

7:30 – 8:30 AM

## Registration & Continental Breakfast

8:25 AM

## Opening Remarks

8:30 – 9:30 AM

## Ethics and AI

**Chris Benson**

 Principal Artificial Intelligence Strategist  
 Lockheed Martin

9:30 – 9:45 AM

## Networking Break

9:45 – 10:45 AM

## Theory vs Reality in your Policies and Procedures - the Dangers of Aspirational Compliance

**Janet Himmelreich**

Managing Director, 3Comply

- Join the discussion to explore “aspirational” compliance and determine if you are a practitioner and to what degree.
- Using case study examples, determine the dangers that lurk among policies and procedures.
- Develop your action plan to uncover the drivers of aspirational compliance in your organization and steps to limit the dangers.

10:45 – 11:00 AM

## Networking Break

11:00 AM – 12:00 PM

## Advancing Compliance Using Analytics in the Audit Function

**Venyssa Brown**

Sr. Manager, Data Analytics and Information Technology, Corporate Internal Audit, Ethics and Enterprise Assurance, Lockheed Martin

- How to develop an effective analytic and data strategy
- How to build and optimize an analytic organization to support a compliance function
- Present real case studies to illustrate how analytics can impact compliance monitoring

12:00 – 1:00 PM

## Lunch (provided)

1:00 – 2:00 PM

## Supporting Ethical Decisions for Yourself and Your Staff

**Daniel M. Isaacs**

Assistant Professor, Academic Director Full-Time MBA Program Fox School of Business, Temple University

- Beyond the Law; Moral theories & decision tools
- Your Role as an Employee: Voicing your values
- Your Role as a Manager: Maintaining an ethical environment
- Your Role as Leader: Risks of success

2:00 – 2:15 PM

## Networking Break

2:15 – 3:15 PM

## Using Root Cause to Enhance your Compliance and Ethics Process

**Jonathan T. Marks**

Partner &amp; Leader – Global Fraud &amp; Forensic Investigations and Compliance Practice, Baker Tilly

- Identify root causes (not just causal factors) using proven techniques
- Initiate a root cause analysis incident exercise
- Assess socratic questioning and how it can be used in the root cause analysis process
- Implement three lines of defense as part of the root cause analysis, which will help the audit committee and senior management understand where the breakdowns occurred

3:15 – 3:30 PM

## Networking Break

3:30 – 4:30 PM

## Managing Corrective Actions

**Kim Quinn, CCEP**

 Compliance Director  
 KenCrest

- An Audit a Day Keeps Operations in Play - Problem Solving vs. Problem Prevention
- Hotlines are Your Baselines - Take that Vacation!
- Aggregation through Investigations - Explore what new technology is out there
- The Sweet Science of Compliance - Measuring Your Culture

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## Contact Information

Mr  Mrs  Ms  Dr

Member/Account ID (if known) \_\_\_\_\_

First Name \_\_\_\_\_ MI \_\_\_\_\_ Last Name \_\_\_\_\_

Credentials (CHC, CCEP, etc.) \_\_\_\_\_

Job Title \_\_\_\_\_

Organization (Name of Employer) \_\_\_\_\_

Street Address \_\_\_\_\_

City/Town \_\_\_\_\_ State/Province \_\_\_\_\_

Zip/Postal Code \_\_\_\_\_ Country \_\_\_\_\_

Work Phone \_\_\_\_\_

Email (required) \_\_\_\_\_

## Dietary Needs Request

- Dairy Free  Gluten Free  Kosher  Vegetarian  Vegan
- Other \_\_\_\_\_

## Payment

Online registration at [corporatecompliance.org/regionals](http://corporatecompliance.org/regionals)

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## HOTEL/CONFERENCE LOCATION:

### DoubleTree by Hilton Hotel Philadelphia City Center

237 S. Broad Street, Philadelphia, PA 19107 | 215.893.1600 | [bit.ly/phily19](http://bit.ly/phily19)

SCCE has reserved a small block of rooms for the evening of Thursday, December 5th at a rate of \$175 plus applicable state and local taxes. The cut-off date for reserving rooms is Thursday, November 14, 2019. Reservations received after this date will be accepted on a space- and rate- available basis. To make your reservation, please call the hotel at 215.893.1600 and request the rate for group 62B or make your reservation online.

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