

Times listed on agenda are in local time for the location of the regional. This agenda is in Central Daylight Time CDT

8:25 – 8:30 AM CDT

Opening Remarks

8:30 – 9:30 AM CDT

Taking Your Code Digital: Here's How to Do It Right

Kirsten Liston
 Founder & Principal
 Rethink Compliance

Rachel Lei
 Associate General Counsel and Chief
 Compliance Officer, GATX Corporation

Jenifer Caracciolo
 Assistant General Counsel, Law &
 Compliance, GATX Corporation

- Optimize your Code for your 21st-century, always-online audience.
- Make the most of available technology, while avoiding tech hassles.
- Learn where to start, five features your Code must have, and three things you don't need.

9:30 – 9:45 AM CDT

Networking Break

9:45 – 10:45 AM CDT

Tips When Leveraging Technology to Enable Compliance and Risk Management Processes

Vito Giovingo
 Director, Enterprise Risk Management
 McDonald's Corporation

Suzanne Madden
 Director, Enterprise Risk
 CAPCO

- Enabling compliance and risk management processes with technology
- Engaging and seeking adoption from stakeholders
- Identifying ways to leverage technology for additional use cases

10:45 – 11:00 AM CDT

Networking Break

11:00 AM – 12:00 PM CDT

Recent Compliance Guidance and Enforcement Actions from US/UK/Europe

Wade A. Thomson
 Partner, Jenner & Block

Christine Braamskamp
 Partner, Jenner & Block

Erin Schrantz
 Partner, Jenner & Block

- Department of Justice guidance on the evaluation of corporate compliance programs, and enforcement activities and trends that reflect DOJ's analysis of compliance programs in DOJ resolutions;
- UK Serious Fraud Office approach to corporate compliance, and the deferred prosecution agreement with Airbus as a case study in compliance failures and cross-border law enforcement collaboration; and
- Strategies and best practices to identify and mitigate compliance risk

12:00 – 1:00 PM CDT

Lunch Break

1:00 – 2:00 PM CDT

Infusing Diversity and Inclusion into Your Compliance Culture

Corey D. Flournoy
 Global Head of Inclusion & Diversity
 Groupon

Alison B. Crane
 Principal
 JacksonLewis

K. Kristann Carey
 Senior Vice President, Human Resources –
 Beer Division and Chief Diversity Officer
 Constellation Brands, Inc.

- Introduction to diversity, equity & inclusion (DEI) basics for the compliance professional
- Strategies for collaboration between compliance and DEI
- Why infusing DEI is a business imperative

2:00 – 2:15 PM CDT

Networking Break

2:15 – 3:15 PM CDT

Practice and Pitfalls of Internal Investigations

Elizabeth S. Brown
 Assistant General Counsel
 Compliance & Risk, Groupon

Scott Lassar
 Senior Counsel
 Sidley Austin LLP

Jayne Rosefield
 Partner, Head of Office, Chicago
 Brunswick Group

- The mechanics of internal investigations
- The constitutional implications of internal investigations
- Dealing with the public aspects of internal investigations

3:15 – 3:30 PM CDT

Networking Break

3:30 – 4:30 PM CDT

The State of Whistleblowing Hotlines & Incident Management for 2020

Carrie Penman
 Chief Risk and Compliance Officer
 NAVEX Global

- Hear the findings from new data points such as variations in case closure time by category & how standard benchmarking metrics differ by company size
- Discover what benefits have been seen from documenting reports from all sources, such as open door reports?
- Hear the impact of COVID-19 on internal reporting systems

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Virtual Conference

Due to the Coronavirus outbreak, SCCE has converted the Chicago Regional Compliance and Ethics Conference to a virtual conference.

How does a virtual event work?

On May 1 login using the link provided in your confirmation email during the scheduled session times to watch and listen to the speakers and ask questions live (just like you would onsite).

NOTE: Only registered attendees are eligible to request CEUs for participation. Attendees must participate in the virtual conference using the online virtual conference format (not just using the dial in) for attendance monitoring purposes.

CONTINUING EDUCATION: SCCE is in the process of applying for additional external continuing education units (CEUs). Should overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Credits are assessed based on actual attendance and credit type requested.

Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. CEU totals are subject to change.

Upon request, if there is sufficient time and we are able to meet their requirements, SCCE may submit this course to additional states or entities for consideration. Only requests from registered attendees will be considered. If you would like to make a request, please contact us at +1 952.933.4977 or 888.277.4977 or email ccb@compliancecertification.org.

To see the most up-to-date CEU information go to SCCE's website, corporatecompliance.org/all-conferences. Select your conference, and then select the "Continuing Education" option on the left hand menu.

COMPLIANCE CERTIFICATION BOARD (CCB)®: CCB has awarded a maximum of 7.2 CEUs for these certifications: Certified in Healthcare Compliance (CHC)®, Certified in Healthcare Compliance—Fellow (CHC-F)®, Certified in Healthcare Privacy Compliance (CHPC)®, Certified in Healthcare Research Compliance (CHRC)®, Certified Compliance & Ethics Professional (CCEP)®, Certified Compliance & Ethics Professional—Fellow (CCEP-F)®, Certified Compliance & Ethics Professional—International (CCEP-I)®.

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This virtual conference is only for the registered attendee and does not allow for more than one person on each dial in. If a second person would like to join they must fill a second registration form out. Only registered attendees are eligible to request CEUs for participation.

Group discounts are not applicable to virtual events.