Compliance Concerns During a Global Pandemic
Tampa Regional Compliance & Ethics Conference
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Today’s Speaker

JAMES GREEN

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- Business Continuity
- Disaster Recovery
- Workplace Violence
- Pandemic Response
- Crisis Management

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SAI360: Risk | Compliance | Ethics

How is This Compliance?

**Crisis Management**
- Media
- Communications
- People
- Facilities

**Business Continuity**
Critical business functions will be recovered to an operational state within a reasonably short period.

**Disaster Recovery**
Critical systems and applications will be recovered to an operational state within a reasonably short period.
A Crisis Creates Privacy Risks

No government agency has suspended or weakened data privacy regulations

Working From Home and Data Privacy

Over 80% of North American C-Suites believe the risk of a data breach is higher when employees work off-site.

Source: 2018 state of the industry report north America
Working From Home and Data Privacy

- Your Data Privacy Policy still matters
- How are you communicating and enforcing privacy and data handling policy with remote workers?

SAI Global Covid-19 Risk Arc
Returning to Work

Wait if Employees are Sick?

Taking Your Employees Temperature

Congrats! Just in the United States you may need to consider:

- US Equal Employment Opportunity Commission
- Americans with Disabilities Act
- Genetic Information Nondiscrimination Act
- Families First Coronavirus Response Act
- Health Insurance Portability and Accountability Act
Returning to Work – What is Essential?

SAI Global Covid-19 Risk Arc

GLOBALLY WORK FROM HOME
- Digital Transformation
- Incident Management
- Mobile Health & Safety
- Inflatable Business Continuity Plan

MANAGE COSTS
- Data Privacy
- Supply Chain Risk
- Digitalized Health & Safety

REMOTE & REDUCED EMPLOYEE IMPACT
- Ongoing Risk Management
- Ethical Decision Making & Leadership
- Mobile Health & Safety Engagement

PIVOT THE BUSINESS
- Policy Changes
- Audit Controls
- Regulatory Change Management
- Employee Health & Safety Management

OPERATIONALIZE THE NEW NORMAL
- Sustain Business Continuity
- Health & Safety Hazard and Risk Management

STABILIZE THE BUSINESS
- Policies
- Ethics/Code of Conduct for a New Workforce
- Data Privacy & Information Security

RESTART THE ENGINE
- Critical Control Verification
- Third Party Training
- Supplier On-boarding
- Sustainability Metrics Management

MARCH 2020
OCTOBER 2020
Questions?