

# UTILITIES & ENERGY

## COMPLIANCE & ETHICS CONFERENCE

FEBRUARY 10-12, 2019 | HOUSTON, TX

Federal Regulations | Risk Assessment | Crisis Management

The utilities and energy industries are highly regulated, each with their own set of compliance issues. Find out about new regulations, dive deep into specific topics, and build connections with others facing similar challenges at this once-a-year conference.

**BACK IN  
TEXAS  
FOR 2019**

[corporatecompliance.org/utilities](http://corporatecompliance.org/utilities)

Questions? [katie.burk@corporatecompliance.org](mailto:katie.burk@corporatecompliance.org)



## Sunday, February 10 *Pre-Conference*

9:00 AM - 6:30 PM	<b>Registration Open</b>
9:45 - 11:15 AM	<b>P1 Relevant, Interactive, and Transferred to the Job: Explore How to Make This Describe Your Compliance Training!</b> – <i>Leah Yoder, Senior Advisor, UL Pure Learning</i>
11:15 AM - 12:30 PM	<b>Lunch (On your own)</b>
12:30 - 2:00 PM	<b>P2 Risk Assessments, Evaluations, Auditing and Monitoring</b> – <i>Josh Wallenstein, Managing Member, Wallenstein Law Group</i>
2:00 - 2:15 PM	<b>Networking Break</b>
2:15 - 3:45 PM	<b>P3 Implementation of Microsoft Office 365: The Compliance Factor</b> – <i>Christian E. Whicker, Director, Corporate Compliance, Duke Energy</i>
3:45 - 4:00 PM	<b>Networking Break</b>
4:00 - 5:30 PM	<b>P4 The Board's Essential Role in Compliance and Ethics Programs and Tips for Making it Happen</b> – <i>Jane R. Lewis-Raymond, Partner, Adams &amp; Bernstein, LLP; Douglas Harmon, Attorney, Parker Poe, Adams &amp; Bernstein LLP</i>
5:30 - 6:30 PM	<b>Welcome Reception</b>

## Monday, February 11 *Main Conference*

7:00 AM - 6:00 PM	<b>Registration Open</b>
7:30 - 8:30 AM	<b>Continental Breakfast (Provided)</b>
8:30 - 9:30 AM	<b>GENERAL SESSION 1: How Much Due Diligence is Enough?: Will More Be Expected And If So How Much More?</b> – <i>Ryan C. Hubbs, Global Anticorruption &amp; Fraud Manager, Schlumberger</i>
9:30 - 10:30 AM	<b>GENERAL SESSION 2: Why Good People Do Bad Things</b> – <i>Paul Fiorelli, CCEP, Director, Cintas Institute for Business Ethics, Xavier University</i>
10:30 - 11:00 AM	<b>Networking Break</b>
11:00 AM - 12:00 PM	<b>101 Post-Incident Communication with Regulators</b> – <i>Charlene Wright, Managing Member, Wright &amp; Associates PLLC</i>
12:00 - 1:00 PM	<b>102 Code 3.0: Changing Expectations for Written Compliance Standards</b> – <i>Eric Morehead, Principal Consultant, Morehead Compliance Consulting</i>
1:00 - 2:00 PM	<b>201 Major Recent Developments in Anti-Corruption Compliance Across Latin America</b> – <i>Brian Weihs, Managing Director, Kroll, a division of Duff &amp; Phelps</i>
2:00 - 2:30 PM	<b>202 Energy Storage – Federal Regulatory Developments and Implications</b> – <i>Levi McAllister, Partner, Morgan Lewis</i>
2:30 - 3:30 PM	<b>301 You Had Me at 'Compliance': Get Ready for Your Close-Up with Federal Regulations</b> – <i>Stephen Lau, Senior Security Specialist, GreyCastle Security</i>
3:30 - 4:00 PM	<b>302 Risk, Audit, and OpEx: Three Strategies to Operationalize Your Program</b> – <i>Samantha E. Kelen, Lead Ethics Analyst, Duke Energy</i>
4:00 - 5:00 PM	<b>401 Handling a Cybersecurity Investigation: An Interactive Tabletop Exercise led by a Regulator, a Lawyer, and a Security Expert</b> – <i>Jay Johnson, Partner, Jones Day; Chad Pinson, Managing Director, Stroz Friedberg; Scott Mascianica, Assistant Regional Director, U.S. Securities and Exchange Commission</i>
5:00 - 6:00 PM	<b>402 OFAC Sanctions: Navigating the Minefield</b> – <i>Robert J. Ward, Jr., Director of Trade Compliance, WESCO Distribution</i>
5:00 - 6:00 PM	<b>Networking Reception</b>

## Tuesday, February 12 *Main Conference*

7:30 AM - 5:00 PM	<b>Registration Open</b>
7:30 - 8:30 AM	<b>Continental Breakfast (Provided)</b>
8:30 - 9:30 AM	<b>General Session 3: Utilities &amp; Energy Infrastructure: In the Crosshairs of Cyber Terrorists</b> – <i>Charles Shugg, Partner, Chief Operating Officer, Sylint Group, Inc.</i>
9:30 - 10:30 AM	<b>General Session 4: Compliance Investigations – Lessons from the Trenches</b> – <i>Gerry Zack, CEO, HCCA &amp; SCCE</i>
10:30 - 11:00 AM	<b>Networking Break</b>
11:00 AM - 12:00 PM	<b>501 Strategic Monitoring and Analytics for Meaningful Metrics</b> – <i>Valorie Ciechanowski, Compliance Manager, Advanced Bionics</i>
12:00 - 1:00 PM	<b>502 Step Right Up: How to Throw a Compliance Carnival</b> – <i>Laurie Rosenbaum, Compliance &amp; Ethics Coordinator, ONEGas</i>
1:00 - 2:00 PM	<b>601 The Future Compliance Officer: New Dimensions of AI and How Compliance Officers can Leverage Machine Learning</b> – <i>Alexandra Wrage, President, TRACE International, Inc.; Ramona Ortiz, Senior Counsel, Exxon Mobile Corporation; Hentie Dirker, Chief Compliance Officer, SNC-Lavalin Inc.</i>
2:00 - 2:15 PM	<b>602 Managing Antitrust Risk in Joint Ventures</b> – <i>Justin Hedge, Counsel, Antitrust, Arnold &amp; Porter</i>
2:15 - 3:15 PM	<b>701 Exploding Training Myths: How Marathon Oil and Other Organizations are Building Affordable Compliance Training that Works</b> – <i>Dan Brown, Interactive Services; Steven Gyeszly, Chief Compliance Counsel, Marathon Oil Corporation; Adriana Herrera, Sr. Global Compliance Analyst, Phillips 66</i>
3:15 - 3:30 PM	<b>702 Cyber Security Crisis Management – Are You Ready?</b> – <i>Lori Spence, Executive Director, Compliance Strategy, MISO; David Douglass, FERC Compliance, Evergy Inc.; Paul Tiao, Partner, Hunton Andrews Kurth LLP; Brian Zimmet, Senior Attorney, Hunton Andrews Kurth LLP</i>
3:30 - 4:30 PM	<b>801 Building a Winning Team</b> – <i>Tayo Kurzman Kinnane, Compliance Management, Operations, Con Edison Company of NY; Kevin Jamieson, Director, Compliance Management, Con Edison of NY</i>
3:30 - 4:30 PM	<b>802 Mobile Communications: Are You Doing It Right?</b> – <i>Ben DiPietro (moderator), Ethics and Compliance Thought Leader, LRN; Antonio Fernandez, Chief Compliance Officer, PSEG; Lori Spence, Executive Director, Compliance Strategy, MISO Energy</i>

(Agenda and times subject to change)

## Sunday, February 10

*Pre-Conference*

**7:30 AM – 6:30 PM**

Registration Open

**9:45 – 11:15 AM**

**P1:** Relevant, Interactive, and Transferred to the Job: Explore How to Make This Describe Your Compliance Training!



Leah Yoder,  
Senior Advisor,  
UL Pure Learning

- Microlearning: Action-focused design.
- Permanence: Scheduled remembering.
- Support: Critical in-the-moment help.

**11:15 AM – 12:30 PM**

Lunch (*On your own*)

**12:30 – 2:00 PM**

**P2:** Risk Assessments, Evaluations, Auditing and Monitoring



Josh Wallenstein,  
Managing Member,  
Wallenstein Law Group

- Key elements of an effective and credible risk assessment (incorporating both objective and subjective data).
- Different concepts to scoping, designing, and implementing both focused and enterprise-wide risk assessments.
- Compare and contrast a risk assessment with (i) evaluations, (ii) audits, and (iii) continuous monitoring.

**2:00 – 2:15 PM**

Networking Break

**2:15 – 3:45 PM**

**P3:** Implementation of Microsoft Office 365: The Compliance Factor



Christian E. Whicker,  
Director, Corporate Compliance,  
Duke Energy

- Is your compliance program ready for the implementation of Microsoft Office 365?
- Learn what questions to ask and steps to take to ensure that the appropriate compliance considerations are taken.
- Review key elements related to messaging and change management to ensure that users are fully informed and understand their accountability.

**3:45 – 4:00 PM**

Networking Break

**4:00 – 5:30 PM**

**P4:** The Board's Essential Role in Compliance and Ethics Programs and Tips for Making it Happen



Jane R. Lewis-Raymond,  
Partner,  
Adams & Bernstein, LLP



Douglas Harmon,  
Attorney, Parker Poe,  
Adams & Bernstein LLP

- A summary of current legal requirements, stakeholder expectations and best practices regarding board engagement in compliance and ethics programs.
- Examples of common missteps and impediments to proper board engagement.
- Tips for overcoming impediments and ensuring board engagements to achieve effective compliance.

**5:30 – 6:30 PM**

Welcome Reception

## Monday, February 11

*Main Conference*

**7:00 AM – 6:00 PM**

Registration Open

**7:30 – 8:30 AM**

Continental Breakfast  
(*Provided*)

**8:30 – 9:30 AM**

**General Session 1:** How Much Due Diligence is Enough?; Will More Be Expected And If So How Much More?



Ryan C. Hubbs,  
Global Anticorruption & Fraud Manager,  
Schlumberger

- Revisiting the requirements of due diligence programs.
- Where are due diligence programs being challenged and where are they falling short?
- What changes could we see in due diligence programs, and requirements, in the coming years.

**9:30 – 10:30 AM**

**General Session 2:** Why Good People Do Bad Things



Paul Fiorelli, CCEP,  
Director,  
Cintas Institute for Business Ethics,  
Xavier University

- Explore how integrity, reputation and working in the gray areas impact an organization.
- Review how we treat people who "make the numbers" but "ignore the values" of the company.
- Analyze character traits leading to improper behavior.

**10:30 – 11:00 AM**

Networking Break

**11:00 AM – 12:00 PM**

*Concurrent Sessions*

**101:** Post-Incident Communication with Regulators



Charlene Wright,  
Managing Member,  
Wright & Associates PLLC

- Exploring the duty of utilities communicating with regulators post incident, and why litigators sometimes get it wrong.
- Recent examples of post-incident enforcement and the consequences of lack of transparency.
- Why managing post-incident activities only from a litigation perspective can miss key opportunities to prevent recurrence, improve safety and improve communication with regulators.

**102:** Code 3.0: Changing Expectations for Written Compliance Standards



Eric Morehead,  
Principal Consultant,  
Morehead Compliance Consulting

- How often you should review and update your code of conduct and other written standards?
- Who should be involved in the development process and what should that process look like?
- Is there any new guidance on how to develop written standards?

**12:00 – 1:00 PM**

Lunch (*Provided*)

**1:00 – 2:00 PM**

*Concurrent Sessions*

**201: Major Recent Developments in Anti-Corruption Compliance Across Latin America**



Brian Weihs,  
Managing Director,  
Kroll, a division of Duff & Phelps

- New laws enacted in countries across the region give new powers to enforcers and require new obligations of companies – how has this changed the ABC (anti-bribery and corruption) compliance picture in the region?
- Enforcers are exercising new-found powers and autonomy, and working in closer collaboration with foreign authorities, resulting in several notable (game-changing?) prosecutions. Are there more coming?
- Anti-corruption has been a significant and sometimes overwhelming issue in recent and upcoming elections across the region. How will the ABC compliance picture evolve in the next couple of years?

**202: Energy Storage – Federal Regulatory Developments and Implications**



Levi McAllister,  
Partner,  
Morgan Lewis

- An overview of the development of energy storage resources in the US and the implications of widespread storage resource deployment on existing and future generation fleets.
- FERC's requirement that organized markets permit full and fair participation by storage resources, the implications of that requirement, and how organized market rules and regulations are evolving in response to Order No. 841.
- Commercial and financing considerations for storage resource development and procurement, including structures used for IFM and BTM energy storage projects and project finance developments for energy storage.

**2:00 – 2:30**

Networking Break

**2:30 – 3:30 PM**

*Concurrent Sessions*

**301: You Had Me at 'Compliance': Get Ready for Your Close-Up with Federal Regulations**



Stephen Lau,  
Senior Security Specialist,  
GreyCastle Security

- How to leverage globally recognized standards to achieve compliance.
- The different security control types that need to be implemented and tested.
- How to develop a corrective action plan for re-mediating your current cybersecurity gaps.

**302: Risk, Audit, and OpEx: Three Strategies to Operationalize Your Program**



Samantha E. Kelen,  
Lead Ethics Analyst,  
Duke Energy

- With increased scrutiny in recent years, more and more regulators are questioning the effectiveness and implementation of compliance and ethics programs.
- Many of them ask the simplest, yet most challenging, question: "How do you know it's working?"
- Learn strategies to coordinate with risk, audit, and operational excellence to expand the reach of your program and increase saturation on the front lines.

**3:30 – 4:00 PM**

Networking Break

**4:00 – 5:00 PM**

*Concurrent Sessions*

**401: Handling a Cybersecurity Investigation: An Interactive Tabletop Exercise led by a Regulator, a Lawyer, and a Security Expert**



Jay Johnson,  
Partner,  
Jones Day



Chad Pinson,  
Managing Director,  
Stroz Friedberg

Scott Mascianica,  
Assistant Regional Director,  
U.S. Securities and Exchange Commission

- Interactive tabletop exercise featuring a security incident at a hypothetical company subject to regulatory oversight by a hypothetical agency! The panelists will trade thoughts and solicit real-time feedback from the audience on appropriate next steps.
- Provide a high-level introduction to regulatory compliance in the cybersecurity/privacy space.
- Review regulatory requirements; best data protection practices; preservation, privilege and other litigation issues; and effectively interfacing with regulators.

**402: OFAC Sanctions: Navigating the Minefield**



Robert J. Ward, Jr.,  
Director of Trade Compliance,  
WESCO Distribution

- Introduction to OFAC as well as recent new sanctions law enactment in Aug 2017 impacting Russia, Iran and North Korea.
- Review of recent enforcement actions for lessons learned involving PNB Paribas, Schlumberger and ZTE.
- Discussion on key steps to take to prevent violations including best practices for policies/procedures, screening, due diligence and training.

**5:00 – 6:00 PM**

Networking Reception

## Tuesday, February 12

*Main Conference*

**7:30 AM – 5:00 PM**

Registration Open

**7:30 – 8:30 AM**

Continental Breakfast (*Provided*)

**8:30 – 9:30 AM**

**General Session 3: Utilities & Energy Infrastructure: In the Crosshairs of Cyber Terrorists**



Charles Shugg,  
Partner, Chief Operating  
Officer, Sylint Group, Inc.

- Intelligence reveals increased cyber reconnaissance and knowledge acquisition of unique critical infrastructure operational technology (OT) systems and protocols. An oil & gas case study will highlight potential threat capabilities against SCADA & ICS.
- As once unique OT systems & protocols transition to common IT and internet interconnectivity, they become increasingly vulnerable to cyber-attack. Cyber terrorism is eminent. Interactive discussions will focus on actions to mitigate this threat.
- Cyber-attacks on gas & oil SCADA & ICS can produce fear, destruction and death. Mitigation requires a well-designed strategy that can minimize expenditures. Discussion will include practical tools/procedures can produce effective & cost efficiency.

**9:30 – 10:30 AM**

**General Session 4: Compliance Investigations – Lessons from the Trenches**



Gerry Zack,  
CEO,  
HCCA & SCCE

- Learn to identify and avoid the ten most common potentially fatal mistakes in performing compliance investigations, including issues involving:
- Investigation scope and goals.
  - Use of electronic evidence.
  - When and how to utilize external assistance.

## 11:00 AM – 12:00 PM

### Concurrent Sessions

#### 501: Strategic Monitoring and Analytics for Meaningful Metrics



Valorie Ciechanowski,  
Compliance Manager,  
Advanced Bionics

- Identify and differentiate between individual compliance violations and systemic compliance issues that may require further root cause analysis.
- Assist in the development of improved policies and procedures as well as the enhancement of your current communications and training.

#### 502: Step Right Up: How to Throw a Compliance Carnival



Laurie E. Rosenbaum,  
Compliance & Ethics Coordinator,  
ONEGas

- Are you looking for something new and exciting to reinforce your compliance message?
- Step by step guide for building a compliance carnival.
- Games and ideas to spice up your compliance and ethics program.

## 12:00 – 1:00 PM

### Networking Lunch (Provided)

## 1:00 – 2:00 PM

### Concurrent Sessions

#### 601: The Future Compliance Officer: New Dimensions of AI and How Compliance Officers can Leverage Machine Learning



Alexandra Wrage,  
President,  
TRACE International, Inc.

Ramona Ortiz,  
Senior Counsel,  
Exxon Mobile Corporation

Hentie Dirker,  
Chief Compliance Officer,  
SNC-Lavalin Inc.

- Artificial intelligence from a compliance perspective: opportunities and challenges.
- How companies can use AI to enhance due diligence and anti-bribery controls.
- Leveraging your data assets: what compliance officers need to know to benefit from AI.

#### 602: Managing Antitrust Risk in Joint Ventures



Justin Hedge,  
Counsel, Antitrust,  
Arnold & Porter

- Antitrust laws restrict certain agreements and information sharing between competitors.
- While some joint activities like purchasing, bidding, production, and marketing can have pro-competitive benefits, particularly in energy industries, they can carry a risk of government enforcement actions and private litigation with trebled damages.
- This panel will discuss best practices to minimize antitrust risk both when forming joint ventures and managing joint activities on an on-going basis.

## 2:00 – 2:15 PM

### Networking Break

## 2:15 – 3:15 PM

### Concurrent Sessions

#### 701: Exploding Training Myths: How Marathon Oil and Other Organizations are Building Affordable Compliance Training that Works

Dan Brown,  
Interactive Services



Steven Gyeszly,  
Chief Compliance Counsel,  
Marathon Oil Corporation



Adriana Herrera,  
Sr. Global Compliance Analyst,  
Phillips 66

- The training industry is always innovating, which is a good thing, but for those of us at the coal face of training delivery, we need realistic affordable solutions, not future ware.
- The good news is, great training and great communications doesn't have to be expensive, and can be simple, effective and fun.
- Join us for a discussion about how Marathon Oil and other organizations are building affordable compliance training that works.

#### 702: Cyber Security Crisis Management – Are You Ready?



Lori Spence,  
Executive Director,  
Compliance Strategy, MISO



David Douglass,  
FERC Compliance,  
Evergy Inc.



Paul Tiao,  
Partner,  
Hunton Andrews Kurth LLP



Brian Zimmet,  
Senior Attorney,  
Hunton Andrews Kurth LLP

- As a compliance professional, are you comfortable with how your Company would respond to a cyber threat or breach that significantly impacted your operations?
- Have your Executives drilled or participated in cyber security crisis management exercises? Do you know what your role is?
- This session will run through various scenarios on how to respond to cyber security threats and breaches to ensure operations and reputation stay intact.

## 3:15 – 3:30 PM

### Networking Break

## 3:30 – 4:30 PM

### Concurrent Sessions

#### 801: Building a Winning Team



Tayo Kurzman Kinnane,  
Compliance Management, Operations,  
Con Edison Company of NY

Kevin Jamieson,  
Director, Compliance Management,  
Con Edison of NY

- Balancing compliance and ethics professionals and operations professionals to form a strong, credible, understandable C&E program to connect with the business.
- Gaining trust of business partners, and getting them to want to join and support our team.
- Plans for turnover on the team.

#### 802: Mobile Communications: Are You Doing It Right?



Ben DiPietro (moderator),  
Ethics and Compliance  
Thought Leader, LRN



Antonio Fernandez,  
Chief Compliance Officer,  
PSEG

Lori Spence,  
Executive Director, Compliance  
Strategy, MISO Energy

- Ways the oil, gas and utilities are using mobile technology to engage with their workforces, partners, stakeholders;
- Data privacy and cybersecurity aspects of a mobile workforce as it relates to regulatory and compliance concerns; and
- How do you know if what you are doing is working?

## CONTACT INFORMATION

Please type or print your information below.

Mr.  Mrs.  Ms.  Dr.

Member / Account ID (if applicable/known)

First Name MI

Last Name

Credentials (CCEP, CCEP-I, CHC, etc.)

Job Title

Organization (Place of Employment)

Street Address

City/Town

State/Province Zip/Postal Code

Country

Phone

Email (required for registration confirmation & conference information)

### GROUP DISCOUNTS

5 or more: \$50 discount for each registrant  
10 or more: \$100 discount for each registrant

Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. The group discount is NOT available through online registration. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

## HOW TO REGISTER

**MAIL:** Include registration form with check payable to: SCCE, 6500 Barrie Road, Suite 250 Minneapolis, MN 55435, United States

**ONLINE:** [corporatecompliance.org/utilities](http://corporatecompliance.org/utilities)

**FAX:** +1 952.988.0146 (including billing information)

**QUESTIONS?** Call +1 952.933.4977 or 888.277.4977 or e-mail [helpteam@corporatecompliance.org](mailto:helpteam@corporatecompliance.org)

**Use of your information** – To find out how we may use your information please read our Privacy Statement at [corporatecompliance.org/privacy.aspx](http://corporatecompliance.org/privacy.aspx).  
**By submitting this registration form you agree to the terms and conditions,** including the use of your information as stated in our Privacy Statement located at [corporatecompliance.org/privacy.aspx](http://corporatecompliance.org/privacy.aspx).

## REGISTRATION OPTIONS

- SCCE Members ..... \$875
- Non-Members ..... \$1,045
- Registration plus SCCE Membership ..... \$1,095  
*Save by joining SCCE today (First-time members only; dues regularly \$325 annually)*
- Pre-Conference Session Sunday ..... \$275
- Group Discount: subtract \_\_\_\_\_ from my total  
*(See BOX AT BOTTOM LEFT for more information)*

Registration fees are as listed and considered net of any local withholding taxes applicable in your country of residence.

**TOTAL** \_\_\_\_\_

## SPECIAL REQUEST FOR DIETARY ACCOMMODATION

- Kosher (Hechsher certified)  Kosher-Style (no shellfish, pork, or meat/dairy mixed)
- Gluten Free  Vegetarian  Vegan
- Other \_\_\_\_\_

## PAYMENT OPTIONS

**MAIL CHECK TO:** SCCE, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435, United States

**FAX TO:** +1 952 988 0146

- Check enclosed  Invoice me
- I authorize SCCE to charge my credit card (below)

Due to PCI Compliance, **please do not provide any credit card information via email.** You may email this form (without credit card information) and call SCCE at 952.933.4977 or 888.277.4977 with your credit card information.

**CREDIT CARD:**  Visa  MasterCard  Discover  American Express

Credit Card Account Number

Credit Card Expiration Date

Cardholder's Name

Cardholder's Signature

UE0219

**Please fax your completed registration form with payment information to +1 952.988.0146, or visit [corporatecompliance.org](http://corporatecompliance.org) to register online.**

SOCIETY OF CORPORATE COMPLIANCE AND ETHICS  
6500 Barrie Road, Suite 250, Minneapolis, MN 55435 United States  
PHONE +1 952.933.4977 or 888.277.4977 | FAX +1 952.988.0146  
[corporatecompliance.org](http://corporatecompliance.org) | [helpteam@corporatecompliance.org](mailto:helpteam@corporatecompliance.org)

## CONFERENCE & HOTEL

### Westin Oaks Houston

5011 Westheimer at Post Oak  
Houston, TX 77056  
+1 713 960 8100

marriott.com/hotels/travel/houow-the-westin-oaks-houston-at-the-galleria/

### Make Hotel Reservations Online:

starwoodmeeting.com/Book/MB09AA

A reduced rate of \$179.00 per night for single/double occupancy plus applicable taxes (currently 17%) has been arranged for this program. The cutoff date to receive the group rate is Friday, January 18, 2019 or once the group room block is full, whichever comes first. Hotel accommodations are not included in your conference registration fee. The group rate includes complimentary internet in guestrooms, complimentary overnight self-parking for hotel guests and complimentary fitness center and pool access. To make reservations, please call and reference the SCCE Utilities & Energy Compliance & Ethics Conference at the Westin Oaks.

**NOTE:** Please be advised that neither SCCE nor any hotel it is affiliated with will ever call you to make a hotel reservation. If you receive a call soliciting a reservation on behalf of SCCE or the event, it is likely being made by a roam poacher and may be fraudulent. We recommend that you make reservations directly with the hotel, using the phone number above. If you have concerns or questions about the validity of a call, please feel free to contact SCCE at +1 952.933.4977 or +1 888.277.4977.

## TERMS AND CONDITIONS

**Use of Information:** Your information may be received by exhibitors at a conference as well as our affiliates and partners who we may share it with for marketing purposes. Please note that only postal address information is shared. To find out more about how we may use your information please read our Privacy Statement at [www.corporatecompliance.org/privacy.aspx](http://www.corporatecompliance.org/privacy.aspx). If you wish to opt-out, please follow the process set out in the Privacy Statement.

**Registration Terms & Conditions:** Please make your check payable to SCCE, enclose payment with your registration, and return it to the SCCE office, or fax your credit card payment to +1 952 988 0146. If your total is miscalculated, SCCE will charge your credit card the correct amount. All expenses incurred to maintain or improve skills in your profession may be tax deductible, including tuition, travel, lodging and meals. Please consult your tax advisor.

**Cancellations/Substitutions:** Refunds will not be issued. You may send a substitute in your place or request a conference credit. Conference credits are issued in the full amount of the registration fees paid, and will expire 12 months from the date of the original cancelled event. Conference credits may be used towards any SCCE service or product. If a credit is applied towards an event, the event must take place prior to the credit's expiration date. If you need to cancel your participation, notification is required by email at [helpteam@corporatecompliance.org](mailto:helpteam@corporatecompliance.org), prior to the start date of the event. Please note that if you are sending a substitute, an additional fee may apply.

**Group Discounts:** Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive any group discount.

**5 or more:** \$50 discount for each registrant  
**10 or more:** \$100 discount for each registrant

**Special Needs/Concerns:** If you have a special need and require accommodation to participate, please call SCCE at +1 952 933 4977 prior to your arrival.

**Dress Code:** Business casual dress is appropriate.

**Recording:** Unauthorized audio or video recording of SCCE conferences is not allowed.

**Agreements & Acknowledgements:** I agree and acknowledge that I am undertaking participation in SCCE events and activities as my own free and intentional act, and I am fully aware that possible physical injury might occur to me as a result of my participation in these events. I give this acknowledgement freely and knowingly and assert that I am, as a result, able to participate in SCCE events, and I do hereby assume responsibility for my own well-being. I agree and acknowledge that SCCE plans to take photographs and/or video at the Utilities & Energy Conference and reproduce them in SCCE educational, news, or promotional material, whether in print, electronic, or other media, including the SCCE website. By participating in the SCCE Utilities & Energy Conference, I grant SCCE the right to use my name, photograph, video, and biography for such purposes. As a participant of this event, my name, job title, organization, city, state, and country will be listed on the attendee list that will be distributed to attendees, speakers, and exhibitors of this event.

## CONTINUING EDUCATION

SCCE is in the process of applying for additional external continuing education units (CEUs). Should overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Credits are assessed based on actual attendance and credit type requested.

Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. CEU totals are subject to change.

Upon request, if there is sufficient time and we are able to meet their requirements, SCCE may submit this course to additional states or entities for consideration. If you would like to make a request, please contact us at 952.933.4977 or 888.277.4977 or email [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org). Visit SCCE's website, [corporatecompliance.org](http://corporatecompliance.org), for up-to-date information

**Compliance Certification Board (CCB)\*:** CCB has awarded a maximum of **23.1** CEUs for these certifications: Certified in Healthcare Compliance (CHC)\*, Certified in Healthcare Compliance-Fellow (CHC-F), Certified in Healthcare Privacy Compliance (CHPC)\*, Certified in Healthcare Research Compliance (CHRC)\*, Certified Compliance & Ethics Professional (CCEP)\*, Certified Compliance & Ethics Professional-Fellow (CCEP-F)\*, Certified Compliance & Ethics Professional-International (CCEP-I)\*

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