

Corporate Compliance Enforcement Conference

February 23, 2021

VIRTUAL CONFERENCE



**Group
discount
for 3 or more!**

(See registration form
on page 4)

Hear from government enforcement authorities from around the globe

- Understand the latest DOJ guidance for evaluating compliance programs
- Learn how recent enforcement actions and resolutions impact your program
- Get the latest on FCPA and antitrust
- Hear what the SEC Office of the Whistleblower is doing and what to expect in 2021

Learn more and register online
corporatecompliance.org/2021ccec



8:55–9:00 AM CST **Opening Remarks**

9:00–9:30 AM CST **Latest Expectations and Activities of the UK SFO**

Lisa Osofsky

Director, UK Serious Fraud Office

- Lessons to be learned from latest enforcement actions
- The new SFO evaluation criteria for compliance programs
- Looking to the future of enforcement

9:30–9:45 AM CST **Break**

9:45–10:45 AM CST **The Latest Insights from the SEC Office of the Whistleblower**

Jane Norberg

Chief, Office of the Whistleblower, SEC

Nikkia M. Wharton

Senior Counsel, Office of the Whistleblower, SEC

- 2020 activity and lessons learned
- What to expect in 2021
- Lessons for compliance programs and internal whistleblower efforts

10:45–11:00 AM CST **Break**

11:00 AM–12:00 PM CST **Direction and Insights from the Fraud Section at the US Department of Justice — A Conversation**

Daniel Kahn

Acting Chief, Fraud Section, US Department of Justice

William Jacobson

Partner, Allen Overy

- The new evaluation guidance: What it contains and what it means for compliance programs
- Lessons from recent cases
- Expectations of compliance programs during the pandemic

12:00–12:45 PM CST **Mid-Conference Break**

12:45–1:45 PM CST **An Update from the Antitrust Division’s Criminal Program**

Richard Powers

Deputy Assistant Attorney General for Criminal Enforcement, Antitrust Division, US Department of Justice

- Key priorities and recent highlights
 - Antitrust compliance: Where things stand after the July 2019 policy change
 - The new Office of Decree Enforcement and Compliance: What it is and what to know about it
-

1:45–2:00 PM CST **Break**

2:00–3:00 PM CST **Updates from the Money Laundering and Asset Recovery Section**

Deborah Connor

Chief, MLARS, US Department of Justice

- Takeaways from recent resolutions
 - Enforcement priorities
 - Legislation and compliance
-

3:00–3:15 PM CST **Break**

3:15–4:15 PM CST **Key Takeaways from the Day: Panel Discussion**

MODERATOR

Matt Kelly

Editor & CEO, Radical Compliance

PANELISTS

Adelle Elia

VP, Ethics & Compliance Officer, WSP USA

Julia Bailey

Consultant

Chuck Duross

Partner, Morrison & Foerster LLP

- A review of some of the key pieces of learning from the enforcement authorities
- What’s new and different
- Positive steps compliance teams should take now

EVENT TERMS AND CONDITIONS

PAYMENT TERMS: Please make your check payable to SCCE, enclose payment with your registration, and return it to the SCCE office, or fax your credit card payment to 952.988.0146. If your total is miscalculated, SCCE will charge your card the correct amount.

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Credits are assessed based on actual attendance and credit type requested. Should the overall number of education hours decrease or increase, the maximum number of continuing education units (CEUs) available will be changed accordingly. Only registered attendees are eligible to request CEUs for participation. Attendees must participate in the virtual conference using the online virtual conference format (not just using the dial-in) for attendance monitoring purposes.

CCB: The Compliance Certification Board (CCB)[®] has awarded a maximum of 6.6 CEUs for these certifications: Certified in Healthcare Compliance (CHC)[®], Certified in Healthcare Compliance—Fellow (CHC-F)[®], Certified in Healthcare Privacy Compliance (CHPC[®]), Certified in Healthcare Research Compliance (CHRC)[®], Certified Compliance & Ethics Professional (CCEP)[®], Certified Compliance & Ethics Professional—Fellow (CCEP-F)[®], Certified Compliance & Ethics Professional—International (CCEP-I)[®].

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Acknowledgments

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Group Discounts

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