

**Agenda and Learning Objectives**

A Virtual Conference

This agenda is in Eastern Daylight Time

8:25 – 8:30 AM EDT

**Opening Remarks**

8:30 – 9:30 AM EDT

**Managing Third-Party Compliance Risks after COVID-19**

Daniel M. Hartnett  
Associate Managing Director, Compliance, Risk and Diligence  
Kroll, a Division of Duff & Phelps

- COVID-19 has accelerated the emerging trend of companies rethinking and possibly reengineering global supply chain networks
- This will increase pressure upon compliance teams to minimize their firm’s exposure to third-party regulatory and reputational risk, often in a “do more with less” environment
- Ensuring due diligence best practices are in place can help compliance teams support their firm’s global supply chain recovery efforts

9:30 – 9:45 AM EDT

**Break**

9:45 – 10:45 AM EDT

**The Challenges of Maintaining a Consistent Ethics Program Across Multiple Cultures and Remote Locations**

Steven Pegg  
Senior Ethics Officer, Lockheed Martin International - Europe, Middle East & Africa

Jennifer Whitmore  
UK Ethics Officer, Lockheed Martin

- Cultural Alignment—Experiences and examples of how to deploy an ethics program that appreciates the culture not only at different geographic locations but also from different heritage businesses, even domestically
- Managing Remote Locations—Situations that led to the development of a remote and small site international playbook and experiences of using it to achieve a consistent approach to managing the program and gathering feedback consistent
- Using Ethics Representatives—Use of part-time ethics representatives can greatly extend the reach and range of the ethics program and result in a local deployment of the common ethics program in tune with the local culture

10:45 – 11:00 AM EDT

**Break**

11:00 AM – 12:00 PM EDT

**Information Security: Building a Security Culture**

Krizi Trivisani  
Chief Information Security Officer (CISO)  
Howard University

- Creating a cybersecurity aware culture
- Embedding cybersecurity awareness in your compliance program

12:00 – 1:00 PM EDT

**Mid-Conference Break**

1:00 – 2:00 PM EDT

**Managing Ethics in a Time of Crisis: How to Pivot Your Ethics Program to not Only Address the Current Crisis but Also Support the Culture and Influence Change Within the Organization**

Courtney Wallize, CCEP  
Corporate Director Ethics  
Northrop Grumman Corporation

Sandra Evers-Manly  
Vice President, Global Corporate Responsibility  
Northrop Grumman Corporation

- Strategies and ideas on how ethics organizations can adjust their programs to address new realities and risks during times of change, like #metoo, COVID-19 and Social Justice
- Helping employees and leaders deal with change and be resilient in times of crisis
- Moving from surviving to thriving – taking the opportunity to influence change within the organization

2:00 – 2:15 PM EDT

**Break**

2:15 – 3:15 PM EDT

**Behavioral Ethics and Compliance**

Jeff Kaplan  
Partner  
Kaplan & Walker LLP

Jim Knapp  
Investigations Manager  
Freddie Mac

- Practical lessons for using behavioral ethics in developing effective compliance programs.
- Behavioral ethics approaches to risk and program assessment.
- Using behavioral ethics in compliance, training, communications, and investigations

3:15 – 3:30 PM EDT

**Break**

3:30 – 4:30 PM EDT

**Interpersonal Conflict Resolution Skills for Ethics & Compliance Professionals**

Anne R. Harris  
Principal  
Ethics Works LLC

- Conflict Resolution challenges we face in our roles: sometimes as participants, sometimes as mediators
- Critical interpersonal skills we need for conflict resolution: back to basics with Active Listening and Emotional Intelligence
- Getting to resolution when long-term relationships matter. Trust, creative problem-solving, and win-win outcomes

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Organization (Name of Employer)

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## Virtual Conference

Due to the Coronavirus outbreak, SCCE has converted the Washington DC Regional Compliance and Ethics Conference to a virtual conference.

## How does a virtual event work?

On October 9 login using the link and access provided during the scheduled session times to watch and listen to the speakers and ask questions live.

## Continuing Education

Credits are assessed based on actual attendance and credit type requested. Should the overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Only registered attendees are eligible to request CEUs for participation. Attendees must participate in the virtual conference using the online virtual conference format (not just using the dial in) for attendance monitoring purposes.

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