

7:30 – 8:30 AM

Registration & Continental Breakfast

8:25 AM

Opening Remarks

8:30 – 9:30 AM

Investigations Update

Jason Merrill

 Supervisory Special Agent
 Associate Division Counsel
 FBI Seattle

Sean Kennedy

 Special Agent
 FBI

Marc Milstein

 Director of Digital Forensics
 T-Mobile

9:30 – 9:45 AM

Networking Break

9:45 – 10:45 AM

Building Employee Engagement with your Ethics and Compliance Program

Aaron Thiese

Director of Ethical Communications and Culture, Microsoft

Asel Neutze

 Attorney
 Microsoft

- Moving beyond the rules to engage values
- Building relationships that foster innovation
- Engaging a Growth Mindset and harnessing the power of “Yet.”

10:45 – 11:00 AM

Networking Break

11:00 AM – 12:00 PM

To Privilege or Not to Privilege: A Question of Risk Assessments and Legal Exposure

Katherine L. McDaniel

 Principal Corporate Counsel, Litigation
 T-Mobile

Nolan M. Goldberg

 Senior Counsel, IP & Technology
 Proskauer

- How do privileged risk assessments differ from ordinary-course assessments? What are the advantages and disadvantages to each?
- Structuring and using “dual track” and “dual purpose” assessments to balance business needs with legal risk.
- Surviving scrutiny and maintaining privilege during post-assessment activities.

12:00 – 1:00 PM

Lunch (provided)

1:00 – 2:00 PM

Developing Compliance KRIs: Using Metrics to Improve Your Compliance Program

Harper Wells

 Director
 Compliance Insights & Strategy, True Office Learning

- Explore the why and how behind developing a data driven program
- Develop a KRI scorecard to identify potential blind spots and ease reporting
- Tactics to identify and gain key stakeholder buy-in and establish data collaborations

2:00 – 2:15 PM

Networking Break

2:15 – 3:15

Ethics, Culture and the Lessons of Theranos

Jeffery Smith

Frank Shrontz Chair in Professional Ethics and Professor of Management, Seattle University

- Was the fraud at Theranos unique?
- How is startup culture and venture capital related to the Theranos story?
- What are the lessons for investors and would-be whistleblowers?

3:15 – 3:30 PM

Networking Break

3:30 – 4:30 PM

The Intersection of Ethics, Diversity and Inclusion

Jill Long

 Partner
 Glassman Coaching + Consulting

- Diversity 101: the basic components of corporate diversity and inclusion.
- Exploring the impact of corporate diversity initiatives on the practice of corporate compliance and ethics.
- Opportunities for compliance and ethics practitioners to use diversity and inclusion understanding to improve corporate culture and employee engagement.

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- Dairy Free Gluten Free Kosher Vegetarian Vegan
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W Seattle

1112 4th Ave., Seattle, WA 98101 | 206-264-6000 | bit.ly/seattle19

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