

8:30 – 8:55

## Registration & Welcome Coffee

8:55 – 9:00

## Opening Remarks

9:00 – 10:00

## Rightsizing Compliance Across Asia—Same but Different

**Andrew Macintosh**  
Partner  
Control Risks

- How do you implement best practice compliance across markets and disparate and complex as those in Asia?
- We will use case studies and our experience helping clients to identify the similarities, synergies and savings that can be achieved by taking a risk-based approach to compliance.
- This will include an interactive session on risk assessments, building on the traditional focus on internal controls to consider the role of external threat analysis, the role of big data and the broadening scope of compliance

10:00 – 11:00

## Taming the Terrain: A Value-Added, Risk-Based Compliance Program

**Seema Sanghani**  
Regional Compliance Officer – Southeast Asia, Country Compliance Officer – Singapore  
American International Group, Inc.

**Marie Judith McCormack**  
Vice President Center Led Compliance  
Prudential Financial, Inc.

- The guiding principle for a compliance program is a compliance framework, which includes the organizational and operational structures necessary for program establishment, sustainability, and maturation.
- The framework is designed to provide clarity, transparency, and consistency across an organization. Compliance function visibility is a prerequisite for both relevance and impact, and engagement of both management and employees is key.

11:00 – 11:20

## Networking Break

11:20 – 12:20

## Third Party Compliance Management

**Vinayak Bindal, CCEP-I**  
Sub-Regional Compliance Officer, South-East Asia  
Merck

- Importance and relevance of third party compliance management
- Examples of FCPA non-compliances by third parties in Asia Pacific and sanctions on companies
- How to build an effective third party compliance management program

12:20 – 13:10

## Lunch

13:10 – 14:10

## Compliance, Ethics, and Irrational People (All of Us)

**Adam Turteltaub**  
VP of Strategic Initiatives & International Programs,  
SCCE & HCCA

- We tend to think that people are basically rational, but what if we aren't?
- This presentation reviews data on how people think and don't think, especially when it comes to right and wrong
- Provides insights into how to improve human behavior based on how we approach ethical decisions

14:10 – 15:10

## Investigation—It Doesn't Take a Crook to Catch Another Crook

**Elaine Khoo**  
Experienced Compliance Professional in Healthcare, Consumer Goods, Hospitality

- The very first step: How do you get the most out of the least? What are the links?
- “Know your ally or enemy”—Who's involved? Who will be the informer? Who's the defender?
- Showtime! (Interviewing process)—How do you make the interview process stress free and effective? When to use the soft approach? When to use the hard approach? Tips and tricks to assess your interviewee's body language—Is he lying?

15:10 – 15:30

## Networking Break

15:30 – 16:30

## Implementing Effective Periodic Testing For Your Compliance Program

**Luis Hui, CCEP-I**

- Real-life examples of how periodic testing can become ineffective
- Analysis of contributing factors and solutions to remedy these common pitfalls
- Reaping the benefits from effective periodic testing

16:30

## Conference Adjourns

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**TOTAL \$** \_\_\_\_\_

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Member/Account Number (if applicable/known) \_\_\_\_\_

First Name \_\_\_\_\_ M.I. \_\_\_\_\_ Last Name \_\_\_\_\_

Credentials (CCEP, CPA, CFE, etc.) \_\_\_\_\_

Job Title \_\_\_\_\_

Organization (Name of Employer) \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ Province/State \_\_\_\_\_ Postal Code/Zip \_\_\_\_\_

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Gluten free  Kosher  Vegetarian  Vegan  Other \_\_\_\_\_

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**HOTEL/CONFERENCE LOCATION:****Singapore Marriott Tang Plaza Hotel**

320 Orchard Road, Singapore 238865 | <http://bit.ly/sing-19>

A reduced rate per night of S\$305 single/S\$325 double occupancy subject to 10% service charge and thereafter 7% goods and services tax (subject to change). Buffet breakfast and standard in-room internet is included with your room rate. Access to the fitness center is also complimentary. Please book your reservations directly through this specialized group booking link <http://bit.ly/sing-19>. The cutoff date for the group rate is 21 June 2019 or once the group room block is full, whichever comes first.

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