Turn of a Century

Something New in an Established Environment
Change Management
People, Process, Technology

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How does a company implement a corporate compliance program while the industry undergoes unprecedented change?

Where does one start?

Strategy  Tactics

Priority & Environment
History of who we were

Pride of who we are

Opportunity to be better

Priorities critical to the Company's mission:

- Safety
- Operational Excellence
- Customer Experience

Achieving operational compliance is our goal.

Opportunity to be better

Operational Compliance is part of Operational Excellence.

The Learning Matrix

- Conscious
- Unconscious
- Competence
- Incompetence
Find the Blind Spots and Gaps

Manage risk and process efficiencies

Environment is set, compliance is a habit
Compliance assessed using a maturity model

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Forum surfaced concerns and confidences

Compliance Leaders selected in business units
Maintaining leadership support
Keeping the business engaged
Getting change management right
Executing across the organization
Establishing consistent definitions and taxonomies
Successful Compliance Program Implementation

Compliance Model

Regulations Controls Performance Documentation

Technology is the key to Compliance Program success.
Compliance Tracking System (CTS)

New or changed Regulation

Business Unit

Interpretation

Distribution

Performance

Control Testing

Reports

Assess compliance using a maturity model

Change management: Con Edison continues the journey
Collaboration with Business Units to surface concerns and confidences

Change management: Con Edison continues the journey

Connecting People and Process through Technology

Enabling Technology

Trust and collaboration between compliance and Business Units

Bringing All The Pieces Together

Change management: Con Edison continues the journey
Change management: Con Edison continues the journey

Prepared to adapt to the next challenge on our journey

Assess
Partnership
Enabling Technology
Bringing All The Pieces Together

#PowerCouples

Prepared to adapt to the next challenge on our journey