

# ATLANTA

## Regional Compliance & Ethics Conference June 7, 2019



### Learn, Network & Earn CEUs in Your Neighborhood

The Regional Compliance & Ethics Conference offers convenient opportunities for compliance and ethics training, updates on the latest news in regulatory requirements and enforcement, and strategies to develop effective compliance programs. Additionally, attendees will have ample opportunity to network with industry peers. Breakfast and lunch are also included in the conference price.

SPONSORED BY:



[corporatecompliance.org/regionals](http://corporatecompliance.org/regionals)



7:30 – 8:25 AM

## Registration and Continental Breakfast

8:25 AM

## Opening Remarks

8:30 – 9:30 AM

## Harassment, Ethics and a Respectful Workplace



**Robin Sangston**  
VP, Chief Compliance & Privacy Officer  
Cox Communications

- Benchmarking analytics on harassment and discrimination concerns raised through the hotline and substantiation rates
- #MeToo era and how it's changed behavior
- Importance of partnering with HR to get harassment claims promptly investigated before folks go to outside counsel or become some sort of whistleblower

9:30 – 9:45 AM

## Networking Break

9:45 – 10:45 AM

## It's Not Just Europe Anymore: Practical Considerations in Complying with the New California Consumer Protection Act



**Ami Rodrigues**  
Privacy Counsel  
The Coca-Cola Company



**Rachel Drucker**  
Privacy Architect  
Warner Media

- What is leading to data privacy legislation around the globe, post-GDPR;
- Common elements in a data protection law/privacy legislation
- Discussion on CCPA/Checklist of areas to cover

10:45 – 11:00 AM

## Networking Break

11:00 AM – 12:00 PM

## Best Practices – Training



**Ty Young, CCEP**  
Senior Compliance Auditor  
Automatic Data Processing (ADP)

- Developing a strategy to ensure compliance training is provided to all employees, effective and is properly tracked for metrics and accountability purposes
- Evaluating the Best Practices and Lessons Learned for training
- Understanding how Auditing and Monitoring can assist your Training Program

12:00 – 1:00 PM

## Networking Lunch

1:00 – 2:00 PM

## Designing and Implementing a Third-Party Anti-Bribery and Corruption Due Diligence Program on a Global Scale



**Danette Joslyn-Gaul**  
Vice President, Global Compliance,  
Pearson Education, Inc.



**Ramsey Kazem**  
Vice President, East Coast, Spark  
Compliance Consulting

The presentation will discuss the following:

- Defining the scope of the third-party ABC due diligence program
- Developing a phased strategy for implementing the due diligence program
- Lessons learned and best practices

2:00 – 2:15 PM

## Networking Break

2:15 – 3:15 PM

## Cyber Threats and Investigative Response

**Chad Hunt**

Supervisory Special Agent  
FBI Atlanta  
Computer Intrusion Squad (CY1)

- Working with the FBI and things to consider doing to lessen the chances of becoming a victim
- Groups and individuals perpetrating cyber crime
- Insights into current and future cyber threats

3:15 – 3:30 PM

## Networking Break

3:30 – 4:30 PM

## Risk Assessment: The Benefits of Combining Data from Multiple Sources to Identify and Mitigate Risk



**Jennifer Teerdhala**  
Director, Ethics & Compliance  
Programs, Analytics and Risk  
The Coca-Cola Company

- Building the foundation of compliance data including unique sources of data to gain compliance valuable insights
- Combining data into analytics, metrics, and risk assessments
- The finished product: Visualizations and dashboards

## REGISTRATION FEE

*Registration fees are as listed and considered net of any local withholding taxes applicable in your country of residence.*

- SCCE Member** ..... \$220
- Non-Member** ..... \$270
- Registration & SCCE membership** ..... \$440  
*Save by joining SCCE today (first-time members only) Dues regularly \$325*

**TOTAL \$** \_\_\_\_\_

## ATTENDEE INFORMATION (please type or print)

Mr.  Mrs.  Ms.  Dr.

Member/Account Number (if applicable/known) \_\_\_\_\_

First Name \_\_\_\_\_ M.I. \_\_\_\_\_ Last Name \_\_\_\_\_

Credentials (CCEP, CPA, CFE, etc.) \_\_\_\_\_

Job Title \_\_\_\_\_

Organization (Name of Employer) \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ Province/State \_\_\_\_\_ Postal Code/Zip \_\_\_\_\_

Country \_\_\_\_\_

Phone \_\_\_\_\_

Fax \_\_\_\_\_

E-mail (required for confirmation and conference information) \_\_\_\_\_

## SPECIAL REQUEST DIETARY ACCOMODATION

Gluten free  Kosher  Vegetarian  Vegan  Other \_\_\_\_\_

## PAYMENT METHOD

Mail check (including registration form) to:  
 SCCE, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435

Fax to: +1 952.988.0146

Check enclosed (payable to SCCE)  Invoice me

I authorize SCCE to charge my credit card (choose below)

American Express  MasterCard  Visa  Discover

Credit Card Account Number \_\_\_\_\_

Credit Card Expiration Date \_\_\_\_\_

Cardholder's Name \_\_\_\_\_

Cardholder's Signature \_\_\_\_\_

*If your total is miscalculated, SCCE will charge your card the correct amount. Hotel accommodations are not included in the registration fee, but continental breakfast and lunch are provided. (LCGA19)*

*Due to PCI compliance, please do not provide any credit card information via email. You may email this form (without credit card information) and call SCCE at +1 952.933.4977 or 888.277.4977 with your credit card information, fax your registration to 952.988.0146, or visit [corporatecompliance.org/regionals](http://corporatecompliance.org/regionals) and register online*

## HOTEL/CONFERENCE LOCATION:

### Atlanta Marriott Buckhead Hotel & Conference Center

3405 Lenox Road NE, Atlanta, GA 30326 | [bit.ly/atl-19](http://bit.ly/atl-19)

A small block of rooms has been reserved for the night of Thursday, June 6th at a rate of \$179.00 plus applicable state and local taxes (currently 16.9%), and \$5.00 per guest room per night for the Georgia Hotel and Motel Tax in effect at the time of check-out. Reservations must be received no later than Thursday, May 14th, 2019. Reservations made after this date will be accepted on a space and rate available basis. Complimentary self parking and complimentary internet will be provided. To make your reservations, visit [bit.ly/atl-19](http://bit.ly/atl-19).

**NOTICE:** Neither SCCE nor any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of SCCE or the event, it is likely from a room poacher and may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link in this brochure. If you have concerns or questions, please contact 888.580.8373.

#### TERMS AND CONDITIONS

**REGISTRATION PAYMENT TERMS:** Checks are payable to SCCE. Credit cards accepted: American Express, MasterCard, Visa, or Discover. SCCE will charge your credit card the correct amount should your total be miscalculated. If you wish to pay using wire transfer funds, please email [helpsteam@corporatecompliance.org](mailto:helpsteam@corporatecompliance.org) for instructions.

**CANCELLATIONS/SUBSTITUTIONS:** Refunds will not be issued. You may send a substitute in your place or request a conference credit. Conference credits are issued in the full amount of the registration fees paid, and will expire 12 months from the date of the original, cancelled event. Conference credits may be used toward any SCCE service or product. If a credit is applied toward an event, the event must take place prior to the credit's expiration date. If you need to cancel your participation, notification is required by email, sent to [helpsteam@corporatecompliance.org](mailto:helpsteam@corporatecompliance.org), prior to the start date of the event. Please note that if you are sending a substitute, an additional fee may apply.

**GROUP DISCOUNT:** 5 or more: \$25 discount for each registrant. 10 or more: \$40 discount for each registrant. Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

**TAX DEDUCTIBILITY:** Expenses of training (including tuition, travel, lodging and meals) incurred to maintain or improve skills in your profession may be tax deductible. Consult your tax advisor.

**USE OF INFORMATION:** By submitting this registration form, you agree to the use of your information and the terms and conditions stated on this form. To view our Privacy Statement visit [corporatecompliance.org/privacy.aspx](http://corporatecompliance.org/privacy.aspx).

**AGREEMENTS & ACKNOWLEDGEMENTS:** I agree and acknowledge that I am undertaking participation in SCCE events and activities as my own free and intentional act, and I am fully aware that possible physical injury might occur to me as a result of my participation in these events. I give this acknowledgement freely and knowingly and assert that I am, as a result, able to participate in SCCE events, and I do hereby assume responsibility for my own well-being. I agree and acknowledge that SCCE plans to take photographs and/or video at this conference and reproduce them in SCCE educational, news, or promotional material, whether in print, electronic, or other media, including the SCCE website. By participating in this SCCE event, I grant SCCE the right to use my name, photograph, video, and biography for such purposes. As a participant of this event, my name, job title, organization, city, state, and country will be listed on the attendee list that will be distributed to attendees, speakers, and exhibitors of this event.

**CONTINUING EDUCATION:** SCCE is in the process of applying for additional external continuing education units (CEUs). Should overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Credits are assessed based on actual attendance and credit type requested.

Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. CEU totals are subject to change.

Upon request, if there is sufficient time and we are able to meet their requirements, SCCE may submit this course to additional states or entities for consideration. Only requests from registered attendees will be considered. If you would like to make a request, please contact us at +1 952.933.4977 or 888.277.4977 or email [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org). To see the most up-to-date CEU information go to SCCE's website, [corporatecompliance/all-conferences](http://corporatecompliance/all-conferences). Select your conference, and then select the "Continuing Education" option on the left hand menu.

**COMPLIANCE CERTIFICATION BOARD (CCB):** CCB has awarded a maximum of 7.2 CEUs for these certifications: Certified in Healthcare Compliance (CHC)<sup>®</sup>, Certified in Healthcare Compliance– Fellow (CHC-F)<sup>®</sup>, Certified in Healthcare Privacy Compliance (CHPC)<sup>®</sup>, Certified in Healthcare Research Compliance (CHRC)<sup>®</sup>, Certified Compliance & Ethics Professional (CCEP)<sup>®</sup>, Certified Compliance & Ethics Professional–Fellow (CCEP-F)<sup>®</sup>, Certified Compliance & Ethics Professional–International (CCEP-I)<sup>®</sup>.

**CONTINUING LEGAL EDUCATION (CLE):** The Society of Corporate Compliance and Ethics is a provider/ sponsor, approved/accredited by the State Bar of Alabama, State Bar of California, the Pennsylvania Bar Association, the Rhode Island MCLE Commission, and the State Bar of Texas. An approximate maximum of 4.0 clock hours of CLE credit will be available to attendees of this conference licensed in these states, along with Florida, Georgia, Louisiana, Ohio and Tennessee. SCCE's practice is to apply for CLE credits to the state in which the event is being held, if that state has a CLE approval process for sponsors. Upon request, if there is sufficient time and if we are able to meet their CLE requirements, SCCE may submit this course to additional states for consideration. Only requests from registered attendees will be considered. All CLE credits will be assessed based on actual attendance and in accordance with each state's requirements.

**NASBA/CPE:** The Society of Corporate Compliance and Ethics is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: [nasbaregistry.org](http://nasbaregistry.org). Sponsor Identification No: 143451. The education level for this activity is considered basic. No prerequisites are required for this education. Delivery Method: Group Live. Advanced Preparation: None. A recommended maximum of 7.0 credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge. For more information regarding administrative policies such as complaints or refunds, call 888.277.4977 or +1 952.933.4977.