

Agenda and Learning Objectives

A Virtual Conference

This agenda is in Eastern Daylight Time

8:25 – 8:30 AM EDT

Opening Remarks

8:30 – 9:30 AM EDT

Managing Third-Party Compliance Risks after COVID-19

Daniel M. Hartnett
Associate Managing Director, Compliance, Risk and Diligence
Kroll, a Division of Duff & Phelps

- COVID-19 has accelerated the emerging trend of companies rethinking and possibly reengineering global supply chain networks
- This will increase pressure upon compliance teams to minimize their firm’s exposure to third-party regulatory and reputational risk, often in a “do more with less” environment
- Ensuring due diligence best practices are in place can help compliance teams support their firm’s global supply chain recovery efforts

9:30 – 9:45 AM EDT

Break

9:45 – 10:45 AM EDT

The Challenges of Maintaining a Consistent Ethics Program Across Multiple Cultures and Remote Locations

Steven Pegg
Senior Ethics Officer, Lockheed Martin International - Europe, Middle East & Africa

Jennifer Whitmore
UK Ethics Officer, Lockheed Martin

- Cultural Alignment—Experiences and examples of how to deploy an ethics program that appreciates the culture not only at different geographic locations but also from different heritage businesses, even domestically
- Managing Remote Locations—Situations that led to the development of a remote and small site international playbook and experiences of using it to achieve a consistent approach to managing the program and gathering feedback consistent
- Using Ethics Representatives—Use of part-time ethics representatives can greatly extend the reach and range of the ethics program and result in a local deployment of the common ethics program in tune with the local culture

10:45 – 11:00 AM EDT

Break

11:00 AM – 12:00 PM EDT

Information Security: Building a Security Culture

Krizi Trivisani
Chief Information Security Officer (CISO)
Howard University

- Creating a cybersecurity aware culture
- Embedding cybersecurity awareness in your compliance program

12:00 – 1:00 PM EDT

Mid-Conference Break

1:00 – 2:00 PM EDT

Managing Ethics in a Time of Crisis: How to Pivot Your Ethics Program to not Only Address the Current Crisis but Also Support the Culture and Influence Change Within the Organization

Courtney Wallize, CCEP
Corporate Director Ethics
Northrop Grumman Corporation

Sandra Evers-Manly
Vice President, Global Corporate Responsibility
Northrop Grumman Corporation

- Strategies and ideas on how ethics organizations can adjust their programs to address new realities and risks during times of change, like #metoo, COVID-19 and Social Justice
- Helping employees and leaders deal with change and be resilient in times of crisis
- Moving from surviving to thriving – taking the opportunity to influence change within the organization

2:00 – 2:15 PM EDT

Break

2:15 – 3:15 PM EDT

Behavioral Ethics and Compliance

Jeff Kaplan
Partner
Kaplan & Walker LLP

Jim Knapp
Investigations Manager
Freddie Mac

- Practical lessons for using behavioral ethics in developing effective compliance programs.
- Behavioral ethics approaches to risk and program assessment.
- Using behavioral ethics in compliance, training, communications, and investigations

3:15 – 3:30 PM EDT

Break

3:30 – 4:30 PM EDT

Interpersonal Conflict Resolution Skills for Ethics & Compliance Professionals

Anne R. Harris
Principal
Ethics Works LLC

- Conflict Resolution challenges we face in our roles: sometimes as participants, sometimes as mediators
- Critical interpersonal skills we need for conflict resolution: back to basics with Active Listening and Emotional Intelligence
- Getting to resolution when long-term relationships matter. Trust, creative problem-solving, and win-win outcomes

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Virtual Conference

Due to the Coronavirus outbreak, SCCE has converted the Washington DC Regional Compliance and Ethics Conference to a virtual conference.

How does a virtual event work?

On October 9 login using the link and access provided during the scheduled session times to watch and listen to the speakers and ask questions live.

Continuing Education

Credits are assessed based on actual attendance and credit type requested. Should the overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Only registered attendees are eligible to request CEUs for participation. Attendees must participate in the virtual conference using the online virtual conference format (not just using the dial in) for attendance monitoring purposes.

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Upon request, if there is sufficient time and we are able to meet their requirements, SCCE may submit this course to additional states or entities for consideration. Only requests from registered attendees will be considered. If you would like to make a request, please contact us at +1 952.933.4977 or 888.277.4977 or email ccb@compliancecertification.org. To see the most up-to-date CEU information go to SCCE's website, corporatecompliance.org/all-conferences. Select your conference, and then select the "Continuing Education" option on the left hand menu.

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This virtual conference is only for the registered attendee and does not allow for more than one person on each dial in. If a second person would like to join they must fill a second registration form out. Only registered attendees are eligible to request CEUs for participation.

Group discounts are not applicable to virtual events.