8:25 – 8:30 AM MST

Opening Remarks

8:30 – 9:30 AM MST

Risk Assessments, Governance Risk and Compliance Program Buildout

James Rose
Managing Director
SunHawk Consulting LLC

Stephen James
Director of Internal Audit
Cavco Industries, Inc.

- Why do we pursue excellence in Governance, Risk and Compliance?
- Compliance Risk Assessment for Your Organization
- Evaluating Your Compliance Program
- Governance, Risk and Compliance (GRC) Program Management

9:30 – 9:45 AM MST

Networking Break

9:45 – 10:45 AM MST

An FBI Perspective on the Current Cyber Landscape, Threats and Trends

Martin Hellmer
Supervisory Special Agent
FBI

- Description of the federal government's roles and capabilities to combat cyber threats
- In-depth discussion of the cybercriminals and common vulnerabilities they exploit
- A look at prevalent cyber trends and ways in which people can protect themselves

10:45 – 11:00 AM MST

Networking Break

11:00 AM – 12:00 PM MST

Ethics Reporting and Incident Management, Marketing, Benchmarking, and Best Practices

Juliette Gust
Founder & President
Ethics Suite

- Intake methods: Do you have the 800 number no one calls?
- Intake Marketing: Hotlines or Helplines? Whistleblower or Reporter? Words matter.
- Report sources, issues, and substantiation rates: How does your program compare?
- Incident tracking: If it's not documented, did it happen?
- Reporting: Embracing the age of analytics.

12:00 – 1:00 PM MST

Lunch Break

1:00 – 2:00 PM MST

Connecting Corporate Culture with the Bottom Line

Andrew Downin
Chief Marketing & Strategy Officer
Vantage West Credit Union

- How to position culture as a competitive advantage
- Leveraging a positive culture in a competitive labor market
- Tips and tricks for how to successfully implement cultural change
- Designing goals and objectives that help achieve financial success while supporting a long-term positive culture

2:00 – 2:15 PM MST

Networking Break

2:15 – 3:15 PM MST

Anti-Corruption/Anti-Bribery

Brian Walsh
VP, Assistant General Counsel
Artesyn Embedded Power

- FCPA basics
- Recent trends & enforcement actions
- Observations & lessons learned

3:15 – 3:30 PM MST

Networking Break

3:30 – 4:30 PM MST

Compliance Work Plan and the Board: a Compliance Committee Toolkit

Gerry Roy
VP, Chief Compliance & Privacy Officer,
Security Services & Emergency
Preparedness
Phoenix Children's Hospital

James Rose
Managing Director
SunHawk Consulting

- Examples of compliance work plan & dashboards
- Lessons learned on reporting to boards of directors
- Appropriate and Inappropriate questions boards should be asking

SPONSORED BY:

True Office Learning

TDI Compliance

REGISTRATION FEE

☐	**SCCE Member**	$155
☐	**Non-Member**	$195
☐	**Registration & SCCE membership***	$375

TOTAL $ _____

Contact Information

○ Mr   ○ Mrs   ○ Ms   ○ Dr

_____
Member/Account ID (if known)

_____   _____   _____
First Name   MI   Last Name

_____
Credentials (CHC, CCEP, etc.)

_____
Job Title

_____
Organization (Name of Employer)

_____
Street Address

_____   _____
City/Town   State/Province

_____   _____
Zip/Postal Code   Country

_____
Work Phone

_____
Email (required)

Payment

Online registration at corporatecompliance.org/regionals

Mail this form to SCCE, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435-2358, USA

Fax this form to +1 952.988.0146

Email this form to helpteam@corporatecompliance.org — Due to PCI compliance, do not provide credit card information via email. You may email this form (without credit card information), then call SCCE at +1 952.933.4977 or 888.277.4977 with payment information.

○ Invoice me
○ Check enclosed (payable to SCCE)
○ Wire transfer requested
○ Credit card: I authorize SCCE to charge my:

  ○ Visa   ○ MasterCard   ○ Discover   ○ American Express

_____
Credit Card Account Number

_____
Credit Card Expiration Date

_____
Cardholder Name

_____
Cardholder Signature

Virtual Conference

Due to the Coronavirus outbreak, SCCE has converted the Scottsdale Regional Compliance and Ethics Conference to a virtual conference.

How does a virtual event work?
On April 10 login using the link provided in your confirmation email during the scheduled session times to watch and listen to the speakers and ask questions live (just like you would onsite).

NOTE: Only registered attendees are eligible to request CEUs for participation. Attendees must participate in the virtual conference using the online virtual conference format (not just using the dial in) for attendance monitoring purposes.

CONTINUING EDUCATION: SCCE is in the process of applying for additional external continuing education units (CEUs). Should overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Credits are assessed based on actual attendance and credit type requested.

Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. CEU totals are subject to change.

Upon request, if there is sufficient time and we are able to meet their requirements, SCCE may submit this course to additional states or entities for consideration. Only requests from registered attendees will be considered. If you would like to make a request, please contact us at +1 952.933.4977 or 888.277.4977 or email ccb@compliancecertification.org. To see the most up-to-date CEU information go to SCCE's website, corporatecompliance.org/all-conferences. Select your conference, and then select the "Continuing Education" option on the left hand menu.

COMPLIANCE CERTIFICATION BOARD (CCB)®: CCB has awarded a maximum of 7.2 CEUs for these certifications: Certified in Healthcare Compliance (CHC)®, Certified in Healthcare Compliance– Fellow (CHC-F)®, Certified in Healthcare Privacy Compliance (CHPC®), Certified in Healthcare Research Compliance (CHRC)®, Certified Compliance & Ethics Professional (CCEP)®, Certified Compliance & Ethics Professional–Fellow (CCEP-F)®, Certified Compliance & Ethics Professional–International (CCEP-I)®.

ACKNOWLEDGMENTS: By submitting this registration, you agree to the full Terms and Conditions, including the use of your information, viewable at corporatecompliance.org/regionals.

Your information (postal address) may be shared with conference exhibitors, attendees, speakers, affiliates, and partners for marketing and/or networking purposes. To see the full use or if you wish to opt-out, visit corporatecompliance.org/privacy.

Virtual sessions will be recorded. By participating in an SCCE conference, you grant SCCE, or anyone authorized by SCCE, the right to use or publish in print or electronic medium any photograph or video containing your image or likeness for educational, news, or promotional purposes without compensation.

This virtual conference is only for the registered attendee and does not allow for more than one person on each dial in. If a second person would like to join they must fill a second registration form out. Only registered attendees are eligible to request CEUs for participation.

Group discounts are not applicable to virtual events.