

Agenda and Learning Objectives

A Virtual Conference

This agenda is in Pacific Standard Time (PST)

8:25 – 8:30 AM PST

Opening Remarks

8:30 – 9:30 AM PST

Transformation! 5 Success Strategies for Compliance Changemakers

Amii Barnard-Bahn
Executive Coach, Author, Speaker
Barnard-Bahn Coaching & Consulting

Virginia MacSuibhne
VP, Chief Compliance Officer
Roche Molecular Solutions

- Organizations have a natural tendency to resist change. Compliance leaders need to adopt change management principles to successfully manage this natural resistance.
- Learn the 5 change management principles that will enable you to manage compliance initiatives for optimal acceptance, cultivate positive business relationships, and create an information funnel that enables continuous improvement.
- Explore a Roche case study illustrating how change management principles transformed the business. Attendees will come away with a deep understanding that change management ensures our most important work is built to last.

9:30 – 9:45 AM PST

Break

9:45 – 10:45 AM PST

Anti-Corruption: Government Priorities for 2021

Brian Michael
Partner, King & Spalding

Eric Feldman, CCEP-I
Senior VP and Managing Director
Corporate Ethics & Compliance Programs,
Affiliated Monitors Inc.

Sarah Levitt
Corporate Vice President, Assistant
General Counsel , AECOM

- Meet the Enforcers: Insight on Recently Announced Appointees and Potential Candidates for Enforcement Leadership Roles
- Anticipated DOJ Enforcement Priorities: Comparison of the New and Outgoing Administrations
- Expectations for Other Enforcement Agencies, including CFPB, Special IG for Pandemic Recovery, Agency OIGs, and Suspending and Debarring Officials
- Likely Interplay Between Federal and State Enforcement Activity

10:45 – 11:00 AM PST

Networking Break

11:00 AM – 12:00 PM PST

Security, Privacy, Compliance: the Confluence, the Crossover and the Critical People Needs

Lisa Beth Lentini Walker
CEO and Founder
Lumen Worldwide Endeavors;
SCCE & HCCA Board Member

Daniel Ayala
Managing Partner & Founder
Secratic

- Learn about the trends forcing greater collaboration
- Discover ways to effectively communicate when you don't (yet) speak the same language
- Create your personal (and team) roadmap to meeting the people skill challenges ahead

12:00 – 1:00 PM PST

Mid-Conference Break

1:00 – 2:00 PM PST

Building or Altering Your Compliance Program On the Fly: How to Prioritize When Regulators, Monitors or Other External Forces Demand Immediate Changes

Mikhail Reider-Gordon, CCEP
Managing Director, Institutional Ethics & Integrity, Affiliated Monitors, Inc.

- This session will examine how those within corporate compliance departments and related groups can work to prioritize addressing compliance deficiencies identified by external drivers where demands for immediate corrective actions collide with resource limitations.
- Many companies feel overwhelmed when outside supervisory authorities identify a litany of regulatory compliance deficiencies and fail to give precedence to those that can have the most devastating impacts.
- How do senior management, Corporate Counsel, Ethics & Compliance departments and other internal stakeholders learn to recognize and remediate areas of deficiency when urgency eclipses long-term plans?

2:00 – 2:15 PM PST

Break

2:15 – 3:15 PM PST

What the Government Looks for in Compliance Training

Ricardo Pellafone
Founder
Broadcat

- Breaking down common myths about what you “need” to do
- Understanding how prevention and defensibility work together
- Avoiding what most companies get wrong (and what to do instead)

3:15 – 3:30 PM PST

Break

3:30 – 4:30 PM PST

Navigating Compliance in a Changing Risk Landscape

Neha Gupta
Chief Executive Officer
True Office Learning

- Working from home – how does this affect your employees as well as your clients?
- How has your E&C Budget changed?
- As social distancing looks to be the norm for the next year or so, how does E&C professionals deal with the current normal?

4:30 – 5:30 PM PST

Networking and Discussion

Connect with your fellow attendees and enjoy a free-flowing conversation about what stood out during the sessions or a compliance challenge you want feedback on. The objective here is to enable conference participants to network with and learn from each other and help overcome the isolation of the past months. This optional networking event will be held on Zoom and participants may choose to be on camera.

REGISTRATION FEE

<input type="checkbox"/>	SCCE Member	\$155
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Group Discounts

<input type="checkbox"/>	Groups of 3 or more**	(\$20)
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**See "Group Discount Policy" under "Acknowledgements" for details.

TOTAL \$ _____

Contact Information

Mr Mrs Ms Dr

Member/Account ID (if known)

First Name MI Last Name

Credentials (CHC, CCEP, etc.)

Job Title

Organization (Name of Employer)

Street Address

City/Town State/Province

Zip/Postal Code Country

Work Phone

Email (required)

Payment

Online registration at corporatecompliance.org/regionals

Mail this form to

SCCE, 6462 City West Parkway, Eden Prairie, MN 55344 USA

Fax this form to +1 952.988.0146

Email this form to helpteam@corporatecompliance.org — Due to PCI compliance, do not provide credit card information via email. You may email this form (without credit card information), then call SCCE at +1 952.933.4977 or 888.277.4977 from 8 am to 5 pm central standard time with payment information.

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Virtual Conference**How does a virtual event work?**

On January 22 login using the link and access provided during the scheduled session times to watch and listen to the speakers and ask questions live.

Continuing Education

Credits are assessed based on actual attendance and credit type requested. Should the overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Only registered attendees are eligible to request CEUs for participation. Attendees must participate in the virtual conference using the online virtual conference format (not just using the dial in) for attendance monitoring purposes.

COMPLIANCE CERTIFICATION BOARD (CCB)[®]: CCB has approved a maximum of 7.2 CEUs for these certifications: Certified in Healthcare Compliance (CHC)[®], Certified in Healthcare Compliance— Fellow (CHC-F)[®], Certified in Healthcare Privacy Compliance (CHPC)[®], Certified in Healthcare Research Compliance (CHRC)[®], Certified Compliance & Ethics Professional (CCEP)[®], Certified Compliance & Ethics Professional—Fellow (CCEP-F)[®], Certified Compliance & Ethics Professional—International (CCEP-I)[®].

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This virtual conference is only for the registered attendee and does not allow for more than one person on each dial in. If a second person would like to join they must fill a second registration form out. Only registered attendees are eligible to request CEUs for participation.

GROUP DISCOUNT POLICY: Registration forms must be sent together to ensure that the discount is applied. The group discount is not available through online registration. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.