# Technology and Compliance Conference

June 24, 2021

VIRTUAL

Emerging technologies represent the classic double-edged sword for compliance — they create challenging new risks that are changing seemingly daily, yet technology also represents an important tool that can be used to significantly improve how we manage compliance risks. This conference addresses both, so that organizations are prepared to meet the challenges and harness the capabilities to build a better compliance and ethics program.
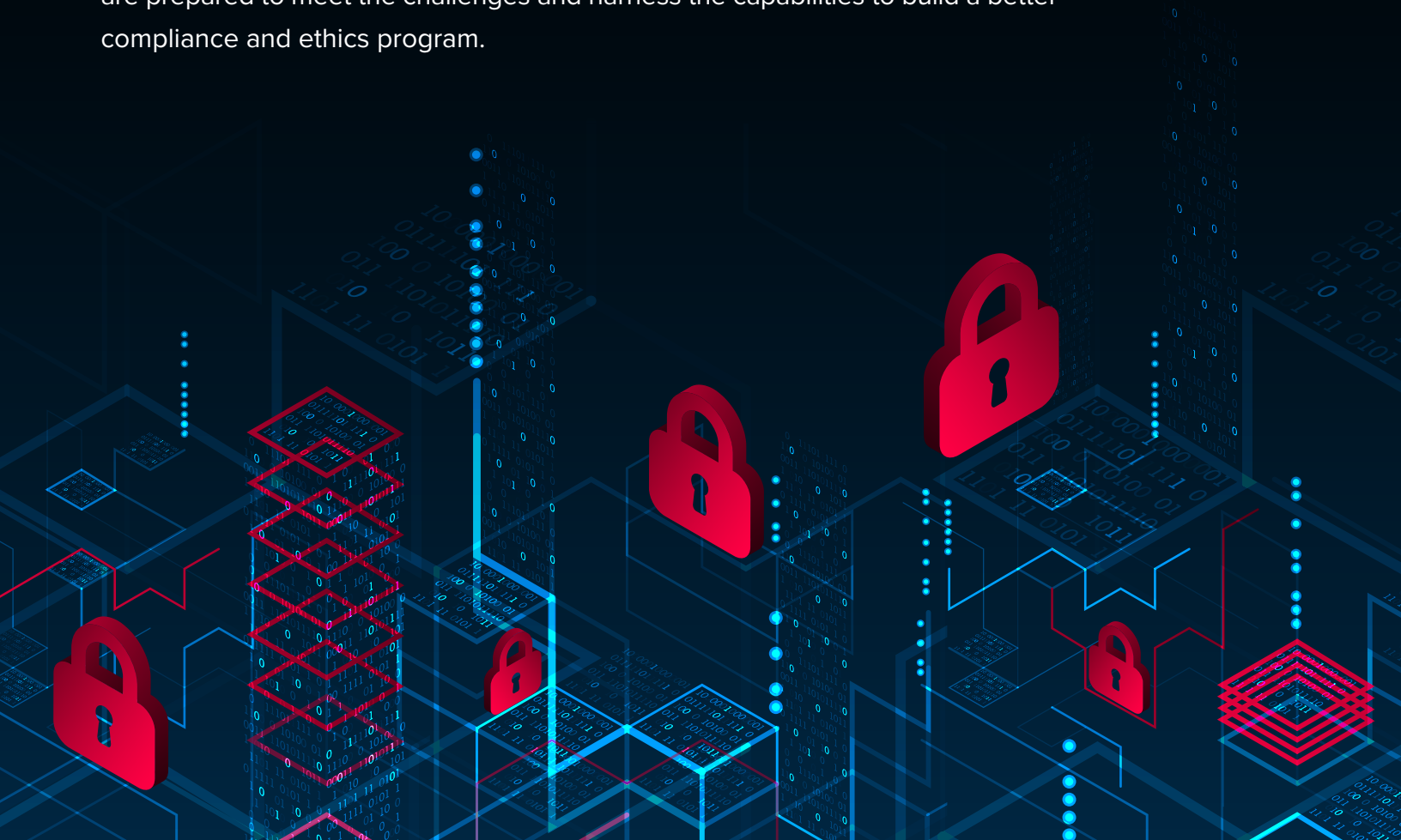
Register online
corporatecompliance.org/2021TCC

SCCE®
Society of Corporate
Compliance and Ethics

Agenda times are listed in Central Daylight Time (CDT)

8:55 – 9:00 AM CDT — **Welcome and Announcements**

9:00 – 10:00 AM CDT

GENERAL SESSION 1:
Organizations at Risk: Cyber and Ransomware Attacks on the Rise

John Riggi, Senior Advisor for Cybersecurity and Risk, American Hospital Association, and Former FBI Cyber Senior Executive

- The types of cyber attacks which may result in the loss of sensitive data, theft of funds, interruption of operations, and compliance risk exposure
- The latest cyber tactics and techniques used by international criminal gangs and foreign spies to penetrate organizations, steal data, and execute devastating ransomware attacks
- The intersection of business risk, compliance, technology, and cyber risk and what the compliance officer can do to identify and mitigate cyber risk

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10:00 – 10:15 AM CDT — **Break**

10:15 – 11:15 AM CDT

BREAKOUT SESSION 1A:
What is the Future of Cybercompliance When the World Has Fragmented into Different Data Jurisdictions?

Nicolas Reys, Partner, Control Risks
Stina Connor, Analyst, Control Risks

- Understand how digital connectivity is accelerating disruptive attacks on critical infrastructure
- Manage the implications of more protectionism in the creation and trade of software and hardware
- Examine the impact of diverging regulatory approaches to data privacy and data security

BREAKOUT SESSION 1B:
Building Your Ethics and Compliance Analytics Program

Andrew McBride, Chief Compliance Officer, Albemarle Corporation

- Developing an analytics plan: Defining who wants what and when
- Understanding your analytics resourcing options: In-house, outsource, or both?
- Managing risks, obstacles, and pitfalls when implementing your analytics program

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11:15 – 11:30 AM CDT — **Break**

11:30 AM – 12:30 PM CDT

GENERAL SESSION 2:
SolarWinds Attack, Cybersecurity, and Compliance

Matt Kelly, Editor and CEO, Radical Compliance
Daniel Ayala, Managing Partner & Founder, Secratic
Desarie Green, Senior Manager, IS Risk, Hologic, Inc.

- A review of what happened in the SolarWinds attack and how
- The cybersecurity imperatives that will arise as a consequence of the attack and, specifically, the heightened attention around the "software supply chain"
- What compliance officers should anticipate for third-party risk management, breach disclosure, and internal controls to avoid a repeat of such disasters

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12:30 – 1:15 PM CDT — **Mid-Conference Break**

Agenda times are listed in Central Daylight Time (CDT)

1:15 – 2:15 PM CDT

BREAKOUT SESSION 2A:
Compliance, Information Security, and the General Counsel: A Necessary Alliance to Fight Cyber Risks

Alan Brill, Senior Managing Director and Founder, Kroll Cyber Risk
John Bennett, Managing Director, Kroll Cyper Risk

- Why cyber risk can't be handled by information security/CISO specialists alone
- Why the role of compliance in cybersecurity has to change to track with risk management
- How the evolution of risk leads to an evolution in cooperation between compliance officers, general counsel, and cybersecurity professionals

BREAKOUT SESSION 2B:
Using Technology to Improve the Effectiveness of Your Compliance Program

MODERATOR:
Adam Turteltaub, Chief Engagement & Strategy Officer, SCCE & HCCA

PANELISTS:
Katie Ignatowski, Chief Compliance Officer, University of Wisconsin System
Cheryl Curbeam, Chief Risk and Compliance Officer, Corteva Agriscience

- Planning and managing a project to utilize technology for a compliance program
- Two specific case studies in how technology can be used to make a program more effective
- Pros and cons of internal development vs. using third-party assistance
- Efficiencies gained from using technology

2:15 – 2:30 PM CDT

Break

2:30 – 3:30 PM CDT

GENERAL SESSION 3:
How Do You Future-Proof Your Risk and Compliance Program in an Era of Emerging Technology?

MODERATOR:
Deborah Adleman, Ethics & Compliance, Data Protection, and Risk Management Executive

PANELISTS:
Lisa Kuca, SVP, Operations Risk Manager - IT Infrastructure Risk & Cyber Security, Wells Fargo
William Feher, Partner, Chief Financial and Risk Officer, Emerging Risk LLC
Michael Drobac, Director - Global Legal, Compliance and Regulatory, AIG
Riccardo Accolla, Director, Digital Food Science, ripe.io

- How technology intersects with the risk management and compliance program
- Examples of emerging technology and how it is being used to reduce risk
  - Potential benefits emerging technology brings to the risk management program and process, such as assisting with ESG
  - Potential risks to avoid with these emerging technologies and how to avoid them
- Roles that the risk management and compliance leader can play in taking steps to avoid risks or leverage these benefits

3:30 PM CDT

Conference Adjourns

Technology and Compliance Conference

June 24, 2021 • Virtual

Contact Information

○ Mr ○ Mrs ○ Ms ○ Dr

_____

Member/Account ID (if known)

_____ _____ _____

First Name   MI   Last Name

_____

Credentials (CHC, CCEP, etc.)

_____

Job Title

_____

Organization (Name of Employer)

_____

Street Address

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Zip/Postal Code   Country

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☐	Member: Not Registered	$185
☐	Non-Member: Not Registered	$235

TOTAL $ _____

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Online at corporatecompliance.org/2021TCC

Mail to SCCE, 6462 City West Parkway, Eden Prairie, MN 55344 USA

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Special Needs/Concerns: Prior to the conference, please call SCCE at +1 952.933.4977 or 888.277.4977 if you have a special need and require accommodation to participate in the conference.

Continuing Education

Credits are assessed based on actual attendance and credit type requested. Should the overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Only registered attendees are eligible to request CEUs for participation. Attendees must participate in the virtual conference using the online virtual conference format (not just using the dial in) for attendance monitoring purposes.

CCB: The Compliance Certification Board (CCB)® has approved a maximum of 6.0 CEUs for these certifications: Certified in Healthcare Compliance (CHC)®, Certified in Healthcare Compliance Fellow (CHC-F)®, Certified in Healthcare Privacy Compliance (CHPC)®, Certified in Healthcare Research Compliance (CHRC)®, Certified Compliance & Ethics Professional (CCEP)®, Certified Compliance & Ethics Professional Fellow (CCEP-F)®, Certified Compliance & Ethics Professional International (CCEP-I)®.

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