

Times listed on agenda are in local time for the location of the regional. This agenda is in Central Daylight Time CDT

8:25 – 8:30 AM CDT

Opening Remarks

8:30 – 9:30 AM CDT

Taking Your Code Digital: Here's How to Do It Right

Kirsten Liston

Founder & Principal
Rethink Compliance

Rachel Lei

Associate General Counsel and Chief
Compliance Officer, GATX Corporation

Jenifer Caracciolo

Assistant General Counsel, Law &
Compliance, GATX Corporation

- Optimize your Code for your 21st-century, always-online audience.
- Make the most of available technology, while avoiding tech hassles.
- Learn where to start, five features your Code must have, and three things you don't need.

9:30 – 9:45 AM CDT

Networking Break

9:45 – 10:45 AM CDT

Tips When Leveraging Technology to Enable Compliance and Risk Management Processes

Vito Giovingo

Director, Enterprise Risk Management
McDonald's Corporation

Suzanne Madden

Director, Enterprise Risk
CAPCO

- Enabling compliance and risk management processes with technology
- Engaging and seeking adoption from stakeholders
- Identifying ways to leverage technology for additional use cases

10:45 – 11:00 AM CDT

Networking Break

11:00 AM – 12:00 PM CDT

Recent Compliance Guidance and Enforcement Actions from US/UK/Europe

Wade A. Thomson

Partner, Jenner & Block

Christine Braamskamp

Partner, Jenner & Block

Erin Schrantz

Partner, Jenner & Block

- Department of Justice guidance on the evaluation of corporate compliance programs, and enforcement activities and trends that reflect DOJ's analysis of compliance programs in DOJ resolutions;
- UK Serious Fraud Office approach to corporate compliance, and the deferred prosecution agreement with Airbus as a case study in compliance failures and cross-border law enforcement collaboration; and
- Strategies and best practices to identify and mitigate compliance risk

12:00 – 1:00 PM CDT

Lunch Break

1:00 – 2:00 PM CDT

Infusing Diversity and Inclusion into Your Compliance Culture

Corey D. Flournoy

Global Head of Inclusion & Diversity
Groupon

Alison B. Crane

Principal
JacksonLewis

K. Kristann Carey

Senior Vice President, Human Resources –
Beer Division and Chief Diversity Officer
Constellation Brands, Inc.

- Introduction to diversity, equity & inclusion (DEI) basics for the compliance professional
- Strategies for collaboration between compliance and DEI
- Why infusing DEI is a business imperative

2:00 – 2:15 PM CDT

Networking Break

2:15 – 3:15 PM CDT

Practice and Pitfalls of Internal Investigations

Elizabeth S. Brown

Assistant General Counsel
Compliance & Risk, Groupon

Scott Lassar

Senior Counsel
Sidley Austin LLP

Jayne Rosefield

Partner, Head of Office, Chicago
Brunswick Group

- The mechanics of internal investigations
- The constitutional implications of internal investigations
- Dealing with the public aspects of internal investigations

3:15 – 3:30 PM CDT

Networking Break

3:30 – 4:30 PM CDT

The State of Whistleblowing Hotlines & Incident Management for 2020

Carrie Penman

Chief Risk and Compliance Officer
NAVEX Global

- Hear the findings from new data points such as variations in case closure time by category & how standard benchmarking metrics differ by company size
- Discover what benefits have been seen from documenting reports from all sources, such as open door reports?
- Hear the impact of COVID-19 on internal reporting systems

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Virtual Conference

Due to the Coronavirus outbreak, SCCE has converted the Chicago Regional Compliance and Ethics Conference to a virtual conference.

How does a virtual event work?

On May 1 login using the link provided in your confirmation email during the scheduled session times to watch and listen to the speakers and ask questions live (just like you would onsite).

NOTE: Only registered attendees are eligible to request CEUs for participation. Attendees must participate in the virtual conference using the online virtual conference format (not just using the dial in) for attendance monitoring purposes.

CONTINUING EDUCATION: SCCE is in the process of applying for additional external continuing education units (CEUs). Should overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Credits are assessed based on actual attendance and credit type requested.

Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. CEU totals are subject to change.

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To see the most up-to-date CEU information go to SCCE's website, corporatecompliance.org/all-conferences. Select your conference, and then select the "Continuing Education" option on the left hand menu.

COMPLIANCE CERTIFICATION BOARD (CCB)®: CCB has awarded a maximum of 7.2 CEUs for these certifications: Certified in Healthcare Compliance (CHC)®, Certified in Healthcare Compliance—Fellow (CHC-F)®, Certified in Healthcare Privacy Compliance (CHPC)®, Certified in Healthcare Research Compliance (CHRC)®, Certified Compliance & Ethics Professional (CCEP)®, Certified Compliance & Ethics Professional—Fellow (CCEP-F)®, Certified Compliance & Ethics Professional—International (CCEP-I)®.

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Group discounts are not applicable to virtual events.