

7:30 – 8:30 AM

Registration and Continental Breakfast

8:25 AM

Opening Remarks

8:30 – 9:30 AM

Compliance Leadership Skills: Make an Impact, Inspire Your Organization, Get to the Next Level

Kirsten Liston

 Principal
Rethink Compliance

- Executive presence isn't a fluke, it's a formula. Presenting with confidence and authenticity can strengthen your credibility and make you more persuasive.
- Elevate your impact by developing the skill of working with and through others.
- Things changing fast? Flexibility and agility can be a secret weapon. Taking on a start-up mindset can help you change course quickly and avoid getting bogged down.

9:30 – 9:45 AM

Networking Break

9:45 – 10:45 AM

Skynet Is Aware: AI, Machine Learning and What YOU Need to Know

Colleen Dorsey

Director, Organizational Ethics & Compliance, University of St Thomas

Lisa Beth Lentini Walker

 CEO and Founder
Lumen Worldwide Endeavors

- Understanding the basics of AI and ML
- Ethical issues and risks of perpetuating or syndicating bias.
- Emerging best practices in the ethics of AI/ML.

10:45 – 11:00 AM

Networking Break

11:00 AM – 12:00 PM

A Case Study: Expanding Third Party IT and Compliance Practices

Adam Schrock

 Managing Director
Grant Thornton

Mike Pankey

 Senior Manager
Grant Thornton

- Many organizations continue to struggle with compliance and performance related activities across their third party landscape.
- As regulations expand, some organizations are looking to get ahead of third party risk and reporting requirements to avoid potential gaps and disruption ahead.
- In this session, we'll review how larger banks, and now insurance companies, are expanding their third party oversight to meet new and in-progress regulatory expectations.

12:00 – 1:00 PM

Lunch (provided)

1:00 – 2:00 PM

Agile Innovation for Compliance

Eric Brotten

 Director, International & US-Domestic Compliance Programs
Optum

Sarah Boswell-Healey

 Privacy Director
Optum

Kyle Erickson

 Security Director
Medtronic

- The line between tech and traditional companies is blurring, so are the traditional roles-based silos between IT, privacy and compliance.
- As a compliance professional, you need to understand how to add value in these team of teams times. Explore differences between traditional waterfall/stagegate product development and current agile methodologies.
- Learn how to build a hi-tech governance compliance program and hear lessons learned from successful and unsuccessful program builds.

2:00 – 2:15 PM

Networking Break

2:15 – 3:15 PM

Lessons Learned from Highly Regulated Industries

Tom Boswell-Healey

 Associate Counsel
Senior Compliance Consultant
Minnesota Bankers Association

Emily Aurand

 UHC Operations Compliance Officer
UnitedHealthcare

Laura Lonsdale

Director, Corporate Compliance and Business Conduct, Xcel Energy

- How to communicate effectively with regulators
- What to do (and not do) when facing a regulatory inquiry
- Best practices in developing an effective compliance program.

3:15 – 3:30 PM

Networking Break

3:30 – 4:30 PM

The Department of Justice and Corporate Compliance Programs

W. Anders Folk

 First Assistant U.S. Attorney
U.S. Attorney's Office-District of Minnesota

- Corporate Compliance Programs, Criminal Justice and Government Enforcement
- How the Department of Justice Evaluates Corporate Compliance Programs
- Priorities at the US Attorney's Office in Minnesota

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