


# APPLICATION FOR CONTINUING EDUCATION UNITS (CEUs)



## SCCE's Applying the COSO ERM Framework to Compliance Risk Management *A Virtual Conference* *December 18, 2020*

Complete and submit this application to receive a certificate of attendance or continuing education units (CEUs). Check the box(es) below corresponding to the credit type(s) you wish to receive.

Submit this application to the Compliance Certification Board (CCB)<sup>®</sup>:  
email: [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org) | phone: 952.988.0141 | fax: 952.988.0146

 <b>CHC, CHRC, CHPC, CHC-F, CCEP, CCEP-I, CCEP-F</b> <i>(Upon receipt of this completed application, this CEU type is automatically assessed)</i>  <input type="checkbox"/> <b>Other</b>   Credit type not already listed. _____  <input type="checkbox"/> <b>NASBA/CPE</b>   Individuals <b>MUST</b> participate in the active attendance monitoring.	<input type="checkbox"/> <b>FOR ATTORNEYS ONLY: Continuing Legal Education (CLE)</b>   Certain sessions may qualify for CLE credits. Submit this application <u>within seven days</u> to allow for state reporting, if required.  <b>Many states require active attendance monitoring. Please verify your CLE requirements with your state.</b>  State & license # _____  State & license # _____  State & license # _____
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Active attendance monitoring will be conducted during this virtual conference.

CCB CEUs and certificate will be posted and available online in your account within two-four weeks.

CLE, NASBA, and Other: External credit certificates will be emailed within four weeks.

***By signing below, I attest that I HAVE ATTENDED THE SESSION(S) I indicated on this application:***

Print your name: \_\_\_\_\_

Email: \_\_\_\_\_ Phone: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

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- ★ **ATTENDEES** must indicate "Attendee" for attendance below – **ONLY check sessions attended!**
- ★ **SPEAKERS** must indicate "Speaker" for sessions presented and "Attendee" for sessions attended.
- ★ **NOTE** any session time missed if you dialed in late or left early.

## Friday, December 18

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|-----------------------------------|----------------------------------|--|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>9:00 – 10:00 a.m. (1.0 clock hour or 60 minutes)</b><br>The Relationship Between Enterprise Risk Management, Internal Controls, and Compliance and Ethics Programs  |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>10:15 – 11:30 a.m. (1.25 clock hour or 75 minutes)</b><br>Characteristics of Compliance Programs Applicable to the Governance and Strategy Components of ERM  |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>11:45 a.m. – 12:45 p.m. (1.0 clock hour or 60 minutes)</b><br>Characteristics of Compliance Programs Applicable to the Performance Component of ERM   |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>1:00 – 2:15 p.m. (1.25 clock hour or 75 minutes)</b><br>Characteristics of Compliance Programs Applicable to the Review and Revision Component and the Information, Communication, and Reporting Component of ERM |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>2:30 – 3:30 p.m. (1.0 clock hour or 60 minutes)</b><br>Practical Applications of ERM to Compliance Risk Management  |

Print your name: \_\_\_\_\_