

Dubai

Regional Compliance & Ethics Conference 17 January 2019



Network locally and earn CEUs

Learn, Network & Earn CEUs in Your Neighborhood

The Regional Compliance & Ethics Conference offers convenient opportunities for compliance and ethics training, updates on the latest news in regulatory requirements and enforcement, and strategies to develop effective compliance programs. Additionally, attendees will have ample opportunity to network with industry peers. Breakfast and lunch are also included in the conference price.

corporatecompliance.org/regionals

Questions? beckie.smith@coporatecompliance.org



8:30 – 8:55

Registration

8:55 – 9:00

Opening Remarks

9:00 – 10:00

Critical Investigation Issues for Compliance Professionals



Gerry Zack CFE, CCEP, CIA, CPA
Incoming CEO
SCCE

- Key steps in commencing an investigation
- Collection and use of data and digital evidence
- Common investigation mistakes and how to avoid them

10:00 – 10:10

Break

10:10 – 11:10

Behavior Focused Reporting: the Bridge to the Future of Compliance



Paul Zietsman, CCEP
Compliance Officer
Sasol

- The relationship between behavior focused reporting and the future of Compliance
- The KPIs required for the switch to the autopilot mode of the future
- Knowing the data required to support your KPIs and where to find it

11:10 – 11:20

Networking Break

11:20 – 12:20

Putting in Place a Code of Conduct App

Shahzad Khan
Head of Ethics & Compliance
Ethics & Compliance Office
Mubadala

- A – Z of the process and key learnings and next step evolution
- Providing compliance awareness and answers to those on the ground through their smartphones

12:20 – 13:15 PM

Lunch

13:15 – 14:15

Hotline: Implementation and Challenges



Amine Antari
Managing Director, Business
Intelligence and Investigations
Kroll, a division of Duff & Phelps

- The importance of having a hotline and related regulations
- The process and road map for a successful implementation;
- Challenges and obstacles encountered

14:15 – 14:25

Networking Break

14:25 – 15:25

Auditing Third-Party Business Partners for Fraud and Corruption

Hassan Gomaa, CPA, CIA, CFE, CCEP-I,
CertIA, DiplFR
Global Compliance Audit
Bio-Rad Laboratories

- Objective of a Monitoring and Audit Program
- Performing Third-Party Risk Assessment
- Basic Consideration for an Audit Program

15:25 – 15:30

Networking Break

15:30 – 16:30

Conducting Essential Third Party Due Diligence in the Middle East



Farida Alkaff
Managing Counsel, Compliance &
Ethics
Oracle



Shez Sadiq, CCEP-I
Regional Compliance Manager
Middle East, Bilfinger Tebodin

- The importance of a robust third party due diligence program in the Middle East: strategies for educating and obtaining stakeholder buy-in
- How to efficiently structure your third party due diligence program: Tried and tested ways to overcome practical obstacles in identifying third party compliance risk
- Mitigating common, region specific compliance red flags. Sharing international best practices in conducting cost-effective, commercially relevant third party reviews

16:30

Conference Adjourns

REGISTRATION FEE

Registration fees are as listed and considered net of any local withholding taxes applicable in your country of residence.

- SCCE Member** \$220
- Non-Member** \$270
- Registration plus SCCE membership** \$420
Save by joining SCCE today (first-time members only) Dues regularly \$325

TOTAL \$ _____

ATTENDEE INFORMATION (please type or print)

Mr. Mrs. Ms. Dr.

Member/Account Number (if applicable/known) _____

First Name _____ M.I. _____ Last Name _____

Credentials (CCEP, CPA, CFE, etc.) _____

Job Title _____

Organization (Name of Employer) _____

Address _____

City _____ Province/State _____ Postal Code/Zip _____

Country _____

Phone _____

Fax _____

E-mail (required for confirmation and conference information) _____

SPECIAL REQUEST DIETARY ACCOMODATION

- Gluten free Vegetarian Vegan Other _____
- Kosher (no shellfish, pork or meat/dairy mixed) Kosher (Hechsher certified)

PAYMENT METHOD

Mail check (including registration form) to:
 SCCE, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435

Fax to: +1 952.988.0146

- Check enclosed (payable to SCCE) Invoice me

I authorize SCCE to charge my credit card (choose below)
 American Express MasterCard Visa Discover

Credit Card Account Number _____

Credit Card Expiration Date _____

Cardholder's Name _____

Cardholder's Signature _____

If your total is miscalculated, SCCE will charge your card the correct amount. Hotel accommodations are not included in the registration fee, but continental breakfast and lunch are provided. (LCDU19)

Due to PCI compliance, please do not provide any credit card information via email. You may email this form (without credit card information) and call SCCE at +1 952.933.4977 or 888.277.4977 with your credit card information, fax your registration to 952.988.0146, or visit corporatecompliance.org/regionals and register online

HOTEL/CONFERENCE LOCATION:

Sheraton Dubai Mall of the Emirates Hotel

P.O. Box 450309 | Dubai, United Arab Emirates
 +971.4.3772.000 | <http://bit.ly/dubai19>

A reduced rate of AED 900.00 per single occupancy per night inclusive of 10% service charge and municipality fees) has been arranged for participants. Rates are subject to an additional 5% VAT (on basic room rate and 10% service charge) and an AED 20 Tourism Dirham per bedroom per night. Government fees are subject to change without prior notice as per Government instructions. This rate is inclusive of buffet breakfast in the hotel restaurant, BESH, as well as access to the fitness center, and guest room Wi-Fi. Additionally, guests have complimentary valet parking.

Reservations must be booked directly through this [specialized booking link](#) to achieve the discounted rate and guarantee your accommodation with a credit card. The cutoff date for the group rate is 16 December 2018 or once the group block is full. Thereafter rooms and rates are only offered based on availability.

NOTICE: Neither SCCE nor any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of SCCE or the event, it is likely from a room poacher and may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link in this brochure. If you have concerns or questions, please contact 888.580.8373.

TERMS AND CONDITIONS

REGISTRATION PAYMENT TERMS: Checks are payable to SCCE. Credit cards accepted: American Express, MasterCard, Visa, or Discover. SCCE will charge your credit card the correct amount should your total be miscalculated. If you wish to pay using wire transfer funds, please email helpteam@corporatecompliance.org for instructions.

CANCELLATIONS/SUBSTITUTIONS: Refunds will not be issued. You may send a substitute in your place or request a conference credit. Conference credits are issued in the full amount of the registration fees paid, and will expire 12 months from the date of the original, cancelled event. Conference credits may be used toward any SCCE service or product. If a credit is applied toward an event, the event must take place prior to the credit's expiration date. If you need to cancel your participation, notification is required by email, sent to helpteam@corporatecompliance.org, prior to the start date of the event. Please note that if you are sending a substitute, an additional fee may apply.

GROUP DISCOUNT: 5 or more: \$25 discount for each registrant. 10 or more: \$40 discount for each registrant. Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

TAX DEDUCTIBILITY: Expenses of training (including tuition, travel, lodging and meals) incurred to maintain or improve skills in your profession may be tax deductible. Consult your tax advisor.

USE OF INFORMATION: By submitting this registration form, you agree to the use of your information and the terms and conditions stated on this form. To view our Privacy Statement visit corporatecompliance.org/privacy.aspx.

AGREEMENTS & ACKNOWLEDGEMENTS: I agree and acknowledge that I am undertaking participation in SCCE events and activities as my own free and intentional act, and I am fully aware that possible physical injury might occur to me as a result of my participation in these events. I give this acknowledgement freely and knowingly and assert that I am, as a result, able to participate in SCCE events, and I do hereby assume responsibility for my own well-being. I agree and acknowledge that SCCE plans to take photographs and/or video at this conference and reproduce them in SCCE educational, news, or promotional material, whether in print, electronic, or other media, including the SCCE website. By participating in this SCCE event, I grant SCCE the right to use my name, photograph, video, and biography for such purposes. As a participant of this event, my name, job title, organization, city, state, and country will be listed on the attendee list that will be distributed to attendees, speakers, and exhibitors of this event.

CONTINUING EDUCATION: SCCE is in the process of applying for additional external continuing education units (CEUs). Should overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Credits are assessed based on actual attendance and credit type requested.

Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. CEU totals are subject to change.

Upon request, SCCE may submit this course to additional states or entities for consideration. If you would like to make a request, please contact us at +1 952.933.4977 or 888.277.4977 or email ccb@compliancecertification.org. Visit SCCE's website, corporatecompliance.org, for up-to-date information.

COMPLIANCE CERTIFICATION BOARD (CCB)®: CCB has awarded a maximum of 7.2 CEUs for these certifications: Certified in Healthcare Compliance (CHC)®, Certified in Healthcare Compliance—Fellow (CHC-F)®, Certified in Healthcare Privacy Compliance (CHPC®), Certified in Healthcare Research Compliance (CHRC)®, Certified Compliance & Ethics Professional (CCEP)®, Certified Compliance & Ethics Professional—Fellow (CCEP-F)®, Certified Compliance & Ethics Professional—International (CCEP-I)®.

U.S. LEGAL EDUCATION CLE

CONTINUING LEGAL EDUCATION (CLE): The Society of Corporate Compliance and Ethics is a provider/sponsor, approved/accredited by the State Bar of California, the Pennsylvania Bar Association, and the State Bar of Texas. An approximate maximum of 4.0 clock hours of CLE credit will be available to attendees of this conference licensed in these states. Upon request SCCE may submit this course to additional states for consideration. All CLE credits will be assessed based on actual attendance and in accordance with each state's requirements.