

7:30 – 8:30 AM

## Registration & Continental Breakfast

8:25 AM

## Opening Remarks

8:30 – 9:30 AM

## Recent DOJ Pronouncements on Corporate Compliance Programs and Monitorships

**Eric Feldman, CCEP-I**

Senior VP and Managing Director, Corporate Ethics and Compliance Programs, Affiliated Monitors Inc.

**Brian Michael**

Partner  
King & Spalding

- Updated guidance outlines three fundamental questions DOJ will ask when evaluating corporate compliance programs
- Follows on the heels of “Benczkowski Memo” clarifying when the appointment of corporate monitor may be appropriate
- Collectively provide clearer roadmap of key considerations for in-house counsel and compliance leaders

9:30 – 9:45 AM

## Networking Break

9:45 – 10:45 AM

## Operationalizing Compliance: Using Just-in-Time Guidance to Reduce Organizational Risk

**Harper Wells, CCEP**

Director, Compliance Insights & Strategy  
True Office Learning

**Karla Medina, CCEP**

Compliance Counsel, Sempra Energy

**Courtney Sander, CCEP**

Director of Operations & Implementation Lead, Broadcat

- Identifying your company’s risky tasks and behaviors: We’ll discuss how to identify the tasks and behaviors that put your company at risk
- Gaining buy-in: We’ll talk about how to get the right stakeholders in the room to make compliance a built-in-activity
- Issuing guidance: We’ll share examples of job aids and checklists, you can build into business processes, that cover the things your employees need to know when completing risky tasks

10:45 – 11:00 AM

## Networking Break

11:00 AM – 12:00 PM

## Knowing What You Don’t Know: Questions Boards Should Be Asking

**Mikhail Reider-Gordon, LL.M., CCEP**

Managing Director, Global Affairs  
Affiliated Monitors, Inc.

- Boards are held to a legal duty of care requiring directors to hold competences in ethics and technical skills.
- This program delves into the big questions your Board should be asking and examines management’s role in expanding their information horizons and prompting the right enquiries.
- Explore key questions around information technology and its benefits and risks in transforming business models; the effectiveness of risk management processes; identifying bias impeding innovation; engagement with stakeholders; and independently evaluating what isn’t yet known but should be.

12:00 – 1:00 PM

## Lunch (provided)

1:00 – 2:00 PM

## The Nuts & Bolts of CCPA Compliance

**Diana Trevley, CCEP-I**

Chief of Global Services  
Spark Compliance Consulting

- Leave the legalese at the door! This hands-on training gives you the information you need to know so that you can take practical steps towards compliance with the California Consumer Privacy Act.
- Participate in series of worksheets and exercises to understand where you are, what you need to do, and the most effective way to do it – from whether the CCPA applies to your company, understanding the definition personal information, adequately training your employees is, and how to respond to consumer requests.
- Discuss the tough questions and explore answers to gray areas so you are ready for CCPA compliance on Day 1.

2:00 – 2:15 PM

## Networking Break

2:15 – 3:15 PM

## White Collar Criminals & Compliance: Lessons from Prison

**Justin Paperny**

Author of Ethics in Motion. Lessons from Prison & Living Deliberately, Co-Founder of White-Collar Advice and Resilient Courses

- Discuss how making decisions from an ethical gray area can lead to financial devastation, loss of reputation and imprisonment.
- Discuss how companies can successfully implement a code of ethics.
- Understanding the fraud triangle—from the perspective of a convicted felon.

3:15 – 3:30 PM

## Networking Break

3:30 – 4:30 PM

## Maximizing your Compliance Journey

**Tedra Foster, JD, CCEP**

Sr. Director, Ethics and Compliance  
Herbalife Nutrition

## REGISTRATION FEE

- SCCE Member.....\$220
- Non-Member .....\$270
- Registration & SCCE membership.....\$440  
*Save by joining SCCE today (first-time members only) Dues regularly \$325*

**TOTAL \$** \_\_\_\_\_

## Contact Information

Mr  Mrs  Ms  Dr

Member/Account ID (if known)

First Name \_\_\_\_\_ MI \_\_\_\_\_ Last Name \_\_\_\_\_

Credentials (CHC, CCEP, etc.)

Job Title

Organization (Name of Employer)

Street Address

City/Town \_\_\_\_\_ State/Province \_\_\_\_\_

Zip/Postal Code \_\_\_\_\_ Country \_\_\_\_\_

Work Phone

Email (required)

## Dietary Needs Request

- Dairy Free  Gluten Free  Kosher  Vegetarian  Vegan
- Other \_\_\_\_\_

## Payment

Online registration at [corporatecompliance.org/regionals](http://corporatecompliance.org/regionals)

Mail this form to SCCE, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435-2358, USA

Fax this form to +1 952.988.0146

Email this form to [helpteam@corporatecompliance.org](mailto:helpteam@corporatecompliance.org) — Due to PCI compliance, do not provide credit card information via email. You may email this form (without credit card information), then call SCCE at +1 952.933.4977 or 888.277.4977 with payment information.

- Invoice me
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Credit Card Account Number

Credit Card Expiration Date

Cardholder Name

Cardholder Signature

## HOTEL/CONFERENCE LOCATION:

### Hilton Orange County/Costa Mesa

3050 Bristol Street, Costa Mesa, CA 92626 | 714-540-7000 | [bit.ly/SoCal20](http://bit.ly/SoCal20)

A small block of rooms has been reserved for Thursday, January 23 at a rate of \$179 plus applicable state and local taxes, currently 11.464%.

To make your reservation, please call Hotel Reservations at 800-HILTONS (800-445-8667) and identify yourself as being with the group, SCCE 2020 Southern California Regional Conference. Complimentary wireless internet is available in the guest rooms and discounted self-parking is available at \$20.00/vehicle. To make your [reservation online](#)

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