

7:30 – 8:30 AM

Registration & Continental Breakfast

8:25 AM

Opening Remarks

8:30 – 9:30 AM

Recent DOJ Pronouncements on Corporate Compliance Programs and Monitorships

Eric Feldman, CCEP-I

Senior VP and Managing Director, Corporate Ethics and Compliance Programs, Affiliated Monitors Inc.

Brian Michael

Partner, King & Spalding

- Updated guidance outlines three fundamental questions DOJ will ask when evaluating corporate compliance programs
- Follows on the heels of “Benczkowski Memo” clarifying when the appointment of corporate monitor may be appropriate
- Collectively provide clearer roadmap of key considerations for in-house counsel and compliance leaders

9:30 – 9:45 AM

Networking Break

9:45 – 10:45 AM

Operationalizing Compliance: Using Just-in-Time Guidance to Reduce Organizational Risk

Harper Wells, CCEP

Director, Compliance Insights & Strategy True Office Learning

Courtney Sander, CCEP

Director of Operations & Implementation Lead, Broadcat

- Identifying your company’s risky tasks and behaviors
- Gaining buy-in: how to get the right stakeholders in the room to make compliance a built-in-activity
- Issuing guidance using job aids and checklists that you can build into business processes

10:45 – 11:00 AM

Networking Break

11:00 AM – 12:00 PM

Knowing What You Don’t Know: Questions Boards Should Be Asking

Mikhail Reider-Gordon, LL.M., CCEP

Managing Director, Global Affairs Affiliated Monitors, Inc.

- Boards are held to a legal duty of care requiring directors to hold competences in ethics and technical skills.
- This program delves into the big questions your Board should be asking and examines management’s role in expanding their information horizons and prompting the right enquiries.
- Explore key questions around information technology and its benefits and risks in transforming business models; the effectiveness of risk management processes; identifying bias impeding innovation; engagement with stakeholders; and independently evaluating what isn’t yet known but should be.

12:00 – 1:00 PM

Lunch (provided)

1:00 – 2:00 PM

The Nuts & Bolts of CCPA Compliance

Lisa Beth Lentini Walker

CEO & Founder Lumen Worldwide Endeavors

- Leave the legalese at the door! This hands-on training gives you the information you need to know so that you can take practical steps towards compliance with the California Consumer Privacy Act.
- Participate in series of worksheets and exercises to understand where you are, what you need to do, and the most effective way to do it – from whether the CCPA applies to your company, understanding the definition personal information, adequately training your employees is, and how to respond to consumer requests.
- Discuss the tough questions and explore answers to gray areas so you are ready for CCPA compliance on Day 1.

2:00 – 2:15 PM

Networking Break

2:15 – 3:15 PM

White Collar Criminals & Compliance: Lessons from Prison

Justin Paperny

Author of Ethics in Motion. Lessons from Prison & Living Deliberately, Co-Founder of White-Collar Advice and Resilient Courses

- Discuss how making decisions from an ethical gray area can lead to financial devastation, loss of reputation and imprisonment.
- Discuss how companies can successfully implement a code of ethics.
- Understanding the fraud triangle—from the perspective of a convicted felon.

3:15 – 3:30 PM

Networking Break

3:30 – 4:30 PM

Maximizing Your Personal Compliance Journey

Tedra Foster, JD, CCEP

Sr. Director, Ethics and Compliance Herbalife Nutrition

- Continuously Sharpening the Saw: How to identify opportunities to continually educate yourself and stay aware of the latest changes in the field
- Maximizing your network for insight and guidance into new opportunities
- Discuss and share best practices on how to evolve and grow your brand and your career

REGISTRATION FEE

- SCCE Member.....\$220
- Non-Member\$270
- Registration & SCCE membership\$440
Save by joining SCCE today (first-time members only) Dues regularly \$325

Group Discounts: Receive a discount when your co-workers join you**

- Group discount for 5–9 attendees..... (\$25)
 - Group discount for 10 or more..... (\$40)
- **All attendees must register for the same location to receive the discount.

TOTAL \$ _____

Contact Information

- Mr Mrs Ms Dr

Member/Account ID (if known) _____

First Name _____ MI _____ Last Name _____

Credentials (CHC, CCEP, etc.) _____

Job Title _____

Organization (Name of Employer) _____

Street Address _____

City/Town _____ State/Province _____

Zip/Postal Code _____ Country _____

Work Phone _____

Email (required) _____

Dietary Needs Request

- Dairy Free Gluten Free Kosher Vegetarian Vegan

Other _____

Payment

Online registration at corporatecompliance.org/regionals

Mail this form to SCCE, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435-2358, USA

Fax this form to +1 952.988.0146

Email this form to helpteam@corporatecompliance.org — Due to PCI compliance, do not provide credit card information via email. You may email this form (without credit card information), then call SCCE at +1 952.933.4977 or 888.277.4977 with payment information.

- Invoice me
- Check enclosed (payable to SCCE)
- Wire transfer requested
- Credit card: I authorize SCCE to charge my:
 - Visa MasterCard Discover American Express

Credit Card Account Number _____

Credit Card Expiration Date _____

Cardholder Name _____

Cardholder Signature _____

HOTEL/CONFERENCE LOCATION:

Hilton Orange County/Costa Mesa

3050 Bristol Street, Costa Mesa, CA 92626 | 714-540-7000 | bit.ly/SoCal20

A small block of rooms has been reserved for Thursday, January 23 at a rate of \$179 plus applicable state and local taxes, currently 11.464%.

To make your reservation, please call Hotel Reservations at 800-HILTONS (800-445-8667) and identify yourself as being with the group, SCCE 2020 Southern California Regional Conference. Complimentary wireless internet is available in the guest rooms and discounted self-parking is available at \$20.00/vehicle. To make your [reservation online](#)

NOTICE: Neither SCCE nor any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of SCCE or the event, it is likely from a room poacher and may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link in this brochure. If you have concerns or questions, please contact 888.580.8373.

CONTINUING EDUCATION: SCCE is in the process of applying for additional external continuing education units (CEUs). Should overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Credits are assessed based on actual attendance and credit type requested.

Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. CEU totals are subject to change.

Upon request, if there is sufficient time and we are able to meet their requirements, SCCE may submit this course to additional states or entities for consideration. Only requests from registered attendees will be considered. If you would like to make a request, please contact us at +1 952.933.4977 or 888.277.4977 or email ccb@compliancecertification.org. To see the most up-to-date CEU information go to SCCE's website, corporatecompliance.org/all-conferences-home-page. Select your conference, and then select the "Continuing Education" option on the left hand menu.

COMPLIANCE CERTIFICATION BOARD (CCB)®: CCB has awarded a maximum of 7.2 CEUs for these certifications: Certified in Healthcare Compliance (CHC)®, Certified in Healthcare Compliance—Fellow (CHC-F)®, Certified in Healthcare Privacy Compliance (CHPC®), Certified in Healthcare Research Compliance (CHRC)®, Certified Compliance & Ethics Professional (CCEP)®, Certified Compliance & Ethics Professional—Fellow (CCEP-F)®, Certified Compliance & Ethics Professional—International (CCEP-I)®.

CONTINUING LEGAL EDUCATION (CLE): The Society of Corporate Compliance and Ethics is a provider/sponsor, approved/accredited by the Alabama State Bar, State Bar of California, the Pennsylvania Bar Association, the Rhode Island MCLE Commission, and the State Bar of Texas. An approximate maximum of 2.0 clock hours of CLE credit will be available to attendees of this conference licensed in these states, along with Illinois. SCCE's practice is to apply for CLE credits to the state in which the event is being held, if that state has a CLE approval process for sponsors. Upon request, if there is sufficient time and if we are able to meet their CLE requirements, SCCE may submit conferences with qualifying sessions to additional states for consideration. Only requests from registered attendees will be considered. All CLE credits will be assessed based on actual attendance and in accordance with each state's requirements.

NASBA/CPE: The Society of Corporate Compliance and Ethics is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: nasbaregistry.org. Sponsor Identification No: 143451. The education level for this activity is considered basic. No prerequisites are required for this education. Delivery Method: Group Live. Advanced Preparation: None. A recommended maximum of 7.0 credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge. For more information regarding administrative policies such as complaints or refunds, call 888.277.4977 or +1 952.933.4977.

ACKNOWLEDGMENTS: By submitting this registration, you agree to the full Terms and Conditions, including the use of your information, viewable at corporatecompliance.org/regionals.

Your information (postal address) may be shared with conference exhibitors, attendees, speakers, affiliates, and partners for marketing and/or networking purposes. To see the full use or if you wish to opt-out, visit corporatecompliance.org/privacy.

By participating in an SCCE conference, you grant SCCE, or anyone authorized by SCCE, the right to use or publish in print or electronic medium any photograph or video containing your image or likeness for educational, news, or promotional purposes without compensation.