


# APPLICATION FOR CONTINUING EDUCATION UNITS (CEUs)



## SCCE's Compliance Risk Assessment and Management Virtual Conference April 11 - 12, 2022

Complete and submit this application to receive a certificate of attendance or continuing education units (CEUs). Check the box(es) below corresponding to the credit type(s) you wish to receive.

Submit this application to the Compliance Certification Board (CCB)<sup>®</sup>:  
email: [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org) | phone: 952.988.0141 | fax: 952.988.0146

 <p><b>CHC, CHRC, CHPC, CHC-F, CCEP, CCEP-I, CCEP-F</b> <i>(Upon receipt of this completed application, this CEU type is automatically assessed)</i></p> <p><input type="checkbox"/> <b>Other</b>   Credit type not already listed. _____</p> <p><input type="checkbox"/> <b>NASBA/CPE</b>   Individuals <b>MUST</b> participate in the active attendance monitoring.</p>	<p><input type="checkbox"/> <b>FOR ATTORNEYS ONLY: Continuing Legal Education (CLE)</b>   Certain sessions may qualify for CLE credits. Submit this application <u>within seven days</u> to allow for state reporting, if required.</p> <p><b>Many states require active attendance monitoring. Please verify your CLE requirements with your state.</b></p> <p>State &amp; license # _____</p> <p>State &amp; license # _____</p> <p>State &amp; license # _____</p>
--	---

**Active attendance monitoring will be conducted during this virtual conference.**

**CCB CEUs** and certificate will be posted and available online in your account within two-four weeks.

**CLE, NASBA, and Other:** External credit certificates will be emailed within four weeks.

***By signing below, I attest that I HAVE ATTENDED THE SESSION(S) I indicated on this application:***

Print your name: \_\_\_\_\_

Email: \_\_\_\_\_ Phone: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**CONTINUED NEXT PAGE →**

# APPLICATION FOR CONTINUING EDUCATION UNITS (CEUs)

- ★ **ATTENDEES** must indicate "*Attendee*" for attendance below – **ONLY check sessions attended!**
- ★ **SPEAKERS** must indicate "*Speaker*" for sessions presented and "*Attendee*" for sessions attended.
- ★ **NOTE** any session time missed if you dialed in late or left early.

## Monday, April 11

---

- Attendee    Speaker   **9:00 – 10:15 AM CDT (1.25 clock hours or 75 minutes)**  
Introduction
- Attendee    Speaker   **10:30 – 11:45 AM CDT (1.25 clock hours or 75 minutes)**  
Identification of Compliance Risks
- Attendee    Speaker   **12:30 – 2:00 PM CDT (1.5 clock hours or 90 minutes)**  
Assessing Severity
- Attendee    Speaker   **2:15 – 3:30 PM CDT (1.25 clock hours or 75 minutes)**  
Risk Appetite and Tolerance

## Tuesday, April 12

---

- Attendee    Speaker   **9:00 – 10:30 AM CDT (1.5 clock hours or 90 minutes)**  
Assessing Internal Controls over Compliance
- Attendee    Speaker   **10:45 – 12:00 PM CDT (1.25 clock hours or 75 minutes)**  
Risk Response and Mitigation
- Attendee    Speaker   **12:45 – 1:45 PM CDT (1.0 clock hour or 60 minutes)**  
Completing the CRM Cycle
- Attendee    Speaker   **2:00 – 3:00 PM CDT (1.0 clock hour or 60 minutes)**  
Final Considerations

Print your name: \_\_\_\_\_