members: \$70

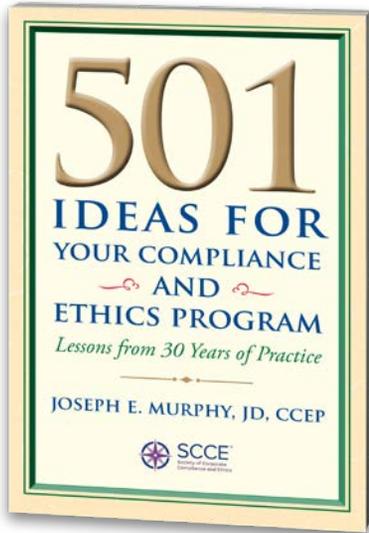
**Softcover book + COSMOS access:** Members: \$85 / Non-members: \$105

**Three-book set:** Members: \$220 / Non-members: \$270

[compliancecosmos.org/ii](http://compliancecosmos.org/ii)

**SAVE WHEN YOU BUY ALL THREE OF MERIC BLOCH'S BOOKS AS A SET: Members \$220 / Non-members \$270**

## RUNNING EFFECTIVE COMPLIANCE & ETHICS PROGRAMS



### 501 Ideas for Your Compliance and Ethics Program: Lessons from 30 Years of Practice

by Joseph E. Murphy, JD, CCEP

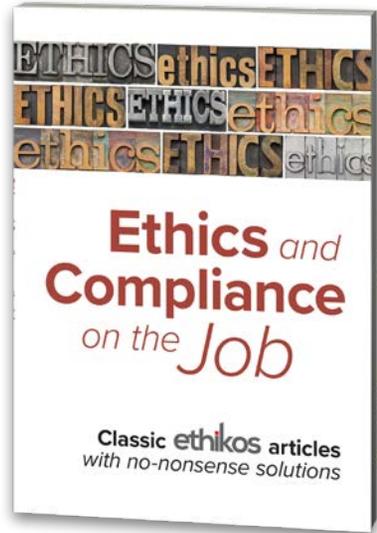
Author Joseph Murphy has spent his career collecting great ideas for building an effective compliance and ethics program. He shares 501 of them here to help others find new ways to improve their programs. Print and web citations are included next to each idea.

Topics covered in this collection include:

- Identifying compliance and ethics risks
- Preparing for investigations
- Establishing and enforcing a program
- Evaluating effectiveness
- Conducting audits
- Benchmarking against industry practices
- And much more!

**AVAILABLE FORMATS:**

Softcover book: Members: \$55 / Non-members: \$70



### Ethics and Compliance on the Job

As the beacon of business ethics publications, *ethikos* has shined a spotlight on ethics and compliance for more than 25 years. This anthology of articles brings together highly relevant and practical ideas, insights, and advice for today's practitioners.

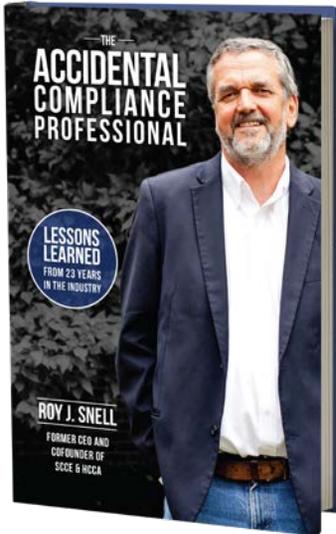
Articles for this collection were selected to cover five key subject areas:

- Establishing/promoting an ethical and compliant culture
- Implementing effective programs
- Communicating ethics and compliance
- Training and education for ethics and compliance
- Assessing and measuring program effectiveness

**AVAILABLE FORMATS:**

Softcover book: Members: \$75 / Non-members: \$90

## INDUSTRY INSIGHTS



### The Accidental Compliance Professional: Lessons Learned from 23 Years in the Industry

by Roy J. Snell

What does 23 years in the compliance and ethics industry teach you? In this book, former CEO and cofounder of SCCE & HCCA Roy J. Snell tells his personal and compliance war stories, and how they can help any compliance professional succeed.

In an entertaining yet practical way, you'll learn:

- The emotional intelligence and interpersonal skills needed to succeed.
- The tools needed to fully attack and fix a compliance problem.
- Many more lessons that you can use in your career!

#### AVAILABLE FORMATS:

Hardcover book + COSMOS access: Members: \$39.95 / Non-members: \$49.95

Softcover book + COSMOS access: Members: \$29.95 / Non-members: \$35.95

[compliancecosmos.org/tacp](https://compliancecosmos.org/tacp)

“**A thoroughly enjoyable must-read for every compliance professional. The book is a wonderful combination of all of Roy’s best qualities: wisdom, passion, experience, humor, and just the right amount of wise cracker. I’ve known Roy for almost 20 years and still found I had more to learn from him.**”

—Margaret Hambleton, CHC, CHPC,  
President, Hambleton Compliance

# ETHICS & INTEGRITY IN THE COMPLIANCE PROFESSION



## Building an Ethical Culture

edited by Art Weiss

This book is for compliance and ethics professionals who want to understand what fuels unethical behavior in the workplace and how to build a culture that prevents it.

This step-by-step guide shows you how to embrace shared core values to create lasting change. You'll learn:

- What it means to have an ethical culture and why it's critical to your organization's survival,
- How to build a solid foundation for a compliance and ethics program that thrives,
- And more!

**AVAILABLE FORMATS:**

**Softcover book:** Members: \$55 / Non-members: \$70

MONTHLY NEWSLETTER



## ethikos

This monthly newsletter features articles by ethics and compliance professionals, case studies, and interviews with leaders who are shaping today's corporate ethical cultures.

Topics include:

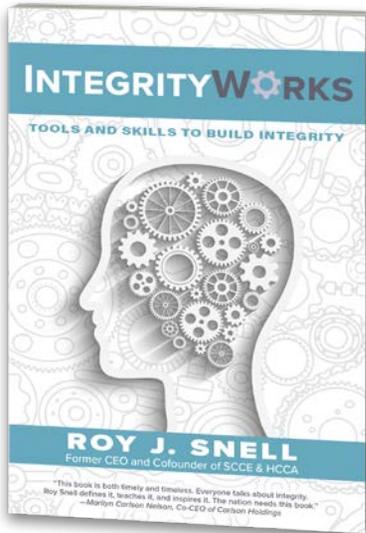
- Ethics and compliance training campaigns: What are the key success factors?
- Rebuilding a diminished brand: A look at regaining the public's trust once it's lost

Articles are written with the ethics and compliance professionals' needs in mind. They offer tips and how-to information and provide helpful details ethics professionals can use.

**ANNUAL SUBSCRIPTION (12 MONTHLY ISSUES):**

**Print newsletter + COSMOS access:** Members: \$125 / Non-members: \$135

[compliancecosmos.org/ethikos](http://compliancecosmos.org/ethikos)

**NEW!**

## IntegrityWorks: Tools and Skills to Build Integrity

by Roy J. Snell

In this book, author Roy J. Snell describes four areas we can all work on to act with more integrity:

- Being honest with ourselves about our core beliefs
- Recognizing cognitive biases and how they affect our decision-making abilities
- Having respectful, civil debates and listening to all sides of an issue
- Going through a critical thinking process when solving problems and making important decisions

Through practical tips and helpful exercises, interviews with ethical leaders, and personal stories, Snell challenges readers to change their thinking and do the work it takes to build one's integrity in their professional and personal lives.

### AVAILABLE FORMATS:

Softcover book + COSMOS access: Members \$12.95 / Non-members \$15.95

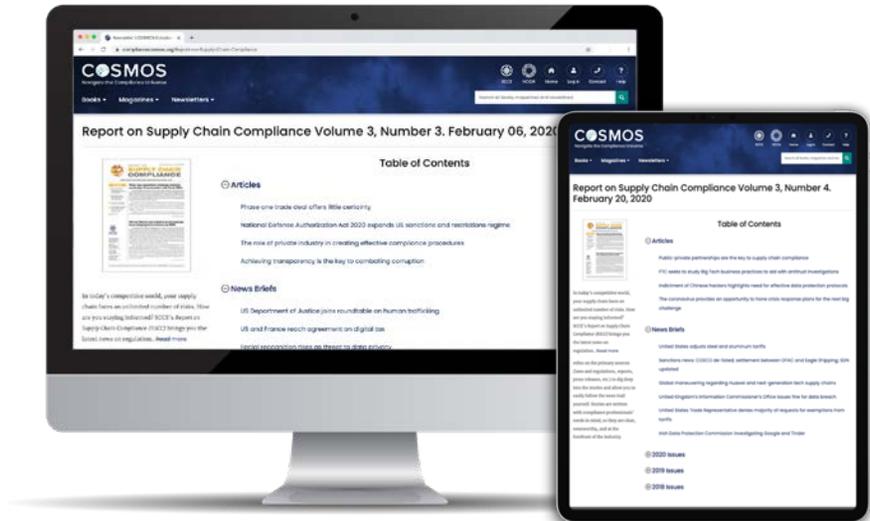
[compliancecosmos.org/integrityworks](https://compliancecosmos.org/integrityworks)

**“ THIS IS A PROFOUNDLY READABLE, PRACTICAL, EMPOWERING, AND ENABLING BOOK FOR ALL OF US WHO WOULD LIKE TO BRING OUR WHOLE AND BEST SELVES TO OUR PROFESSIONAL ENDEAVORS. ”**

—*Mary C. Gentile, PhD, Author of Giving Voice to Values: How to Speak Your Mind When You Know What's Right*



REPORT ON  
**SUPPLY CHAIN  
COMPLIANCE**



## Report on Supply Chain Compliance

In today's competitive world, your supply chain faces an unlimited number of risks. Let *Report on Supply Chain Compliance (RSCC)* keep you informed.

RSCC is a biweekly e-newsletter featuring the latest news on regulation enforcements, compliance tools, and the evolving standards for supply chain management, covering:

- Regulation guidance
- Trade agreements and sanctions
- Data privacy and security management
- Third-party due diligence and more

Stories are written by compliance experts and knowledgeable journalists. An annual subscription includes 1.0 non-live continuing education unit (CEU) requirement for each issue read, and online access to all RSCC articles, full of easily searchable, rich information relevant to your practice.

**Biweekly annual subscription (22 issues)**

Members: \$300 / Non-members: \$375

[compliancecosmos.org/rsc](http://compliancecosmos.org/rsc)

# COSMOS

Navigate the Compliance Universe

## Simplify Your Research

Get comprehensive compliance and ethics information from one reliable online resource. Continually-updated information is available 24/7 on COSMOS, our content platform with powerful search capabilities.



**Expert Sources**



**Proven Practical Content**



**In-Depth Information**

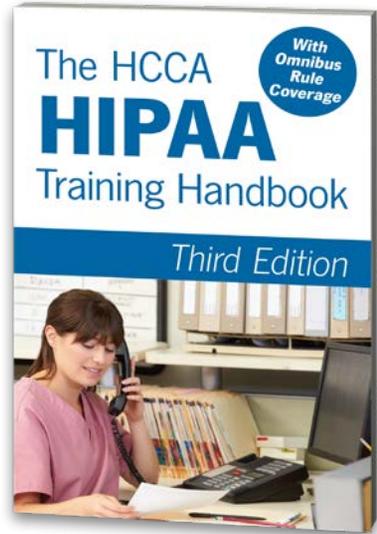


Find your answers  
[compliancecosmos.org](http://compliancecosmos.org)

# PRIVACY

MONTHLY NEWSLETTER

## Report on PATIENT PRIVACY



## Report on Patient Privacy

*Report on Patient Privacy (RPP)* examines the most pressing patient privacy issues. From HIPAA to cybersecurity to privacy cases moving through courts, *RPP* provides in-depth analysis and practical compliance strategies from industry professionals.

Annual subscriptions include 12 issues of *RPP* delivered electronically and by First-Class mail, as well as access to a subscriber-only platform, COSMOS, with a searchable library of past issues. Subscribers can earn up to 12 non-live CEUs per year toward CCB certification.

## The HCCA HIPAA Training Handbook, Third Edition

This handbook is for anyone who needs a basic understanding of the privacy and security regulations launched by HIPAA, the HITECH Act, and the Omnibus Rule. Suitable for staff training courses, it covers:

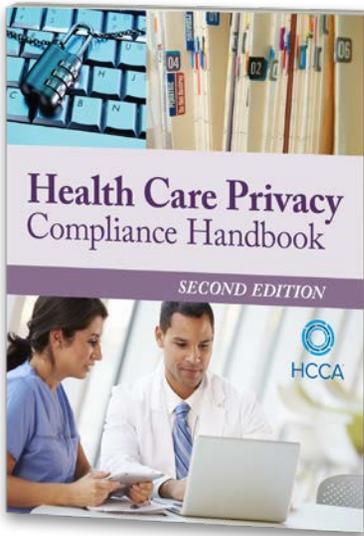
- Who must comply with the regulations?
- When and by whom is the use or disclosure of protected health information (PHI) permitted?
- What are the basic safeguards required to protect the security of ePHI?
- What happens if a breach occurs?
- What are the penalties for noncompliance?

### ANNUAL SUBSCRIPTION (12 MONTHLY ISSUES):

Print newsletter + COSMOS access: Members \$485 / Non-members \$565  
[compliancecosmos.org/rpp](http://compliancecosmos.org/rpp)

### AVAILABLE FORMATS:

Softcover book: Members \$25/ Non-members \$30  
 HCCA member bulk discounts (price per copy):  
 10–24: \$23 / 25–49: \$20 / 50–74: \$18 / 75–99: \$16 / 100+: \$15



## Health Care Privacy Compliance Handbook, Second Edition

As the United States continues moving toward nationally standardized electronic health care records to more effectively share health information nationwide, it is incumbent on all privacy professionals to speak the same language. This second edition will help sort through the complex regulatory framework and significant issues about privacy facing health care organizations. Written by the faculty of HCCA's Privacy Compliance Academy, it covers:

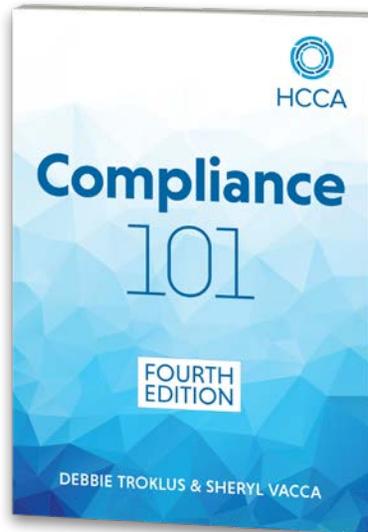
- HIPAA Privacy and Security
- HITECH and the Omnibus Rule
- FERPA
- The Federal Privacy Act
- 42 C.F.R. § 2
- Privacy and research
- Vendor relations
- Payor privacy issues
- And more

### AVAILABLE FORMATS:

Softcover book + COSMOS access: Members: \$165 / Non-members \$200  
[compliancecosmos.org/Health-Care-Privacy-Compliance-Handbook](http://compliancecosmos.org/Health-Care-Privacy-Compliance-Handbook)

**“DATA PRIVACY AND SECURITY ARE SIGNIFICANT ISSUES IN VIRTUALLY EVERY DEAL OR DECISION MADE BY LARGE AND SMALL HEALTHCARE COMPANIES.”**

## DEVELOPING & IMPLEMENTING COMPLIANCE PROGRAMS



### Compliance 101, Fourth Edition

by Debbie Troklus and Sheryl Vacca

*Compliance 101, Fourth Edition*, covers the fundamentals of healthcare compliance. Get the knowledge and tools you need to build and enhance your organization's compliance program. Content includes:

- The seven essential elements of an effective compliance program
- Changes in HIPAA, HITECH, the Omnibus Rule, and other federal regulations
- Step-by-step instructions for building a compliance program
- Sample compliance tools

This book is ideal for compliance professionals new to the field, compliance committee members, compliance liaisons, board members, and others who need a foundation in compliance principles.

#### AVAILABLE FORMATS:

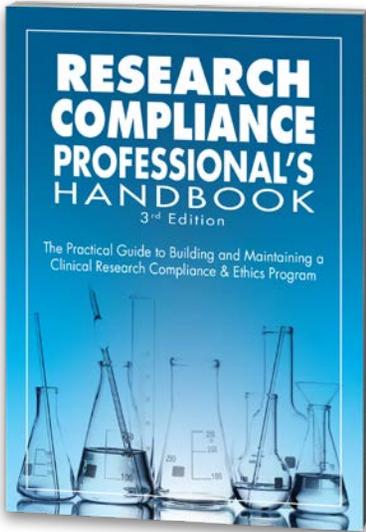
**Softcover book:** Members \$55 / Non-members \$70

**COSMOS access:** Members \$55 / Non-members \$70

**Softcover + COSMOS access:** Members \$85/ Non-members \$105

[compliancecosmos.org/hcca101](http://compliancecosmos.org/hcca101)

# RESEARCH COMPLIANCE



## MONTHLY NEWSLETTER

### Report on RESEARCH COMPLIANCE



## Research Compliance Professional's Handbook, Third Edition

To be successful in research compliance, it is vital to play by the rules. If not, it can result in misconduct accusations and harsh consequences for researchers and institutions. Get comprehensive, up-to-date guidance to meet the demands of governing entities. Written by clinical research experts, this handbook can help you cultivate an effective research compliance program.

Subjects include:

- Human subject protections
- Biosafety and biosecurity
- Conflicts of interest
- Scientific misconduct
- And more

**AVAILABLE FORMATS:**

**Softcover book:** Members \$165/ Non-members \$200  
**COSMOS access:** Members \$165/ Non-members \$200  
**Softcover book + COSMOS access:** Members \$265/ Non-members \$300  
[compliancecosmos.org/rcph](http://compliancecosmos.org/rcph)

## Report on Research Compliance

*Report on Research Compliance* provides unique and timely content and actionable business strategies to safeguard your organization from costly research compliance mistakes.

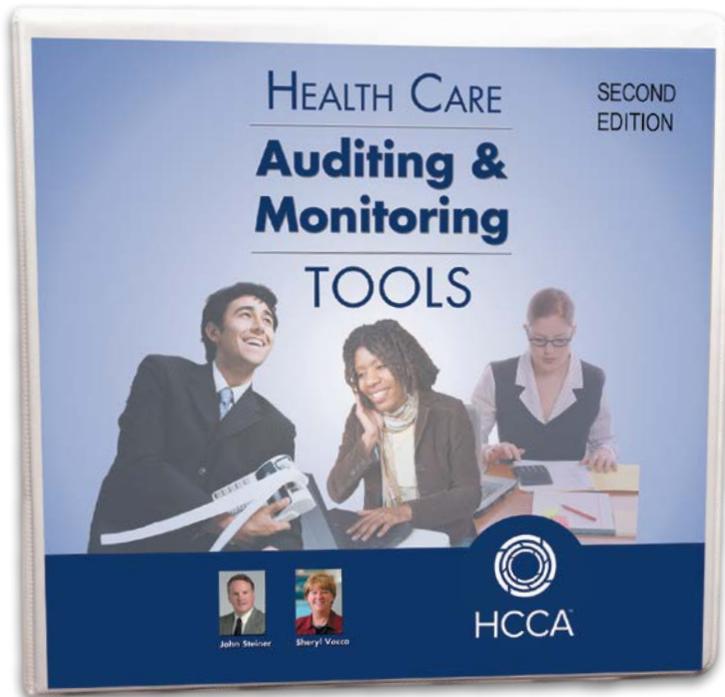
Some of the topics you can read about each month are:

- False Claims Act settlements and misconduct findings
- Audits and enforcement actions
- Regulations governing biomedical, animal, and other types of research

Subscriptions include 12 issues delivered by First-Class mail, weekly e-news delivered to your inbox, and digital access to our online compliance portal, COSMOS.

**ANNUAL SUBSCRIPTION (12 MONTHLY ISSUES):**

**Print newsletter + COSMOS access:** Members \$405/ Non-members \$465  
[compliancecosmos.org/rrc](http://compliancecosmos.org/rrc)



## Health Care Auditing & Monitoring Tools

This tool kit provides templates, report formats, model policies and procedures, and more to help establish or enhance your compliance auditing and monitoring efforts.

Find just one tool to help your program improve, and you've achieved a positive return on investment.

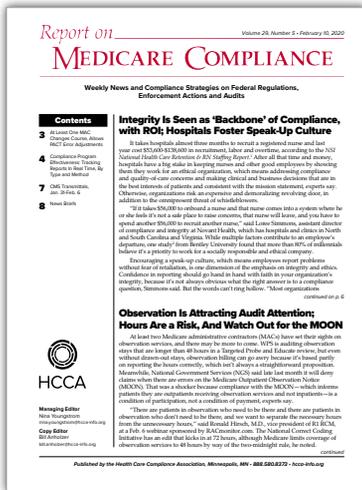
More than 1,000 pages of material cover tools for:

- Risk assessment
- Policies and procedures
- Specific program audits
- Program effectiveness

The print and CD-ROM format allows you to tailor documents already created and implemented by your peers in the profession.

Members: \$435 / Non-members \$525

# Report on MEDICARE COMPLIANCE



## Report on Medicare Compliance

Report on Medicare Compliance includes effective, practical strategies and checklists you can immediately implement into your compliance program. You will stay up to date on the ever-changing world of Medicare and get expert insights and guidance on what these developments mean for your organization.

Below are some of the topics covered each month:

- Medicare and commercial audits
- False Claims Act settlements and misconduct findings
- Stark Law and the Anti-Kickback Statute
- Inpatient and outpatient billing

Subscriptions include 45 issues, a searchable library of past issues, and digital access to our online compliance platform, COSMOS.

ANNUAL SUBSCRIPTION (45 WEEKLY ISSUES):

Print newsletter + COSMOS access: Members \$665 / Non-members \$765

[compliancecosmos.org/rmc](http://compliancecosmos.org/rmc)