SCCE & HCCA supports the worldwide compliance and ethics profession by offering a sense of community through membership and networking opportunities. We also offer educational opportunities, certification, publications, surveys and many other additional resources.

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- Gabriel Imperato, Nelson Mullins Broad and Cassel
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- Judy Ringholz, Jackson Health System
- Daniel Roach, Optum 360, LLC
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- Debbie Troklus, Ankura Consulting
- Sheryl Vacca, Providence St Joseph Health

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- Gerard Zack, CEO, SCCE & HCCA
- Stephen Warch, SCCE & HCCA General Counsel, Niall Johnson Lewis

**Contents**

- Letter from the President
- Membership
- Global Membership
- Conferences
- Global Engagement
- Community Outreach
- Certification
- Publications and Resources
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As this report is being finalized in April 2020, we are in the midst of the COVID-19 pandemic. It is difficult to focus on the successes of 2019 while we navigate the challenges of 2020.

I write this letter from home—where I have been working since returning from my last venture out into the world at the SCCE Basic Compliance & Ethics Academy in San Diego in early March—and I am gently serenaded by one or more of my four barking dogs, the dishwasher, and the buzzer on the dryer. It is certainly a new world.

But there is much to be proud of as SCCE & HCCA continue to lead the way for the global compliance and ethics community. With more than 20,000 members in over 100 countries, no organization represents the global compliance and ethics profession more than SCCE & HCCA. We held 111 conferences and other in-person events in 2019, attended by over 16,000 compliance and ethics professionals.

The Compliance Certification Board (CCB)® continues to be the global gold standard for certifications in the profession. More than 12,500 professionals have now earned CCB certifications, demonstrating their commitment to and strong understanding of the compliance profession.

One of the most exciting accomplishments of 2019 has to be the official launch of COSMOS, our online publishing platform. COSMOS brings our compliance content to life in a digital format and expands the library of information available to users. Just like the universe, COSMOS will continue to expand and grow as more content is added every month, resulting in the COSMOS tagline: Navigate the Compliance Universe.

2020 promises to be a year unlike any other. It is unclear how the compliance and ethics profession will ultimately be affected by COVID-19. But I am proud to say that SCCE & HCCA has done a remarkable job of conservatively building a sufficient financial reserve to survive the current situation so that we can continue to serve you and the entire compliance and ethics profession for many years.

Our staff has gone above and beyond to adjust and adapt in finding new and innovative ways to deliver educational opportunities to our members, including virtual online conferences and Regional meetings. SCCE & HCCA remain strong, and together, we will make our profession stronger.

Art Weiss
SCCE & HCCA Board President
Membership

Through SCCE & HCCA, practitioners can share insights, ideas, and struggles with a network of industry colleagues going through similar compliance challenges.

Although compliance and ethics is a rapidly growing profession, many practitioners may be the only individual performing these functions at their organizations. Membership to SCCE or HCCA gives practitioners the opportunity to be part of the global compliance and ethics community, supporting the compliance and ethics profession. Members receive a monthly subscription to Compliance and Ethics Professional (CEP) or Compliance Today magazine with insights and tips from industry peers. The subscriptions are delivered both in print and digitally through COSMOs, SCCE & HCCA’s digital platform. In addition, members receive exclusive discounts on educational conferences, publications and Compliance Certification Board (CCB)® certification exams.

Membership remains strong across both associations with more than 20,000 combined members in over 100 countries.

More information about the benefits of membership in SCCE or HCCA can be found by visiting the association’s websites.
Global Membership

100+ Countries

Afghanistan  France  Panama
Algeria  Georgia  Paraguay
Argentina  Germany  Peru
Armenia  Ghana  Philippines
Australia  Greece  Poland
Austria  Greenland  Portugal
Australia  Guam  Qatar
Azerbaijan  Guatemala  Romania
Bangladesh  Honduras  Russia
Barbados  Hungary  Saint Maarten
B deem  India  Saudi Arabia
Belgium  Indonesia  Serbia
Bermuda  Ireland  Singapore
Bosnia And Herzegovina  Israel  Slovakia
Botswana  Italy  Slovenia
Bulgaria  Jamaica  South Africa
Cameroon  Japan  South Korea
Canada  Jordan  Spain
Cayman Islands  Kenya  Suriname
Chad  Kingdom of Thailand  Sweden
Chile  Kuwait  Switzerland
China  Lebanon  Taiwan
Colombia  Luxembourg  The Bahamas
Costa Rica  Macau  Trinidad and Tobago
Cote D'Ivoire  Malawi  Turkey
Croatia  Malaysia  Uganda
Cyprus  Mexico  Ukraine
Czech Republic  Monaco  United Arab Emirates
Denmark  Mozambique  United Kingdom
Dominican Republic  Myanmar (Burma)  United Republic of Tanzania
Ecuador  Netherlands  United States
Egypt  New Zealand  Vietnam
El Salvador  Norway  Zambia
Estonia  Pakistan
Finland

2014: 14,553 Members
2019: 20,300 Members
Compliance and ethics professionals can count on us for quality conference offerings. Our conferences cover everything from the fundamentals of compliance and ethics to the latest regulations, strategies, and best practices.

In 2019, we hosted 111 events in 12 countries and held 120 web conferences. This included our Basic Compliance & Ethics Academies and Healthcare Compliance Academies which are designed to help build an effective program, in addition to one-day regional conferences, national conferences, and weekly web conferences, which help professionals enhance compliance at their organizations and stay up-to-date on changes.

### Conference Participants

<table>
<thead>
<tr>
<th>Year</th>
<th>Events</th>
<th>Participants</th>
</tr>
</thead>
<tbody>
<tr>
<td>2019</td>
<td>111</td>
<td>16,700</td>
</tr>
</tbody>
</table>

**Conferences**

**SCCE’s Compliance & Ethics Institute**
- September 15–18, 2019
  - National Harbor, MD

**SCCE’s European Compliance & Ethics Institute**
- March 10–13, 2019
  - Berlin, Germany

**HCCA’s Healthcare Enforcement Compliance Conference**
- November 3–6, 2019
  - Washington, DC

**HCCA’s Compliance Institute**
- April 7–10, 2019
  - Boston, MA

**HCCA’s Healthcare Compliance Academy**
- March 10–13, 2019
  - Berlin, Germany

**SCCE’s Compliance Academy**
- September 15–18, 2019
  - National Harbor, MD

**HCCA’s Compliance & Ethics Institute**
- March 10–13, 2019
  - Berlin, Germany
To achieve this, we offer access to online forums and learning opportunities that benefit the compliance and ethics industries. This includes SCCEnet and HCCAnet, our own social network of more than 13,000 professionals; our Facebook, Twitter and LinkedIn pages; and the Compliance & Ethics blog and Compliance Perspectives podcast. In addition, we expanded our global reach by holding events in Chile, Argentina, and Thailand for the first time in 2019.

Helping compliance and ethics professionals worldwide to stay informed and connected is a big part of SCCE & HCCA's mission.

...we offer access to online forums and learning opportunities that most benefit the compliance and ethics industries.
Community Outreach

We are dedicated to giving back to our communities

In 2019, SCCE & HCCA hosted volunteer projects to benefit the communities that host our conferences.

CEI
SCCE’s Compliance & Ethics Institute (CEI) hosted a volunteer project for Volunteers of America – Chesapeake.

ECEI
SCCE’s European Compliance & Ethics Institute (ECEI) hosted a volunteer project for Vostel.de.

CI
HCCA’s Compliance Institute (CI) hosted a volunteer project to benefit Cradles to Crayons.

SCCE & HCCA conference attendees are passionate about giving back to the communities that host our conferences.
Since 1999, the Compliance Certification Board (CCB®) has worked to establish criteria to determine competence in the compliance and ethics practice across all industries, specialty areas, and sectors. Professionals who meet these standards can become CCB certified.

In 2019, more than 12,500 people actively held one or more of our seven certifications:
- Certified in Healthcare Compliance (CHC®)
- Certified in Healthcare Compliance–Fellow (CHC-F®)
- Certified in Healthcare Privacy Compliance (CHPC®)
- Certified in Healthcare Research Compliance (CHRC®)
- Certified Compliance & Ethics Professional (CCEP®)
- Certified Compliance & Ethics Professional–Fellow (CCEP-F®)
- Certified Compliance & Ethics Professional–International (CCEP-I®)

Enhance your career by becoming certified through our Compliance Certification Board (CCB®). SCCE & HCCA’s 2019 Health Care Chief Compliance Officers and Staff Salary Survey found that the most common certifications held by healthcare CCOs are the CHC and CHPC certifications. Even better, the survey shows that professionals with certification can earn on average up to 44% more than those without.

Certification can showcase your compliance knowledge and give you credibility with your organization and peers. It also encourages you to continue to grow in your profession and stay current on the latest industry regulations, trends, and practices.
The capabilities of COSMOS, SCCE & HCCA’s online publishing platform, continued to grow with improved navigation and powerful search and linking capabilities. Designed with the needs of busy professionals in mind, COSMOS provides simple, intuitive access to our books, newsletters, and member magazines. In addition to publishing new titles, we continued to increase the breadth and depth of content available on COSMOS, expanding archival access to previous editions of our member magazines and newsletters and adding 7 additional book titles to the COSMOS library.


We provided the latest in compliance and ethics insights and advice with the ongoing publication of our member magazines, Compliance & Ethics Professional (CEP) and Compliance Today, as well as through specialized newsletters such as Report on Medicare Compliance, Report on Patient Privacy, Report on Research Compliance, Report on Supply Chain Compliance and ethikos.

In 2019 SCCE & HCCA focused on providing an increasingly comprehensive and sophisticated collection of content and capabilities to serve the needs of compliance and ethics professionals.
Financial Statements
Consolidated Statement of Financial Position
December 31, 2019

Assets

<table>
<thead>
<tr>
<th>Current Assets</th>
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</thead>
<tbody>
<tr>
<td>Cash and Cash Equivalents</td>
<td>$4,469,456</td>
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<tr>
<td>Accounts Receivable</td>
<td>270,180</td>
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<tr>
<td>Inventories</td>
<td>333,200</td>
</tr>
<tr>
<td>Prepaid and Deferred Expenses</td>
<td>1,232,826</td>
</tr>
<tr>
<td><strong>Total Current Assets</strong></td>
<td><strong>6,005,782</strong></td>
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<tr>
<td>Property, Equipment and Trademarks</td>
<td>2,367,426</td>
</tr>
<tr>
<td>Investments</td>
<td>19,171,615</td>
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<tr>
<td>Goodwill</td>
<td>1,732,786</td>
</tr>
<tr>
<td>Other</td>
<td>272,958</td>
</tr>
<tr>
<td><strong>Total Assets</strong></td>
<td><strong>29,550,567</strong></td>
</tr>
</tbody>
</table>

Liabilities and Net Assets

<table>
<thead>
<tr>
<th>Current Liabilities</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Accounts Payable and Accrued Expenses</td>
<td>$1,616,246</td>
</tr>
<tr>
<td>Deferred Revenue</td>
<td>6,929,481</td>
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<tr>
<td><strong>Total Current Liabilities</strong></td>
<td><strong>8,545,727</strong></td>
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<tr>
<td>Other Liabilities</td>
<td>272,958</td>
</tr>
<tr>
<td><strong>Total Liabilities</strong></td>
<td><strong>8,818,685</strong></td>
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Unrestricted Net Assets

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Unrestricted Net Assets - Undesignated</td>
<td>$20,562,222</td>
</tr>
<tr>
<td>Noncontrolling Interest in Subsidiary</td>
<td>169,660</td>
</tr>
<tr>
<td><strong>Total Unrestricted Net Assets</strong></td>
<td><strong>20,731,882</strong></td>
</tr>
</tbody>
</table>

Total Liabilities and Net Assets $29,550,567

Liabilities and Net Assets

| Unrestricted Net Assets - Undesignated | $20,562,222 |
| Noncontrolling Interest in Subsidiary  | 169,660      |
| **Total Unrestricted Net Assets**      | **20,731,882**|
| **Total Liabilities and Net Assets**   | **29,550,567**|

Revenues

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
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</tr>
</thead>
<tbody>
<tr>
<td>Program Revenues</td>
<td>$27,510,311</td>
</tr>
<tr>
<td>Investment Income</td>
<td>2,107,224</td>
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<tr>
<td>Other Income</td>
<td>15,137</td>
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<tr>
<td><strong>Total Revenues</strong></td>
<td><strong>29,632,672</strong></td>
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</table>

Expenses

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Program Expenses</td>
<td>19,761,053</td>
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<tr>
<td>General and Administrative</td>
<td>7,729,197</td>
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<tr>
<td><strong>Total Expenses</strong></td>
<td><strong>27,490,250</strong></td>
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</tbody>
</table>

Operating Change in Unrestricted Net Assets

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Operating Change in Unrestricted Net Assets</strong></td>
<td><strong>2,142,422</strong></td>
</tr>
</tbody>
</table>

Nonoperating - Capital Transactions

<table>
<thead>
<tr>
<th>Noncontrolling Member</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Contribution</td>
<td>299</td>
</tr>
<tr>
<td>Distribution</td>
<td>(42,000)</td>
</tr>
<tr>
<td><strong>Total Change in Net Assets</strong></td>
<td><strong>2,100,721</strong></td>
</tr>
</tbody>
</table>

Total Net Assets - Beginning of Year $18,631,161

Total Net Assets - End of Year $20,731,882