


APPLICATION FOR CONTINUING EDUCATION UNITS (CEUs)



SCCE's Compliance Risk Assessment and Management Virtual Conference December 6 - 7, 2022

Complete and submit this application to receive a certificate of attendance or continuing education units (CEUs). Check the box(es) below corresponding to the credit type(s) you wish to receive.

Submit this application to the Compliance Certification Board (CCB)[®]:
email: ccb@compliancecertification.org | phone: 952.988.0141 | fax: 952.988.0146

 CHC, CHRC, CHPC, CHC-F, CCEP, CCEP-I, CCEP-F <i>(Upon receipt of this completed application, this CEU type is automatically assessed)</i> <input type="checkbox"/> Other Credit type not already listed. _____ <input type="checkbox"/> NASBA/CPE Individuals MUST participate in the active attendance monitoring.	<input type="checkbox"/> FOR ATTORNEYS ONLY: Continuing Legal Education (CLE) Certain sessions may qualify for CLE credits. Submit this application <u>within seven days</u> to allow for state reporting, if required. Many states require active attendance monitoring. Please verify your CLE requirements with your state. State & license # _____ State & license # _____ State & license # _____
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Active attendance monitoring will be conducted during this virtual conference.

CCB CEUs and certificate will be posted and available online in your account within two-four weeks.

CLE, NASBA, and Other: External credit certificates will be emailed within four weeks.

By signing below, I attest that I HAVE ATTENDED THE SESSION(S) I indicated on this application:

Print your name: _____

Email: _____ Phone: _____

Signature: _____ Date: _____

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- ★ **ATTENDEES** must indicate "Attendee" for attendance below – **ONLY check sessions attended!**
- ★ **SPEAKERS** must indicate "Speaker" for sessions presented and "Attendee" for sessions attended.
- ★ **NOTE** any session time missed if you dialed in late or left early.

Tuesday, December 6

- | | | |
|-----------------------------------|----------------------------------|------------------------------------------------------------------------------------------------|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 9:00 – 10:15 AM (1.25 clock hours or 75 minutes)
Introduction |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 10:30 – 11:45 AM (1.25 clock hours or 75 minutes)
Identification of Compliance Risks |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 12:45 – 2:00 PM (1.25 clock hours or 75 minutes)
Risk Appetite and Tolerance |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 2:15 – 3:45 PM (1.5 clock hours or 90 minutes)
Assessing Severity |

Wednesday, December 7

- | | | |
|-----------------------------------|----------------------------------|-------------------------------------------------------------------------------------------------------|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 9:00 – 10:30 AM (1.5 clock hours or 90 minutes)
Assessing Internal Controls over Compliance |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 10:45 AM – 12:00 PM (1.25 clock hours or 75 minutes)
Risk Response and Mitigation |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 1:00 – 2:00 PM (1.0 clock hour or 60 minutes)
Completing the CRM Cycle |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 2:15 – 3:15 PM (1.0 clock hour or 60 minutes)
Final Considerations |

Print your name: _____