

7:30 – 8:30 AM

Registration & Continental Breakfast

8:25 AM

Opening Remarks

8:30 – 9:30 AM

Ethics and AI

Chris Benson

Chief Strategist & Communications Lead for Artificial Intelligence, High-Performance Computing & AI Ethics, Lockheed Martin

9:30 – 9:45 AM

Networking Break

9:45 – 10:45 AM

Using Root Cause to Enhance your Compliance and Ethics Process

Jonathan T. Marks

Partner & Leader – Global Fraud & Forensic Investigations and Compliance Practice, Baker Tilly

- Identify root causes (not just causal factors) using proven techniques
- Initiate a root cause analysis incident exercise
- Assess socratic questioning and how it can be used in the root cause analysis process
- Implement three lines of defense as part of the root cause analysis, which will help the audit committee and senior management understand where the breakdowns occurred

10:45 – 11:00 AM

Networking Break

11:00 AM – 12:00 PM

Advancing Compliance Using Analytics in the Audit Function

Venyssa Brown

Sr. Manager, Data Analytics and Information Technology, Corporate Internal Audit, Ethics and Enterprise Assurance, Lockheed Martin

- How to develop an effective analytic and data strategy
- How to build and optimize an analytic organization to support a compliance function
- Present real case studies to illustrate how analytics can impact compliance monitoring

12:00 – 1:00 PM

Lunch (provided)

1:00 – 2:00 PM

Supporting Ethical Decisions for Yourself and Your Staff

Daniel M. Isaacs

Assistant Professor, Academic Director Full-Time MBA Program Fox School of Business, Temple University

- Beyond the Law; Moral theories & decision tools
- Your Role as an Employee: Voicing your values
- Your Role as a Manager: Maintaining an ethical environment
- Your Role as Leader: Risks of success

2:00 – 2:15 PM

Networking Break

2:15 – 3:15 PM

Theory vs Reality in your Policies and Procedures - the Dangers of Aspirational Compliance

Janet Himmelreich

Managing Director, 3Comply

- Join the discussion to explore “aspirational” compliance and determine if you are a practitioner and to what degree.
- Using case study examples, determine the dangers that lurk among policies and procedures.
- Develop your action plan to uncover the drivers of aspirational compliance in your organization and steps to limit the dangers.

3:15 – 3:30 PM

Networking Break

3:30 – 4:30 PM

Managing Corrective Actions

Kim Quinn, CCEP

Compliance Director KenCrest

- An Audit a Day Keeps Operations in Play - Problem Solving vs. Problem Prevention
- Hotlines are Your Baselines - Take that Vacation!
- Aggregation through Investigations - Explore what new technology is out there
- The Sweet Science of Compliance - Measuring Your Culture

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HOTEL/CONFERENCE LOCATION:

DoubleTree by Hilton Hotel Philadelphia City Center

237 S. Broad Street, Philadelphia, PA 19107 | 215.893.1600 | bit.ly/phily19

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