


APPLICATION FOR CONTINUING EDUCATION UNITS (CEUs)



SCCE's Applying the COSO ERM Framework to Compliance Risk Management Regional Virtual Compliance & Ethics Conference January 21, 2021

Complete and submit this application to receive a certificate of attendance or continuing education units (CEUs). Check the box(es) below corresponding to the credit type(s) you wish to receive.

Submit this application to the Compliance Certification Board (CCB)[®]:
email: ccb@compliancecertification.org | phone: 952.988.0141 | fax: 952.988.0146

 <p>CHC, CHRC, CHPC, CHC-F, CCEP, CCEP-I, CCEP-F <i>(Upon receipt of this completed application, this CEU type is automatically assessed)</i></p> <p><input type="checkbox"/> Other Credit type not already listed. _____</p> <p><input type="checkbox"/> NASBA/CPE Individuals MUST participate in the active attendance monitoring.</p>	<p><input type="checkbox"/> FOR ATTORNEYS ONLY: Continuing Legal Education (CLE) Certain sessions may qualify for CLE credits. Submit this application <u>within seven days</u> to allow for state reporting, if required.</p> <p>Many states require active attendance monitoring. Please verify your CLE requirements with your state.</p> <p>State & license # _____</p> <p>State & license # _____</p> <p>State & license # _____</p>
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Active attendance monitoring will be conducted during this virtual conference.

CCB CEUs and certificate will be posted and available online in your account within two-four weeks.

CLE, NASBA, and Other: External credit certificates will be emailed within four weeks.

By signing below, I attest that I HAVE ATTENDED THE SESSION(S) I indicated on this application:

Print your name: _____

Email: _____ Phone: _____

Signature: _____ Date: _____

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- ★ **ATTENDEES** must indicate "Attendee" for attendance below – **ONLY check sessions attended!**
- ★ **SPEAKERS** must indicate "Speaker" for sessions presented and "Attendee" for sessions attended.
- ★ **NOTE** any session time missed if you dialed in late or left early.

Thursday, January 21

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|-----------------------------------|----------------------------------|--|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 9:00 – 10:00 a.m. CST (1.0 clock hour or 60 minutes)
The Relationship Between Enterprise Risk Management, Internal Controls, and Compliance and Ethics Programs |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 10:15 – 11:30 a.m. CST (1.25 clock hour or 75 minutes)
Characteristics of Compliance Programs Applicable to the Governance and Strategy Components of ERM |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 11:45 – 12:45 p.m. CST (1.0 clock hour or 60 minutes)
Characteristics of Compliance Programs Applicable to the Performance Component of ERM |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 1:00 – 2:15 p.m. CST (1.25 clock hour or 75 minutes)
Characteristics of Compliance Programs Applicable to the Review and Revision Component and the Information, Communication, and Reporting component of ERM |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 2:30 – 3:30 p.m. CST (1.0 clock hour or 60 minutes)
Practical Applications of ERM to Compliance Risk Management |

Print your name: _____