This industry-specific conference focuses on bringing more in-depth discussion and education to those working in the utilities and energy industries. In these highly regulated industries, compliance topics can be specific and focused on areas that are not always addressed at the all-industry level. As a participant you will have the opportunity to share and exchange ideas with your peers who face the same regulatory compliance challenges.
# Program at a Glance

## SUNDAY, FEBRUARY 27

<table>
<thead>
<tr>
<th>Time</th>
<th>Session</th>
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<tbody>
<tr>
<td>9:00 AM – 12:00 PM</td>
<td>P1 Effectiveness – Reaching the True Goal of Ethics and Compliance Programs / Andrew Foose, JD, Co-President, Training &amp; Education Solutions, Global Compliance</td>
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</tr>
<tr>
<td>1:30 PM – 4:30 PM</td>
<td>P3 FCPA Workshop / Thomas Fox, Principal, tomfoxlaw; Scott Lane, LLB (Hons.), LLM, B. Info Tech, Director, The Red Flag Group; Brian J. Laliberte, Partner, Axelrod LLC</td>
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<tr>
<td>4:30 – 5:30 PM</td>
<td>Opening Reception</td>
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## MONDAY, FEBRUARY 28

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<thead>
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<tr>
<td>9:30 – 10:30 AM</td>
<td>General Session 2: Top 10 Workplace Investigation Mistakes and How You Can Avoid Them / Merc Bloch, JD, CFE, PCI, CCEP, Vice President – Compliance &amp; Special Investigations, Adecco</td>
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<td>101 Interpreting Revised FERC Guidelines / Susan J. Court, Partner, Hogan Lovells LLP / Jennifer R. Habsbouch, Senior Attorney, Southern California Edison</td>
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<td>12:00 PM – 12:45 PM</td>
<td>Lunch</td>
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<tr>
<td>12:45 – 1:45 PM</td>
<td>201 Tips for Effective Training / Kim King, Assistant Chief Attorney-Compliance, Exxon Mobil Corporation</td>
<td></td>
</tr>
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<td>1:45 PM – 2:15 PM</td>
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<td></td>
</tr>
<tr>
<td>2:15 – 3:15 PM</td>
<td>301 Federal Energy Grant Reporting: Practical Lessons Learned that Can be Applied to Your Organization / Russell Hissom, CPA, Partner, Baker Tilly</td>
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</tr>
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## TUESDAY, MARCH 1

<table>
<thead>
<tr>
<th>Time</th>
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<tbody>
<tr>
<td>8:30 – 9:30 AM</td>
<td>General Session 3: Global Enforcement Environment / Paul McNulty, Partner, Baker &amp; McKenzie</td>
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<td>General Session 4: Prominence to Prison: Why Smart Leaders Do Dumb Things / Patrick Kuhse, Speaker, Trainer Consultant on Business Ethics, Speaking of Ethics</td>
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</tr>
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</tr>
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<td>2:00 – 3:00 PM</td>
<td>701 The Compliance Model: A Framework for All Things Compliance / Jana Utter, CCEP, Director, Corporate Compliance &amp; Risk Management, Midwest ISO</td>
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</tr>
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## WEDNESDAY, MARCH 2

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<tbody>
<tr>
<td>8:30 AM – 10:00 AM</td>
<td>W1 The Perfect Storm for Anticorruption Compliance: 2010 UK Bribery Act, “Adequate Procedures” Guidance, FCPA Enforcement &amp; Dodd Frank / Marjorie Doyle, JD, CCEP-F, Principal, Marjorie Doyle &amp; Associates LLC; Donna Boehme, Principal, Compliance Strategists, LLC; Mark Mendelsohn, Partner, Paul, Weiss, Rifkind, Wharton &amp; Garrison LLP</td>
<td></td>
</tr>
<tr>
<td>10:30 AM – 12:00 PM</td>
<td>W3 Mitigating Third-Party Risk: Benchmarking Compliance Program Practices / Diana Lutz, JD, Chief Compliance Officer, Steele; Steve Cohen, President and Founder, EthicsOne; Greg Duffy, Creative Director, EthicsOne</td>
<td></td>
</tr>
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<td>2:00 – 4:00 PM</td>
<td>CCEP Exam</td>
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*NOTE: AGENDA SUBJECT TO CHANGE*
SUNDAY, FEBRUARY 27, 2011

PRE CONFERENCE SESSIONS

9:00 AM – 12:00 PM

P1: Effectiveness – Reaching the True Goal of Ethics and Compliance Programs
Andrew Foose, JD, Co-President, Training & Education Solutions, Global Compliance

- From the Federal (Organizational) Sentencing Guidelines to the imperative of the bottom line, multiple forces are driving organizations to focus on effectiveness in their E&C programs.
- This session will share insights derived from the experiences (both positive and negative) of leading organizations, focus groups with thousands of employees, and data from millions of hotline calls.
- Attendees will leave with practical steps they can implement to increase the effectiveness of their E&C program – and measure it.

P2: Bring Your Code to Life: Emerging Practices
Marsha Ershaghi, CCEP, Ed.D. Candidate, Practice Leader, Education Solutions, LRN

- Learn about innovative approaches to make your Code of Conduct user friendly, relevant and interactive
- Gain insights around strategies to cascade your Code of Conduct globally, enterprise-wide
- Discuss leading practices to engage Senior and Middle management in driving Code of Conduct communications through new media tools

12:00 – 1:30 PM
Lunch (on your own)

1:30 – 4:30 PM

P3: FCPA Workshop
Thomas Fox, Principal, tomfoxlaw
Brian Laliberte, Partner, Axelrod LLC
Scott Lane, LLB (Hons.), LLM, B. Info Tech, Director, The Red Flag Group

- Overview of current best practices for an effective FCPA Compliance program including defining FCPA, the Panalpina case and its related enforcement action, and suggestions for monitoring internally and externally.
- Discussion of the Top 3 FCPA cases in 2010 which presented key facts leading to the latest DOJ enforcement efforts.
- Tips and practical guidance on engaging useful anti-corruption audits that will add value to your business.

4:30 – 5:30 PM
Opening Reception

MONDAY, FEBRUARY 28, 2011

7:00 AM – 5:00 PM
Registration Open

7:00 – 8:15 AM
Continental Breakfast

8:15 – 8:30 AM
Opening Remarks

8:30 – 9:30 AM

General Session 1: Federal Sentencing Guidelines Developments: A Behind the Scenes Tour
Dabney Friedrich, Commissioner, U.S. Sentencing Commission
John Steer, Senior Partner, Allenbaugh Samini Ghosheh LLP

- Legal and policy background of the federal sentencing guidelines for organizations
- Guideline amendment highlights in 2004 and detailed explanation of the 2010 changes
- Recent commission data and other information describing the impact of the guidelines
9:30 – 10:30 AM

General Session 2: Top 10 Workplace Investigation Mistakes and How You Can Avoid Them

Meric Bloch, JD, CFE, PCI, CCEP, Vice President - Compliance & Special Investigations, Adecco

- Learn the common mistakes that derail many investigations
- Learn ways to address the problems when they arise, and how you might be able to avoid them altogether
- Identify ways to maximize the value of your workplace investigations

10:30 – 11:00 AM

Networking Break

11:00 AM – 12:00 PM

CONCURRENT BREAKOUT SESSIONS

101: Interpreting Revised FERC Guidelines

Susan J. Court, Partner, Hogan Lovells LLP
Jennifer R. Hasbrouck, Senior Attorney, Southern California Edison

102: The Risks in Assessing Risk

Adam Turteltaub, CCEP, CHC, Vice President of Membership Development, Society of Corporate Compliance & Ethics

12:00 – 12:45 PM

Lunch (included)

12:45 – 1:45 PM

CONCURRENT BREAKOUT SESSIONS

201: Tips for Effective Training

Kim King, Assistant Chief Attorney - Compliance, Exxon Mobil Corporation

- Training or teaching adults is more than making a presentation and different than teaching children
- For training to accomplish its purpose it needs to be effective with adults
- This session will include a discussion of how adults learn and tips for preparing and delivering training

202: Deferred Prosecution Agreements & Working with an Independent Monitor

Bart M. Schwartz, Chairman, Guidepost Solutions LLC

- Deferred prosecution agreements – making the best out of a “bad” situation
- Understanding the DPA and the role of the monitor
- Learn “best practices” for dealing with a monitor and fulfilling the requirements of the DPA

1:45 – 2:15 PM

Networking Break

2:15 – 3:15 PM

CONCURRENT BREAKOUT SESSIONS

301: Federal Energy Grant Reporting: Practical Lessons Learned that Can be Applied to Your Organization

Russell Hissom, CPA, Partner, Baker Tilly

- DOE and other Federal grant programs have placed new compliance reporting requirements on recipients
- These requirements have not generally been part of the compliance reporting environment of the energy industry
- This area promises to grow in size and complexity in the future

302: Developing Effective Executive Board Compliance Reports

Judy Pokorny, CCEP, President, Powerdyne Corp
Celia David, JD, Director, Navigant Consulting

- Be ahead of the curve by proactively improving the quality of compliance reports
- Create simple, but technically accurate reports
- Communicate program weakness and remediation activities in a constructive manner

3:15 – 3:30 PM

Networking Break

3:30 – 4:30 PM

CONCURRENT BREAKOUT SESSIONS

401: Anti-Corruption Compliance in the Russian Energy Industry

Matthew H. Murray, Chair and Executive Director, Center for Business Ethics & Corporate Governance

- Russia plans to spend $60 billion on modernizing national energy grid
- Russia is the world’s largest oil and natural gas producer
- Matthew Murray has 20 years of experience countering systemic corruption in Russia, including energy industry
402: Case Study: Designing and Implementing an Ethics and Compliance Framework

Stacy Mines, Vice President and Chief Ethics and Compliance Officer, Southern California Edison Company

Douglas Tymkiw, Partner, Assurance Services, Ernst & Young LLP

- Do you have a company-wide framework in place to demonstrate an effective ethics and compliance program?
- Learn the tools you can employ to monitor a business unit’s compliance activities
- Understand the leading practices which SCE and other companies are employing to implement their ethics and compliance program

4:30 – 5:30 PM
Networking Reception: March Hoops Party Sponsored by EthicsPoint

TUESDAY, MARCH 1, 2011

7:00 AM – 4:30 PM
Registration Open

7:00 – 8:30 AM
Continental Breakfast

8:30 – 9:30 AM
General Session 3: Global Enforcement Environment
Paul McNulty, Partner, Baker & McKenzie

9:30 – 10:30 AM
General Session 4: Prominence to Prison: Why Smart Leaders Do Dumb Things
Patrick Kuhse, Speaker, Trainer Consultant on Business Ethics, Speaking of Ethics

- Discover how to manage ethical dilemmas in the workplace
- Explore the 8 critical thinking errors that wreck careers and why you need to know them
- Learn how to define, develop and control your own ethical behavior

10:30 – 11:00 AM
Networking Break

11:00 AM – 12:00 PM
CONCURRENT BREAKOUT SESSIONS

501: Building an Effective Compliance and Ethics Program: Data Insights for Driving Performance
Jennifer Kugler, JD, Senior Director, Compliance and Ethics Leadership Council

- Industry-specific data on compliance and ethics program budgets, staff sizes, reporting lines, risk management practices, hotline and performance metrics
- The impact of corporate culture on levels of business misconduct, compliance risk management and long-term company performance
- Data insights and best practices to improve program performance and mitigate compliance risks

502: Compliance Program Design: Lessons Learned: COSO Framework
Joseph Walsh, Consultant, CMS Energy
Christina DuVall, CCEP, Corporate Compliance Director, CMS Energy

- Comprehensive regulatory compliance design
- Foundation for effective communication with executives and boards
- Flexible with alignment for related company functions

12:00 – 12:45 PM
Lunch (included)

12:45 – 1:45 PM
CONCURRENT BREAKOUT SESSIONS

601: Lessons from the Trenches: Panel Discussion Sharing Best Practices for Effective Compliance Programs
David Childers, (Moderator) CIPP, President & CEO, EthicsPoint, Inc.

(Panellists) Tony Blasier, CCEP, CFE, Director, Corporate Security & Ethics, Chesapeake Energy Corporation
Lavona Kuzniar, Global Ethics & Compliance Allegation Program Manager, Schlumberger NYL, Inc.
Mike Stinson, Global Ethics Administrator, Conoco Phillips Company

- Learn best practice advice from peers
- Identify ways to improve your Ethics and Compliance program
- Gain insight into methods for improving organizational awareness of risk

602: NERC CIP Compliance Sustainability
John Shaw, Senior Vice President, Products and Technology, Industrial Defender

- Understand the technology and operational differences of IT vs. Control Systems that bear directly on cyber security and compliance
- Understand how the information management and compliance reporting requirements of NERC CIP cyber security standards can be automated to reduce resource pressures on operations staff
- How to prepare for the increased complexity and scope of cyber security activity driven by Smart Grid initiatives and proposed revisions to NERC CIP standards
1:45 – 2:00 PM
Networking Break

2:00 – 3:00 PM
CONCURRENT BREAKOUT SESSIONS

701: The Compliance Model: A Framework for All Things Compliance
Jana Utter, CCEP, Director, Corporate Compliance & Risk Management, Midwest ISO
- Tackling FERC, NERC and other compliance areas with one Compliance Program
- Demonstrating and documenting compliance for an effective compliance program
- Governance, risk, & Compliance – is GRC a business function, Software system, or both?

702: Fighting Corruption: A View from the Inside at Transparency International
Susan Côté-Freeman, Programme Manager, Private Sector, Transparency International
- Explaining Transparency International: What it is and some of its key tools, including the Corruption Perceptions Index
- TI’s Work with the Private Sector: Partnering with Companies to Create a Level Playing Field
- Oil and gas companies have a role to play in fostering transparency of the revenues generated by their activities – A new TI report shows why and how

3:00 – 3:15 PM
Networking Break

3:15 – 4:15 PM
CONCURRENT BREAKOUT SESSIONS

801: Energy Industry Regulatory Compliance: Building a Proactive Program
John Ballentine, Principal, ProComply, Inc.
Bridget Shahan, JD, Senior Consultant, ProComply Inc.
- Assessing your FERC and NERC compliance challenges and quantified risks
- Development of corporate policies and practices to implement policies
- Managing internal and external costs through use of modern compliance tools

David Childers, CIPP, President & CEO, EthicsPoint, Inc.
- Identify opportunities to increase the quality and awareness flow of suspected misconduct and enforce consistency in the associate investigative process
- Deliver rich visual, geo location-based dashboards that highlights potential risk “hot spots”
- Take advantage of business intelligence and key performance indicators to drive proactive measures that enhance and demonstrate the effectiveness of your compliance program

WEDNESDAY, MARCH 2, 2011

7:30 AM – 12:00 PM
Registration Open

8:30 – 10:00 AM
POST CONFERENCE SESSIONS

Marjorie Doyle, JD, CCEP-F, Principal, Marjorie Doyle & Associates LLC
Donna Boehme, Principal, Compliance Strategists, LLC
Mark Mendelsohn, Partner, Paul, Weiss, Rifkind, Wharton & Garrison LLP
- Discuss Risk-Based approaches in Reliability Standards and Compliance
- Discuss a flexible way to accomplish internal self-assessments
- Discuss and understand audit protocol and process

W2: FERC/NERC Compliance Self-Assessments and Preparing for an External Audit
Deena King, CCEP, Managing Director, Pure Knowledge Consulting, LLC
- Discuss Risk-Based approaches in Reliability Standards and Compliance
- Discuss a flexible way to accomplish internal self-assessments
- Discuss and understand audit protocol and process
10:00 – 10:30 AM
Networking Break (coffee only)

10:30 AM – 12:00 PM
POST CONFERENCE SESSIONS

W3: Mitigating Third-Party Risk: Benchmarking Compliance Program Practices

Diana Lutz, JD, Chief Compliance Officer, Steele
Steve Cohen, President and Founder, EthicsOne; Greg Duffy, Creative Director, EthicsOne

• An interactive workshop facilitated by experienced experts in third party due diligence and investigations
• Incorporates new survey results and shares results with workshop participants
• Forum for up to date benchmarking and discussion of current practices and emerging trends in managing the risks of partnering with intermediaries around the world

1:30 – 2:00 PM
CCEP Exam Check-In*

2:00 – 4:00 PM
CCEP Exam*

*CCEP Exam is optional and requires advance registration and payment separate from the conference registration

MEET THESE EXHIBITORS

What to Do in Houston

THE GALLERIA

DOWNTOWN AQUARIUM
www.aquariumrestaurants.com/downtownaquariumhouston/

HOUSTON BALLET
www.houstonballet.org

SPACE CENTER HOUSTON
www.spacecenter.org

MUSEUMS

Children’s Museum of Houston
www.cmhouston.org

Holocaust Museum
www.hmhs.org

The Museum of Fine Arts
www.mfah.org

Contemporary Arts Museum Houston
www.camh.org

Houston Museum of Natural Science
www.hmns.org

PROFESSIONAL SPORTS TEAMS

Houston Texans (NFL)
www.houstontexans.com

Astros (MLB)
http://houston.astros.mlb.com

Rockets (NBA)
www.nba.com/rockets

Aeros (NHL)
www.aeros.com

Dynamo (MLS)
http://houston.mlssnet.com

FOR MORE HOUSTON HIGHLIGHTS
www.visithoustontexas.com

+1 952 933 4977 or 888 277 4977 | www.utilitiesenergycompliance.org
Become a Certified Compliance & Ethics Professional

Broaden your professional qualifications
Increase your value to your employer
Gain expertise in the fast-evolving compliance field

There’s never been a tougher or better time to be a part of the compliance and ethics profession. Budgets are tight, governments around the world are looking to add new regulations, public trust in business is low, and employees are tempted to cut corners.

As a Certified Compliance and Ethics Professional (CCEP) you’ll be able to demonstrate your ability to meet the challenges of these times and have the knowledge you need to help move your program and your career forward.

To learn more about what it takes to earn the CCEP designation, visit www.corporatecompliance.org/ccep. There you’ll also see the many benefits of joining more than 900 compliance professionals who have already taken this critical career step.
REGISTRATION
Utilities & Energy Compliance & Ethics Conference | February 27—March 2, 2011

Name: ________________________________________________ (please type or print)

Demographic Information

Please fill out the following information. Sharing your demographic information with SCCE will help us create better networking opportunities for you.

What is your functional job title? Please select one.

☐ Academic/Professor
☐ Administration
☐ Analyst
☐ Asst Compliance Officer
☐ Attorney (In-House Counsel)
☐ Attorney (Outside Counsel)
☐ Audit Analyst
☐ Audit Manager/Officer
☐ Billing Manager/Officer
☐ Charger Master
☐ Chief Compliance Officer
☐ Chief Executive Officer
☐ Chief Financial Officer
☐ Chief Information Officer
☐ Chief Medical Officer
☐ Chief Operating Officer
☐ Clinical
☐ Coder
☐ Compliance Analyst
☐ Compliance Coordinator
☐ Compliance Director
☐ Compliance Fraud Examiner

List others not listed here: ____________________________________________

What certifications do you hold? Select all that apply.

☐ ACHE
☐ APA
☐ BA
☐ BBA
☐ BS
☐ BSN
☐ CCEP
☐ CEM
☐ CCS
☐ CCS-P
☐ CFE
☐ CHC
☐ CHE
☐ CHP
☐ CHPC
☐ CHRC
☐ CIA
☐ CPA
☐ CPC
☐ CPHQ
☐ DDS
☐ ESQ
☐ FHFMA
☐ ISS
☐ LLM
☐ MA
☐ MBA
☐ MHA
☐ MPA
☐ MPH
☐ MS
☐ MSHA
☐ MSN
☐ NHA
☐ PhD
☐ RHIA
☐ RHIT
☐ RN

List others not listed here: ____________________________________________

What best describes the industry you work for? Please select one.

☐ Accounting/Auditing
☐ Administrative and Support Services
☐ Advertising/Marketing/Public Relations
☐ Aerospace/Airline/Defense
☐ Agriculture
☐ Airlines
☐ Architectural Services
☐ Arts/Entertainment/Media
☐ Automotive/Motor Vehicles/Parts
☐ Banking
☐ Biotechnical and Pharmaceutical
☐ Chemical/Polymer/Fibers
☐ Computer Hardware
☐ Computer Services
☐ Computer Software
☐ Construction
☐ Consulting Services
☐ Consumer Products
☐ Customer Service/Call Center
☐ Education/Training/Library
☐ Electronics
☐ Energy
☐ Engineering
☐ Environmental Services
☐ Finance/Economics
☐ Financial Services
☐ Forest Products
☐ Government/Policy
☐ Healthcare
☐ Higher Education
☐ Hospitality/Tourism
☐ Human Resources/Recruiting
☐ Information Technology
☐ Installation/Maintenance/Repair
☐ Insurance
☐ Internet/E-Commerce
☐ Law Enforcement/E-Commerce
☐ Legal
☐ Manufacturing and Production
☐ Military
☐ Mining
☐ Operations Management
☐ Personal Care and Service
☐ Publishing/Printing
☐ Purchasing
☐ Real Estate/Mortgage
☐ Research & Development
☐ Restaurant and Food Service
☐ Retail/Wholesale
☐ Science
☐ Sports and Recreation/Fitness
☐ Supply Chain/Logistics
☐ Telecommunications
☐ Textiles
☐ Tobacco
☐ Transportation/Warehousing
☐ Veterinary Services
☐ Utilities
☐ Waste Management Services
☐ Other (please indicate below)

List others not listed here: ____________________________________________

Are you a first-time attendee of this conference?
☐ This is my first Utilities & Energy Compliance Conference

REGISTRATION CONTINUES ON NEXT PAGE (OVER)
REGISTRATION
Utilities & Energy Compliance & Ethics Conference | February 27–March 2, 2011

Attendee Information (please type or print)

☐ Mr.  ☐ Mrs.  ☐ Ms.  ☐ Dr.

SCCE Member ID

First Name    MI

Last Name

Credentials (CCEP, etc.)

Title

Place of Employment

Address

City    State    Postal Code

Phone

Fax

E-mail (required for registration confirmation & conference information)

Registration Fees

☐ SCCE Members.........................................................$645
☐ Membership Renewal & Registration..........................$940
☐ Non-Members .........................................................$745
☐ New Membership & Registration*............................$845
☐ Pre-Conference Session Sunday Morning**.................$125
☐ Pre-Conference Session Sunday Afternoon**..............$125
☐ Post-Conference Session Wednesday**....................$125
☐ Conference Binder† (optional)..................................$45

TOTAL

Payment Method

☐ Check enclosed (payable to SCCE)

☐ Invoice me       PO # ________________________________

☐ I authorize SCCE to charge my credit card [choose below]

CREDIT CARD:  ☐ AmericanExpress  ☐ Diners Club  ☐ MasterCard  ☐ Visa

Credit Card Account Number

Credit Card Expiration Date

Cardholder’s Name

Cardholder’s Signature

How to Register

MAIL: Include registration form with check payable to:
SCCE, 6500 Barrie Road, Suite 250, Minneapolis, MN  55435, United States

ONLINE: www.corporatecompliance.org

FAX: +1 952 988 0146 (including billing information)

QUESTIONS? Call +1 952 933 4977 or 888 277 4977 (U.S. & Canada)
or e-mail helpteam@corporatecompliance.org

community.corporatecompliance.org

*New members only (dues regularly $295 annually)
**Free only with purchase of early bird registration
†Binder may be purchased by registered conference attendees for pre-sale only.
CONFERENCE & HOTEL ACCOMMODATIONS

Intercontinental Houston Near the Galleria
2222 West Loop South
Houston, TX 77027 USA
(P) +1 713 627 7600 (F) +1 713 961 3327
(W) http://houston.intercontinental.com

Reservations can be made by calling the hotel directly at +1 713 627 7600. When making your reservations, please indicate that you are a participant of the Utilities & Energy Compliance & Ethics Conference to receive the special group rate of $159.00 plus applicable state and local taxes (currently 17% but subject to change). This rate is good until February 13, 2011 or until the group block is sold out, whichever comes first. Reservations received after this date or after the group block is filled will be accepted on space and rate availability. Hotel accommodations are not included in your conference registration fee.

Hotel check-in time is 3:00 pm on day of arrival and check-out time is 12:00 noon on day of departure. The Intercontinental Houston Near the Galleria requires that all reservations be guaranteed. A check equal to one night’s room and tax, or major credit card may guarantee a reservation. Cancellations must be made 24 hours prior to arrival in order to avoid charge for the first night. Reservations can only be cancelled by calling the hotel directly and obtaining a cancellation number. No-shows will be charged accordingly.

Special Needs/Concerns: Prior to your arrival, please call SCCE at +1 952 933 4977 if you have a special need and require accommodation to participate in the Utilities & Energy Compliance & Ethics Conference.

Conference Prerequisites: none.

CCEP Exam Information: To register, click on the CCEP Examination Application link on the SCCE Utilities & Energy Compliance & Ethics Conference website at www.utilitiesenergycompliance.org. Please note that you must register for the CCEP exam separately from the conference. Submit the application and fee ($250 SCCE members or $350 non-members) to the testing agency by February 3, 2011.

Exam Location: Intercontinental Houston Near the Galleria, Houston, TX
Exam Date & Time: Wednesday, March 2, 2011, 2:00 - 4:00 pm

Questions? Contact the SCCE Certification Coordinator at +1 952 933 4977 or 888 277 4977 (toll-free US/Canada) or email ccb@corporatecompliance.org.

NO AUDIO OR VIDEO RECORDING OF SCCE CONFERENCES IS ALLOWED.

+1 952 933 4977 or 888 277 4977 | www.utilitiesenergycompliance.org

PAYMENT TERMS

Checks are payable to SCCE. Credit cards accepted: American Express, Diners Club, MasterCard, or Visa. SCCE will charge your credit card the correct amount should your total be miscalculated.

Group Discounts: $100 per person for five or more from the same company, based on membership status; only if each attendee completes a registration and they are faxed or mailed in simultaneously.

Tax Deductibility: All expenses incurred to maintain or improve skills in your profession may be tax deductible; including tuition, travel, lodging and meals. Please consult your tax advisor (Federal tax ID # 23-2882664).

Cancellations and Substitutions: No refunds will be given for no-shows or cancellations. You may send a substitute or receive a conference credit. If you have questions, please call SCCE at +1 952 933 4977 or 888 277 4977. Additional charges may apply. Cancellation by telephone is NOT valid. Please fax written cancellations to +1 952 988 0146 or email helpteam@corporatecompliance.org.

SCCE Is Going Green: SCCE conference attendees will NOT automatically receive conference binders. Attendees will receive electronic access to course materials prior to the conference as well as a CD on-site with all the conference materials. If you would like to purchase the binders for $45, please check “Conference Binder” on your registration form.

CONTINUING EDUCATION CREDITS

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CLE: The Society of Corporate Compliance and Ethics/Health Care Compliance Association is a State Bar of California Approved MCLE provider, a Rhode Island Accredited Provider, and a Texas Accredited Sponsor. This conference is worth an approximate maximum of 20.5 clock hours of credit. All CLE credits will be awarded based on individual attendance. SCCE may apply to additional State Boards of Legal Education.

Compliance Certification Board (CCB): Certified in Healthcare Compliance (CHC), Certified Compliance & Ethics Professional (CCEP), Certified in Healthcare Research Compliance (CHRC): CCB has awarded a maximum of 24.6 CEUs (based on a 50-minute hour) for these accreditations in the following subject areas: Application of management Practices for the Compliance Professional; Application of Personal and Business Ethics in Compliance; Written Compliance Policies and Procedures; Designation of Compliance Officers and Committees; Compliance Training and Education; Communication and Reporting Mechanisms in Compliance; Enforcement of Compliance Standards and Discipline; Auditing and Monitoring for Compliance; Response to Compliance Violations and Corrective Actions; and Complying with Government Regulations.

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| Group Discounts: $100 per person for five or more from the same company, based on membership status; only if each attendee completes a registration and they are faxed or mailed in simultaneously. |

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