

**Agenda and Learning Objectives**

A Virtual Conference

This agenda is in Eastern Standard Time

8:25 AM EST

**Opening Remarks**

8:30 – 9:30 AM EST

**Culture of Compliance: Implementing Investigation Recommendations**

Jessica Tobias  
Ethics Director and Compliance Investigator, The Ohio State University

Donta Ingram  
Compliance and Life Skills Coordinator, The Ohio State University

Using the OSU Marching Band Investigation as a compliance case study, presenters will discuss:

- Implementing investigation recommendations to affect organization culture
- Developing a framework for new practices that reflect organizational values
- Testing and monitoring newly established controls

9:30 – 9:45 AM EST

**Break**

9:45 – 10:45 AM

**Making Ethics and Compliance Popular: Becoming a Valuable Go-to Resource**

Josh Clemens  
Director of Compliance  
Boys & Girls Village, Inc.

- Pitfalls to avoid and tips for successfully facilitating difficult conversations between operational departments to support coordinated risk reduction, transparency, and agreement on process.
- Demonstration of how active management of data for compliance and ethics can give all levels of management improved visibility and strengthen strategic risk management.
- Case studies pulling it all together of collaborative efforts with operational departments to improve compliance and create a win-win of reduced total compliance effort.

10:45 – 11:00 AM EST

**Break**

11:00 AM – 12:00 PM EST

**Current Pressures in Whistleblower Retaliation, and the Effect on Compliance Program Effectiveness**

Lisa Fine  
Director, Compliance for the Americas, Pearson Education

Matt Kelly  
Editor & CEO, Radical Compliance

- Review of recent whistleblower cases, both in corporate and government proceedings and how they may impact reporting and implications of these cases on day-to-day reporters
- Hear about best practices for encouraging reporting of wrongdoing and for protecting reporters from retaliation from a practitioner with experience in two different industries, and bring your experiences, questions and pressure points to the discussion
- We will also discuss situations where compliance officers have raised concerns and must escalate them to leadership, the board or externally

12:00 – 1:00 PM EST

**Lunch Break**

1:00 – 2:00 PM EST

**Managing Ethics and Compliance Risk Related to Mergers and Acquisitions**

Kasey T. Ingram  
General Counsel & Chief Compliance Officer, ISK Americas Incorporated

Dan Harper  
General Counsel and Compliance Officer  
GEA Farm Technologies, Inc.

- How to recognize and prepare for the ethics and compliance risks and considerations that arise during the due diligence process for mergers, acquisitions, and related transactions.
- How to mitigate transaction-related ethics and compliance risks and considerations through strategic planning and tactical due diligence.
- How to integrate ethics and compliance after the deal to identify and mitigate any post-closing ethics & compliance risks and address cultural concerns.

2:00 – 2:15 PM EST

**Break**

2:15 – 3:15 PM EST

**Building and Leading an Effective Ethics & Compliance Program**

Debra Sabatini Hennelly  
Founder & President  
Resiliti

- How the compliance function can be a strategic partner in helping the organization manage risks (and opportunities)
- Elements of an effective ethics & compliance program and best practices to embed it in culture and operations
- Influencing leaders and driving behavioral change

3:15 – 3:30 PM EST

**Break**

3:30 – 4:30 PM EST

**The Trouble with Moral Relativism**

Jason B. Meyer  
President  
LeadGood, LLC

- In an environment of self-confirming information bubbles, finger-pointing and hyper-partisanship, is there a risk of erosion of the bedrock upon which we work: shared core values?
- While research says some younger people are more likely to greet moral issues with an attitude of “Who am I to judge?”, others may indulge in the “cancel culture.” What does this mean for compliance?
- How does the language of ethics and compliance training, communications, and leadership need to adjust to tribalism and decreased engagement with any ethical polestar?

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**Virtual Conference**

Due to the Coronavirus outbreak, SCCE has converted the Columbus Regional Compliance and Ethics Conference to a virtual conference.

**How does a virtual event work?**

On November 6 login using the link and access provided during the scheduled session times to watch and listen to the speakers and ask questions live.

**Continuing Education**

Credits are assessed based on actual attendance and credit type requested. Should the overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Only registered attendees are eligible to request CEUs for participation. Attendees must participate in the virtual conference using the online virtual conference format (not just using the dial in) for attendance monitoring purposes.

**COMPLIANCE CERTIFICATION BOARD (CCB)<sup>®</sup>**: CCB has approved a maximum of 7.2 CEUs for these certifications: Certified in Healthcare Compliance (CHC)<sup>®</sup>, Certified in Healthcare Compliance—Fellow (CHC-F)<sup>®</sup>, Certified in Healthcare Privacy Compliance (CHPC)<sup>®</sup>, Certified in Healthcare Research Compliance (CHRC)<sup>®</sup>, Certified Compliance & Ethics Professional (CCEP)<sup>®</sup>, Certified Compliance & Ethics Professional—Fellow (CCEP-F)<sup>®</sup>, Certified Compliance & Ethics Professional—International (CCEP-I)<sup>®</sup>.

SCCE is in the process of applying for additional external continuing education units (CEUs). Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. CEU totals are subject to change.

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This virtual conference is only for the registered attendee and does not allow for more than one person on each dial in. If a second person would like to join they must fill a second registration form out. Only registered attendees are eligible to request CEUs for participation.

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