


# APPLICATION FOR CONTINUING EDUCATION UNITS (CEUs)



SCCE's 18<sup>th</sup> Annual Compliance & Ethics Institute  
September 15-18, 2019 | National Harbor, Maryland

Please leave this application with staff at the Registration Desk or  
email: [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org) | phone: 952.933.4977 | fax: 952.988.0146

This form must be completed and submitted to receive a certificate of attendance and/or continuing education credit. Check the box(es) below corresponding to the credit type(s) you wish to receive.

|  |  |
|--|--|
| <input type="checkbox"/>  <b>CHC, CHRC, CHPC, CHC-F, CCEP, CCEP-I, CCEP-F</b><br><i>(This CEU type is automatically assessed)</i> | <input type="checkbox"/> <b>FOR ATTORNEYS ONLY: Continuing Legal Education (CLE)</b>   Submit this application <u>within seven days</u> to allow for state reporting, if required. |
| <input type="checkbox"/> <b>Other</b>   Credit type not already listed.<br>_____   | Individuals <b>MUST</b> sign in/sign out* if required by their state. Verify your CLE requirements with your state.  |
| <input type="checkbox"/> <b>NASBA/CPE</b>   Individuals <b>MUST</b> also sign in/sign out* per NASBA credit requirements.  | <b>State &amp; License #</b> _____<br><b>State &amp; License #</b> _____<br><b>State &amp; License #</b> _____   |

\*Sign in/sign out sheets available outside meeting rooms.

CCB CEUs and certificate will be posted and available online in your account within two-four weeks.

CLE, NASBA, and Other external credit certificates will be emailed within four weeks.

★ **By signing below, I attest that I HAVE ATTENDED THE SESSION(S) I indicated on this application:**

Email Certificate to: \_\_\_\_\_ Phone: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

NAME (Print legibly): \_\_\_\_\_

CONTINUED NEXT PAGE →

# APPLICATION FOR CONTINUING EDUCATION UNITS (CEUs)

- ★ **ATTENDEES** must indicate "Attendee" for attendance below – **ONLY check sessions attended!**
- ★ **SPEAKERS** must indicate "Speaker" for sessions presented and "Attendee" for sessions attended.
- ★ **NOTE** any session time missed if you arrived late or left early, excluding restroom breaks.

## SUNDAY, September 15 | Pre-Conference

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### 8:45 – 10:15 am (1.5 clock hours or 90 minutes)

- |                                   |                                  |   |
|-----------------------------------|----------------------------------|---|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P1</b> Effective E&C Risk Management - Part 1: Framework...and Core Practice Considerations        |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P2</b> Ethical Leadership Doesn't Happen Overnight, or Does it? I Was...Am I an Ethical Leader?    |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P3</b> When Ethical People Make Unethical Decisions: Lessons, Reflections...from the Public Sector |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P4</b> The Practical Who, What, When, Why and How's of Third-Party Due Diligence & Monitoring      |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P5</b> Advanced Conflict of Interest Risk & Disclosure Process                                     |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P6</b> Cyber Security Due Diligence: Will You Be the One to Save...CEO from Disaster?              |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P7</b> 100+ Years of Business Ethics: Learn About the Future from Masters of the Profession        |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P8</b> Investigations Workshop (Part I and 2)  |

### 10:30 am – 12:00 pm (1.5 clock hours or 90 minutes)

- |                                   |                                  |  |
|-----------------------------------|----------------------------------|--|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P9</b> Effective E&C Risk Management - Part 2: Operationalizing Risk...Practice to the Next Level |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P10</b> Every Choice Has a Consequence  |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P11</b> Operationalizing Compliance   |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P12</b> How to Dribble Corruption Risks in Latin America: Learn How...Score Compliance Goals      |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P13</b> The Happy Marriage Between Legal and Compliance   |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P14</b> GDPR Compliance Post-Mortems: Lessons Learned from Facebook, Uber, and Others             |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P15</b> Lessons from <i>The Accidental Compliance Professional</i>                                |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P16</b> Investigations Workshop Part 3 ( <i>Continued from P8</i> )                               |

### 1:30 – 3:00 pm (1.5 clock hours or 90 minutes)

- |                                   |                                  |  |
|-----------------------------------|----------------------------------|--|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P17</b> Is There a Doctor in the House? Diagnosing and Treating...with Data Analytics         |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P18</b> Ethics & Leadership: More Than Slogans & Sayings                                      |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P19</b> Ethics and Compliance as a Start Up - 20 Steps to Up and Running                      |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P20</b> Managing an Investigations Docket in Asia: Key Regional...Cross-Border Investigations |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P21</b> Top 5 Compliance Risks When Contracting with the Federal Government                   |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P22</b> Move the Needle - Active Agent Approach to Cyber...Data Protection Compliance         |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P23</b> Grant Fraud: \$700+ Billion in Potential Risks  |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P24</b> Investigations Workshop Part 4 and 5 ( <i>Continued from P16</i> )                    |

### 3:15 – 4:45 pm (1.5 clock hours or 90 minutes)

- |                                   |                                  |   |
|-----------------------------------|----------------------------------|---|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P25</b> Navigating the Murky (and Sometimes Treacherous) Waters of Conflicts of Interest         |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P26</b> 5 Steps to Ethical Problem Solving   |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P27</b> The First, Best Chance: Post-Merger Ethical Culture...Opportunities, and Lessons         |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P28</b> Leveraging Political Risk Analysis to Help Reveal Local Compliance...Size Program Design |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P29</b> Navigating the Minefield: Complying with a Patchwork of Lobbying and Ethics Laws         |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P30</b> Software License Compliance & Vendor Management: Why It's...You & Your Team              |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P31</b> New Beginnings: Starting Your Compliance Program and What Needs to be Included           |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>P32</b> Investigations Workshop Part 6 and 7 ( <i>Continued from P24</i> )                       |

NAME (Print legibly): \_\_\_\_\_

# APPLICATION FOR CONTINUING EDUCATION UNITS (CEUs)

- ★ **ATTENDEES** must indicate “Attendee” for attendance below – **ONLY check sessions attended!**
- ★ **SPEAKERS** must indicate “Speaker” for sessions presented and “Attendee” for sessions attended.
- ★ **NOTE** any session time missed if you arrived late or left early, excluding restroom breaks.

## MONDAY, September 16 | Conference

8:15 – 9:15 am (1.0 clock hour or 60 minutes)

- |                                   |                                  |  |
|-----------------------------------|----------------------------------|--|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>General Session:</b> From Crisis to an Electric Future...Largest Companies in the World. A Discussion |
|-----------------------------------|----------------------------------|--|

10:00 – 11:00 am (1.0 clock hour or 60 minutes)

- |                                   |                                  |   |
|-----------------------------------|----------------------------------|---|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>101</b> Mitigating Trade Compliance Risk - How to Build a Plane While Also Flying It!          |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>102</b> Compliance Officer Ethics - 2019 Update  |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>103</b> How to Reinvigorate a Global Compliance Training Programme                             |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>104</b> How to Conduct Internal Investigations of Compliance...Foreign Operation/Business?     |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>105</b> Organizational Sentencing Guidelines... Compliance and Ethics? And if So, Why?         |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>106</b> Privacy Trends in the US and Implications for US and Global Organizations              |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>107</b> Embracing Digital Transformation in Ethics & Compliance                                |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>108</b> Launching Ladies into Senior Leadership  |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>109</b> Best Friends Forever: Nurturing the Compliance-Board Relationship                      |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>DG1</b> Secrets from In-House Ethics & Compliance Buyers: How to Keep the Gate and Your Sanity |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>DG2</b> Being Comfortable with Discomfort  |

11:30 am – 12:30 pm (1.0 clock hour or 60 minutes)

- |                                   |                                  |  |
|-----------------------------------|----------------------------------|--|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>201</b> Social Media & Compliance: A Match Made in Heaven or...?                                |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>202</b> Becoming an Ethics Expert: Exploring How to Handle the Most...Dilemmas in Business      |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>203</b> How Can the Compliance Officer be Intelligent About AI?                                 |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>204</b> From FCPA Prosecutor to Company Protector: Lessons Learned...Multi-Jurisdictional World |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>205</b> Responding to Data Subject Requests   |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>206</b> Password Techniques and Strategies to Promote a Higher Level of IT Security             |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>207</b> Strength in Numbers: Hotline is the C-Suite and Board’s Strongest Tool                  |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>208</b> The 7 Habits of an Effective Compliance & Ethics Professional                           |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>209</b> Taking a Dynamic Approach to Compliance Risk...U.S. Government Contractors              |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>DG3</b> Engaging Your Board- The Importance of Relevance  |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>DG4</b> Retaliation: Real or Imagined in the Age of Speak-Up Cultures                           |

2:00 – 3:00 pm (1.0 clock hour or 60 minutes)

- |                                   |                                  |   |
|-----------------------------------|----------------------------------|---|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>301</b> It’s a Risk-Based Dinner Gathering - Compliance, ERM, and Audit                        |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>302</b> Lessons in Ethical Leadership from Recent Events                                       |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>303</b> Starting the “Great Women in Compliance” ...Evolved into a Podcast and What We Learned |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>304</b> The Increasing Importance of CCOs with... Multinational/International Companies        |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>305</b> Avoid a Compliance Hangover: Maintain Momentum...After the Regulators Have Left        |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>306</b> Demystifying Government Cyber Security Compliance                                      |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>307</b> A View from the Board: What Board Members Want and Need from Compliance and Ethics     |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>308</b> Professional Skepticism  |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>309</b> Advanced Investigations in Multinational Companies                                     |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>DG5</b> What Keeps You Up at Night? How to Control Potential Travel and Expense Fraud          |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>DG6</b> AMP IT UP: Move Your Compliance Program from Good to Great                             |

3:15 – 3:30 pm (0.25 clock hour or 15 minutes)

- |                                   |                                  |   |
|-----------------------------------|----------------------------------|---|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>General Session:</b> DOJ Evaluation of Corporate Compliance Programs Guidance Document |
|-----------------------------------|----------------------------------|---|

3:30 – 4:15 pm (0.75 clock hour or 45 minutes)

- |                                   |                                  |   |
|-----------------------------------|----------------------------------|---|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>General Session:</b> Investigations and Integrity in the Spotlight |
|-----------------------------------|----------------------------------|---|

NAME (Print legibly): \_\_\_\_\_

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## TUESDAY, September 17 | Conference

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8:15 – 9:15 am (1.0 clock hour or 60 minutes)

- |                                   |                                  |   |
|-----------------------------------|----------------------------------|---|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>General Session:</b> The Odebrecht Transformation - A Recovery from Compliance Scandal |
|-----------------------------------|----------------------------------|---|

9:45 – 10:45 am (1.0 clock hour or 60 minutes)

- |                                   |                                  |  |
|-----------------------------------|----------------------------------|--|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>401</b> Antitrust: How to Navigate a Perilous but Underappreciated Risk Area                |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>402</b> Creating a Culture of Compliance  |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>403</b> Sexual Misconduct in Olympic Sports: Compliance in the Post-Nassar Environment      |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>404</b> Global Compliance on Limited Resources  |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>405</b> Compliance by Contract - Drafting and Negotiating Terms for Peer-to-Peer Compliance |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>406</b> Using Automation for Compliance Management and...the Face of Any Prosecutor         |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>407</b> Communicating Compliance Creatively: A Panel Discussion                             |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>408</b> Making Connections Count: Tips to Gain Value Through Networking                     |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>409</b> Board Overconfidence and Ethical Practices of Senior Management                     |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>DG7</b> Cultures of Integrity - We Know What They Are and What...Do We Get There?           |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>DG8</b> Building Ethics and Compliance Programs with Public-Sector Employees                |

11:30 am – 12:30 pm (1.0 clock hour or 60 minutes)

- |                                   |                                  |   |
|-----------------------------------|----------------------------------|---|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>501</b> Managing M&A Risk: Essentials for Conducting Compliance & Ethics Due Diligence       |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>502</b> The College Admissions Scandal: Lessons for Other Organizations                      |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>503</b> Measuring Program Effectiveness and Identifying Gaps on a Shoestring Budget          |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>504</b> Next Generation Anti-Corruption Compliance Programs...Controls-Based Programs        |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>505</b> DOJ's Guidance on Compliance   |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>506</b> Optimize IT Compliance Processes to Meet New Data Privacy Challenges                 |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>507</b> Transformation! 5 Success Strategies for Compliance Changemakers                     |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>508</b> The Compliance Officer's Guide to Keeping Calm...Thriving in a Stressful Profession  |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>509</b> It's Not Where You've Been; It's Where You Are Going...Tackling Policy Proliferation |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>DG10</b> Making the Most of Your E&C Network   |

1:30 – 2:30 pm (1.0 clock hour or 60 minutes)

- |                                   |                                  |   |
|-----------------------------------|----------------------------------|---|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>601</b> RIM 101: Managing Risk and Designing a Compliant Information Management Plan           |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>602</b> Ethical Lapses of Executives and Other Notable Public Figures...Lessons Never Learned  |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>603</b> Go Big or Go Home: How to Hold an Ethics Month Event                                   |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>604</b> #WeToo - Is Your Organization Ready to Respond Properly...of Sexual Harassment?        |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>605</b> Antitrust & HR Compliance  |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>606</b> Compliant Technology – The Future is Now   |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>607</b> Choosing and Using KPIs and Metrics That Matter: How to Assess and Sell...Inside Out   |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>608</b> How a Twenty-Year Military Career Developed Me as an Ethics & Compliance Professional! |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>609</b> Human Risk: Bringing Science to Compliance   |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>DG11</b> Compliance & Cannabis in the Workplace: Navigating...Landscape of Legalization        |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>DG12</b> Theory vs. Reality in your Policies and Procedures...Aspirational Compliance          |

NAME (Print legibly): \_\_\_\_\_

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- ★ **NOTE** any session time missed if you arrived late or left early, excluding restroom breaks.

## ...TUESDAY, September 17 | Conference continued

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### 3:00 – 4:00 pm (1.0 clock hour or 60 minutes)

- |   |                                  |  |
|---|----------------------------------|--|
| <input type="checkbox"/> Attendee   | <input type="checkbox"/> Speaker | <b>701</b> Designing Actionable, Memorable Risk Reports  |
| <input type="checkbox"/> Attendee   | <input type="checkbox"/> Speaker | <b>702</b> What Do Starbucks Coffee, Jimmy Buffet, and a Can of Cheez Whiz...Within the Operations |
| <input type="checkbox"/> Attendee   | <input type="checkbox"/> Speaker | <b>703</b> Changing the Internal Audit Game: Citi Uses AI to Drive Innovation                      |
| <input type="checkbox"/> Attendee   | <input type="checkbox"/> Speaker | <b>704</b> ISO 37001: The Global Future of Compliance or a Turn Down the Wrong Trail?              |
| <input type="checkbox"/> Attendee   | <input type="checkbox"/> Speaker | <b>705</b> Leverage Legal Developments to Advance Your Program                                     |
| <input type="checkbox"/> Attendee   | <input type="checkbox"/> Speaker | <b>706</b> Automating Privacy Operations at Scale in Azure   |
| <b>*Session Ended 15 minutes early. 3:00 – 3:45 pm (.75 clock hour or 45 minutes)</b> |                                  |  |
| <input type="checkbox"/> Attendee   | <input type="checkbox"/> Speaker | <b>707</b> Culturally Sensitive & Regionally Specific...Multidimensional Corporate Landscape       |
| <input type="checkbox"/> Attendee   | <input type="checkbox"/> Speaker | <b>708</b> LinkedIn 2.0: How to Maximize Your LinkedIn Membership                                  |
| <input type="checkbox"/> Attendee   | <input type="checkbox"/> Speaker | <b>709</b> Advanced Learning from the Latest Data Breach Incidents                                 |
| <input type="checkbox"/> Attendee   | <input type="checkbox"/> Speaker | <b>DG13</b> Role of the Compliance Officer in Preventing Perverse...Wrong Employee Behaviors       |
| <input type="checkbox"/> Attendee   | <input type="checkbox"/> Speaker | <b>DG14</b> Operationalizing Workplace Civility as a Compliance Value                              |

### 4:15 – 5:15 pm (1.0 clock hour or 60 minutes)

- |                                   |                                  |   |
|-----------------------------------|----------------------------------|---|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>General Session:</b> The Limits of Trust |
|-----------------------------------|----------------------------------|---|

## WEDNESDAY, September 18 | Post-Conference

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### 8:30 – 10:00 am (1.5 clock hours or 90 minutes)

- |                                   |                                  |   |
|-----------------------------------|----------------------------------|---|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>W1</b> Lock It Down: Protecting Your Data from Third-Party Security Risks                      |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>W2</b> Engineering Ethics into Existing Business Practices                                     |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>W3</b> Don't be an Armchair Quarterback. Use Just-in-Time Coaching to Reduce Compliance Risks! |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>W4</b> In Case the Government Comes Knocking: Best Practices...Remediating FCPA Issues         |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>W5</b> The Vital Role of Exceptional Investigative Teams in the #MeToo Era                     |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>W6</b> Managing Data and Promoting Privacy: A Deliberate...Privacy Compliance Obligations      |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>W7</b> Anti-Corruption Metrics & Measurement: Failure is Obvious but How Do We Measure         |

### 10:15 – 11:45 am (1.5 clock hours or 90 minutes)

- |                                   |                                  |   |
|-----------------------------------|----------------------------------|---|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>W8</b> Working with the U.S. Government: Risks...Ethics Rules in Government Contracting          |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>W9</b> Don't Boil the Ocean - Distill It!  |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>W10</b> Counseling Compliance in Small to Medium Sized Organizations                             |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>W11</b> Same but Different: How to Effectively Manage Your Third-Party Corruption Risks Globally |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>W12</b> Practical Strategies for Conducting Effective...Assessments in High Risk Jurisdictions   |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>W13</b> Designing a Compliance and Governance Model for Today's Hi-Tech Business Environment     |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>W14</b> Inside Out: What Do Regulators and Enforcement Staff...and Compliance Culture            |

NAME (Print legibly): \_\_\_\_\_