

Agenda and Learning Objectives

A Virtual Conference

This agenda is in Eastern Standard Time

8:25 AM – 8:30 AM EST

Opening Remarks

8:30 – 9:30 AM EST

RIM 101: Managing Risk and Designing a Compliant Information Management Plan

Jacki Cheslow, CCEP-I
Global Compliance Program Leader
IEEE

- While we live in the Information Age, not all information created or received is equal.
- This session will focus on building a compliant and legally defensible plan to manage your records and information.
- During the session we will explore the record & information lifecycle, General Accepted Accounting Principles and the steps required to design a compliant and legally defensible record retention plan.

9:30 – 9:45 AM EST

Networking Break

9:45 – 10:45 AM

Current Developments in Ethical Considerations for Compliance Officers and Attorneys

Ted Banks
Partner
Scharf Banks Marmor LLC

- What are the ethical considerations that face anyone involved in compliance activities, whether or not they are attorneys?
- What are some of the recent developments over the last year, including situations where compliance officers or attorneys have made whistleblower claims?
- How do developments in other areas, such as COVID-19 and racial justice, pose new ethical concerns for lawyers and compliance officers?

10:45 – 11:00 AM EST

Networking Break

11:00 AM – 12:00 PM EST

Promoting Social Equity: Can Compliance Succeed?

Amy E. McDougal
President
CLEAResources, LLC

Jason B. Meyer
President
LeadGood, LLC

- We will address the challenges that have faced compliance from #MeToo and what we can learn from that in promoting social equity in the workplace, the crucial role of whistleblowing in prevention, detection, and response to discrimination and harassment;
- The challenges of impacting corporate culture on social equity issues with a remote workforce and pandemic prevention measures in place, and
- Avoiding knee-jerk reactions to social equity issues that create other legal and compliance issues

12:00 – 1:00 PM EST

Mid-Conference break

1:00 – 2:00 PM EST

The Compliance Officer's Guide to Keeping Calm and Carrying On: Strategies and Tools for Thriving in a Stressful Profession

Diana L. Trevley
Senior Compliance Counsel
CyberArk

Lisa Beth B. Lentini
CEO and Founder
Lumen Worldwide Endeavors
SCCE & HCCA Board Member

- Share and discuss the unique challenges and stressors facing compliance officers in today's corporate world.
- Learn simple but effective life hacks for managing burnout, jet lag, crises, and crying babies (of both the corporate and infant variety).
- Discover the science behind the mindfulness, meditation, and gratitude practices that helped transform one company's compliance team.

2:00 – 2:15 PM EST

Networking Break

2:15 – 3:15 PM EST

Are Virtual Vendor Audits Here to Stay?

Janet Himmelreich
Managing Director
3Comply

- Pros/Cons of a Virtual Vendor Audit
- Impacts of a Down Business Cycle
- Tips on Making a Virtual Audit Successful

3:15 – 3:30 PM EST

Networking Break

3:30 – 4:30 PM EST

Compliance & Ethics Programs and the Use of Incentives: Why it Matters for Effectiveness

Joseph E. Murphy
Senior Advisor
Compliance Strategists

- Value of incentives and why ignoring them can doom your program
- Risks and impact of company incentive programs and the role of Compliance
- Implementing meaningful incentives into your Compliance & Ethics Program

4:30 – 5:30 PM EST

Networking and Discussion

Connect with your fellow attendees and enjoy a free-flowing conversation about what stood out during the sessions or a compliance challenge you want feedback on. The objective here is to enable conference participants to network with and learn from each other and help overcome the isolation of the past months. This optional networking event will be held on Zoom and participants may choose to be on camera.

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Virtual Conference

Due to the Coronavirus outbreak, SCCE has converted the Philadelphia Regional Compliance and Ethics Conference to a virtual conference.

How does a virtual event work?

On December 4 login using the link and access provided during the scheduled session times to watch and listen to the speakers and ask questions live.

Continuing Education

Credits are assessed based on actual attendance and credit type requested. Should the overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Only registered attendees are eligible to request CEUs for participation. Attendees must participate in the virtual conference using the online virtual conference format (not just using the dial in) for attendance monitoring purposes.

COMPLIANCE CERTIFICATION BOARD (CCB)[®]: CCB has approved a maximum of 7.2 CEUs for these certifications: Certified in Healthcare Compliance (CHC)[®], Certified in Healthcare Compliance—Fellow (CHC-F)[®], Certified in Healthcare Privacy Compliance (CHPC)[®], Certified in Healthcare Research Compliance (CHRC)[®], Certified Compliance & Ethics Professional (CCEP)[®], Certified Compliance & Ethics Professional—Fellow (CCEP-F)[®], Certified Compliance & Ethics Professional—International (CCEP-I)[®].

NASBA/CPE: The Society of Corporate Compliance and Ethics is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.nasbaregistry.org. Sponsor Identification No: 143451. The education level for this activity is considered basic. No prerequisites are required for this education. Delivery Method: Group Live. Advanced Preparation: None. A recommended maximum of 7.2 credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge. For more information regarding administrative policies such as complaints or refunds, call 888.277.4977 or +1 952.933.4977.

SCCE is in the process of applying for additional external continuing education units (CEUs). Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. CEU totals are subject to change.

Upon request, if there is sufficient time and we are able to meet their requirements, SCCE may submit this course to additional states or entities for consideration. Only requests from registered attendees will be considered. If you would like to make a request, please contact us at +1 952.933.4977 or 888.277.4977 or email ccb@compliancecertification.org. To see the most up-to-date CEU information go to SCCE's website, corporatecompliance.org/all-conferences. Select your conference, and then select the "Continuing Education" option on the left hand menu.

ACKNOWLEDGMENTS: By submitting this registration, you agree to the full Terms and Conditions, including the use of your information, viewable at corporatecompliance.org/regionals.

Your information (postal address) may be shared with conference exhibitors, attendees, speakers, affiliates, and partners for marketing and/or networking purposes. To see the full use of your information or if you wish to opt-out, visit corporatecompliance.org/privacy.

Virtual sessions will be recorded. By participating in an SCCE conference, you grant SCCE, or anyone authorized by SCCE, the right to use or publish in print or electronic medium any photograph or video containing your image or likeness for educational, news, or promotional purposes without compensation.

This virtual conference is only for the registered attendee and does not allow for more than one person on each dial in. If a second person would like to join they must fill a second registration form out. Only registered attendees are eligible to request CEUs for participation.

Group discounts are not applicable to virtual events.