

Ethics & Compliance Careers

SCCE - PANEL SESSION

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AGENDA

- Building a Successful Ethics and Compliance Career
- Insights and Perspectives: Key Skillsets and Competencies
- Tips and Lessons from the Trenches
- Paths Forward & New Opportunities



*Audience polling questions occur throughout this session,
audience participation is welcome and encouraged!*

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Polling Question #1

How many years have you been working as an Ethics & Compliance Professional?

- 1 - 3 years
- 4 - 8 years
- 9 - 15 years
- Longer than 15 years

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Polling Question #2

Is your educational and/or professional background primarily in any of the following areas?

- Legal / Law
- Finance
- Audit / Risk
- Business
- Human Resources / Employee Relations
- Other

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Polling Question #3

Thinking about your current Ethics & Compliance Role, are you...

- “In House” - At a Company
- Counsel at a Law Firm
- Providing Professional Services such as Finance/Accounting/Audit Support

- Independent Consultant
- Vendor - Providing Program/Technical Support


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Polling Question #4

What is your reporting structure if you are currently “In House?” I report up through...

- Legal / General Counsel
- Chief Ethics & Compliance Officer
- Business Unit Leader or President / CEO
- Finance / Audit
- HR Leader
- Other

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Thank you for your participation
today and have a lovely weekend!