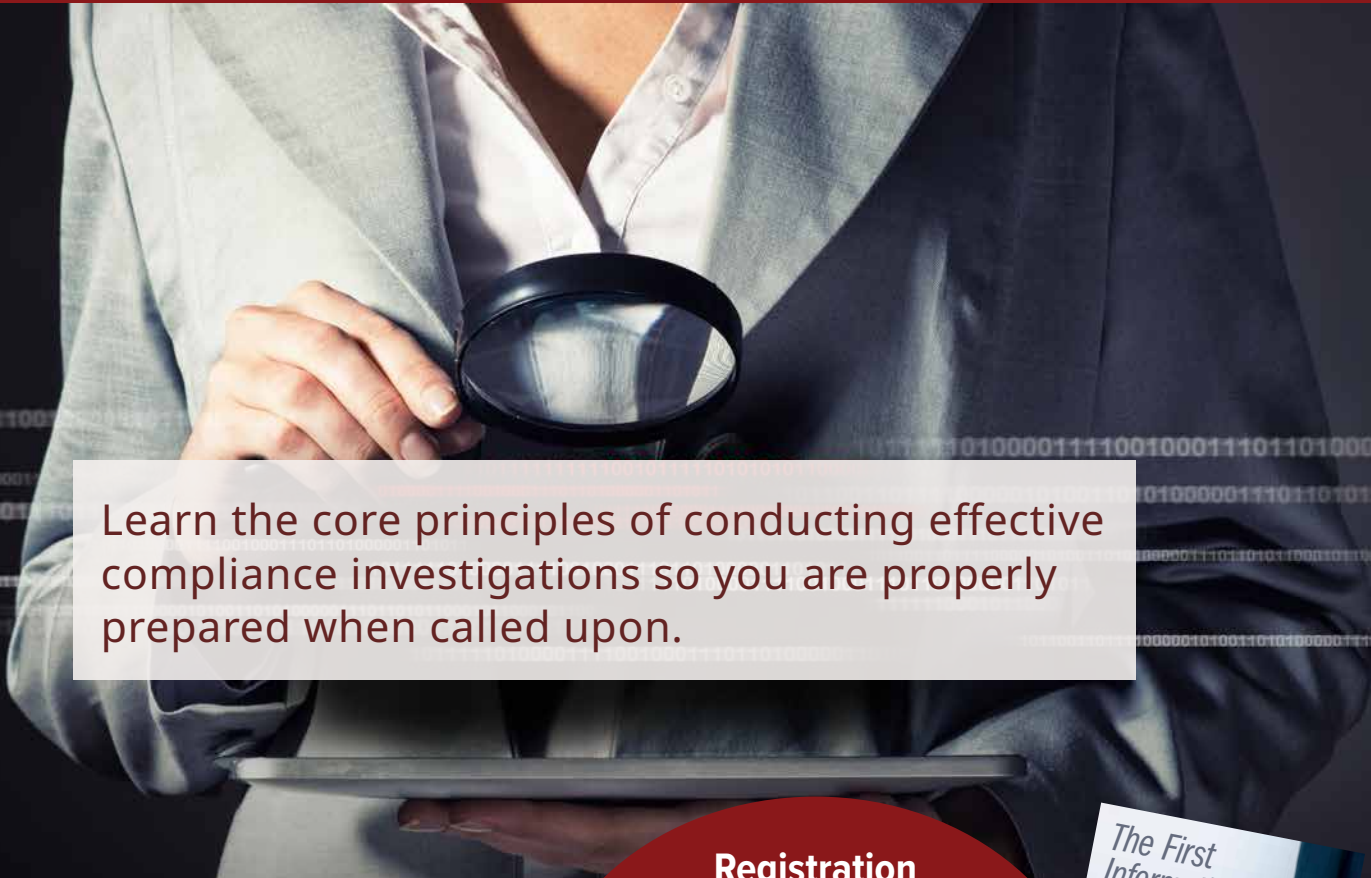


# Essentials of Compliance Investigations

3-4 September 2020

This conference will be held in Central European Summer Time (CEST)

A Virtual Conference



Learn the core principles of conducting effective compliance investigations so you are properly prepared when called upon.

Registration  
includes a 12 month digital  
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**The First Information  
is Almost Always  
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[corporatecompliance.org/2020augustinvestigations](http://corporatecompliance.org/2020augustinvestigations)



This agenda is in Central European Summer Time (CEST)

## THURSDAY, 3 SEPTEMBER

12:00–12:05 (CEST)

### Intro/Opening Remarks

Meric Bloch & Al Gagne

12:05–13:05 (CEST)

### Investigation Basics

Meric Bloch

- Ethics, fairness, and confidentiality
- Evidence and burdens of proof
- Attorney-client privilege

13:05–13:35 (CEST)

### Break

13:35–14:55 (CEST)

### Planning the Investigation

Meric Bloch & Al Gagne

- Assessing the initial report
- Determining whether an investigations is needed
- Developing an investigation strategy and plan

14:55–15:10 (CEST)

### Break

15:10–16:10 (CEST)

### Gathering Evidence & Documentation Issues

Al Gagne

- Getting the paper and record trail in order
- Utilizing and managing relevant documents
- Developing and using standard policies, procedures, and forms for investigations

## FRIDAY, 4 SEPTEMBER

12:00–12:05 (CEST)

### Opening Remarks

Meric Bloch & Al Gagne

12:05–13:05 (CEST)

### Conducting Interviews

Meric Bloch

- Preparing for interviews
- Types of questions & assessing credibility
- Tips for effective interviewing

13:05–13:35 (CEST)

### Break

13:35–14:55 (CEST)

### Reporting Results

Al Gagne

- Key elements of a good report
- Practical tips for report-writing
- Reporting to management, the board, and third parties

14:55–15:10 (CEST)

### Break

15:10–16:10 (CEST)

### After the Investigation

Meric Bloch & Al Gagne

- Identifying and fixing internal control issues and other problems
- Remediation plans
- Lessons learned from the investigation

## About our faculty



**Meric Bloch, JD, CCEP-F, CFE, PCI**  
Vice President, Global Investigations,  
Booking Holdings Inc.

Meric has been an ethics and compliance officer for both publicly traded and non-profit organizations. He has personally conducted more than 400 internal investigations and has trained thousands of HR and compliance professionals to conduct investigations.



**Albert Gagne, MBA, CCEP**  
Former Director, Ethics & Compliance,  
Textron Systems Corporation (*retired*)

Al has more than 35 years of defense industry ethics and compliance experience. Prior to retirement, Al was responsible for assessing legal and compliance risks, and developing and implementing ethics and compliance education programs for more than 5,000 employees around the globe.

# Uncover the facts

Eliminate some of the uncertainty and guesswork from the internal investigations process. Get valuable tips and strategies for effective workplace investigations with these books from expert Meric Bloch.



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<input type="checkbox"/> <b>SCCE Member</b>	\$379.00
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**TOTAL \$** \_\_\_\_\_

## Contact Information

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First Name MI Last Name

Credentials (CHC, CCEP, etc.)

Job Title

Organization (Name of Employer)

Street Address

City/Town State/Province

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Work Phone

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## Acknowledgements

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Registered attendees will receive an email on 3 September 2020 with details on how to access their digital copy of the book *The First Information Is Almost Always Wrong*

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