

7:30 – 8:30 AM

## Registration & Continental Breakfast

8:25 AM

## Opening Remarks

8:30 – 9:30 AM

## The Accidental Compliance Professional (Stories and Lessons Learned)

**Roy Snell**

Strategic Advisor  
SCCE & HCCA

**Maeve O'Neill**

Chief Ethics and Compliance Officer  
Addiction Campuses

- Fill Every Hole That the Rats Might Get Through
- Sowing the Seeds of Compliance around the World
- College Pranks Gone Wrong
- Compliance Activism Belongs in a Rodeo

9:30 – 9:45 AM

## Networking Break

9:45 – 10:45 AM

## AI + EC

**Patrick W. Doyle**

Data Solutions Architect and Developer,  
Texas Capital Bank

- What is Artificial Intelligence (AI)?
- What does the development process look like?
- Where does ethics and compliance fit in?

10:45 – 11:00 AM

## Networking Break

11:00 AM – 12:00 AM

## Don't Forget the Ethics part of your Compliance and Ethics Program

**Jason King**

Executive Director of Systemwide  
Compliance and Ethics Officer  
U.T. System Administration

- What do we mean when we talk about "ethics" in the compliance context?
- Why ethics should be foundational to your compliance efforts
- Lessons Learned: What to do (and not do) in the ethics space

12:00 – 1:00 PM

## Lunch (provided)

1:00 – 2:00 PM

## Compliance in a Box: An Overview of the Fundamentals for Rookies and a Refresher for Veterans

**Terry Stringer**

Head Ethics and Compliance Center of  
Excellence, HP

**C. J. Wolf**

Senior Compliance Executive/Advisor  
Heathicity

**Deena King**

Chief Compliance Officer  
The University of Texas at Tyler

- Why compliance? What is our purpose? Why do ethics and compliance functions exist? We will discuss how the answers to each of these questions help us build a strong foundation for our compliance and ethics functions.
- The elements from the Federal Sentencing Guidelines on Effective Compliance and Ethics Programs have long been the de facto framework for compliance. We will look at a variety of different ways we can build our programs using these elements.
- The foundation of proactive compliance work is a thoughtful organizational risk assessment and resulting work plan. We will discuss best practices and ways to measure this fundamental activity of your compliance program.

2:00 – 2:15 PM

## Networking Break

2:15 – 3:15 PM

## Blind Ambition: Why People Do the Wrong Thing and What to Do About It (Part I)

**Dr. McKenzie Rees**

Assistant Professor  
SMU Cox School of Business

**Eric Hinton**

Director, Rowling Center  
SMU

- Analyze recent ethical scandals to understand what went wrong
- Understand the psychological reasons why people engage in unethical conduct

3:15 – 3:30 PM

## Networking Break

3:30 – 4:30 PM

## Blind Ambition: Why People Do the Wrong Thing and What to Do About It (Part II)

**Dr. McKenzie Rees**

Assistant Professor  
SMU Cox School of Business

**Eric Hinton**

Director, Rowling Center  
SMU

- Determine the psychological factors that encourage unethical behavior
- Strategize with your colleagues how to combat and mitigate this reality and discourage unethical behavior

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## REGISTRATION FEE

- SCCE Member.....\$220
- Non-Member .....\$270
- Registration & SCCE membership.....\$440  
*Save by joining SCCE today (first-time members only) Dues regularly \$325*

**TOTAL \$** \_\_\_\_\_

## Contact Information

Mr  Mrs  Ms  Dr

Member/Account ID (if known)

First Name MI Last Name

Credentials (CHC, CCEP, etc.)

Job Title

Organization (Name of Employer)

Street Address

City/Town State/Province

Zip/Postal Code Country

Work Phone

Email (required)

## Dietary Needs Request

- Dairy Free  Gluten Free  Kosher  Vegetarian  Vegan  
 Other \_\_\_\_\_

## Payment

Online registration at [corporatecompliance.org/regionals](http://corporatecompliance.org/regionals)

Mail this form to SCCE, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435-2358, USA

Fax this form to +1 952.988.0146

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Credit Card Account Number

Credit Card Expiration Date

Cardholder Name

Cardholder Signature

## HOTEL/CONFERENCE LOCATION:

### Sheraton Dallas Hotel by the Galleria

**4801 Lyndon B. Johnson Freeway, Dallas, TX 75244 | 972-661-3600**

SCCE has reserved a small block of rooms for the evening of Thursday, October 24th at a rate of \$109 plus applicable state and local taxes (currently 13%). The cut-off date for reserving rooms is Thursday, October 3, 2019. Reservations received after this date will be accepted on a space- and rate-available basis. To make your reservation, please call the hotel at 972.661.3600 and request the rate for the SCCE Dallas Regional Conference or make your reservation online.

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Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. CEU totals are subject to change.

Upon request, if there is sufficient time and we are able to meet their requirements, SCCE may submit this course to additional states or entities for consideration. Only requests from registered attendees will be considered. If you would like to make a request, please contact us at +1 952.933.4977 or 888.277.4977 or email [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org). To see the most up-to-date CEU information go to SCCE's website, [corporatecompliance.org/all-conferences-home-page](http://corporatecompliance.org/all-conferences-home-page). Select your conference, and then select the "Continuing Education" option on the left hand menu.

**COMPLIANCE CERTIFICATION BOARD (CCB)<sup>®</sup>:** CCB has awarded a maximum of 7.2 CEUs for these certifications: Certified in Healthcare Compliance (CHC)<sup>®</sup>, Certified in Healthcare Compliance—Fellow (CHC-F)<sup>®</sup>, Certified in Healthcare Privacy Compliance (CHPC)<sup>®</sup>, Certified in Healthcare Research Compliance (CHRC)<sup>®</sup>, Certified Compliance & Ethics Professional (CCEP)<sup>®</sup>, Certified Compliance & Ethics Professional—Fellow (CCEP-F)<sup>®</sup>, Certified Compliance & Ethics Professional—International (CCEP-I)<sup>®</sup>.

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