

Essentials of Compliance Investigations

28–29 January 2021

A Virtual Conference

This conference will be held in Singapore Time (SGT)

Learn the core principles of conducting effective compliance investigations so you are properly prepared when called upon.

Earn live Compliance Certification Board (CCB)[®] continuing education units (CEUs) from the convenience of your home or office.

**Registration
includes a 12 month digital
subscription to the book:
The First Information
is Almost Always
Wrong
(\$110.00 value)**



Register online
corporatecompliance.org/2021singaporeinvestigations



THURSDAY, 28 JANUARY

8:55 – 9:00 SGT

Intro/Opening Remarks

Meric Bloch & Al Gagne

09:00 – 10:00 SGT

Investigation Basics

Meric Bloch

- Ethics, fairness, and confidentiality
- Evidence and burdens of proof
- Attorney-client privilege

10:00 – 10:20 SGT

Break

10:20 – 11:40 SGT

Planning the Investigation

Meric Bloch & Al Gagne

- Assessing the initial report
- Determining whether an investigation is needed
- Developing an investigation strategy and plan

11:40 – 12:00 SGT

Break

12:00 – 13:00 SGT

Gathering Evidence & Documentation Issues

Al Gagne

- Getting the paper and record trail in order
- Utilizing and managing relevant documents
- Developing and using standard policies, procedures, and forms for investigations

FRIDAY, 29 JANUARY

8:55 – 9:00 SGT

Opening Remarks

Meric Bloch & Al Gagne

09:00 – 10:20 SGT

Conducting Interviews

Meric Bloch

- Preparing for interviews
- Types of questions & assessing credibility
- Tips for effective interviewing

10:20 – 10:40 SGT

Break

10:40 – 11:40 SGT

Reporting Results

Al Gagne

- Key elements of a good report
- Practical tips for report-writing
- Reporting to management, the board, and third parties

11:40 – 12:00 SGT

Break

12:00 – 13:00 SGT

After the Investigation

Meric Bloch & Al Gagne

- Identifying and fixing internal control issues and other problems
- Remediation plans
- Lessons learned from the investigation

About our faculty



Meric Bloch, JD, CCEP-F, CFE, PCI
Vice President, Global Investigations,
Booking Holdings Inc.

Meric has been an ethics and compliance officer for both publicly traded and non-profit organizations. He has personally conducted more than 400 internal investigations and has trained thousands of HR and compliance professionals to conduct investigations.



Albert Gagne, MBA, CCEP
Former Director, Ethics & Compliance,
Textron Systems Corporation (*retired*)

Al has more than 35 years of defense industry ethics and compliance experience. Prior to retirement, Al was responsible for assessing legal and compliance risks, and developing and implementing ethics and compliance education programs for more than 5,000 employees around the globe.

Uncover the facts

Eliminate some of the uncertainty and guesswork from the internal investigations process. Get valuable tips and strategies for effective workplace investigations with these books from expert Meric Bloch.



Workplace Investigations provides instructive guidance to help you handle the internal investigations process.



Investigative Interviewing is a Q&A guide to help improve your interviewing skills.

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Registration Fee

<input type="checkbox"/> SCCE Member	\$379.00
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Registration includes a 12 month digital subscription to the book: *The First Information is Almost Always Wrong*

TOTAL \$ _____

Contact Information

Mr Mrs Ms Dr

Member/Account ID (if known)

 First Name MI Last Name

 Credentials (CHC, CCEP, etc.)

 Job Title

 Organization (Name of Employer)

 Street Address

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 Work Phone

 Email (required)

Acknowledgements

By submitting this registration, you agree to the full registration Terms and Conditions viewable at corporatecompliance.org/virtualinvestigations. You also agree to the use of your information.

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This virtual conference is only for the registered attendee and does not allow for more than one person to participate. If additional individuals would like to participate, they will need to complete and submit a registration form.

Group discounts are not applicable to this virtual event.

Registered attendees will receive an email on 28 January 2021 with details on how to access their digital copy of the book *The First Information Is Almost Always Wrong*

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Payment

Online registration at corporatecompliance.org/virtualinvestigations

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Continuing Education

Credits are assessed based on actual attendance and credit type requested. Should the overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Only registered attendees are eligible to request CEUs for participation. Attendees must participate in the virtual conference using the online virtual conference format (not just using the dial in) for attendance monitoring purposes.

COMPLIANCE CERTIFICATION BOARD (CCB)[®]: CCB has approved a maximum of 8.0 CEUs for these certifications: Certified in Healthcare Compliance (CHC)[®], Certified in Healthcare Compliance—Fellow (CHC-F)[®], Certified in Healthcare Privacy Compliance (CHPC)[®], Certified in Healthcare Research Compliance (CHRC)[®], Certified Compliance & Ethics Professional (CCEP)[®], Certified Compliance & Ethics Professional—Fellow (CCEP-F)[®], Certified Compliance & Ethics Professional—International (CCEP-I)[®].

DAILY BREAKDOWN:

Thursday | 4.0 CCB CEUs

Friday | 4.0 CCB CEUs

*Totals subject to change

NASBA/CPE: The Society of Corporate Compliance and Ethics is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.nasbaregistry.org. Sponsor Identification No: 143451. The education level for this activity is considered basic. No prerequisites are required for this education. Delivery Method: Group Internet Based. Advanced Preparation: None. A recommended maximum of 8.0 credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a Group Internet Based activity in the recommended field of study of Specialized Knowledge. For more information regarding administrative policies such as complaints or refunds, call 888.277.4977 or +1 952.933.4977.

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Upon request, if there is sufficient time and we are able to meet their requirements, SCCE may submit this course to additional states or entities for consideration. Only requests from registered attendees will be considered. If you would like to make a request, please contact us at +1 952.933.4977 or 888.277.4977 or email ccb@compliancecertification.org. To see the most up-to-date CEU information go to SCCE's website, corporatecompliance.org/all-conferences. Select your conference, and then select the "Continuing Education" option on the left hand menu.