

Order the Session Recordings

21st Annual Compliance & Ethics Institute

October 16-19, 2022 // Phoenix, AZ • October 17-19, 2022 // VIRTUAL

GENERAL SESSION: Compliance, Ethics, and ESG: Finding Your Fit

GENERAL SESSION: Where Do we Go From Here?

P1 How Are We Doing? Strategies for Compliance Program Evaluation

P2 Compliance 101

P3 Past Is Prologue: Preparing for the Next Geopolitical Disruption From a Trade-Compliance Perspective

P4 ESG Compliant Vendor Management Programs in Small to Mid-Size Companies

P5 Stop, Collaborate and Listen: Compliance Contributions to Resilience During Crisis

P6 Bold Leadership in Compliance

P7 "They're the Board, Not the Bored": Talking Compliance and Ethics to Directors

P8 How Do You Promote Consistency When Compliance Is Embedded in the Business?

P9 What Third-Party Evaluation Looks Like in Real Life and How To Pragmatically Implement It

P10 The Board, Culture, and the Chief Ethics & Compliance Officer

P11 Leveraging Best Practices in Ethics & Compliance and Diversity, Equity & Inclusion To Implement an Effective and Robust ESG Strategy

P12 Understanding and Adapting Your Compliance to Global Data Protection and Privacy Laws

P13 Building Trust with Third Parties: Promoting Your Compliance Brand and Standards Through Collective Action and External Commitments In Your Industry (While Enhancing Your Due Diligence Process at the Same Time!)

P14 Post Pandemic Workplace: Time for New Employer/Employee Dynamics

P15 Investigation Based on Social Media Information and Associated Risks i.e., Legal Repercussion, Authenticity of Information & Validation, Data Protection Laws, Internal Policies, and Incident Reporting Channels

P16 How To Get your Compliance & Ethics Week Event Running From the Ground Up

101 Big Picture E&C Program Challenges: A Day in the Life of the Successful Compliance Officer

102 Communication: It's Not Them, It's Us! Tips and Tricks To Be Understood and Taken Seriously

103 So What? How To Turn Analytics Into Guidance

104 Choose Your Own Adventure: Leading a Distributed Team of Compliance Managers

105 How To Win Friends and Influence People in Legal and HR – Navigating the Boundaries of Your Relationship With These Key Functional Partners

106 Monday Morning Quarterbacking – Former SEC Lawyers Speak on Government Expectations of Investigations

107 Does Compliance Have a Role in ESG?

201 You're on Mute! Overcoming Communication Fatigue

202 ESG and the Future of Compliance

203 A Golden Gate to Bridging Compliance Risks

204 Managing Employee Complaints and Avoiding Retaliation as a Compliance Officer

205 Get Noticed! Action Steps for E&C/Risk Professionals

206 Apples & Oranges? A Comparative Analysis of Internal Investigations and Ethics Consultations

207 Becoming Fearless: A Global Team's Approach to Rebranding Ethics & Compliance Using People-First Communications. A Look Into the 5 Risks That Brought Us Together and Brought Us Success

301 Maturing Your Program: The Role of External and Internal Assessments

302 A Risk-Based Approach to Compliance Hotline Cases

303 ESG is a Mountain of Risk. Compliance Ownership of ESG Just Makes Sense

304 Emerging Criminal Antitrust Enforcement: What Do the First Prosecutions of Employee Wage-Fixing and Non-Solicitation Agreements Mean for Compliance Professionals?

305 How to Use Branding & Storytelling to Create an Engaging and Inclusive Compliance Program

306 Choosing Wisely: How Behavioral Economics Support Ethical Cultures

307 Board Oversight of Compliance Programs: A Case Study of a Tough Day for the CCO

402 Implementing Ethical and Effective Culture Change: Case Studies on Sustainable Culture Change

403 Conducting Joint Investigations With External Business Partners

404 The Compliance Olympics: What Were the Gold Medal Performances of the Last 12 Months?

405 An ESG Compliance Checkup: Critical Drivers and Essential Components

406 Current Developments in Compliance Programs Ethics Considerations for Compliance Officers and Attorneys

407 The Compliance Manager: A Change Manager

Learn more

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501 How Root Cause Analysis Can Turn Compliance Weak Spots Into Compliance Wins

502 Building Trust: NSA's Commitment to Compliance and Oversight

503 Pre-, Post-, and Everything In Between: Due Diligence in Multi-National Transactions

504 Conducting Ethical Compliance Investigations

505 Sanctions as the 'New FCPA': Today's Regulatory Expectations, Lessons Learned from Russia, and Preparing for the Next Crisis

506 Goodbye Zero Tolerance, Hello to What?

507 The Converging Risks of Crypto, Fintech's, Cyber and ESG Compliance

EB1 Journey Together to DEI Success With the Dynamic Duo - HR and Compliance

EB2 How To Sail Through a Difficult Conversation

601 When Rampant Organizational Fraud Occurs, the Question is Where Was the Board?

602 Culture, Compliance and Communications: The 3 C's of Customizing Your Ethics Program for a Global Audience

603 Policies for Humans That Humans Won't Hate

604 Compliance Issues in Corporate Reorganizations

605 The Madness Behind the Method: Teaching, Coaching, or Mentoring?

606 The Whole Truth: The Impact of Professional Experiences on Ethical Leadership

607 DOJ's Criminal Antitrust Enforcement: Incentivizing Compliance and Rewarding Self-Reporting

701 Managing the Ethics & Compliance Function in a Hybrid Environment

702 Remediation: 10-Step Playbook to Cut Costs and Gain Business & Legal Benefits

703 We're Still Talking About Social Media Risk: What's Old Is New Again

704 The Science of Compliance: How the DOJ Evaluates Ethics and Compliance Programs

705 Wildly Successful International Compliance Champion Programs: Making Them Great

706 Want To Be an Ethics Advocate in the Workplace?

707 Trends in Internal and External Reporting (or Whistleblowing) and How Internal Programs May Need To Change

801 Listen Up or Employees Will Not Speak Up

802 The DOJ's Antitrust Compliance Program Guidance: Challenges and Opportunities

803 Why Compliance and Ethics Professionals Need To Consider Employee Culture When Developing Their ESG Strategies

804 Think Big. Think Small. The Importance of Managing COI

805 6 Myths of Business Ethics

806 Why Should an Organization Take Conflict of Interests Seriously Especially in Overseas Locations

807 A Fundamental Shift: The New Model for Cross-Border Investigations in China (Case Study)

EB3 Extreme Makeover, Ethics and Compliance Edition: Take Your Budget Proposal from Flat to Fabulous

EB4 The Not So Silent Killer of Compliance & Ethics: Retaliation

W1 Supercharge Your Compliance and Ethics Program with Behavioral Science Techniques

W2 Can the US Operate With 50+ Sets of Privacy Rules?

W3 Risk Assessments in Compliance - What We Can Learn and Apply From ERM and Audit

W4 The Monaco Memo: Compliance Lessons from DOJ's Revised Corporate Criminal Enforcement Policies

W5 What Is a Software Bill of Materials and Why Should Compliance Professionals Care?

W6 How to Leverage Investigations To Build a Better E&C Program

W7 Supercharging Collaboration Between Compliance, Privacy, and Internal Audit

W8 Building Your Ethics and Compliance Analytics Program

W9 Order Out of Chaos: Use Your Values for Clear Vision & Action

W10 Teach the World To Sing: What Advertising Can Teach Compliance About Changing Culture

W11 Compliance Diligence in M&A: Inheriting Other People's Problems

W12 Bridging the Gap Between International Compliance Standards and US Standards

W13 Designing Compliance Programs Which Are Based on Outcomes, Rather Than Process

W14 It's Not About Materiality: How To Develop a Data-Driven and Effective Compliance Audit Program

W15 Best Practices for Developing an Enterprise-Wide Approach to Data Security & Privacy Compliance

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