

8:30 – 8:55

Registration and Welcome Coffee

8:55 – 9:00

Opening Remarks

9:00 – 10:00

Compliance 101

Debbie Troklus, CHC, CHC-F, CHPC, CCEP, CCEP-I
 Managing Director
 Ankura Consulting

- Review the reasons why organizations should implement effective compliance programs.
- Discuss the seven elements of an effective compliance program.
- Discuss the basics of compliance program structure and implementation.

10:00 – 11:00

Ethical Resources to Make Business Decisions, Beyond the Fear for Criminal Liability

Lorena Piñeiro
 Ethics & Compliance Invited Professor
 University of Chile, Law School

- Ethics & compliance scope; Most Latin American companies associate these programs to criminal corporation liability only and disregard the potentiality they have to adopt correct business decisions in a day to day operation
- Learning from others; how to build a culture of integrity according to the best and worst practices of American and European companies
- How to reach the ethics & compliance standards in a country with no judicial precedents and therefore no judicial interpretation of the law

11:00 – 11:15

Networking Break

11:15 – 12:15

Using Data Analytics for Compliance

Gerry Zack
 CEO
 SCCE/HCCA

- Designing a compliance data analytics program
- Analytics for compliance monitoring
- Use of analytics in performing investigations

12:15 – 13:15

Lunch

13:15 – 14:15

The Development of Compliance Programs in Chilean Competition Law - Taking Stock, Looking Ahead

Javier Tapia
 Judge
 Chilean Competition Tribunal (TDLC)

- Increasingly, the Chilean competition authorities have incentivized compliance programs.; a bird's eye view of the cases and guidelines
- Are Chilean standards similar to those set by international authorities and bodies? Are they similar to those applied in other areas of the law (ie. criminal law)?
- Main challenges ahead

14:15 – 15:15

Challenges for Private Sector in the Fight Against Corruption

Alberto Precht, CCEP-I
 Executive Director
 Transparency International Chile Chapter

- Latin America Environment after Lava Jato operation
- New perspectives and regulations. The Chilean example
- Where the world is moving in this new scenario

15:15 – 15:30

Networking Break

15:30 – 16:30

Board Expectation about Compliance

Yoab Bitran
 Head of LRN, Latin America
 LRN

Mariano Gojman
 Compliance Officer - Chile
 Siemens S.A.

Héctor Lehedé
 Associate Professor
 Universidad Católica's Center for
 Corporate Governance

- What Boards want from compliance
- Reinforcing tone at the top
- Board expectation about compliance

16:30

Conference Adjourns

SPONSORED BY:



REGISTRATION FEE

Registration fees are as listed and considered net of any local withholding taxes applicable in your country of residence.

- SCCE Member** \$220
- Non-Member** \$270
- Registration & SCCE membership** \$440
- Save by joining SCCE today (first-time members only) Dues regularly \$325*

TOTAL \$ _____

ATTENDEE INFORMATION (please type or print)

Mr. Mrs. Ms. Dr.

Member/Account Number (if applicable/known)

First Name _____ M.I. _____ Last Name _____

Credentials (CCEP, CPA, CFE, etc.) _____

Job Title _____

Organization (Name of Employer) _____

Address _____

City _____ Province/State _____ Postal Code/Zip _____

Country _____

Phone _____

Fax _____

E-mail (required for confirmation and conference information)

SPECIAL REQUEST DIETARY ACCOMODATION

Gluten free Kosher Vegetarian Vegan Other _____

PAYMENT METHOD

Mail check (including registration form) to:
SCCE, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435

Fax to: +1 952.988.0146

Check enclosed (payable to SCCE) Invoice me

I authorize SCCE to charge my credit card (choose below)

American Express MasterCard Visa Discover

Credit Card Account Number _____

Credit Card Expiration Date _____

Cardholder's Name _____

Cardholder's Signature _____

If your total is miscalculated, SCCE will charge your card the correct amount. Hotel accommodations are not included in the registration fee, but continental breakfast and lunch are provided. (LCCH19)

Due to PCI compliance, please do not provide any credit card information via email. You may email this form (without credit card information) and call SCCE at +1 952.933.4977 or 888.277.4977 with your credit card information, fax your registration to 952.988.0146, or visit corporatecompliance.org/regionals and register online

HOTEL/CONFERENCE LOCATION:**Hyatt Centric**

Enrique Foster Norte 30, Santiago, Chile 7550163 | +562 28921234

bit.ly/Santiago-19

A block of rooms has not been reserved for this program. Please call the hotel directly to check rates and availability. Complimentary internet will be provided in the meeting space.

NOTICE: Neither SCCE nor any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of SCCE or the event, it is likely from a room poacher and may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link in this brochure. If you have concerns or questions, please contact 888.580.8373.

TERMS AND CONDITIONS

REGISTRATION PAYMENT TERMS: Checks are payable to SCCE. Credit cards accepted: American Express, MasterCard, Visa, or Discover. SCCE will charge your credit card the correct amount should your total be miscalculated. If you wish to pay using wire transfer funds, please email help@corporatecompliance.org for instructions.

CANCELLATIONS/SUBSTITUTIONS: Refunds will not be issued. You may send a substitute in your place or request a conference credit. Conference credits are issued in the full amount of the registration fees paid, and will expire 12 months from the date of the original, cancelled event. Conference credits may be used toward any SCCE service or product. If a credit is applied toward an event, the event must take place prior to the credit's expiration date. If you need to cancel your participation, notification is required by email, sent to help@corporatecompliance.org, prior to the start date of the event. Please note that if you are sending a substitute, an additional fee may apply.

GROUP DISCOUNT: 5 or more: \$25 discount for each registrant. 10 or more: \$40 discount for each registrant. Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

TAX DEDUCTIBILITY: Expenses of training (including tuition, travel, lodging, and meals) incurred to maintain or improve skills in your profession may be tax deductible. Consult your tax advisor.

USE OF INFORMATION: By submitting this registration form, you agree to the use of your information and the terms and conditions stated on this form. To view our Privacy Statement visit corporatecompliance.org/privacy.aspx.

AGREEMENTS & ACKNOWLEDGEMENTS: I agree and acknowledge that I am undertaking participation in SCCE events and activities as my own free and intentional act, and I am fully aware that possible physical injury might occur to me as a result of my participation in these events. I give this acknowledgement freely and knowingly and assert that I am, as a result, able to participate in SCCE events, and I do hereby assume responsibility for my own well-being. I agree and acknowledge that SCCE plans to take photographs and/or video at this conference and reproduce them in SCCE educational, news, or promotional material, whether in print, electronic, or other media, including the SCCE website. By participating in this SCCE event, I grant SCCE the right to use my name, photograph, video, and biography for such purposes. As a participant of this event, my name, job title, organization, city, state, and country will be listed on the attendee list that will be distributed to attendees, speakers, and exhibitors of this event.

CONTINUING EDUCATION: SCCE is in the process of applying for additional external continuing education units (CEUs). Should overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Credits are assessed based on actual attendance and credit type requested.

Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. CEU totals are subject to change.

Upon request, if there is sufficient time and we are able to meet their requirements, SCCE may submit this course to additional states or entities for consideration. Only requests from registered attendees will be considered. If you would like to make a request, please contact us at +1 952.933.4977 or 888.277.4977 or email ccb@compliancecertification.org. To see the most up-to-date CEU information go to SCCE's website, corporatecompliance.org/all-conferences-home-page. Select your conference, and then select the "Continuing Education" option on the left hand menu.

COMPLIANCE CERTIFICATION BOARD (CCB): CCB has awarded a maximum of 7.2 CEUs for these certifications: Certified in Healthcare Compliance (CHC)[®], Certified in Healthcare Compliance—Fellow (CHC-F)[®], Certified in Healthcare Privacy Compliance (CHPC)[®], Certified in Healthcare Research Compliance (CHRC)[®], Certified Compliance & Ethics Professional (CCEP)[®], Certified Compliance & Ethics Professional—Fellow (CCEP-F)[®], Certified Compliance & Ethics Professional—International (CCEP-I)[®].

U.S. CONTINUING LEGAL EDUCATION (CLE): The Society of Corporate Compliance and Ethics is a provider/sponsor, approved/accrued by the State Bar of California, the Pennsylvania Bar Association, and the State Bar of Texas. An approximate maximum of 2.0 clock hours of CLE credit will be available to attendees of this conference licensed in these states. SCCE's practice is to apply for CLE credits to the state in which the event is being held, if that state has a CLE approval process for sponsors. Upon request, if there is sufficient time and if we are able to meet their CLE requirements, SCCE may submit this course to additional states for consideration. Only requests from registered attendees will be considered. All CLE credits will be assessed based on actual attendance and in accordance with each state's requirements.

NASBA/CPE: The Society of Corporate Compliance and Ethics is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: nasbaregistry.org. Sponsor Identification No: 143451. The education level for this activity is considered basic. No prerequisites are required for this education. Delivery Method: Group Live. Advanced Preparation: None. A recommended maximum of 7.0 credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge. For more information regarding administrative policies such as complaints or refunds, call 888.277.4977 or +1 952.933.4977.