

Agenda and Learning Objectives

This agenda is in Brasilia Time (BRT) with Central Daylight Time (CDT = 2 hours earlier)

08:55–09:00 BRT

Welcome and Announcements

09:00–10:00 BRT

10 Bias Traps That the Investigator/Auditor Should Avoid

Jefferson Kiyohara
Compliance Director, ICTS Protiviti, Brazil

Antonio C. Hencsey
Managing Director, Fair Jobs, Brazil

- Understanding unconscious biases (how your brain can betray you) and how it can impact the Compliance team effectiveness - theoretical and reflexive approach
- How to know if you are biased? How to identify 10 pitfalls that can undermine your investigation and avoid them
- Training skepticism to combat unconscious biases - a practical approach

10:00–10:15 BRT

Break

10:15–11:15 BRT

Effective Third Party Due Diligence and Monitoring

Melina Llodra
Partner & SCCE-I, Llodra Attorneys at Law, Argentina

Mariana Idrogo
Founder & Senior Managin Director
G5 Integritas, Argentina

- Defining criteria for effective due diligence: defining relevant third parties, scope under Law 27.401.b. The challenges of emergency times. Impact of COVID-19: is there a fast track?
- Due diligence approved: what is next? Contractual ABC mechanisms, periodic due diligence of long-term contracts, education and training
- How to conduct effective monitoring. Periodicity. Key issues. What to do when the results are not what we are looking for

11:15–11:30 BRT

Break

11:30–12:30 BRT

Test the Effectiveness of Your Compliance Program: Spot-Checks

Miguel Angel Enriquez
Head of Compliance
Americas Maersk, Panama

- Diving thru the spot-checks and how they become a good tool to monitor the effectiveness of your compliance program
- Understanding that testing effectiveness is not always about checking books and records
- Sharing a best practice on how to handle compliance program-driven checks that do not materialize in written paper

12:30–13:30 BRT

Mid-Conference Break

13:30–14:30 BRT

Building Effective Relationships with Regulators

Claudia Andia
Head of Compliance
Tigo Money Bolivia

- Differentiate the four key moments to relate with the regulator
- Define your business model in terms the regulator could understand
- Assessing the effectiveness of your compliance & ethics program proving compliance for the regulator

14:30–14:45 BRT

Break

SPONSORED BY:



14:45–15:45 BRT

Conversation with a Prosecutor about Perspective on Compliance and Corporate Wrongdoing

Eduardo El Hage
Federal Prosecutor (Head of the Task-Force Lava Jato in Rio de Janeiro),
Ministério Público Federal, Brazil

Shin Jae Kim
Partner
TozziniFreire Advogados, Brazil

- Identify what prosecutors look for to discover compliance and corporate wrongdoing
- Assess why companies and individuals get involved in misconduct and learn how to avoid the same mistakes
- Gain insight into what can be expected next from the enforcement community

15:45–16:00 BRT

Break

16:00–17:00 BRT

Compliance in Times of Quarantine

Sebastian Segovia
Corporate Manager of Governance, Ethics and Compliance, Falabella S. A., Chile

- Compliance Management Systems must be able to quickly and effectively adapt to contingencies/emergencies/crises which generate uncertainty and new risk scenarios for companies
- Compliance departments must become - if they are not yet - key actors of the decision making process of a company in times of crisis
- Adapting the Compliance systems requires the flexibility of certain policies, procedures and preventive measures; agility to communicate these modifications to every level of the organization, without missing the point that the best way to protect the value that companies create, is taking care of it

17:00 BRT

Conference Adjourns

REGISTRATION FEE

<input type="checkbox"/>	SCCE Member	\$155
<input type="checkbox"/>	Non-Member	\$195
<input type="checkbox"/>	Registration & SCCE membership*	\$375

TOTAL \$ _____

Contact Information

 Mr Mrs Ms Dr

Member/Account ID (if known)

First Name _____ MI _____ Last Name _____

Credentials (CHC, CCEP, etc.)

Job Title

Organization (Name of Employer)

Street Address

City/Town _____ State/Province _____

Zip/Postal Code _____ Country _____

Work Phone

Email (required)

Payment

Online registration at corporatecompliance.org/regionals
Mail this form to SCCE, 6500 Barrie Road, Suite 250,
Minneapolis, MN 55435-2358, USA

Fax this form to +1 952.988.0146

Email this form to helpteam@corporatecompliance.org — Due to PCI compliance, do not provide credit card information via email. You may email this form (without credit card information), then call SCCE at +1 952.933.4977 or 888.277.4977 with payment information.

- Invoice me
 Check enclosed (payable to SCCE)
 Wire transfer requested
 Credit card: I authorize SCCE to charge my:
 Visa MasterCard Discover American Express

Credit Card Account Number

Credit Card Expiration Date

Cardholder Name

Cardholder Signature

Virtual Conference

Due to the Coronavirus outbreak, SCCE has converted the South America Regional Compliance and Ethics Conference to a virtual conference.

How does a virtual event work?

On 28 August login using the link and access provided during the scheduled session times to watch and listen to the speakers and ask questions live.

Continuing Education*

NOTE: Only registered attendees are eligible to request CEUs for participation. Attendees must participate in the virtual conference using the online virtual conference format (not just using the dial in) for attendance monitoring purposes.

SCCE is in the process of applying for additional external continuing education units (CEUs). Should overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Credits are assessed based on actual attendance and credit type requested.

Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. CEU totals are subject to change.

Upon request, if there is sufficient time and we are able to meet their requirements, SCCE may submit this course to additional states or entities for consideration. Only requests from registered attendees will be considered. If you would like to make a request, please contact us at +1 952.933.4977 or 888.277.4977 or email ccb@compliancecertification.org.

To see the most up-to-date CEU information go to SCCE's website, corporatecompliance.org/all-conferences. Select your conference, and then select the "Continuing Education" option on the left hand menu.

COMPLIANCE CERTIFICATION BOARD (CCB)[®]: CCB has awarded a maximum of 7.2 CEUs for these certifications: Certified in Healthcare Compliance (CHC)[®], Certified in Healthcare Compliance—Fellow (CHC-F)[®], Certified in Healthcare Privacy Compliance (CHPC)[®], Certified in Healthcare Research Compliance (CHRC)[®], Certified Compliance & Ethics Professional (CCEP)[®], Certified Compliance & Ethics Professional—Fellow (CCEP-F)[®], Certified Compliance & Ethics Professional—International (CCEP-I)[®].

NASBA/CPE: The Society of Corporate Compliance and Ethics is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.nasbaregistry.org. Sponsor Identification No: 143451. The education level for this activity is considered basic. No prerequisites are required for this education. Delivery Method: Group Live. Advanced Preparation: None. A recommended maximum of 7.0 credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge. For more information regarding administrative policies such as complaints or refunds, call 888.277.4977 or 952.933.4977.

*Only registered attendees are eligible to request CEUs for participation.

ACKNOWLEDGMENTS: By submitting this registration, you agree to the full Terms and Conditions, including the use of your information, viewable at corporatecompliance.org/regionals.

Your information (postal address) may be shared with conference exhibitors, attendees, speakers, affiliates, and partners for marketing and/or networking purposes. To see the full use of your information or if you wish to opt-out, visit corporatecompliance.org/privacy.

Virtual sessions will be recorded. By participating in an SCCE conference, you grant SCCE, or anyone authorized by SCCE, the right to use or publish in print or electronic medium any photograph or video containing your image or likeness for educational, news, or promotional purposes without compensation.

This virtual conference is only for the registered attendee and does not allow for more than one person on each dial in. If a second person would like to join they must fill a second registration form out. Only registered attendees are eligible to request CEUs for participation.

Group discounts are not applicable to virtual events.