Conference for Effective Compliance Systems in Higher Education

April 21–24, 2010 | Dallas, Texas

Register and enjoy complimentary access to HCCA’s Research Compliance Conference (see page 3 for details)

www.highereducationcompliance.org
## Program at a Glance

### WEDNESDAY, APRIL 21: PRE-CONFERENCE

<table>
<thead>
<tr>
<th>Time</th>
<th>Activity</th>
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<tbody>
<tr>
<td>12:00–6:00 PM</td>
<td>Registration Desk</td>
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<tr>
<td>1:00–3:00 PM</td>
<td>BREAKOUT SESSIONS PRE-CONFERENCE 1</td>
</tr>
<tr>
<td></td>
<td>P1 Institutional Biosafety Committee (IBC) 101 – Ted Myatt, ScD, RBP, Senior Scientist, Environmental Health and Engineering, Inc.</td>
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<td></td>
<td>P2 Conflicts of Interest – Lisa Murtha, Esq., CHC, CHRC, Partner, Sonnenschein, Nath &amp; Rosenthal LLP; Latour &quot;LT&quot; Lafferty, Practice Leader, Fowler White Boggs PA.</td>
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<tr>
<td>3:30–5:30 PM</td>
<td>BREAKOUT SESSIONS PRE-CONFERENCE 2</td>
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<tr>
<td></td>
<td>P3 Privacy &amp; Security: Reporting and Compliance Management – Monica Modi Dalwadi, Manager, Baker Tilly; Marti Arvin, JD, CPC, CCEP-F, CHC-F, CHRC, Chief Compliance Officer, UCLA Health Sciences</td>
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<td>P4 Internal Audit’s Role in Promoting and Evaluating Institutional Compliance – Moderator: Urton Anderson, CCEP Chair, Department of Accounting, McCombs School of Business, University of Texas at Austin; Sheryl Vacca, SVP/Chief Compliance and Audit Officer, University of California; Daniel Roach, VP Compliance and Audit, Catholic Healthcare West; Gail Klett, Associate Vice President, Internal Audit, University of Minnesota; Kathie Schwerdtfeger, Partner, Deloitte &amp; Touche LLP</td>
</tr>
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<td>5:30–6:30 PM</td>
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### THURSDAY, APRIL 22: CONFERENCE

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<tr>
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</tr>
<tr>
<td>8:30–9:30 AM</td>
<td>GENERAL SESSION: Moving Forward: Ethical Leadership for the 21st Century – Cynthia Cooper, CPA (in Georgia), CFE, CISA, CEO, The CooperGroup LLC</td>
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<td>9:30–10:00 AM</td>
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<td>103 Roundtable Discussion: Top Risks Facing Your Institution for 2010-2011 – Michael Hill, VP for Audit, Compliance &amp; Risk Management, Medical College of Georgia</td>
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<td>104 We Are Special!!! The Special Need for Contract Management for the Health Sciences Function of a University – Brian D. Annulis, Partner, Meade &amp; Roach, LLP; Janis Anfossi, Rush University Medical Center</td>
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<td>203 Clout Goes to College – Gretchen Winter, Executive Director, Center for Professional Responsibility in Business and Society, University of Illinois at Urbana-Champaign College of Business; Donna McNeely, Ethics Officer, University of Illinois</td>
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<td>204 Strategies for Protecting Sensitive Data – Susan Wyatt Sedwick, Associate Vice President for Research, The University of Texas at Austin</td>
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<td>BREAKOUT SESSIONS</td>
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<td>301 Clinical Research Enforcement Initiatives and False Claims Act Update Relevant to Academic Medical Centers – Gary W. Eliand, Partner, King &amp; Spalding; Lisa Murtha, Esq., CHC, CHRC, Partner, Sonnenschein, Nath &amp; Rosenthal LLP</td>
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<td>302 Finding the Meaning in Research Compliance Metrics – Luanna K. Putney, Director of Research Compliance, University of California; Rachelle Jeppson, Controller, Lawrence Berkeley National Laboratory</td>
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<td>303 Common Findings of IRB Non-Compliance and Their Solutions – Jeffrey A. Cooper, MD, MMM, Director, Huron Consulting Group</td>
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<td>304 International Compliance Programs for Colleges and Universities – Robert Roach, Chief Compliance Officer, New York University; Sunita Desouza, PhD, Associate Director of the Office of Compliance and Risk Management, New York University</td>
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<td>GENERAL SESSION: Managing the Future Role of the Compliance Profession – Jim Sheehan, Medicaid Inspector General, New York State Office of the Medicaid Inspector General; The Honorable John R. Steer, Senior Partner, Allenbaugh Samini/LLP; Former Vice Chair, U.S. Sentencing Commission; Larry Plutko, Systemwide Compliance Officer, The University of Texas System</td>
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<tr>
<td>5:30–6:30 PM</td>
<td>Networking Reception</td>
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FRIDAY, APRIL 23: CONFERENCE

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<td>401 Compliance &amp; Integrity: An OIG Perspective – Fara Damelin, Investigative Attorney, NSF Office of Inspector General</td>
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<td>402 You’ve Deployed Your Hotline—Now What? – Tom O’Keefe, Executive Vice President, EthicsPoint, Inc; Sheryl Vacca, SVP/Chief Compliance and Audit Officer, University of California; Rhonda L. Bishop, University Compliance Officer, Virginia Commonwealth University</td>
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<td>403 Silos: Why Siloed Compliance Programs Create Less Efficiency and More Work for Compliance Programs in Academia – Marti Arvin, JD, CPC, CCEP-F, CHC-F, CHRC, Chief Compliance Officer, UCLA Health Sciences</td>
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<td>404 Integrating Institutional Risk Management into Athletics – Rudy Green, Director of Compliance, The University of Texas at Austin; Amy Folan, Associate Athletics Director of Compliance, University of Texas; Chris Plonsky, Director of Women’s Athletics, The University of Texas; DeLoss Dodds, Director of Men’s Athletics, The University of Texas</td>
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<td>501 The Basics of Export Control for Universities – Haley Lincourt, Compliance Manager, Rutgers, The State University of New Jersey; Kevin Licciardi, Associate General Counsel, Rutgers University</td>
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<td>502 Practical Considerations for Managing the Business Risk of Financial Fraud for Higher Education Providers – Mark Albers, Senior Manager, Deloitte FAS LLP; Maurice L. Crescenzi, Jr., MA, CCEP, Director, Global Compliance and Ethics Officer, DeVry Inc.</td>
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<td>504 Too Hot, Too Cold, Just Right: Finding the Ideal Balance in Communicating Between Operations and Compliance – Rebekah M. Stewart, JD, Manager, Huron Consulting Group; Jacqueline L. Kniska, JD, Compliance Manager of Communication, Education and Investigations, Virginia Commonwealth University Health System</td>
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SATURDAY, APRIL 24: POST-CONFERENCE

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<td>Break (beverages provided)</td>
</tr>
<tr>
<td>8:30 – 11:30 AM</td>
<td>BREAKOUT SESSIONS POST-CONFERENCE</td>
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<tr>
<td>8:30 – 11:30 AM</td>
<td>W1 Compliance 101 – Debbie Troklat, CCEP-F, CHC-F, CHRC, Assistant VP for Health Affairs/Compliance, University of Louisville Health Sciences Center; Sheryl Vacca, SVP/Chief Compliance and Audit Officer, University of California</td>
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Effective Compliance Systems in Higher Education registration passes also include complimentary access to HCCA’s Research Compliance Conference. Visit www.highereducationcompliance.org for more information and a link to the HCCA brochure. SCCE will automatically enroll you for this conference at no extra cost when you register for the Effective Compliance Systems in Higher Education conference.
Agenda

WEDNESDAY, APRIL 21: PRE-CONFERENCE

12:00 – 6:00 PM | Registration Desk

1:00 – 3:00 PM
BREAKOUT SESSIONS: PRE-CONFERENCE 1

P1 Institutional Biosafety Committee (IBC) 101

- IBC basics for the research compliance community
- Strategies for streamlining the IBC review process and integrating with IRBs, IACUCs, and grant management
- Inside the National Institutes of Health (NIH) Site Visit Program

Ted Myatt, ScD, RBP, Senior Scientist, Environmental Health and Engineering, Inc.

P2 Conflicts of Interest

- Detailed overview of the many laws and regulations that govern conflicts of interest disclosure in higher education and research
- Summaries of pending legislation (including the Physician’s Payments Sunshine Act) and other relevant bills to understand where the issue of conflicts of interest is going in 2010 and beyond
- Recent investigations, settlements, and cases that continue to outline the government’s expectations and vision for conflicts of interest policies, disclosure processes and compliance

Lisa Murtha, Esq., CHC, Partner, Sonnenschein, Nath & Rosenthal LLP; Latour “LT” Lafferty, Practice Leader, Fowler White Boggs P.A.

3:30 – 5:30 PM
BREAKOUT SESSIONS: PRE-CONFERENCE 2

P3 Privacy & Security: Reporting and Compliance Management

- How effectively do you think your institution has met the various privacy and security laws?
- How is your institution managing privacy and security compliance in a decentralized environment?
- Hear practical tips and success stories in implementing privacy and security programs

Monica Modi Dalwadi, Manager, Baker Tilly; Marti Arvin, JD, CPC, CCEP-F, CHC-F, CHRC, Chief Compliance Officer, UCLA Health Sciences

5:30 – 6:30 PM | Welcome Reception

P4 Internal Audit’s Role in Promoting and Evaluating Institutional Compliance

Learn from a panel of leading internal audit professionals how internal audit can:

- successfully provide assurance on institutional-wide compliance through auditing and evaluation of the ethics and compliance program
- be an active participant in promoting ethics and values and improving the organization’s ethical culture beyond simply auditing

Moderator: Urton Anderson, CCEP, Chair, Department of Accounting, McCombs School of Business, University of Texas at Austin; Sheryl Vacca, SVP/Chief Compliance and Audit Officer, University of California; Daniel Roach, VP Compliance and Audit, Catholic Healthcare West; Gail Klett, Associate Vice President, Internal Audit, University of Minnesota; Kathie Schwerdtfeger, Partner, Deloitte & Touche LLP

THURSDAY, APRIL 22: CONFERENCE

7:00 AM – 6:30 PM | Registration Desk

7:30 – 8:15 AM | Continental Breakfast (provided)

8:15 – 8:30 AM
GENERAL SESSION: Opening Remarks

8:30 – 9:30 AM
GENERAL SESSION: Moving Forward: Ethical Leadership for the 21st Century

- The recent financial crises and current scandals: commonalities, root causes and risk indicators
- Lessons learned and what it means for compliance and ethics professionals
- Practical tips for building a strong ethical foundation that will lead to sustainable success

Cynthia Cooper, CPA (in Georgia), CFE, CISA, CEO, The CooperGroup LLC

9:30 – 10:00 AM | Networking Break

10:00 – 11:30 AM
BREAKOUT SESSIONS

101 Compliance Officer’s Quick and Dirty Guide to Scientific Misconduct

- Handling allegations of scientific misconduct
- Conducting inquiry and investigations of scientific misconduct
- Training programs for Responsible Conduct of Research

John Chinn, Director, Office of Research Compliance Administration, East Carolina University

TAKE ADVANTAGE OF ADDITIONAL SAVINGS

Register for HCCA’s 2010 Compliance Institute simultaneously and receive $100 off each registration fee. This is another $200 SAVINGS!
Agenda

102 Risk Diverse Environments: Prioritizing the Priorities

- Diverse risks, multiple priorities: How to address these through a scalable approach
- Prioritizing the diverse risks of your organization
- Addressing diverse risk priorities in a compliance auditing and monitoring plan

Sheryl Vacca, SVP/Chief Compliance and Audit Officer, University of California

103 Roundtable Discussion: Top Risks Facing Your Institution for 2010–2011

- Answer the question: “What risks are other institutions facing?”
- Hear how other institutions are addressing risks that your institution may be facing
- Develop/strengthen your risk management network

Michael Hill, VP for Audit, Compliance & Risk Management, Medical College of Georgia

104 We Are Special!!! The Special Need for Contract Management for the Health Sciences Function of a University

- Why contracts management is special for Health Sciences
- Compliance issues, concerns and solutions for Health Sciences contracting and procurement
- Avoid being recognized as “special” by the OIG or DOJ

Brian D. Annulis, Partner, Meade & Roach, LLP; Janis Anfossi, Rush University Medical Center

202 Assessing Compliance in Technology Transfer Programs: Royalty Underreporting and Faculty Non-Disclosure

- What are some key compliance issues in technology transfer programs?
- How can monitoring/auditing efforts be used as tools for addressing non-compliance?
- What are the cost/benefit ratios for potential monitoring/auditing activities in this area?

Luanna K. Putney, Director of Research Compliance, University of California; Daniel Burns, President, Daniel Burns & Associates

203 Clout Goes to College

- Should power and money influence college admissions?
- How should university leaders respond when pressed by power?
- Who rebuilds public confidence after a crisis?

Gretchen Winter, Executive Director, Center for Professional Responsibility in Business and Society, University of Illinois at Urbana-Champaign College of Business; Donna McNeely, Ethics Officer, University of Illinois

204 Strategies for Protecting Sensitive Data

- Developing procedures for protecting export-controlled information
- Reviewing security plans for protecting sensitive data
- Understanding the requirements for protection of sensitive data

Susan Wyatt Sedwick, Associate Vice President for Research, The University of Texas at Austin

11:45 AM–12:30 PM | Networking Luncheon (provided)

12:45 – 2:15 PM BREAKOUT SESSIONS

201 Conducting Effective Workplace Interviews

- How to maximize the value of an interview
- Detecting and addressing deception in an interview
- Ways to expect and respond to unexpected interview problems

Meric Bloch, Vice President–Compliance and Corporate Investigations, Adecco

2:15 – 2:45 PM | Networking Break

2:45 – 4:15 PM BREAKOUT SESSIONS

301 Clinical Research Enforcement Initiatives and False Claims Act Update Relevant to Academic Medical Centers

- Research compliance risks including National Coverage Determination & recent CMS clarification
- Enforcement efforts including cost allocation, effort reporting, indirect cost rates, & other issues
- Strategies for assessing internal compliance and minimizing False Claims Act risks

Gary W. Eiland, Partner, King & Spalding; Lisa Murtha, Esq., CHC, CHRC, Partner, Sonnenschein, Nath & Rosenthal LLP
Agenda

302 Finding the Meaning in Research Compliance Metrics
- What are the challenges to developing meaningful research compliance metrics?
- What strategies exist for developing meaningful metrics?
- How do you know when metrics are meaningful?

Luanna K. Putney, Director of Research Compliance, University of California; Rachelle Jeppson, Controller, Lawrence Berkeley National Laboratory

303 Common Findings of IRB Non-Compliance and Their Solutions
- IRB non-compliance concerns are usually related to common themes and underlying causes
- Know the common areas where IRBs are non-compliant
- Know the solutions to commonly noted areas of IRB non-compliance

Jeffrey A. Cooper, MD, MMM, Director, Huron Consulting Group

304 International Compliance Programs for Colleges and Universities
- Elements of an Effective International Compliance Program
- U.S. laws of special significance in for program sites
- Foreign Laws of Special Significance

Robert Roach, Chief Compliance Officer, New York University; Sunita Desouza, PhD, Associate Director of the Office of Compliance and Risk Management, New York University

4:15 – 4:30 PM | Networking Break

4:30 – 5:30 PM
GENERAL SESSION: Managing the Future Role of the Compliance Profession

Jim Sheehan, Medicaid Inspector General, New York State Office of the Medicaid Inspector General; John R. Steer, Senior Partner, Allenbaugh Samini/LLP; Larry Plutko, Systemwide Compliance Officer, The University of Texas System

5:30 – 6:30 PM | Networking Reception

FRIDAY, APRIL 23: CONFERENCE

7:00 AM – 4:00 PM | Registration

7:30 – 8:30 AM | Continental Breakfast (provided)

8:30 – 8:45 AM
General Session: Opening Remarks

8:45 – 9:45 AM
GENERAL SESSION: The Role of the President in Nurturing Effective Compliance Systems

Mark G. Yudof, President, University of California

9:45 – 10:15 AM | Networking Break

10:15 – 11:15 AM
GENERAL SESSION: Reexamining and Supplementing Your Compliance Tools
- What is an organizational ombudsman?
- Why a university should create an ombudsman program?
- How the ombudsman can supplement and complement the compliance office?

Charles L. Howard, Partner, Shipman & Goodwin, LLP

11:30 – 12:30 PM | Networking Luncheon (provided)

12:30 – 2:00 PM
BREAKOUT SESSIONS

401 Compliance & Integrity: An OIG Perspective
- Overview of NSF Office of Inspector General
- Knowledge of applicable federal regulations and grant conditions
- Elements of an effective compliance program

Fara Damelin, Investigative Attorney, NSF Office of Inspector General

402 You’ve Deployed Your Hotline—Now What?
- Taking an institution-wide approach to issue detection and management
- Aligning your program to the institution’s people and processes and technology
- KPI’s, Trend Analysis and benchmarking

Tom O’Keefe, Executive Vice President, EthicsPoint, Inc; Sheryl Vacca, SVP/Chief Compliance and Audit Officer, University of California; Rhonda L. Bishop, University Compliance Officer, Virginia Commonwealth University

403 Silos: Why Siloed Compliance Programs Create Less Efficiency and More Work for Compliance Programs in Academia
- Discussion of the inefficiencies in a siloed approach to compliance
- Issue with the reporting structure of a siloed approach
- Solutions to these issues when management does not want a centralized function

Marti Arvin, JD, CPC, CCEP-F, CHC-F, CHRC, Chief Compliance Officer, UCLA Health Sciences
404 Integrating Institutional Risk Management into Athletics
- Evolution of idea and structure
- Implementation of concept
- Vision for future

_Rudy Green, Director of Compliance, The University of Texas at Austin; Amy Folan, Associate Athletics Director of Compliance, University of Texas; Chris Plonsky, Director of Women’s Athletics, The University of Texas; DeLoss Dodds, Director of Men’s Athletics, The University of Texas_

2:00 – 2:30 PM | Networking Break

2:30 – 4:00 PM
BREAKOUT SESSIONS

501 The Basics of Export Control for Universities
- Learn the basics of export controls and how they can impact your institution
- What is an “export” and by which Federal agencies are they regulated?
- What are the terms and general concepts that compliance/ethics professionals should be aware of?

_Haley Lincourt, Compliance Manager, Rutgers, The State University of New Jersey; Kevin Licciardi, Associate General Counsel, Rutgers University_

502 Practical Considerations for Managing the Business Risk of Financial Fraud for Higher Education Providers
- Leveraging existing infrastructure and activities to holistically address fraud risk
- How others in higher education are proactively responding to the risk of fraud.
- How fraud risk management issues are the key to expansion, both domestically and globally

_Mark Albers, Senior Manager, Deloitte FAS LLP; Maurice L. Crescenzi, Jr., MA, CCEP, Director, Global Compliance and Ethics Officer, DeVry Inc._

503 Implementing an Automated Conflict of Interest and Commitment System Pitfalls and Successes
- COI system Implementation: pitfalls and successes
- Lessons learned in developing a comprehensive COI policy
- Considerations in education and training on the policy

_Bill Sacks, Vice President, HCCS_

504 Too Hot, Too Cold, Just Right: Finding the Ideal Balance in Communicating Between Operations and Compliance
- Showcase methods for unifying communication into a centralized compliance reporting and monitoring system
- Provide strategies for managing operational challenges to implementing your compliance agenda
- Discuss effective communication methods and lessons learned over a multi-year restructuring phase

_Rebekah M. Stewart, JD, Manager, Huron Consulting Group; Jacqueline L. Kniska, JD, Compliance Manager of Communication, Education and Investigations, Virginia Commonwealth University Health System_

SATURDAY, APRIL 24: POST-CONFERENCE

7:00 AM – 12:00 PM | Registration Desk

7:30 – 8:30 AM | Networking Break (beverages provided)

8:30 – 11:30 AM
BREAKOUT SESSIONS: POST-CONFERENCE

W1 Compliance 101
- An in-depth look into the seven elements of an effective compliance program
- “How-to’s” of designing and implementing an ethics and compliance program from the ground up
- A suggested governance structure and program frame-work
- Project and program management techniques, systems, tools, etc.
- Monitoring and follow-up techniques

_Debbie Troklus, CCEP-F, CHC-F, CHRC, Assistant VP for Health Affairs/Compliance, University of Louisville Health Sciences Center; Sheryl Vacca, SVP/Chief Compliance and Audit Officer, University of California_

W2 Compliance Officer’s Roundtable

_Robert Roach, Chief Compliance Officer, New York University_

11:30 – 12:30 PM | Lunch (on own)

12:30 – 1:00 PM
CCEP Exam Check-In

1:00 – 3:00 PM
CCEP Exam (optional)
Speakers

MARK ALBERS, Senior Manager, Deloitte FAS LLP

URTON ANDERSON, CCEP, Chair, Department of Accounting, McCombs School of Business, University of Texas at Austin

JANIS ANFOSSI, JD, Rush University Medical Center

BRIAN D. ANNULIS, Partner, Meade & Roach, LLP

MARTI ARVIN, JD, CPC, CCEP-F, CHC-F, CHRC, Chief Compliance Officer, DeVry University

RHONA L. BISHOP, University Compliance Officer, Virginia Commonwealth University

MERIC BLOCH, Vice President-Compliance and Corporate Investigations, Adecco

DANIEL BURNS, President, Daniel Burns & Associates

JOHN CHINN, Director, Office of Research Compliance Administration, East Carolina University

CYNTHIA COOPER, CPA (in Georgia), CFE, CIA, CEO, The CooperGroup LLC

JEFFREY A. COOPER, MD, MMM, Director, Huron Consulting Group

MAURICE L. CRESCENZI, Jr., MA, CCEP, Director, Global Compliance and Ethics Officer, DeVry Inc.

FARA DAMELIN, Investigative Attorney, NSF Office of Inspector General

SUNITA DESOUZA, PhD, Associate Director of the Office of Compliance and Risk Management, New York University

DELOSS DODDS, Director of Men’s Athletics, The University of Texas

GARY W. EILAND, Partner, King & Spalding LLP

AMY FOLAN, Associate Athletics Director of Compliance, University of Texas

RUDY GREEN, Director of Compliance, The University of Texas at Austin

MICHAEL HILL, VP for Audit, Compliance & Risk Management, Medical College of Georgia

CHARLES L. HOWARD, Partner, Shipman & Goodwin, LLP

RACHELLE JEPSON, Controller, Lawrence Berkeley National Laboratory

GAIL KLATT, Associate Vice President, Internal Audit, University of Minnesota

JACQUELINE L. KNISKA, JD, Compliance Manager of Communication, Education and Investigations, Virginia Commonwealth University Health System

LATOUR “LT” LAFFERTY, Practice Leader, Fowler White Boggs P.A.

KEVIN LICCIARDI, Associate General Counsel, Rutgers University

HALEY LINCOURT, Compliance Manager, Rutgers, The State University of New Jersey

DONNA MCNEELY, Ethics Officer, University of Illinois

MONICA MODI DALWADI, Manager, Baker Tilly

LISA MURTHA, Esq., CHC, CHRC, Partner, Sonnenschein, Nath & Rosenthal LLP

TED MYATT, ScD, RBP, Senior Scientist, Environmental Health and Engineering, Inc.

TOM O’KEEFFE, Executive Vice President, EthicsPoint, Inc

LARRY PLUTKO, Systemwide Compliance Officer, The University of Texas System

CHRIS PLONSKY, Director of Women’s Athletics, The University of Texas

LUANNA K. PUTNEY, Director of Research Compliance, University of California

DANIEL ROACH, VP Compliance and Audit, Catholic Healthcare West

ROBERT ROACH, Chief Compliance Officer, New York University

BILL SACKS, Vice President, HCCS

KATHIE SCHWERDTFEGER, Partner, Deloitte & Touche LLP

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GRETCHEI WINTER, Executive Director, Center for Professional Responsibility in Business and Society, University of Illinois at Urbana-Champaign College of Business

SUSAN WYATT SEDWICK, Associate Vice President for Research, The University of Texas at Austin

MARK G. YUDOF, President, University of California
Did You Know...

The Dallas metropolitan area is the #1 visitor and leisure destination in Texas.

Dallas has the largest contiguous arts district in the United States.

The City of Dallas has over 300 public art works in its collection.

The Dallas area has more than 200 golf courses.

The SIXTH FLOOR MUSEUM at Dealey Plaza chronicles the assassination and legacy of President John F. Kennedy, interprets and supports the Dealey Plaza National Historical Landmark District and the John F. Kennedy Memorial Plaza, and presents contemporary culture within the context of presidential history.

The WOMEN’S MUSEUM, located in historic Fair Park, is a Smithsonian affiliate and the nation’s only comprehensive women’s history museum.

The MEADOWS MUSEUM, located on the campus of Southern Methodist University, houses one of the most comprehensive collections of Spanish art outside of Spain.

The AFRICAN AMERICAN MUSEUM in Dallas’s Fair Park has one of the largest collections on African-American folk art in the nation and is a Smithsonian affiliate.

The NASHER SCULPTURE CENTER, designed by Renzo Piano, features more than 300 works of modern and contemporary sculpture from the Raymond and Patsy Nasher collection.

The DALLAS’ FARMERS MARKET is one of the largest working farmer’s markets in the country, with over a million visitors annually.

The DALLAS ARBORETUM holds the Southwest’s largest annual outdoor floral festival.

The historic MCKINNEY AVENUE TROLLEY operates a free service daily and is one of the largest volunteer-run systems in the world.

The DALLAS WORLD AQUARIUM is home to a 255,000 gallon freshwater aquarium tank.

FOR MORE INFORMATION ABOUT DALLAS ATTRACTIONS, PLEASE VISIT WWW.VISITDALLAS.COM

Take the CCEP Certification Exam On-Site

When: Saturday, April 24, 2010 / 1:00–3:00 PM
Where: Hyatt Regency Dallas | Dallas, Texas
Cost: $250 (SCCE Members) / $350 (Non-Members)

You must be pre-registered to sit for the exam. To apply, download the application at www.corporatecompliance.org. Questions? E-mail ccb@corporatecompliance.org.

Twenty CEUs are required to sit for the exam. Higher Education Compliance Conference sessions qualify: each hour attended equals 1.2 CEUs (one clock hour equals 1.2 CCB hours).
Step 1: Contact Information (please type or print)

☐ MR. ☐ MRS. ☐ MS. ☐ DR.

SCCE Member ID

FIRST       MI       LAST

CREDENTIALS (CHRC, OHC, ETC.)

TITLE

PLACE OF EMPLOYMENT

ADDRESS

CITY       STATE       ZIP

PHONE

FAX

E-MAIL (REQUIRED FOR CONFIRMATION NOTIFICATION & CONFERENCE INFORMATION)

Step 2: Choose Your Sessions

Please select sessions to assist in room planning. Select ONE session per time slot. Note that you may attend sessions from either or both tracks.

WEDNESDAY, APRIL 21
PRE-CONFERENCE 1: 1:00 – 3:00 PM
☐ P1   ☐ P2
PRE-CONFERENCE 2: 3:30 – 5:30 PM
☐ P3   ☐ P4

THURSDAY, APRIL 22
BREAKOUT SESSIONS 10:00 – 11:30 AM
☐ 101  ☐ 102  ☐ 103  ☐ 104
BREAKOUT SESSIONS 12:45 – 2:15 PM
☐ 201  ☐ 202  ☐ 203  ☐ 204
BREAKOUT SESSIONS 2:45 – 4:15 PM
☐ 301  ☐ 302  ☐ 303  ☐ 304

FRIDAY, APRIL 23
BREAKOUT SESSIONS 12:30 – 2:00 PM
☐ 401  ☐ 402  ☐ 403  ☐ 404
BREAKOUT SESSIONS 2:30 – 4:00 PM
☐ 501  ☐ 502  ☐ 503  ☐ 504

SATURDAY, APRIL 24
POST-CONFERENCE: 8:30 – 11:30 AM
☐ W1   ☐ W2

☐ Yes, I’m interested in selecting sessions from HCCA’s Research Compliance Conference. Please send me more information.

Step 3: Choose Your Registration

☐ SCCE Members ......................................................... $599
☐ Membership Renewal & Registration ...................... $894
☐ Non-Members ......................................................... $699
☐ New Membership & Registration .......................... $799
   NEW MEMBERS ONLY. DUES REGULARLY $295 ANNUALLY.
☐ Pre-Conference Registration 1  ..................................... $100
   FREE ONLY WITH PURCHASE OF EARLY BIRD REGISTRATION.
☐ Pre-Conference Registration 2  ..................................... $100
   FREE ONLY WITH PURCHASE OF EARLY BIRD REGISTRATION.
☐ Post-Conference Registration ................................. $45
   FREE WITH FULL CONFERENCE REGISTRATION ONLY. PLEASE CHECK IF YOU PLAN TO ATTEND.
☐ Discount for Attending HCCA’s 2010 Compliance Institute in Dallas, Texas ........................................ ($100)
☐ Higher Education Conference Binder ....................... $45
☐ Research Compliance Conference Binder ............... $45

TOTAL:

Step 4: Payment

☐ Check enclosed (payable to SCCE)  ☐ Invoice me  ☐ Purchase Order #  
Charge my: ☐ AmericanExpress ☐ Diners Club ☐ MasterCard ☐ Visa

CREDIT CARD ACCOUNT NUMBER

CREDIT CARD EXPIRATION DATE

CARDHOLDER’S NAME

CARDHOLDER’S SIGNATURE

Society of Corporate Compliance and Ethics
6500 Barrie Road, Suite 250
Minneapolis, MN 55435, United States
+1 952 933 4977 or 888 277 4977
www.corporatecompliance.org
Cancellations/Substitutions: No refunds will be given for “no-shows” or cancellations. You may send a substitute, or receive a credit for other conferences to be used within one year. Please call Pati Hoskin at +1 952 933 4977 or 888 277 4977 or e-mail pati.hoskin@corporatecompliance.org.

Dress Code: Business casual dress is appropriate. The average high temperature in Dallas in April is 77°F and the average low is 56°F.

SCCE Is Going Green: Attendees will receive electronic access to the course materials prior to the program as well as an electronic version of the materials at the program. Attendees will not automatically receive the binders. If you would like to purchase the binders for $45, please check “Conference Binders” on the registration form.

Prerequisites: None.

Special Needs/Concerns: Prior to your arrival, please call SCCE at +1 952 933 4977 or 888 277 4977 if you have a special need and require accommodation to participate in the 8th Conference for Effective Compliance Systems in Higher Education.

Agreements & Acknowledgements: I agree and acknowledge that I am undertaking participation in SCCE events and activities as my own free and intentional act, and I am fully aware that possible physical injury might occur to me as a result of my participation in these events. I give this acknowledgment freely and knowingly and assert that I am, as a result, able to participate in SCCE events, and I do hereby assume responsibility for my own well-being. I agree and acknowledge that SCCE plans to take photographs at the SCCE’s 8th Conference for Effective Compliance Systems in Higher Education and reproduce them in SCCE educational, news, or promotional material, whether in print, electronic, or other media, including the SCCE website. By participating in the 8th Conference for Effective Compliance Systems in Higher Education, I grant SCCE the right to use my name, photograph, and biography for such purposes.

continuing education credits

SCCE is in the process of applying for additional credits. If you do not see information on your specific accreditation listed, please call us at +1 952 933 4977 or 888 277 4977 or email cc@corporatecompliance.org, and we would like the opportunity to offer it. Visit SCCE’s 8th Conference for Effective Compliance Systems in Higher Education website, www.highereducationcompliance.org for up-to-date information.

ACHE: This program has prior approval from the American College of Healthcare Executives (ACHE) for a recommended maximum of 18 category II continuing education credits.

CA Nursing Credit: The Health Care Compliance Association is preapproved by the California Board of Registered Nursing; Provider Number CEP 12990, for a maximum of 21.9 contact hour(s). The following states will accept CA Board of Nursing Contact Hours: Alabama, Alaska, Arkansas, Iowa, Kansas, Kentucky, Louisiana, Michigan, Minnesota, Nebraska, Nevada, New Mexico, North Carolina, North Dakota, Ohio, Oregon, Texas, West Virginia and Wyoming. The following states do not have continuing education requirements: Arizona, Colorado, Connecticut, Georgia, Hawaii, Indiana, Maine, Missouri, Montana, New York, Oklahoma, Pennsylvania, South Dakota, Tennessee, Vermont, Virginia, Washington and Wisconsin. The following states will not accept CA Board of Nursing contact hours: Delaware, Florida, New Jersey and Utah. Massachusetts and Mississippi nurses may submit CA Board of Nursing contact hours to their state board, but approval will depend on review by the board. Please contact the Accreditation Department at cc@ncca-info.org with any questions you may have.

CLE: The Health Care Compliance Association/Society of Corporate Compliance and Ethics is a State Bar of California Approved MCLE provider, a Rhode Island Accredited Provider, and a Texas Accredited Sponsor. NYCLE will be available through California State MCLE credits as an approved jurisdiction of New York. Approximately 18.25 clock hours of CLE credit will be available. All CLE credits will be awarded based on individual attendance.

Compliance Certification Board (CCB): Certified in Healthcare Compliance (CHC), Certified Compliance & Ethics Professional (CCEP), Certified in Healthcare Research Compliance (CHRCE): CCB has awarded a maximum of 21.9 CEUs (based on a 50-minute hour) for these accreditations in the following subject areas: Application of management Practices for the Compliance Professional; Application of Personal and Business Ethics in Compliance; Written Compliance Policies and Procedures; Designation of Compliance Officers and Committees; Compliance Training and Education; Communication and Reporting Mechanisms in Compliance; Enforcement of Compliance Standards and Discipline; Auditing and Monitoring for Compliance; Response to Compliance Violations and Corrective Actions; and Complying with Government Regulations.

NASBA/CPE: The Society of Corporate Compliance and Ethics is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. Sponsor Identification No: 105638. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit and may not accept one-half credits. To verify if your state board of accountancy has adopted one-half credits, please visit our website at www.corporatecompliance.org/halfcredits. Complaints regarding registered sponsors may be addressed to the National Registry of CPE Sponsors, 150 Fourth Avenue North, Suite 700, Nashville, TN 37239-2417. Website: www.nasha.org. A recommended maximum of 21.5 credits based on a 50-minute hour will be granted for the entire learning activity. This program addresses topics that are of a current concern in the compliance environment. This is an update, group/active. For more information regarding administrative policies such as complaints or refunds, call the SCCE at +1 952 933 4977 or 888 277 4977.

RACC: The Research Administrators Certification Council (RACC) promotes the concept of voluntary certification by examination for all research and sponsored programs administrators. Certification in research and sponsored programs administration is highly valued and provides formal recognition of basic knowledge in the field.

SoCRA: The Society of Clinical Research Associates (SoCRA - www.SoCRA.org) accepts documentation of candidate participation in continuing education programs for recertification if the program is applicable to clinical research regulations, operations or management, or to the candidate’s clinical research therapeutic area. This program offers up to 18.25 hours of CE credit. SoCRA’s requirements for recertification Continuing Education credit are quite general, as they pertain to clinical research regulations, operations and management, and to the therapeutic area of the clinical research in which the candidate participates. We therefore leave it to the candidate to determine whether a course or program would be acceptable for SoCRA’s CE requirement. SoCRA does not “validate” individual training courses/workshops.
Join us in Dallas, Texas, for the primary networking event for compliance and ethics professionals within higher education. Last year’s program welcomed over 250 compliance professionals representing 75 institutions. This conference features four general sessions and twenty breakouts presented by nationally recognized content-area experts. In addition, attendees will have the opportunity to participate in a number of pre-conference and post-conference sessions, not to mention wonderful networking opportunities. This program is not to be missed!